

#### Contents of Gratz Park Bid Proposal include:

- 1. Form of Proposal for Bid No. 141-2014 Pages P-2 through P-34
- 2. Addendum #1
- 3. Bid Bond Letter from LFUCG
- 4. Affidavit of Exemption from the KY Worker's Compensation Act (Notarized)
- 5. Letter of Interest and Qualifications for Gratz Park
- 6. Notations to Proposal including Bid Specifications and Scope of Work
- 7. Estimate of Cost from Prometheus Foundry, LLC
- 8. Certificate of Insurance for Prometheus Foundry, LLC
- 9. References for Prometheus Foundry
- 10. Estimate of Cost from Duncan Machinery, Inc.
- 11. Certificate of Insurance for Duncan Machinery, Inc.

#### **PART III**

#### **Invitation to Bid No. 141-2014**

#### GRATZ PARK SCULPTURE RESTORATION

#### 1. FORM OF PROPOSAL

Place: Lexington, Kentucky
Date: 9-5-20/4
The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.
This Proposal Submitted by <u>Prometheus Foundry</u> , <u>LLC</u> dba Prometheus <u>P.O. Box 12023 Lexington</u> , <u>Ky 40579</u> Art
P.O. Box 12023 Lexington, Ky 40579 Art (Name and Address of Bidding Contractor)
(Hereinafter called "Bidder"), organized and existing under the laws of the State of <u>Kentucking</u> , doing business as <u>Prometheus Foundry</u> , <u>Luc</u> <u>dha Prometheus</u> "a corporation," "a partnership", or an "individual" as applicable.

To:

Lexington-Fayette Urban County Government

(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

#### Gentlemen:

The Bidder, in compliance with your Invitation for Bids for Gratz Park Sculpture Restoration having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay
liquidated damages, the sum of \$for each consecutive calendar day thereafter.
The Bidder hereby acknowledges receipt of the following addenda:
Addendum No. 1 Date September 2, 2014
Addendum No Date
Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the

•	LEGAL STATUS OF BIDDER
idder ate	Prometheus Foundry, LLC dba Prometheus September 4, 2014
1.	A corporation duly organized and doing business under the laws of the State of <u>Kentucky</u> , for whom <u>Amanda Matthews</u> , bearing the official title of <u>CEO / CEO</u> , whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.
	A Partnership, all of the members of which, with addresses are: (Designate general partners as such)
<b>3</b> .	An individual, whose signature is affixed to this Bid/Proposal (please print name)

## 3. <u>BIDDERS AFFIDAVIT</u>

Comes the Affiant, Amarda Mathurs, and after being first duly sworn, states under penalty of perjury as follows:					
1. His/her name is Amanda Matthus and he/she is the individual submitting the bid or is the authorized representative of Prometheus Fourthern, the entity submitting the bid (hereing					
	referred to as "Bidder").				
2.	Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.				
3. Bidder will obtain a Lexington-Fayette Urban County Government business lice applicable, prior to award of the contract.					
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.					
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.					
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexingto Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."					
7.	Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.  Further, Affiant sayeth naught.				
STATE OF	Kentucky				
COUNTY OF	Fugete				
The foregoing	instrument was subscribed, sworn to and acknowledged before me by				
Amando	Matthews on this the 4 day of September, 2014.				
My Commissi	on expires: May 6, 2015				
	Any Mugas				
	NOTARY PUBLIC, STATE AT LARGE				

#### 4. BID SCHEDULE - SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Total Bid Amount
1.	BASE BID 1 – Removal, transport, repair, restoration, transport and re-installation of historic sculpture and dedication plaque in accordance with the Contract Documents for:	1	LS	\$ <u>57,04</u> 0,00

TOTAL OF ALL BID PRICES FOR 141-2014 Project (Items 1) in words and figures. In case of discrepency, the amount shown in words will govern.

Seven Thousand for Doll as and 700 are consts.

P-6.

Submitted by:	Prometheus Foundry, uc dba Prometheus Ar-
Bid must be signed: (original signature)	P.O. Box 12023  Address  Lexington Ky 40579  City, Sidte & Zip  Warda   CEO   CFO  Signature of Authorized Company Representative - Title
	Amanda Mathuss Representative/s Name (Typed or Printed)
	502-592-3774 N/A  Area Code - Phone - Extension Fax #
	Drometheus art foundry agmail. Com E-Mail Address

OFFICIAL ADDRESS:
Prometheus Foundry, LLC
7130 Russell Care Rd.
Lexington, Ky 40511

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

#### 5. STATEMENT OF BIDDER'S QUALIFICATIONS

	he Proposal:
1.	Name of Bidder: Prometheus Foundry UC Sha Prometheus An
2.	Name of Bidder: <u>Prometheus Foundry LLC Jba Prometheus An</u> Permanent Place of Business: <u>7130 Russell Cave Rel Lexington</u> , Ky 40
3.	When Organized: April 2005
4.	Where Incorporated: Kentucky
5.	Construction Plant and Equipment Available for this Project:
	Foundry and Metal Fabrication Shop Gocation listed about
	(Please see attached Sheet).
	3
	(Attach Separate Sheet If Necessary)
5.	Financial Condition:
	If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.
7.	In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:
To A paragraphic	(See attached LFucCT Bond Letter) (Surety)
	Signed:(Representative of Surety)
8.	The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

#### Prometheus Foundry & Equipment Assets available fro Gratz Park Sculpture Restoration

14,000 sq ft. Foundry Building and Studios located at 7130 Russell Cave Lexington, KY:

Bronze furnace-

14', 1 ton jib crane-

10' 2 ton gantry crane-

10' Bridge Crane 1/2 ton-

2 ton electric chain hoist-

3 ton chain fall hoist-

1 ton chain fall hoist-

2004 Kubota w/Loader (Model L2800 Loader LA463)-

1970 Allis Chalmers Forkift (FTP20-24PS)-

- (2) sets Lifting tongs-
- (2) Pouring shanks-
- (3) Charging tongs-
- (3) #90 crucibles-
- (3) #70 crucibles-

Burnout kiln-

(2) Burner-

Oxy-acetylene set-

Propane regulator-

3 argon/mixed gas regulators-

Millermatic 35 MIG welder-

Miller 250 TIG welder-

Lincoln 200 stick welder-

Propane gas canisters

(2) 8" bench grinder-

12" chop saw-

Steel fabrication table-

2 Ingersoll-Rand 60 ga. Air compressors-

DeWalt 4 1/2" angle grinder-

Metabo straight shaft grinder-

Dremels-

Cement mixer-

Ceramic shell mixer motor-

Core dryer-

Miscellaneous hand tools-

(2) Finish Nailers-

Miter Saw-

Framing Nailer-

Table Saw Ulmia-

Planer-

Joiner-

Wax Room Tools-

Extra Large Hand Built Welding Table -

(2) Burners for Preheat Kilns-

Tooling for Furnace-

(Lifting Tongs, Pouring Shanks, Lifting Bale, Skimmers, Charging Tongs, Pyrometers)

Many Personal Hand Tools-

Custom Made Extra Large Slurry Mixing Tank with 3 h.p. Baldor Motor-

	NAME	<b>LOCATION</b>	<b>CONTRACT SUM</b>
	Caddell Construct	ira Ft. Stewart Bo	se \$10,000.00
(	Graves & Groves Con	s. Linden, TNG	ty \$14,700.00
	Marcor Const.	City of Linder	TN \$17,740,00
1	FUCG- Flying	of Lewigton, Kly	F35,000 00
	Keeneridge Gans Ann Mc Bray	" Keeneridge !	454,000.00
9.	•	ntract and bonded the following	g projects:
	NAME	<b>LOCATION</b>	CONTRACT SUM
	Van Meter Portrai	t Ky History Muse	eum 50,000.00
	Jim Beam Portro	uit Jim Beam Dis	fillery 38,000 00
			( )
			***************************************
10.	List Key Bidder Personnel w	ho will work on this Project.	AND
	NAME	POSITION DESCRIPTION	NO. OF YEARS ON WITH BIDDER
	Amanda Natthew		5 years
	Robert (Brad) Co	nnell COO	9 years
		-	
		VIII VIII VIII VIII VIII VIII VIII VII	

SUBCONTRACTORS (LIST)	PROJECT (SPECIFIC TYPE)	DBE	<u>% of V</u>
rometheus	All Projects	<u> </u>	15
	Application	<u>.</u>	
		****	
		<del></del>	
		***************************************	
	<del> </del>		
(USE	ADDITIONAL SHEETS IF N	NECESSARY)	1

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

\* No Subcontractors on other projects.

#### 6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM Such as: Grading,	SUBCONTRACTOR	DBE Yes/No	% of Work
bituminous paving, concrete, seeding and protection, construction staking, etc.			
1. Prometheur Foundry, Ul	Iname: Anarda Northus	Yes	<u>82</u> %
	Address: 12 02. Ky 40579	l ~	100
2. Duncan Machinery Morers, Inc.	Name: Jim Humes Dunan Machaery Dr.	No	18%
Provos jine.	Address: <u>Cercrofton</u> Ky		
3	Name:	**************************************	
	Address:		
4	Name:		
	Address:		
5	Name:		A
	Address:		
6	Name:		<del>and or on the least of the contents of the co</del>
	Address:		
7	Name:		and the second second second
	Address:		

(Attach additional sheet(s) if necessary.)



LFUCG MWDBE PARTICIPA Bid/ RFP/ Quote Reference #	TION FORM
Bid/ RFP/ Quote Reference #_	141-2014

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
Prometheus Foundry, LC	All except rigging & transport	\$46,920.00	8290
2.			
3.			
4.			

The undersigned company representative submits the above	ve list of MWDBE firms to be used in accomplishing
the work contained in this Bid/RFP/Quote. Any misrepro	esentation may result in the termination of the contract
and/or be subject to applicable Federal and State laws con	cerning false statements and false claims.
Frometheus Foundry, LC	X. WALKERS
Company	Company Representative
9-4-2014	CEO/CFO
Date	Title



LFUCG MWDBE SUBSTITU	TION FORM
Bid/ RFP/ Quote Reference #	141-2014

The substituted MWDBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/A					
2.					
3.					
4.					

The undersigned acknowledge				on of the contract	and/ or be subject to
applicable Federal and	State laws concerning	g false statements and	false claims.	111/	<i>'</i> )
romethe	is Found	ry LC	$ \times$ $\cdot$	USAH	LOSP
Company		Compa	ny Represefftat	tive	
44-6	2014	CE	=0/CFG	Ċ	
Date		Title	7		<del></del>



remost M	WDBE QUO	TE SHMMA	RY FOR	М			
	RFP/ Quote			141	-2014		
						tors listed on t	hia farm did
	mit a quote t				ty subcontrac	tors listed on t	iiis ioiiii aia
Suu	mili a quote t	o participate	on this p	roject.			
Company Name	- / /	<del></del>	Contact	Person 🔿	A	- 1 A	1= 1
Company Name / / 1	mether	es tou	NOVIU		Hn	andle 11	Mitter
Address/Phone/Email POBOY 121 Lexington	523 KY 4057	9 xh 50	Bid Pag	kage / Bid Da F Q-37	oundry 24	Costs	1-4-2019
				X	· ,		
MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars SS Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
Prometheu	5 Nicether						**************************************
					A		
(MBE designation Native American The undersigned a	)						
and/ or be subject  Company  Company	to applicable Fed.	eral and State land	ws concerning	g false state	nents and claims any Representat	Kybr S	—
Date	0 -1 (				Title	<u> </u>	



#### LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/ RFP/ Total Cont	Quote # tract Amou	141-5 nt Awarded	20 / <del>4</del> to Prime	Contractor f	or this Project_		
Project Name/	Contract #			Work Period/ Fr	rom:	To:	
Company Name	e:			Address:			
Federal Tax ID				Contact Person:	, <u>- , , , , , , , , , , , , , , , , , ,</u>		
Subcontractor Vendor ID (name, address, phone, email	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
correct, and the termina	l that each c	of the represe contract and	ntations se	et forth belov	v is true. Any mi	srepresenta	the information is ations may result in te laws concerning
Company			(	Company Repr	resentative		
Date				Title	the H telegraphy and the second secon		

have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate. Included documentation of advertising in the above publications with the bidders good faith efforts package Attended LFUCG Central Purchasing Economic Inclusion Outreach event Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s). Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less that seven (7) days prior to the deadline for submission of bids to allow them to participate effectively. Followed up initial solicitations by contacting MWDBEs to determine their level of interest. Provided the interested MWBDE firm with adequate and timely information about the plans, specifications, and requirements of the contract. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

By the signature below of an authorized company representative, we certify that we

	Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
	Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
	Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/ or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
	Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
<del></del>	Made efforts to expand the search for MWDBE firms beyond the usual geographic boundaries.
	Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation. We are Certified MWBE and DBE.
	Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.
•	owledges that all information is accurate. Any misrepresentations may result ontract and/ or be subject to applicable Federal and State laws concerning aims.
Company O	Company Representative

Date

# 8. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST</u>

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky \_\_\_\_. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

## 9. <u>STATEMENT OF EXPERIENCE</u>

cioliza

NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
NAME OF INDIVIDUAL:
POSITION/TITLE:
STATEMENT OF EXPERIENCE:
STATEMENT OF EARENCE.
<del></del>

<sup>\*</sup> Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

#### 10. EQUAL OPPORTUNITY AGREEMENT

#### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

#### **Bidders**

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Signature

Name of Rusiness

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its subcontracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

#### KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

#### KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

#### KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

#### 11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of <u>Prometheus Foundry</u>, LLC

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

#### 12. WORKFORCE ANALYSIS FORM

Name of Organization	n: Prometh	eus Fou	ndry, C	LC_		Date:_	7-4-20	
Categories Total		Whi	i <u>te</u> F	Black	Black M F		Other M F	
Administrators								
Professionals	2							
Superintendents								
Supervisors								
Foremen								
Technicians								
Protective Service								
Para-Professionals								
Office/Clerical								
Skilled Craft							Particular values of the same	
Service/Maintenance								
Total:	4							

# EVIDENCE OF INSURABILITY <u>3</u>

LEXINGTON-PAYETTE URBAN COUNTY GOVIERNMENT CONSTRUCTION PROJECT (Use segnate form for each Agency of Broketing augresing to provide coverage)

Names Insured: Krometheus Foundly, U.C. Non Prometheus Art Employee ID. 20-2584466 Phone 502,592,3774 0 Restoration Bild NO 141-3014 130 Russey Cara Rd. Laxington, Ky 40511\* CULTERS. Project to be insured: Traft

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section		Minimum Limits and	Limits Provided	Name of	A.M. Best's	
Rents	Coverage	Policy Requirements	pansul o.l.	Insura	Code R	Rating
SC-3, Section 2, Part 4.1 see provisions	790	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 2,000,000 76r	\$ 2,000,000 per STATE FACKLFITE 002 471 KA	uh coo	KA
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	S			
SC-3, Section 2. Part 4.1 see provisions	D/M	Statutory w /cndorsement as noted	\$			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwi JAMES A MATTHERESTY when submitting

522 - 538-4246 + 10/2 955-8515 Jim Hol

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurance. If insurance is brokered, authorized signature must be that of authorized representative of insurer. IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid. \*\* Mailing Adamss: P.O. BSF 12025 Lexington, Ky 40579

#### 14. DEBARRED FIRMS

14. DEDARRED FIRMS
PROJECT NAME: <u>Gratz Park Sculpture Restoration</u> BID NUMBER: <u>141-2014</u>
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT LEXINGTON, KY
All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.
The undersigned hereby certifies that the firm of <u>Prometheus Foundry</u> <u>UChas</u> not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.
Prometheus Foundry, LLC Sha Prometheus Art Name of Firm Submitting Bid
Signature of Authorized Official
CFO/CFO Title
September 4 2014

#### 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Prometheus Foundry, CCC dba Prometheus Art
Project: Gratz Park, Sculpture Restoration

Printed Name and Title of Authorized Representative:

Amanda Matthews, CED/CFD

Signature:

Date:

**END OF SECTION** 

#### 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Project:

Printed Name and Title of Authorized Representative:

Signature:

Date:

END OF SECTION



## Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor William O' Mara Commissioner

September 3, 2014

Prometheus Foundry, LLC Amanda Matthews, CEO & CFO PO Box 12023 Lexington KY 40579

Re: DBE Bonding Letter

Ms. Matthews,

This letter is provided, in accordance with the Lexington-Fayette Urban County Government DBE Bonding Program, to serve as Bid Security for the following project:

Invitation to Bid #141-2014 Gratz Park Sculpture Restoration

The firm, Prometheus Foundry, LLC , has been determined to be a bona fide Disadvantaged Business Enterprise, and, as such, is entitled to submit this Letter of Certification as Bid Security for the project listed above.

Sincerely,

Todd Slatin

Purchasing Director



#### CERTIFICATE OF LIABILITY INSURANCE

DATE (MINITODYYYY) 09/04/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER. IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s). CONTACT JAMES A MATTHEWS III PRODUCER JAMES A MATTHEWS III-AGENT PHONE (AC. No. Ext): 502 538-4246 - MAI ADDRESS: jim.metthews.b1mt@statefarm.com FAX Nov: 502 538-0781 STATE FARM INS COMPANIES State Farm **PO BOX 35** INSURER(S) AFFORDING COVERAGE MAIC # MT WASHINGTON KY 40047 MISURER A : State Farm Fire and Casualty Company 25143 MSURED PROMETHEUS FOUNDRY, LLC MSURER B : **DBA PROMETHEUS ART** HISURER C: PO BOX 12023 WSURER D **LEXINGTON KY 40579-2023** MISURER E : MISURER F **COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:** THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. ADDL SUBR POLICY EFF POLICY EXP TYPE OF INSURANCE LIMITS POLICY NUMBER X COMMERCIAL GENERAL LIABILITY 2,000,000 Α EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) 300,000 CLAIMS-MADE X OCCUR 11/11/13 11/11/2015 5 97-R.I-R449-7 10,000 MED EXP (Any one person) 2,000,000 PERSONAL & ADV INJURY 4 000 000 GENERAL AGGREGATE GENTL AGGREGATE LIMIT APPLIES PER: 4 000 000 X POLICY PRODUCTS - COMPYOP AGG OTHER: COMBINED SINGLE LIMIT (Es accident) AUTOMOBILE LIABILITY BODILY INJURY (Per person) ANY AUTO ALL OWNED SCHEDULED BODILY INJURY (Per accident) AUTOS NON-OWNED PROPERTY DAMAGE (Per pocklant) HIRED AUTOS AUTOS UMBRELLA LIAB EACH OCCURRENCE OCCUR EXCESS LIAB AGGREGATE CLAIMS-MADE DED RETENTION \$ WORKERS COMPENSATION AND EMPLOYERS' LIABILITY STATUTE ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE \$ f yes, describe under DESCRIPTION OF OPERATIONS below E.L. DISEASE - POLICY LIMIT | \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

FOUNDRY AND ART STUDIO

LOCATION:7130 RUSSELL CAVE RD LEXINGTON KY 40511

Sculpture Site: Gratz Park Sculpture Restoration

Y OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE ATION DATE THEREOF, NOTICE WILL BE DELIVERED IN CE WITH THE POLICY PROVISIONS.
© 1988-2014 ACORD CORPORATION. All rights reserved.
,

# AFFIDAVIT OF EXEMPTION FROM THE KENTUCKY WORKERS' COMPENSATION ACT (Corporation or Partnership)

Applicant, pursuant to KRS 342. 610 (5), hereby declares exemption from the requirement to obtain workers' compensation insurance coverage as set forth in KRS 342.340.

Affian	t states that the form of Business is:
0‰	Individual Proprietorship
0‰	Corporation
100‰	LLC Partnership - the names of the partners are <u>Amanda Matthews</u> and <u>Robert B.</u>
Conne	<u>1</u> .
In support	port of this claim to exemption, Applicant states that the following facts are true and:
The	Partnership has no employees, only 2 Partners who are Owner/Operators. Listed above
Busine	ss Name Prometheus Foundry, LLC dba Prometheus Art
Busine	ss address P.O. Box 12023 Lexington, Kentucky 40579
Phone	No859-255-0782
	of Business <u>Design and Build Firm for Fine Art Casting, Metal Fabrication, and are Restoration</u>
FEIN o	or SSN <u>20-2584466</u> Average No. of Employees <u>0</u>

# AFFIDAVIT OF EXEMPTION FROM THE KENTUCKY WORKERS' COMPENSATION ACT (Corporation or Partnership)

	The foregoing is true and correct as I verily believe and swear:
C	Amanda Matthews
	CEO/CFO
	Owner/Partner/Signer
	State of Kentucky Labor Cabinet County of Fayette
	The foregoing Affidavit of Exemption was acknowledged and sworn to before me by <u>Amanda Matthews</u> of (Corporation/Partnership) <u>Prometheus Foundry, LLC</u> on behalf of the, (Corporation/Partnership) <u>Prometheus Foundry, LLC</u> this <u>Affiday</u> of
Ser.	te ber , 20 14.
	Any Dusty
	NOTARY PUBLIC
	KENTUCKY STATE AT LARGE
	MY COMMISSION EXPIRES May 6, 2015.



August 31, 2014

Lexington Fayette Urban County Government Division of Central Purchasing 200 E. Main St. Lexington, KY 40507

To Whom it May Concern:

We are pleased to submit our bid for the Gratz Park Sculpture Restoration in Lexington, Kentucky, Bid No. 141-2014.

Our Company, Prometheus Foundry, LLC, is a Partnership LLC owned by Partners, Amanda Matthews and Brad Connell. We are certified (MWBE) Minority/Women Owned Business Enterprise and certified (DBE) Disadvantaged Business Enterprise. We are a design and build firm with nearly 40 years of combined professional experience. We provide the following professional services for Site-Specific Metal Sculpture, Monuments, and Architectural Work including Historic Reproductions and Restoration:

- \*Site-Specific Design & Build
- \*Comprehensive Collaboration with Design Teams
- \*Architectural Designs and Drawings (CAD)
- \*Commercial Insurance meeting US Federal Govt. Requirements
  - ~ Casting (Bronze and Aluminum)
  - ~ Metal Fabrication (Bronze, Aluminum, Stainless & Carbon Steel)
  - ~ Transportation and Installation
  - ~ Restoration and Cleaning

Our Clients include: Universities, Municipalities, Corporations, Architects, Engineers, and the US Federal Government including the US Dept. of Defense, US Army, & US Navy (Commercial & Government Entity #6DW69 info listed below). We have worked with budgets from a few thousand dollars to hundreds of thousand of dollars, including sculpture/architectural elements for multi-million dollar projects, for both the government and privately funded groups.

A partial list of our clients includes:

- US Federal Government Clark Caddell Construction Joint Venture
- City of Linden, TN Graves and Graves Construction and Marcor Construction
- · Lexington Philharmonic Guild
- Fox Hill Company -
- City of Lexington, KY (Flying Horse of Ganzu in 2008)
- · Georgetown College
- Pleasant Retreat Farm
- Good Shepherd Cathedral
- St. Paul Catholic Church Pearson and Peters, Architects
- · Keeneridge Farm
- · Kentucky Horse Park
- · Jefferson Animal Hospitals
- · City of Mainz, Germany Sister Cities of Louisville
- · Louisville Zoo, City of Louisville
- Techne Engineering



Prometheus Foundry, LLC Industry and Classification Codes include:



- Commercial & Government Entity (CAGE) # 6DW69
- (Please visit www.sam.gov website for US Federal Government Central Contractor Registration Data.)

MINORITY WOMEN

North American Industry Classification System (NAICS) # 332999



Woman Owned Business Certified



U.S. General Services Administration Amanda Matthews & Brad Connell Art in Architecture National Artist Registry, U.S. General Services Administration



Disadvantaged Business Enterprise



- Dun & Bradstreet (DUNS) # 025127016
- Standard Occupational Code (SOC) # 27-1013 Fine Artist Painters and Sculptors
- In recognition of artistic excellence, Amanda Matthews and Brad Connell (Prometheus Art) have been selected to participate in the Architectural Artists Directory, a program of the Kentucky Arts Council, the state arts agency, which is supported by state tax dollars and federal funding from the National Endowment for the Arts.



enda Vathews

Thank you for the opportunity to prepare a proposal for this wonderful project. Please contact us any time at 502-592-3774 or 859.948.5958 with any questions or concerns.

Sincerely,

Amanda Matthews

rad Connoll



## Gratz Park Sculpture Restoration Bid No. 141-2014 LFUCG - Department of Parks and Recreation

Notations to Original Proposal, Bid Specifications and Scope of Work

#### Sculpture Restoration Summary:

Prometheus Foundry, LLC plans to subcontract Duncan Machinery, Ind. (see bid) to assist us with detaching sculpture from base as a single unit, crating on site, rigging and transporting to our studio location where all necessary repairs and cleaning will be completed. Duncan will also return sculpture from our studio to the Gratz Park location and re-install on site.

Prometheus Foundry & Studio location: 7130 Russell Cave Rd. Lexington, KY 40511

#### Scope of Work:

Our scope of work includes all items listed on Duncan Machinery, Inc. bid (see bid) and on the Prometheus Foundry, LLC estimate.

Prometheus Foundry is not responsible for detaching or reconnecting plumbing or electrical connections at the fountain site. However, Prometheus will assist with utilities location and identification, if necessary, and consult with LFUCG Parks and Rec for all utilities needs related to the bronze sculpture.

Due to the age of the fountain, the condition of the grout, and the unknowns involved in the fountain's construction and installation, Prometheus Foundry will not be held liable for any structural failures in the granite plinth or boulders caused by the fountain being lifted. The age of the granite plinth, veining inherent in granite, and thermal expansion and contraction may have caused separation cracks or fractures that will not be apparent until the plinth is disturbed or moved. Therefore, if a replacement granite plinth or boulder is necessary due to these conditions, the cost will be negotiated, agreed upon and added to the estimate as a change order.

Prometheus Foundry will take every precaution and assist Duncan to protect the brick/concrete paths and turf in Gratz Park with steel plates and crane mats prior to entering park area. However, Prometheus shall not be held responsible for damage to turf and walking paths as the original plan for removal of the fountain called for the truck and crane maintaining their position on the paved roadway, which is not possible due to overhead electrical wires.

Prometheus foundry recognizes that this is a historic sculpture and site. As such, all reasonable precautions will be taken to insure the site and sculpture are disturbed as little as possible during disconnect, removal, rigging, transporting, and restoration.

Once the James Lane Allen Fountain Sculpture has been moved to Prometheus Foundry, all services will be performed based on approved EPA Guidelines for lead content using special materials due to the bronze alloy of 85% copper, 5% lead, 5% tin, and 5% Zinc.

#### Regulatory Requirements:

Amanda Matthews, owner/partner of Prometheus Foundry, consulted with Mr. Brian Short, Department of Public Health, Program Administrator for Environmental Lead Program, regarding specifications for welding, grinding, and disturbing lead based alloys. Mr. Brian Short may be reached at ph# 270-210-2274 for further information. All notes from the conversation are included in the Prometheus Foundry file titled Restoration of The James Lane Allen Fountain and are available to the LFUCG Division of Parks and Recreation and or the chosen GC upon request at any time after the bid has been awarded.

The notes include guidelines regarding preparation of acceptable "Clean Room" containment field during welding, repairs, and sandblasting, OSHA approved lead vapor respirators, Tyvek suits and other OSHA approved items for lead containing materials, and guidelines for "Clean Room" dismantle and sterilization. A further recommendation was made to contact a waste management expert for approved disposal of special waste.

Ms. Matthews contacted three landfill providers within the state of Kentucky and also contacted the Lexington, Kentucky landfill and transfer station regarding hazardous material and special waste disposal. Byron Bradshaw, Landfill Manager for Central Kentucky Landfill, Ph# 859-333-1580, advised that they are an approved Sub Title D Landfill. With completed and approved Special Waste Profile and Manifest paperwork, they will be able to dispose of the special waste accumulated during the repair of this fountain in compliance with governing EPA regulations.

#### **Products and Repair Materials:**

All materials listed in the Section 10760 Document titled Sculpture Restoration are agreeable as identical or an equivalent except the following:

- 2.3 Prometheus plans to use 80 grit Garnet Sand for blasting rather than pulverized walnut shells. Walnut shells tend to leave an oily residue, which impedes the application of many patinas. Please follow this link:
  - http://www.universalminerals.com/WE CMS/documents/pdfs/sharpjet blastingquidelines.pdf for additional information regarding the safety and efficacy of garnet sand. Please also note that on page 3, the 80 grit garnet sand is classified for use on "sensitive substrates", and causes minimal dust while blasting. Prometheus agrees to test an inconspicuous area and if it is determined that the garnet is too abrasive, Prometheus will consider a soda blast alternative at that time.
- 2.5 Microcrystalline Wax, especially Victory Brown, is commonly used in foundry applications for creation of the sculpted pattern or a copy of the pattern to be cast in bronze. However, it is classified as a soft wax rather than a finish wax. While it would penetrate and seal the surface of the bronze, it would tint the surface brown and break down much more quickly than a finish wax. We use a hard carnauba wax such as Trewax to finish the surface. This wax is applied after the bronze is heated with a gas torch so it fully penetrates and seals the surface.
- 3.5 Patina All Verdigris Patinas are classified as "living patinas", meaning that they continue to change over time due to weathering. Even when freshly applied, the verdigris patina reacts with elements currently in its vicinity such as humidity and heat. Since this project calls for a sealed surface, a "living" patina is not possible. Therefore, Prometheus will provide up to 4 patina plates as an alternative to the "living" patina from which the Owner or LFUCG Dept. of Parks and Rec may choose.

Note: There are two options for TIG bronze welding rod including phosphorous bronze and silicon bronze. Prometheus believes that both will adhere and perform well.

Finally, all "Clean Room" materials, special materials handling, and clean up will follow EPA guidelines. Tri Sodium Phosphate will be used for final cleaning of work areas because it is approved and bonds with lead residue.

More specific MSDS information is available for materials and methods of work. We are happy to provide any further information upon request.

PROMETHEUS

P.O. Box 12023 Lexington, KY 40579

502.592.3774 or 859.948.5958 www.prometheusart.com

E-mail

Date	Estimate #
9/3/2014	170

Estimate

	prometheusartfoundry@gmail.com
Name / Address	
FUCG	летельный порторуй (100 (101 (100 (101 (100 (100 (100 (10

Name / Address

LFUCG
Division of Central Purchasing
200 East Main St.
Lexington, KY 40507

			Project
	oldellelelilmeereennaanameereennaa	Angel (A) (10-00) (A) (100 (110) (111) (11	**************************************
Description	Qty	Rate	Total
Sculpture Crating, Pick Up and Delivery and Installation (See attached Bid & Certificate of Insurance from Duncan Machinery, Inc.) Assist in Sculpture Pick up and Delivery at Site	***************************************	1,600.00	0.00 1,600.00
Bronze Sculpture Removal from attached Boulders and Granite Plinth at Foundry location		2,880.00	2,880.00
Insurance Rider added to Commercial Policy for Gratz Park Sculpture @ \$150,000 coverage for property of others in our care, custody, and control		2,500.00	2,500.00
Storage of Sculpture/Materials for Client at Prometheus Foundry Site until reinstall		1,500.00	1,500.00
Specialized Labor HazMat Clean Room Setup 12 X 12 X 12 Welding and Chasing Area in Metal Shop (16 hours X 2 Men)	32	60.00	1,920.00
Materials Charge for approved Poly HazMat Clean Room Setup in Metal Shop		500.00	500.00
Specialized Labor HazMat Clean Room Setup 12 X 12 X 8 Sand Blast Room (8 hours X 2 Men)	16	60.00	960.00
		Subtotal	III dies 494 il IIIII 69 II die 1844 de 1848 et es es es en monant a monanta anno anno anno anno anno anno ann
	***************************************	Sales Tax (6.0%)	TIDESCIII ASSASSAS
	State of the state	Total	enementen er en

P.O. Box 12023 Lexington, KY 40579

**PROMETHEUS** 

Estimate

Date	Estimate #
9/3/2014	170

502.592.3774 or 859.948.5958 www.prometheusart.com

E-mail

prometheusartfoundry@gmail.com

Name / Address

LFUCG
Division of Central Purchasing
200 East Main St.
Lexington, KY 40507

Project

	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		**************************************
Description	Qty	Rate	Total
Materials Charge for approved HazMat Clean Room Setup in Sand Blast Room		300.00	300.00
Welding of parts, cracks, pits, repairs, and fitting of sculpture for assembling (1 man)	20	90.00	1,800.00
Metal Chasing Grinding and chasing of welds, repairs, etc.  Metal Fabrication (16 Hours X 2 men)		2,700.00 2,400.00	2,700.00 2,400.00
Sand Blasting with 80 grit Garnet Sand (see notations to proposal document attached)	20	50.00	1,000.00
Patina Preparation and Application of Patina Patina Heat treated carnuba wax finish sealer Patina Sample/Test Plates of various patina finishes prepared on Silicon Bronze (rather than lead/bronze alloy of Bronze currently used in Fountain)	24 6 4	75.00 75.00 75.00	1,800.00 450.00 300.00
Consumable Shop materials including welding supplies, grinding wheels, sanding burs, etc that must be disposed of after use on lead alloy		500.00	500.00
	White a section of	Subtotal	Sitrichideretrectrussensialreconstructures การการการการการการการการการการการการการก
		Sales Tax (6.0%)	eer (

**Total** 

P.O. Box 12023 Lexington, KY 40579

_		•		•	
**	~ *	11	nz	31	_

Date	Estimate #
9/3/2014	170

Lexington,
PROMETHEUS

Art 502.592.377

502.592.3774 or 859.948.5958 www.prometheusart.com

E-mail

prometheusartfoundry@gmail.com

Name / Address

LFUCG
Division of Central Purchasing
200 East Main St.
Lexington, KY 40507

			Project
Description	Qty	Rate	Total
Prepare and Install Mounting Hardware/ Prepare Templates for Installation at Site Includes mounting bronze figures to boulders, boulders to granite, and granite to concrete base	tio California (Carrente de Carrente d	1,500.00	1,500.00
Bronze Dedication Plaque - removal, repair, restore, sand blast, patina, and seal (either heat treated sealer or warm sealer if still attached to granite)		1,800.00	1,800.00
Sculpture, boulders, and granite re-installation at site (assist Trademark Industrial with install including epoxy grout and boulder re-grout on site)		1,920.00	1,920.00
Repair restoration of boulders and granite with TSP (to remove any lead residue) and very mild water blast, chisel old grout and clean (no surface or crack repairs included in this estimate. If granite breaks due to stress fractures that developed over time, a change order will be requested for a new granite plinth.)		750.00	750.00
Specialized Labor HazMat Clean Room Dismantle and Sterilize 3 Times with Trisodium Phosphate 12 X 12 X 12 Welding and Chasing Area in Metal Shop	32	120.00	3,840.00
		Subtotal	negative de la constant de la consta
	Vermach in the control of the contro	Sales Tax (6.0%)	illedet (iiiilletiiiilisteinet katelotekset katelotekset van en
	Ellocano	Total	

PROMETHEUS Art

P.O. Box 12023 Lexington, KY 40579

502.592.3774 or 859.948.5958 www.prometheusart.com

E-mail

prometheusartfoundry@gmail.com

Date	Estimate #
9/3/2014	170

Estimate

Name / Address

LFUCG
Division of Central Purchasing
200 East Main St.
Lexington, KY 40507

			Project
Description	Qty	Rate	Total
Specialized Labor HazMat Clean Room Dismantle and Sterilize 3 Times with Trisodium Phosphate 12 X 12 X 12 Sand Blast Room	18	120.00	2,160.00
Specialized Labor Hazmat Delivery and Disposal (70 Miles Drive including Travel Time, Disposal of Special Waste including Fees, and Preparation of Special Waste Paperwork for Subtitle D Approved Landfill)		2,000.00	2,000.00
OSHA Approved Hazmat Materials for Lead Vapors including Tyvek Suits, Masks, Foot Covers, Head Covers, Gloves, TSP for Cleanup, etc. (Times 3 People)	3	1,200.00	3,600.00
Specialized Labor, Consulting, Design & Professional Fees, Research, OSHA Requirements and Recommendations, and Management of Project, etc.	78	80.00	6,240.00
	ttettelidiskelekelekelekelekelekelekelekelekelekel	Subtotal	\$46,920.00
		Sales Tax (6.0%)	\$0.00
	Systematic Administration of the Communication of t	Total	\$46,920.00



# **REFERENCES**

# **Jeremy Clements**

Architect

Fox Hill Co., Inc. 147 E. 3rd St., Suite 250 Lexington, KY 40508 C: 859.749.6503

## Josh R. Ives

Architect LEED APâ

PEARSON & PETERS ARCHITECTS PLC 201 Kentucky Avenue Lexington, Kentucky 40502 P: 859.233.1213 F: 859.233.1215 W: www.pparch.com

## G. Bryant Stowe, Jr. RLA ASLA

Project Manager, Landscape Architecture, Site Services

ADC Engineering 1226 Yeamans Hall Rd. Hanahan, SC 29410

direct 843-735-5171 mobile 843-442-4137 bryants@adcengineering.com ADCENGINEERING.COM Prometheus Farm & Foundry Attn: Amanda Matthews 7130 Russell Cave Road

LEXINGTON, KY 40511

## Dear,

Thank you for allowing us the opportunity to work with you on this project. Duncan has been very fortunate to serve many satisfied customers and it is my wish that we may serve you. We propose to furnish all labor and equipment to complete the project referenced below according to the following scope of work.

The undersigned Duncan Machinery Movers, Inc. -Lexington, Kentucky, hereinafter called the contractor, submits this proposal to:

Prometheus Farm & Foundry - 7130 Russell Cave Road • LEXINGTON, KY 40511

Reference To: Sculpture At Gratz Park - 120 W. 2nd Street Lexington, Ky

## Scope of Work:

- The customer will be on site to assist while removing & re-installing the sculpture.
- If for any reason any part of the sculpture were to break, break apart etc while rigging it, transporting it, or moving it in any
  way from the destinations we would not be responsible, let it be noted there are already obvious cracking taking place with
  some of the stone. (This includes granite stone at bottom).
- All water, water line piping around bottom etc will have to be removed from the fountain prior to the project start.
- Sculpture needs to be free of lifting when attempting to do so.
- In the event of high winds or inclement weather, this entire crane job is subject to our discretion.
- Quote is based on standard eight-hour workdays.
- Assume we can drive fork trucks & small crane to the work area as needed, every precaution will be made not to disturb the
  grass, concrete, brick etc but if any damage were to occur during the removal or re-install of the sculpture we would not be
  responsible.
- Assume a lay-down and storage area will be provided within the work area, the customer is to place cones on 2<sup>nd</sup> street the
  night before in order to assure we have adequate room to park our equipment to load & offload.
- Work to be continuous and uninterrupted.
- If payments are not received on time we reserve the right to stop work with no penalties.
- All electrical, piping, concrete, duct, painting, grouting, fabrication, and sheet metal work will be handled by others.
- Any changes to this scope of work will be considered an extra and charged at T&M rates.
- All work performed on straight time, no weekend work or holiday.

### Contract Amount: \$ 10,120.00

Quote is valid for 30 days. Please read the scope of work carefully. If you have any questions or concerns, please feel free to call me. Thanks again for the time and consideration.

Sincerely,

### JIM HUMES

Cell - 859-221-1900

Email: jim.humes@dmmlex.com

Enclosures: Term & Conditions included for your reference.

I have read and accept the terms and conditions attached to this quote and I understand that the acceptance of this proposal constitutes a binding contract.

Signature/Title:	Date:
***If your company requires a Purchase Order for billing purposes,	please forward one along with this signed quote prior to commencement of work.***

Client#: 707338

CERTIFICATE NUMBER:

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

NiSource Corporate Services Company and its entities and/or respective Affiliates is noted as additional insured and granted a waiver of subrogation as respects to General Liability, Automobile Liability & Umbrella policies, Additional Insured status applies to the General Liability coverage on a Primary and Non-Contributory basis with respects to the sole negligence of the named insured, as required by written

DUNCAMAC

REVISION NUMBER:

### ACORD.

COVERAGES

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

10/18/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
USI Insurance Services, LLC/CL	PHONE (A/C, No, Ext): 305 669-6000 [FAX (A/C, No):	
P.O. Box 141916 Coral Gables, FL 33114-1916 305 669-6000	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	NAIC#
	INSURER A: Houston Specialty Insurance Co	12936
Duncan Machinery Movers, Inc. 2004 Duncan Machinery Dr Lexington, KY 40504-1007	INSURER B: Great Midwest Insurance Company	18694
	INSURER C: RSUI Indemnity Company	22314
	INSURER D : Imperium Insurance Company	35408
	INSURER E:	
	INSURER E	

_	COTEDIAL VOIL COMPERT								
	THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED, NAMED ABOVE FOR THE POLICY PERIOD INDICATED, NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.								
INSR TYPE OF INSURANCE			MONUSURE				POLICY EXP (MM/DD/YYYY)		
ľ	A	GENERAL LIABILITY	INSK (	100				EACH OCCURRENCE	\$1,000,000
ı		X COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$50,000
ı		CLAIMS-MADE X OCCUR						MED EXP (Any one person)	\$
١		X Riggers Included						PERSONAL & ADV INJURY	\$1,000,000
١								GENERAL AGGREGATE	s2,000,000
ĺ		GEN'L AGGREGATE LIMIT APPLIES PER:						PRODUCTS - COMP/OP AGG	\$2,000,000
ı		POLICY PRO- JECT LOC							S
ľ	В	AUTOMOBILE LIABILITY			CA0003689901	10/20/2013	10/20/2014	COMBINED SINGLE LIMIT (Ea accident)	\$1,000,000
١		X ANY AUTO						BODILY INJURY (Per person)	\$
1		ALL OWNED SCHEDULED AUTOS				:		BODILY INJURY (Per accident)	\$
l		X HIRED AUTOS X AUTOS X AUTOS AUTOS						PROPERTY DAMAGE (Per socident)	\$
۱									\$
ſ	Α	UMBRELLA LIAB X OCCUR			CON88032201	10/20/2013	10/20/2014	EACH OCCURRENCE	\$5,000,000
1		X EXCESS LIAB CLAIMS-MADE						AGGREGATE	\$5,000,000
Ĺ	С	DED RETENTIONS			NHA065351		10/20/2014		\$5,000,000
ľ	D	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			IERD0100104100	10/20/2013	04/20/2014	X WC STATU- OTH-	
ı		ANY PROPRIETOR/PARTNER/EXECUTIVE	N/A					E.L. EACH ACCIDENT	\$1,000,000
ı		(Mandatory in NH)				}		E.L. DISEASE - EA EMPLOYEE	<u> </u>
If yes, describe under DESCRIPTION OF OPERATIONS be		If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	
	A EQUIP ALL RISK				CON88032001	10/20/2013	10/20/2014	PER SCHEDULE ON	FILE
1		INC BOOM COL OVER							
1									

CERTIFICATE HOLDER	CANCELLATION
	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE
1	Box Q. Bah
	@ 1988-2010 ACORD CORPORATION All tights reserved

Account #CW1881923



# Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor William O'Mara Commissioner

#### ADDENDUM #1

Bid Number: <u>141-2014</u> Date: September 2, 2014

Subject: Gratz Park Sculpture Restoration Address inquiries to:
Kristie Thomas, Buyer

(859) 258-3329

www.lexingtonky.gov

### TO ALL PROSPECTIVE BIDDERS:

1) Pre-bid meeting sign-in sheet attached.

200 East Main Street

- 2) Sculpture Renovation: A finish will be applied to the sculpture to seal the patina, and prevent the exposure of the bronze metal surface to water and weather. The finish shall be a hot applied carnauba wax, applied in small sections, be allowed to dry and harden according to proper craftsmanship and technique to seal the patina. The intention is to provide the highest quality sealed surface with a routine maintenance regiment that can be managed by the LFUCG Parks Department.
- 3) **Sculpture Renovation:** Contractor to provide patina sample sheets of similar materials, treated with specified materials illustrating potential finishes for the renovated sculpture.
- 4) Plaque: The bronze James Lane Allen plaque is to be refinished as the sculpture. Plaque may be difficult to remove from stone base without damage. Plaque and stone base may need to be moved together to the shop for refinishing.
- 5) **Time for Completion:** The contract time is listed as 120 days. Considering the time of year and exterior work in the project, weather related construction delays are possible. Public events are scheduled for May of 2015. The project schedule should provide for sod to be in place and established by that date and the fountain renovation complete.
- 6) Sculpture Cleaning: The sculpture cleaning methods are noted in the specifications. The contractor can propose alternates to the specified method based on the artist's preferred materials which will be considered as part of shop drawing submittals.
- 7) Sculpture Rehabilitation: Specification section, SECTION 10760 SCULPTURE RESTORATION 2.2, change "non-ironic detergent" to "non-ionic detergent."

8)	Sculpture Finish: The sculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods alternates to the specified method based on the administration of the seculpture finish methods are seculptured for the seculpture finish method based on the seculpture finish method based on the seculpture finish method for the seculpture f	rtist's preferred materials which will be consider	ed as part of shop
	drawing submittals. Carnauba wax is an acceptable	le material to be used as part of the finish proces	<b>is.</b>
		Todd Slatin, Director	
		Division of Central Purchasing	
	other terms and conditions of the Bid and specific		
lh	s letter should be signed, attached to and become a	a part of your Bid.	
CC	MPANY NAME:		
ΑΓ	DRESS:		
SIC	GNATURE OF BIDDER:		

# SIGN-IN SHEET Pre-Bid #141-2014 –Gratz Park Sculpture Restoration August 29, 2014 @ 10:00 AM

Representative	Company Name	Phone#	Email Address
Kristie Thomas	LFUCG	859-258-3320	kthomas@lexingtonky.gov
CORCO FITZSANIONS	FOR	854.243,0843	gft zimous@farha
Sidling R Williams	Lfuco		awilliam & lexingtonkyiga
Michelle Kosieriak	LFUCG Parks and Recreation	\$59-758-2482	michello@lexingtonky.gal
Trant Wilson		359-254-4200	grant@e-techconsultants.com
SordinaStone	LFUC G	959-258-3320	solone@lexingtonky.gov
	A ARMOND IN CONTROL AND THE CO	AND THE PROPERTY OF THE PROPER	
		7 - 17 - 17 - 17 - 17 - 17 - 17 - 17 -	



# Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor William O' Mara Commissioner

September 3, 2014

Prometheus Foundry, LLC Amanda Matthews, CEO & CFO PO Box 12023 Lexington KY 40579

Re: DBE Bonding Letter

Ms. Matthews,

This letter is provided, in accordance with the Lexington-Fayette Urban County Government DBE Bonding Program, to serve as Bid Security for the following project:

Invitation to Bid #141-2014 Gratz Park Sculpture Restoration

The firm, Prometheus Foundry, LLC, has been determined to be a bona fide Disadvantaged Business Enterprise, and, as such, is entitled to submit this Letter of Certification as Bid Security for the project listed above.

Sincerely,

Todd Slatin

Purchasing Director