

1.21 BID BOND

BID BOND

Bond Number: Bid Bond

KNOW ALL MEN BY THESE PRESENTS, that we BASHAM CONSTRUCTION & RENTAL COMPANY, INC.
as principal (the "Principal") and Nationwide Mutual Insurance Company

hereinto called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
200 East Main Street, Third Floor
Lexington, Kentucky 40507

as obligee (the "Obligee"), in the penal sum of Five Percent of the Total Bid Submitted dollars
for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind
ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by
these presents.

WHEREAS, the Principal has submitted a bid for Shandon Park Trunks, Winburn Trunks and
Thoroughbred Acres Trunk Sewers, Phase 2

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal within the period specified therein,
or, if no period be specified, within ninety (90) days after opening, and the Principal shall enter into a
contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may
be specified in the bidding or contract documents, or in the event of the failure of the Principal to enter into
such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference in
money not to exceed the penal sum hereof between the amount specified in said bid and such larger
amount for which the Obligee may in good faith contract with another party to perform the work covered by
said bid, then this obligation shall be null and void; otherwise to remain in full force and effect. In no event
shall the liability hereunder exceed the penal sum thereof.

PROVIDED AND SUBJECT TO THE CONDITION PRECEDENT, that any claim by Obligee under this
bond must be submitted in writing by registered mail, to the attention of the Surety Law Department at the
address above, within 120 days of the date of this bond. Any suit under this bond must be instituted before
the expiration of one (1) year from the date of this bond. If the provisions of this paragraph are void or
prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of
the suit shall apply.

DATED as of this 15th day of October, 2019.

WITNESS / ATTEST:

Brenton R. Beahm
Principal (Secretary)
Brenton R. Beahm, Secretary

BASHAM CONSTRUCTION & RENTAL COMPANY, INC.
Principal

By: [Signature] (seal)
Name: Chris Pahl
Title: Estimator

Kimberly A. Stans
Surety (Secretary)

Nationwide Mutual Insurance Company
Surety
By: [Signature] (seal)
Name: Deborah L. Burton
Title: Attorney-in-Fact

Power of Attorney

KNOW ALL MEN BY THESE PRESENTS THAT:

Nationwide Mutual Insurance Company, an Ohio corporation
Allied Property and Casualty Insurance Company, an Iowa corporation

AMCO Insurance Company, an Iowa corporation

hereinafter referred to severally as the "Company" and collectively as "the Companies" does hereby make, constitute and appoint:

Deborah L. Burton

each in their individual capacity, its true and lawful attorney-in-fact, with full power and authority to sign, seal, and execute on its behalf any and all bonds and undertakings, and other obligatory instruments of similar nature, in penalties not exceeding the sum of : Unlimited

Surety Bond Number Bid Bond
Principal BASHAM CONSTRUCTION & RENTAL COMPANY, INC.
Obligee Lexington-Fayette Urban County Government

and to bind the Company thereby, as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Company; and all acts of said Attorney pursuant to the authority given are hereby ratified and confirmed.

This power of attorney is made and executed pursuant to and by authority of the following resolution duly adopted by the board of directors of the Company:

"RESOLVED, that the president, or any vice president be, and each hereby is, authorized and empowered to appoint attorneys-in-fact of the Company, and to authorize them to execute and deliver on behalf of the Company any and all bonds, forms, applications, memorandums, undertakings, recognizances, transfers, contracts of indemnity, policies, contracts guaranteeing the fidelity of persons holding positions of public or private trust, and other writings obligatory in nature that the business of the Company may require; and to modify or revoke, with or without cause, any such appointment or authority; provided, however, that the authority granted hereby shall in no way limit the authority of other duly authorized agents to sign and countersign any of said documents on behalf of the Company."

"RESOLVED FURTHER, that such attorneys-in-fact shall have full power and authority to execute and deliver any and all such documents and to bind the Company subject to the terms and limitations of the power of attorney issued to them, and to affix the seal of the Company thereto; provided, however, that said seal shall not be necessary for the validity of any such documents."

This power of attorney is signed and sealed under and by the following bylaws duly adopted by the board of directors of the Company.

Execution of Instruments. Any vice president, any assistant secretary or any assistant treasurer shall have the power and authority to sign or attest all approved documents, instruments, contracts, or other papers in connection with the operation of the business of the company in addition to the chairman of the board, the chief executive officer, president, treasurer or secretary; provided, however, the signature of any of them may be printed, engraved, or stamped on any approved document, contract, instrument, or other papers of the Company.

IN WITNESS WHEREOF, the Company has caused this instrument to be sealed and duly attested by the signature of its officer the 27th day of February, 2019.



Antonio C. Albanese
Antonio C. Albanese, Vice President of Nationwide Mutual Insurance Company, AMCO Insurance Company, Allied Property and Casualty Insurance Company

ACKNOWLEDGMENT

STATE OF NEW YORK, COUNTY OF NEW YORK: ss
On this 27th day of February, 2019, before me came the above-named officer for the Company aforesaid, to me personally known to be the officer described in and who executed the preceding instrument, and he acknowledged the execution of the same, and being by me duly sworn, deposes and says, that he is the officer of the Company aforesaid, that the seal affixed hereto is the corporate seal of said Company, and the said corporate seal and his signature were duly affixed and subscribed to said instrument by the authority and direction of said Company.

Suzanne C. Delio
Notary Public, State of New York
No. 02DE6126649
Qualified in Westchester County
Commission Expires September 16, 2021

Suzanne C. Delio

Notary Public
My Commission Expires
September 16, 2021

CERTIFICATE

I, Laura B. Guy, Assistant Secretary of the Company, do hereby certify that the foregoing is a full, true and correct copy of the original power of attorney issued by the Company; that the resolution included therein is a true and correct transcript from the minutes of the meetings of the boards of directors and the same has not been revoked or amended in any manner; that said Antonio C. Albanese was on the date of the execution of the foregoing power of attorney the duly elected officer of the Company, and the corporate seal and his signature as officer were duly affixed and subscribed to the said instrument by the authority of said board of directors; and the foregoing power of attorney is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto subscribed my name as Assistant Secretary, and affixed the corporate seal of said Company this 15th day of October, 2019

Laura B. Guy

Assistant Secretary



ADDENDUM #1

Bid Number: #91-2019

Date: September 26, 2019

Subject: Shandon Park Trunks, Winburn Trunk, Thoroughbred
Acres Trunks Phase II

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

1. CLARIFICATIONS

- A. The Umbrella Insurance Policy shall be \$5 million rather than \$3 million
- B. The following work is removed from the Contract
 - 1. All work shown on Sheet 3
 - 2. All work shown on Sheet 7
- C. A revised Bid Schedule is attached.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Basha Construction & Rental Co, Inc

ADDRESS: 1102 South Park Rd. Fairdale, Ky 40118

SIGNATURE OF BIDDER:

MAYOR LINDA GORTON



LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

ADDENDUM No. 2

October 08, 2019

Bid Number: **#91-2019**

1. CLARIFICATIONS

- The pump station decommissioning shown on Sheet 3 (Shandon Park PS #1) is still to be included in the project. This pump station decommissioning has been added to the bid tab.
- Updated DBE forms have been added for use.

2. DRAWINGS

3. SPECIFICATIONS

Todd Slatin

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Beshem Construction & Rental Co, Inc

ADDRESS: 1102 South Park Rd Fincastle, KY 40118

SIGNATURE OF BIDDER: *Chris Pike*



MAYOR LINDA GORTON



LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

ADDENDUM No. 3

October 09, 2019

Bid Number: **#91-2019**

Subject: Shandon, Winburn, Thoroughbred Acres Trunks
Phase 2

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1.	Is there data available on sanitary sewer flow in the lines?	No. The design flow for dry weather bypass pumping shall be 0.4 MGD. The wet weather bypass pumping design flow shall be 2.0 MGD.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Basha Construction & Rmll Co Inc

ADDRESS: 1102 South Park Rd. Fordsburg, KY 40118

SIGNATURE OF BIDDER: [Handwritten Signature]



SECTION 00410 – BID FORM

Shandon Park Trunks, Winburn Trunk and Thoroughbred Acres Trunk, Phase 2

Division of Water Quality
Lexington-Fayette Urban County Government

LFUCG Bid No. 91-2019

1.01 GENERAL

Place: Lexington, Kentucky

Date: October 14, 2019

The following Bid Form shall be followed exactly in submitting a Bid for this Work.

This Bid Form Submitted by Basham Construction & Rental Co, Inc.
1102 South Park Rd. Fairdale, KY 40118
(Name and Address of Bidder)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a Corporation
"a corporation," "a partnership", or an "individual" as applicable

To: Lexington-Fayette Urban County Government
(Hereinafter called "Owner")
Office of the Director of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507

The Bidder, in compliance with your Advertisement for Bids for the **Shandon Park Trunks, Winburn Trunk and Thoroughbred Acres Trunk, Phase 2**: Lexington, Kentucky, having examined the Contract Documents including the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions and any and all addendums surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Bid is a part.

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the Owner and to substantially complete the Project within 180 consecutive calendar days. Bidder further agrees to pay liquidated damages, the sum of \$1,000 Dollars and 0 cents (\$1,000) for each consecutive day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

- Addendum No. 1 Date 9-26; Addendum No. ___ Date _____
- Addendum No. 2 Date 10-8; Addendum No. ___ Date _____
- Addendum No. 3 Date 10-9; Addendum No. ___ Date _____
- Addendum No. ___ Date _____; Addendum No. ___ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

1.03 BIDDERS AFFIDAVIT

Comes the Affiant, Chris Pile, and after being first duly sworn, states under penalty of perjury as follows:

- A. His/her name is Estimator and he/she is the individual submitting the Bid or is the authorized representative of Bosham Construction & Rental Co., Inc. the entity submitting the Bid (hereinafter referred to as "Bidder").
- B. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the Bid is submitted, prior to award of the Agreement and will maintain a "current" status in regard to those taxes and fees during the life of the Agreement.
- C. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the Agreement.
- D. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
- E. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of an Agreement to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
- F. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
- G. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his/her conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

CRP
Affiant Signature

STATE OF Kentucky
COUNTY OF Bell

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Chris Pile on this the 14th day of Oct, 2019.

My Commission expires: August 13, 2022

Deborah E. Jones
NOTARY PUBLIC, STATE AT LARGE ID# 605985

1.04 BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of Bid. In all cases of discrepancies or math errors the amount written in for the unit price of an item shall govern.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Owner uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Owner uses for bid comparison.

The Owner's decision on the bid amount is final.

BID SCHEDULE

BID ITEM NO.	UNIT DESCRIPTION	UNIT	Approximate Quantity	UNIT PRICE	TOTAL AMOUNT BID
1	Mobilization	LS	1	20,500	20,500
2	Bonds and Insurance	LS	1	12,408	12,408
3	General Requirements	LS	1	19,900	19,900
4	Demobilization	LS	1	3,000	3,000
5	Erosion and Sediment Control and Conformance with SWPPP	LS	1	10,000	10,000

6	8-inch Gravity Sewer Pipe, PVC (SDR 35), 6.1'-10' depth	LF	205	175	35,875
7	8-inch Gravity Sewer Pipe, PVC (SDR 26), 10.1'-14' depth	LF	27	240	6,480
8	12-inch PVC Gravity Sewer Pipe 6.1'-10' depth	LF	208	390	81,120
9	12-inch PVC Gravity Sewer Pipe 10.1'-14' depth	LF	152	400	60,800
10	24-inch PVC Gravity Sewer Pipe 6.1'-10' depth	LF	30	250	7,500
11	24-inch PVC Gravity Sewer Pipe 10.1'-14' depth	LF	57	260	14,820
12	Manhole Abandonment	EA	1	2,000	2,000
13	Standard Manhole, 4-foot diameter (depth 5.1 to 6.0 ft)	EA	11	7,500	82,500
14	Manhole Barrel Extensions, 4 ft. diameter	VF	40	325	13,000
15	Connect to existing 6-inch sewer	EA	1	750	750
16	Connect to existing 8-inch sewer	EA	5	750	3,750
17	Connect to existing 10-inch sewer	EA	1	850	850
18	Connect to existing 12-inch sewer	EA	1	850	850

19	Connect to Existing Manhole	EA	13	1200	15,600
20	Pipe Abandonment, Safeload, 10"	LF	100	30	3000
21	Pipe Abandonment, Plug, 8"	EA	2	300	600
22	Pipe Abandonment, Plug, 10"	EA	9	500	4500
23	Pipe Abandonment, Plug, 12"	EA	4	700	2800
24	Remove Existing 10" Force Main	LF	390	16	6240
25	Video inspection of new sewer pipe	LF	679	3	2037
26	Bituminous Concrete: Full Width Paving, Street	SY	2,360	22	51,920
27	Site Restoration Method B	SY	1,500	5	7500
28	Concrete Curb Removal and Replacement	LF	40	60	2400
29	Concrete Sidewalk - 4.5 - inch thick	SY	110	55	6,050
30	Storm Sewer Removal and Replacement (15")	LF	45	50	2250
31	Bypass Pumping Setup and Setup	LS	1	6,000	6,000

32	Maintenance of Traffic	LS	1	7500	7500
33	Combination Vacuum/Hydraulic Jet/Hydro Excavator, extra as directed by Engineer	HR	10	300	3000
34	Backhoe/Extend-a-hoe, extra as directed by Engineer	HR	150	60	9,000
35	Hoe Ram, extra as directed by Engineer	HR	10	115	1150
36	Dump Truck, Single Axle, extra as directed by Engineer	HR	10	80	800
37	Dump Truck, Tandem or Tri-Axle, extra as directed by Engineer	HR	10	90	900
38	Large Track Hoe, CAT 311 or Equivalent, extra as directed by Engineer	HR	10	115	1150
39	Small Track Hoe, CAT 301.6C or Equivalent, extra as directed by Engineer	HR	10	75	750
40	Skid-Steer Loader, extra as directed by Engineer	HR	10	75	750
41	Roller/Compactor, extra as directed by Engineer	HR	10	50	500
42	Traffic Maintenance - Type 1 (Flagger), extra as directed by Engineer	HR	10	50	500

43	Brundige Memorandum of Understanding Conditions 761 Kingston Road	LS	1	9,000	9,000
44	Decommission of the Shandon park Pump Station No 1	LS	1	12,000	12,000
45	Decommission of the Shandon park Pump Station No 2	LS	1	15,000	15,000
46	Decommission of the Thoroughbred Acres Pump Station	LS	1	12,000	12,000
47	Decommission of the Winburn Pump Station	LS	1	12,000	12,000
48	Miscellaneous Site Improvements	LS	1	\$70,000	\$70,000
			Total Bid (Items 1 through 48)		\$643,000.00

TOTAL BID AMOUNT:

Six Hundred Forty Three Thousand Dollars Even

Dollars (\$ 643,000)

31	Bypass Pumping Setup and Setup	LS	1		
32	Maintenance of Traffic	LS	1		
33	Combination Vacuum/Hydraulic Jet/Hydro Excavator, extra as directed by Engineer	HR	10		
34	Backhoe/Extend-a-hoe, extra as directed by Engineer	HR	150		
35	Hoe Ram, extra as directed by Engineer	HR	10		
36	Dump Truck, Single Axle, extra as directed by Engineer	HR	10		
37	Dump Truck, Tandem or Tri-Axle, extra as directed by Engineer	HR	10		
38	Large Track Hoe, CAT 311 or Equivalent, extra as directed by Engineer	HR	10		
39	Small Track Hoe, CAT 301.6C or Equivalent, extra as directed by Engineer	HR	10		
40	Skid-Steer Loader, extra as directed by Engineer	HR	10		
41	Roller/Compactor, extra as directed by Engineer	HR	10		

ADDRESS: 1102 South Park Rd.

CITY/STATE/ZIP: Fairfax, KY 40118

DATE: October 14, 2019

BY: 

(must be original signature)

TITLE: Estimator

PHONE: (502) 961-9001 FAX: (502) 961-0998
(area code, number & extension)

EMAIL ADDRESS: Dashemconst@yahoo.com

OFFICIAL ADDRESS AND PHONE:

1102 South Park Rd.

Fairfax, KY 40118

(502) 961-9001

(Seal if Bid is by Corporation)

By signing this form you agree to all of the terms and associated forms.

I. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Chris Pilo	Estimator/Project Manager	19
Mike Clark	Superintendent	19
Jason Lucas	Foreman	10

J. MWDBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>MWDBE</u>	<u>% of WORK</u>
Sag Corporation	Sewer/Drainage	m	15%
D.D. McBride Const	Drainage/Water	m/W	6%
Efficient Grading Control	Drainage/Water	W	2%
St m Precast	Sewer/Water	W	2%

(USE ADDITIONAL SHEETS IF NECESSARY)

K. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the Owner within seven (7) calendar days following the Bid Opening, a sworn statement regarding all office management and field management personnel. Additionally, if requested by the Owner, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period if stipulated by the Owner)

1.07 AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- A. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the Bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- B. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Advertisement for Bid, designed to limit independent bidding or competition;
- C. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
- D. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- E. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. [Check the statement applicable.]
- F. This offer is for ninety (90) calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items Bid above, an Agreement shall thereby be created with respect to the items accepted.
- G. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- H. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

Basham Construction & Rental Co., Inc. Oct. 14, 2019 Chris T. Le
Company Date Representative

1.09 EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause an Agreement to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature



Name of Business



LEXINGTON

LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # LFUCG B-3 No. 91-2619

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. D.D. Milors Const 1408 South Park Rd Fincastle KY 40118 (502) 333-0927 Delores Donald	W	Removal Ex. E.M. P.S. Decommissioning	\$46,680.00	7.259%
2. Song Corp 4574 Milton Ave Lonsville KY (502) 368-0315 Charles Song	M	Cleaning	\$18,000.00	2.799%
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Bosham Construction & Rental Co, Inc
Company

Chris P. L.
Company Representative

October 14, 2019
Date

Estimator
Title



LEXINGTON

MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 91-2019

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name <u>Besha Construction & Rental Co, Inc</u>	Contact Person <u>Chris P. L.</u>
Address/Phone/Email <u>1102 South Park Rd Fairdale, KY 40118</u>	Bid Package / Bid Date <u>91-2019</u>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
<u>N/A</u>		<u>* See Attached Bill Logs</u>						

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Besha Construction & Rental Co, Inc
Company

Chris P. L.
Company Representative

October 14, 2019
Date

Estimator
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 91-2019

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

_____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event

Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

_____ Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

✓ _____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

_____ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Bashan Construction & Rent A Co Inc

Company

October 14, 2019

Date

Chris Pike

Company Representative

Executive

Title

rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

- _____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
- _____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- _____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- _____ Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation and Veteran participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. **Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Beebe Construction & Rental Co., Inc.
Company

Chris P. Lee
Company Representative

October 14, 2019
Date

Project Manager
Title

Name of Organization:

John Construction & Paving Co. Inc.

Categories	Total	White (not Hispanic or Latino)		Hispanic or Latino		Black or African-American (not Hispanic or Latino)		Native Hawaiian and other Pacific Islander (not Hispanic or Latino)		Asian (not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators			2								1						3
Professionals		3															3
Superintendents		3															3
Supervisors																	4
Foremen																	4
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical																	4
Skilled Craft																	20
Service/Maintenance																	4
Total											1						41
																	3

Prepared By:

[Signature]

Date 10/14/19

1.18 DEBARRED FIRMS

PROJECT NAME: Shandon Park Trunks, Winburn Trunk and Thoroughbred Acres Trunk, Phase 2

LFUCG BID NO.: 91-2019

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the Debarment Certification in duplicate and submit both copies to the Owner with the Bid Form. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development within fourteen (14) days after Bid opening.

The undersigned hereby certifies that the firm of Beech Construction & Rental Co, Inc. has not and will not award a subcontract, in connection with any Agreement award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 As Amended or any Federal Law.

Beech Construction & Rental Co, Inc.
Name of Firm Submitting Bid

CRQ
Signature of Authorized Official

Estimator
Title

October 14, 2019
Date

DD Midori Construction, LLC
(MBE Certified/WBE Certified)
1408 South Park Road
Fairdale, Kentucky 40118
Phone (502) 333-0927 Fax (502) 384-2390
Email: ddmidori@yahoo.com

OCTOBER 14, 2019

TO BASHAM CONSTRUCTION & RENTAL COMPANY

REF: LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT –
SHANDON PARK TRUNKS, WINBURN TRUNK AND THOROUGHbred
ACRES TRUNK SEWERS, PHASE 2 – BID NO. 91-2019

DD MIDORI CONSTRUCTION OFFERS THE FOLLOWING ITEMS OF
WORK FOR THE ABOVE REFERENCED PROJECT

SCOPE OF WORK:

Remove existing 10" force main 390 LF @ \$12.00 LB	\$ 4,680.00 ✓
Assist with De-commissioning Shandon Park Pump Station #1, 1 lump sum @ \$10,000.00	\$10,000.00 ✓
Assist with De-commissioning Shandon Park Pump Station #2, 1 lump sum @ \$12,000.00	\$12,000.00 ✓
Assist with De-commissioning Thoroughbred Acres Pump Station 1 lump sum @ \$10,000.00	\$10,000.00 ✓
Assist with De-commissioning Winburn Pump Station 1 lump sum @ \$10,000.00	\$10,000.00 ✓

Total **\$46,680.00 ✓**

Thank you.


Delores Dowell, Owner

Accepted
CRQ
10-14-19

THIS CERTIFIES THAT

DD Midori Construction, LLC



* Nationally certified by the: **TRISTATE MINORITY SUPPLIER DEVELOPMENT COUNCIL**

*NAICS Code(s): **237110; 237990; 238910**

* Description of their product/services as defined by the North American Industry Classification System (NAICS)

05/30/2019

Issued Date

TN02296

Certificate Number

Adrienne Trimble
Adrienne Trimble

Cheri K. Henderson

05/30/2020

Expiration Date

Cheri K. Henderson, President/CEO

By using your password (NMSDC issued only), authorized users may log into NMSDC Central to view the entire profile: <http://nmsdc.org>

Certify, Develop, Connect, Advocate.

* MBEs certified by an Affiliate of the National Minority Supplier Development Council, Inc.®



JOIN FORCES. SUCCEED TOGETHER.

hereby grants

National Women's Business Enterprise Certification

to

DD MIDORI CONSTRUCTION, LLC

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).

This certification affirms the business is woman-owned, operated and controlled; and is valid through the date herein.

WBENC National WBE Certification was processed and validated by Women's Business Enterprise Council Ohio River Valley, a WBENC Regional Partner Organization.

Certification Granted: June 19, 2017

Expiration Date: June 30, 2020

WBENC National Certification Number: WBE1701129



Sheila A. Mixon

Authorized by Sheila Mixon, Executive Director
Women's Business Enterprise Council Ohio River Valley

NAICS: 237110, 237310, 237990
UNSPSC: 72103004, 72103300, 72103305, 72141102, 72141105, 72141106, 72141120, 72141121, 72141123, 72141124, 72141125, 72141126, 72152700, 72152704, 72152705, 72152706, 72152707, 72152711





*Louisville and Jefferson County Metro
Human Relations Commission*

Certifies that

DD Midori Construction, LLC.

Has met all the *TriState Minority Supplier Development Council* certification program requirements and is hereby deemed a *Reciprocally Certified*

Minority Business Enterprise (MBE) and Woman Business Enterprise (WBE)



Kendall Boyd
Executive Director

Issued: **05/31/2019**
Expires: **05/31/2020**

Sang Corporation
(MBE Certified)
4574 Melton Ave.
Louisville, KY 40213
Ph # 502-368-0315 Fax # 502-367-0256
Email: dsilva_sangcorp@yahoo.com

October 14, 2019

TO ALL BIDDING CONTRACTORS:

RE: Shandon Park Trunks, Winburn Trunks, and Thoroughbred Acres Trunk Sewers Phase 2

THE SANG CORPORATION OFFERS THE FOLLOWING ITEMS OF WORK FOR THE ABOVE REFERENCED PROJECT TO BE PERFORMED BY THE COMPANY IN ITS ENTIRETY INCLUDING ALL LABOR AND MATERIALS TO PERFORM SUCH.

Tree and Brush Removal	1 LS @ \$15,000	=\$15,000.00 ✓
EPSC Installation	1 LS @ \$ 3,000	=\$ 3,000.00 ✓

Contractor is to supply all EPSC material

Total = \$18,000.00

THANK YOU,

Charles Sang

Charles Sang

*Temp
CR
10-14-19*

THIS CERTIFIES THAT

Sang Corporation



* Nationally certified by the: **TRISTATE MINORITY SUPPLIER DEVELOPMENT COUNCIL**

* NAICS Code(s): 238910; 561730; 484220; 562991; 238990; 237110; 221310

* Description of their product/services as defined by the North American Industry Classification System (NAICS)

06/15/2019

TN01207

Issued Date

Certificate Number

06/15/2020

Adrienne Trimble
Adrienne Trimble

Cheri K. Henderson
Cheri K. Henderson

Expiration Date

Cheri K. Henderson, President/CEO

By using your password (NMSDC issued only), authorized users may log into NMSDC Central to view the entire profile: <http://nmsdc.org>

* MBEs certified by an Affiliate of the National Minority Supplier Development Council, Inc.®

Identity, Diversity, Connect, Advocate.



Louisville and Jefferson County Metro

Human Relations Commission

Certifies that

Sang Corporation

Has met all the National Minority Supplier Development Council (NMSDC)

certification program requirements and is hereby deemed

a Reciprocally Certified

Minority Business Enterprise (MBE)

Kendall Boyd

Kendall Boyd

Executive Director

Issued:

06/15/2019

Expires:

06/15/2020

All

Missed

Edit

Recents

(937) 476-2200 2:28 PM
Dayton, OH

(931) 476-2200 2:27 PM
Baxter, TN

(859) 792-2000 (2) 2:27 PM
Lancaster, KY

(314) 961-8484 2:25 PM
Ladue, MO

(859) 252-8339 2:24 PM
Lexington, KY

(859) 523-8913 2:24 PM
Lexington, KY

868-2240 2:22 PM
unknown

(606) 295-7262 2:21 PM
Jackson, KY

Trampus (2) 2:06 PM
work

(859) 559-2595 1:56 PM
Lexington, KY

2:30

VBE Call Logs



All

Missed

Edit

(859) 528-8818
Lexington, KY

2:24 PM ⓘ

📞 868-2240
unknown

2:22 PM ⓘ

📞 (606) 295-7262
Jackson, KY

2:21 PM ⓘ

Trampus (2)
work

2:06 PM ⓘ

📞 (859) 559-2595
Lexington, KY

1:56 PM ⓘ

📞 (859) 361-5955
Lexington, KY

1:55 PM ⓘ

📞 (270) 765-7570
Elizabethtown, KY

1:53 PM ⓘ

📞 (859) 231-0069
Lexington, KY

1:52 PM ⓘ

📞 767-6878
unknown

1:51 PM ⓘ

📞 (859) 230-2663
Lexington, KY

1:49 PM ⓘ

📞 315-9161
unknown

1:47 PM ⓘ


Favorites


Recents


Contacts


Keypad


Voicemail