

HERRICK COMPANY INC.  
1385 TRACY ROAD  
LAWRENCEBURG, KY 40342

BIDDER: \_\_\_\_\_

## BID FORMS

WEST HICKMAN CREEK  
WASTEWATER TREATMENT PLANT GRAVITY THICKENER IMPROVEMENTS  
BID NO. 35-2012  
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

Prepared by:

STRAND ASSOCIATES, INC.®  
1525 Bull Lea Road, Suite 100  
Lexington, KY 40511  
[www.strand.com](http://www.strand.com)

March 2012



PART III

FORM OF PROPOSAL

INDEX

1. FORM OF PROPOSAL
2. LEGAL STATUS OF BIDDER
3. BIDDERS AFFIDAVIT
4. LUMP SUM BASE BID
5. STATEMENT OF BIDDER'S QUALIFICATIONS
6. LIST OF PROPOSED SUBCONTRACTORS
7. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST
8. STATEMENT OF EXPERIENCE
9. EQUAL OPPORTUNITY AGREEMENT
10. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY
11. EVIDENCE OF INSURABILITY
12. DEBARRED FIRMS

NOTE:

CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THE "EVIDENCE OF INSURABILITY" FORM (LOCATED AT THE END OF PART III) IS NOT PROVIDED.

SECTION 00430

5% BID BOND

BIDDER (Name and Address):

Herrick Company, Inc.

1385 Tracy Road

Lawrenceburg, KY 40342

SURETY (Name and Address of Principal Place of Business):

United Fire & Casualty Company

118 Second Avenue, SE

Cedar Rapids, IA 52407

OWNER (Name and Address):

Lexington-Fayette Urban County Development

200 East Main Street

Lexington, KY 40507

BID:

BID DUE DATE: April 3, 2012

PROJECT (Brief Description Including Location):

West Hickman Creek WWTP - Gravity Thickener Improvements

Bid No. 35-2013

BOND:

BOND NUMBER:

DATE: (Not later than Bid Due Date):

PENAL SUM: Five Percent of Amount Bid (5% of Bid)

Surety and Bidder, intending to be legally bound hereby, subject to the terms hereof, do each cause this Bid Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

SURETY

United Fire & Casualty Company

Surety's Name and Corporate Seal

(Seal)

By:

James T. Smith (Attach Power of Attorney)

Attest:

Bonnie J. Wortham, Assistant

BIDDER

Herrick Company, Inc.

Bidder's Name and Corporate Seal

(Seal)

By:

Donna S. Herrick, CEO

Attest:

H. Douglas Herrick, President

Above addresses are to be used for giving required notice.

UNITED FIRE & CASUALTY COMPANY  
HOME OFFICE - CEDAR RAPIDS, IOWA  
CERTIFIED COPY OF POWER OF ATTORNEY

(Original on file at Home Office of Company - See Certification)

KNOW ALL MEN BY THESE PRESENTS, That the UNITED FIRE & CASUALTY COMPANY, a corporation duly organized and existing under the laws of the State of Iowa, and having its principal office in Cedar Rapids, State of Iowa, does make, constitute and appoint JAMES T. SMITH, OR JAMES H. MARTIN, OR BROOK T. SMITH, OR RAYMOND M. HUNDLEY, OR DEBORAH NEICHTER, ALL INDIVIDUALLY OF LOUISVILLE KY

its true and lawful Attorney(s)-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature as follows: Any and All Bonds and to bind UNITED FIRE & CASUALTY COMPANY thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of UNITED FIRE & CASUALTY COMPANY and all the acts of said Attorney, pursuant to the authority hereby given are hereby ratified and confirmed.

The Authority hereby granted is continuous and shall remain in full force and effect until revoked by UNITED FIRE & CASUALTY COMPANY.

This power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by Board of Directors of the Company on April 18, 1973.

"Article V - Surety Bonds and Undertakings"

Section 2, Appointment of Attorney-in-Fact. "The President or any Vice President, or any other officer of the Company may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Company in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of either authorized hereby; such signature and seal, when so used, being adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed. Such attorneys-in fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Company by their signature and execution of any such instruments and to attach the seal of the Company thereto. The President or any Vice President, the Board of Directors or any other officer of the Company may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the UNITED FIRE & CASUALTY COMPANY has caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 1st day of February, 2010



UNITED FIRE & CASUALTY COMPANY

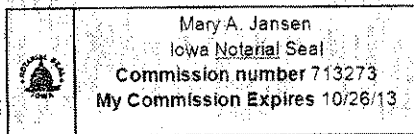
By *Dennis J. Richmann*

Vice President

State of Iowa, County of Linn, ss:

On 1st day of February, 2010, before me personally came Dennis J. Richmann

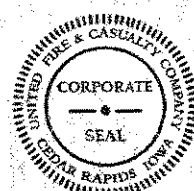
to me known, who being by me duly sworn, did depose and say, that he resides in Cedar Rapids, State of Iowa; that he is a Vice President of the UNITED FIRE & CASUALTY COMPANY, the corporation described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.



*Mary A. Jansen*

Notary Public

I, the undersigned officer of the UNITED FIRE & CASUALTY COMPANY, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the by-laws of said Company as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID COMPANY, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.



In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Company this 3rd day of April 20 12.

*David A. Jantz*

Secretary

PART III

Invitation to Bid No. 35-2012

West Hickman Creek  
**Wastewater Treatment Plant Gravity Thickener Improvements**  
**Lexington-Fayette Urban County Government**

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: APRIL 10, 2012

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by HERRICK COMPANY, INC.  
1385 TRACY ROAD; LAWRENCEBURG, KY 40342  
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of KY, doing business as A CORPORATION  
"a corporation," "a partnership", or an "individual" as applicable

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Central Purchasing  
200 East Main Street, Room 338  
Lexington, KY 40507

Gentlemen:

The bidder, in compliance with your Invitation for Bids for the West Hickman Creek Wastewater Treatment Plant Gravity Thickener Improvements, Lexington, Kentucky, having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the OWNER and to fully complete the Project within 180 calendar days.

The Bidder accepts the provisions of the Contract Agreement as to liquidated damages in the event of failure to complete the work with the Contract Time.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. <u>1</u> Date <u>3-29-12</u> ;	Addendum No. _____ Date _____
Addendum No. <u>2</u> Date <u>4-3-12</u> ;	Addendum No. _____ Date _____
Addendum No. _____ Date _____;	Addendum No. _____ Date _____
Addendum No. _____ Date _____;	Addendum No. _____ Date _____

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.



Lexington-Fayette Urban County Government  
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray  
Mayor

Jane C. Driskell  
Commissioner

**ADDENDUM #1**

Bid Number: 35-2012

Date: March 29, 2012

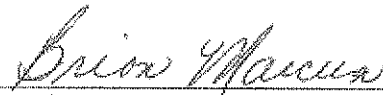
Subject: West Hickman Thickener #2 Replacement

Please address inquiries to:  
Betty Landrum (859) 258-3320

**TO ALL PROSPECTIVE BIDDERS:**

Please be advised of the following DATE CHANGE to the above referenced bid:

- 1) Bid Opening Date has been changed to April 10, 2012.
- 2) Addendum #2 will follow for further clarifications



Brian Marcum, Director  
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: HERRICK COMPANY, INC.

ADDRESS: 1385 TRACY ROAD, LAWRENCEBURG KY 40342

SIGNATURE OF BIDDER: Mark Henrich, PROTECT MGR.

ADDENDUM NO. 2

PROJECT MANUAL

WEST HICKMAN CREEK  
WASTEWATER TREATMENT PLANT GRAVITY THICKENER IMPROVEMENTS  
BID NO. 35-2012  
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

Bids will be received until 2 P.M., local time, April 10, 2012.

This Addendum to the Project Manual is issued to modify, explain, or correct the original Project Manual and is hereby made part of the Contract Documents. Insert the number of this Addendum in the blank space provided in the Bid, page P-3.

A. DIVISION 0—BIDDING AND CONTRACTING REQUIREMENTS

1. PART III—FORM OF PROPOSAL

a. Page P-2

REPLACE the last sentence with the following:

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the OWNER and to fully complete the Project within 270 calendar days.

B. DRAWINGS

1. SHEET NO. 6—GRAVITY THICKENER PLANS AND SECTION

REPLACE the Existing Gravity Thickener Electrical - Partial Plan with revised plan included as an attachment to this Addendum.

REPLACE note 5 with the following: COMBINATION REVERSING STARTER WITH CIRCUIT BREAKER AND EXTERNAL OPERATOR. PROVIDE STARTER IN NEMA 7 CORROSION RESISTANT ENCLOSURE WITH THE FOLLOWING DOOR MOUNTED OPERATOR DEVICES: START/STOP MAINTAINED CONTACT PUSH-BUTTON CONTROL; GREEN RUN IN FORWARD INDICATING LIGHT; RED RUN IN REVERSE INDICATING LIGHT; AND RED ALARM INDICATING LIGHT. ALARM TO BE ENERGIZED FOR TORQUE SHUT-DOWN CONDITION. INDICATING LIGHTS TO BE PUSH-TO-TEST. THE STARTER SHALL PROVIDE THE FOLLOWING DISCRETE OUTPUTS FOR SCADA MONITORING: RUNNING IN FORWARD DIRECTION; RUNNING IN REVERSE; BREAKER IN CLOSED POSITION; AND MOTOR OVERLOAD. PROVIDE TERMINAL STRIP FOR ALL FIELD WIRING TERMINATIONS.

REPLACE note 6 with the following: TORQUE ALARM PANEL PROVIDED UNDER DIVISION 16. ALARM PANEL TO BE HOUSED IN NEMA 7 CORROSION RESISTANT ENCLOSURE WITH THE FOLLOWING DOOR MOUNTED OPERATOR DEVICES: RED HIGH TORQUE SHUTDOWN INDICATING LIGHT; RED TORQUE ALARM INDICATING LIGHT; RED LOW TORQUE INDICATING LIGHT; ON/OFF SELECTOR SWITCH; KEYED OFF/JOG SELECTOR SWITCH; GREEN RUN INDICATING LIGHT; AND ALARM RESET PUSHBUTTON. THE TORQUE ALARM PANEL SHALL RECEIVE TWO DISCRETE INPUTS FROM THE GRAVITY



THICKENER DRIVE MECHANISM. FOR TORQUE ALARM THE PANEL SHALL ENERGIZE THE TORQUE ALARM INDICATING LIGHT AND PROVIDE A DISCRETE OUTPUT TO THE SCADA SYSTEM. FOR TORQUE SHUT-DOWN THE PANEL SHALL ENERGIZE THE TORQUE SHUT-DOWN INDICATING LIGHT, SHUT DOWN THE THICKENER DRIVE, AND PROVIDE A DISCRETE OUTPUT TO THE SCADA SYSTEM. PROVIDE TERMINAL STRIP FOR ALL FIELD WIRING TERMINATIONS.

ADD the following note 8: EXTEND 1" CONDUIT TO EXISTING PANEL PGT FOR LIGHTING, RECEPTACLE AND UNIT HEATER POWER CIRCUIT.

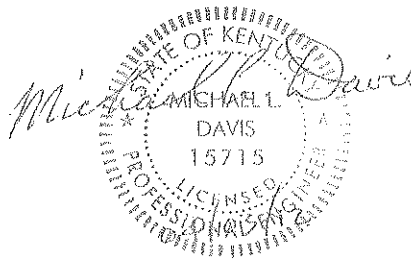
ADD the following note 9: EXTEND 1" CONDUIT TO EXISTING JUNCTION BOX FOR POWER TO GRAVITY THICKENER DRIVE.

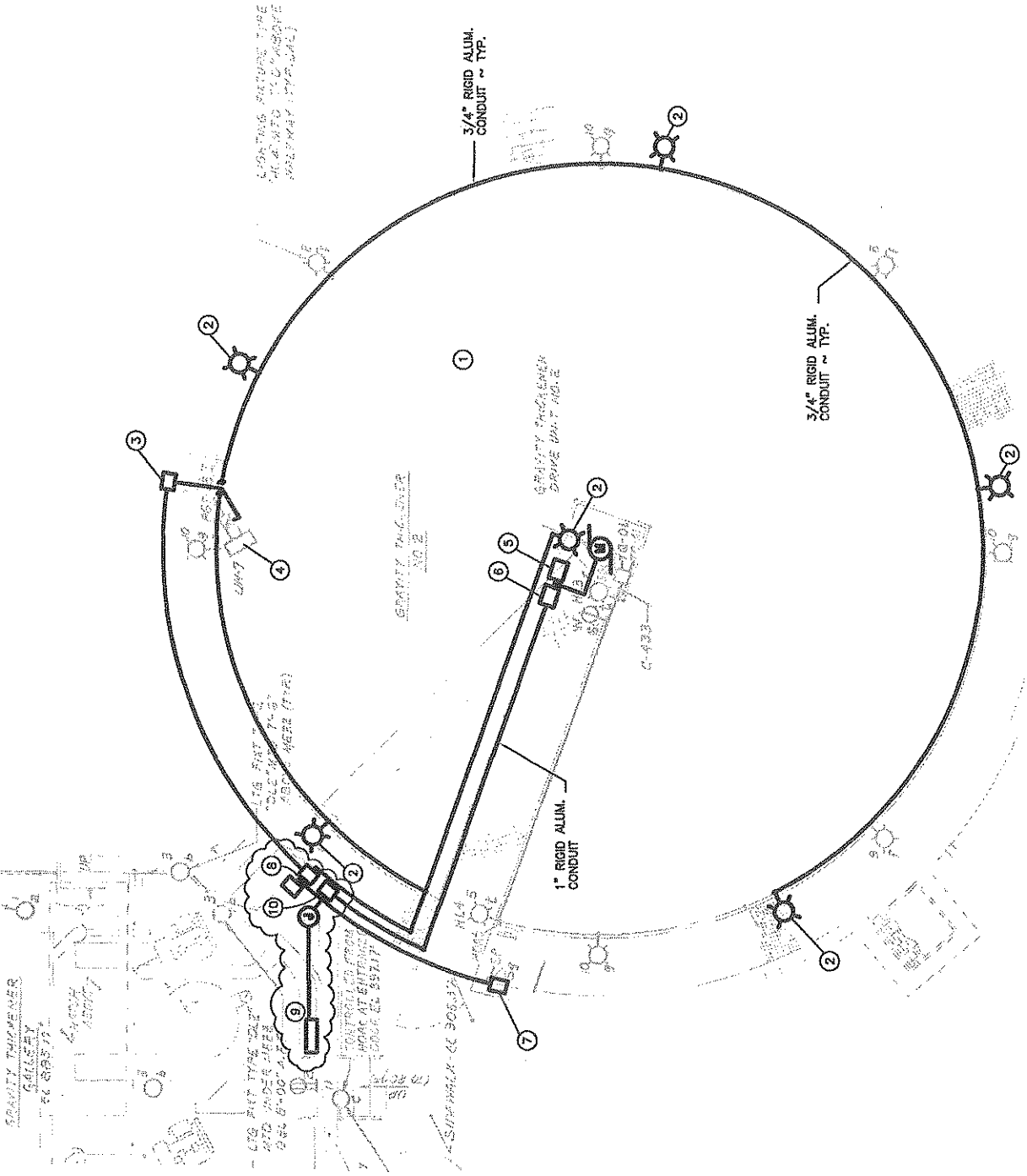
ADD the following note 10: CORE DRILL THROUGH EXISTING WALL FOR NEW CONDUIT INSTALLATION.

\*\*\*\*\*  
**BIDDERS MUST ACKNOWLEDGE RECEIPT OF THIS ADDENDUM IN THE  
SPACE PROVIDED IN THE BID FORM**  
\*\*\*\*\*

Dated at Lexington, Kentucky  
April 3, 2012

STRAND ASSOCIATES, INC.®  
1525 Bull Lea Road, Suite 100  
Lexington, KY 40511





EXISTING GRAVITY THICKENER ELECTRICAL - PARTIAL PLAN



2. LEGAL STATUS OF BIDDER

Bidder HERRICK COMPANY, INC.

Date APRIL 10, 2012

\* 1. A corporation duly organized and doing business under the laws of the State of KY, for whom DONNA S. HERRICK, bearing the official title of CEO, whose signature is affixed to this Proposal, is duly authorized to execute contracts.

\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

N/A

\* 3. An individual, whose signature is affixed to this Proposal. (Print name)

N/A

\*(The Bidder shall fill out the appropriate form and strike out the other two.)

**3. BIDDERS AFFIDAVIT**

Comes the Affiant, DONNA S. HERRICK, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is DONNA S. HERRICK and he/she is the individual submitting the bid or is the authorized representative of HERRICK COMPANY, INC. the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Donna S. Herrick

**COMMONWEALTH OF KENTUCKY  
COUNTY OF FAYETTE**

The foregoing instrument was subscribed, sworn to and acknowledged before me by

DONNA S. HERRICK, CEO on this the 10<sup>th</sup> day of APRIL, 2012

My Commission expires:

March 1, 2015  
Sabrina K. Galloway

NOTARY PUBLIC, STATE AT LARGE, KY

#### 4. LUMP SUM BASE BID

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid. The contract if awarded will be on the basis of material and equipment specified in the specification without consideration of possible substitute or "Or equal" items. (Per article 18 of the Information for bidders). The LFUCG reserves the right to award the bid in its best interest and within fiscal constraints.

Amount shall be shown in both words and figures. In case of discrepancy, the amount shown in words will govern.

BID

West Hickman Creek Wastewater Treatment Plant Gravity Thickener Improvements  
BID NO. 35-2012  
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

LUMP SUM BID:

two hundred forty-four thousand, six hundred fifty Dollars \$ 244,650.00  
(Words) (Numbers)

Respectfully Submitted,

BY: HERRICK COMPANY, INC.  
(NAME OF FIRM)

DATE: APRIL 10, 2012

BY: Donna S. Herrick  
DONNA S. HERRICK

TITLE: CEO

OFFICIAL ADDRESS AND PHONE:

1385 TRACY ROAD

LAWRENCEBURG, KY 40342

502-839-3484 (Seal if Bid is by Corporation)

by signing this form you agree to all of the terms and associated forms.

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: HERRICK COMPANY, INC.
- 2. Permanent Place of Business: 1385 TRACY ROAD; LAWRENCEBURG KY 40342
- 3. When Organized: JANUARY 26, 1996
- 4. Where Incorporated: KENTUCKY
- 5. Construction Plant and Equipment Available for this Project:  
PLEASE SEE ATTACHED LIST  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Attach Separate Sheet If Necessary)

- 6. Financial Condition:  
If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.
- 7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:  
UNITED FIRE & CASUALTY CO (Surety)  
Signed: Deborah Neichten (Representative of Surety)



8. The following is a list of similar projects performed by the bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>PLEASE</u>	<u>SEE</u>	<u>ATTACHED</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

9. The bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>PLEASE</u>	<u>SEE</u>	<u>ATTACHED</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

10. List Key bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
<u>H. DOUGLAS HERRICK</u>	<u>PRESIDENT</u>	<u>16</u>
<u>EDDIE JOHNSON</u>	<u>SUPT.</u>	<u>13</u>
<u>MARK HERRICK</u>	<u>P.M.</u>	<u>10</u>
_____	_____	_____
_____	_____	_____

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>MAJORITY</u>
<u>McKENNEY PAINTING</u>	<u>COLUMBIA WTP</u>	<u>WBE</u>	_____
<u>McKENNEY PAINTING</u>	<u>FRANKFORT HS'S</u>	<u>WBE</u>	_____
<u>SYMPSON STEEL</u>	<u>McCREARY WATER</u>	<u>WBE</u>	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low bidder, we will submit to the Owner within 7 calendar days following the Bid Opening, a sworn statement on the Owner's form regarding all current work on hand and under contract, and a statement on the Owner's form of the experience of our officers, office management and field management personnel. Additionally, if requested by the Owner, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the Owner)—all in accordance with the Bid Documents.

Respectfully submitted:

HERRICK COMPANY, INC.  
(Name of Contracting Firm)

BY: Donna S. Herrick  
DONNA S. HERRICK

TITLE: CEO

DATE APRIL 10, 2012

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

<p><b><u>BRANCH OF WORK</u></b>  <b><u>- LIST EACH MAJOR ITEM</u></b>                      Such as: Grading, bituminous paving,                      concrete, seeding and protection,                      construction staking, etc.</p>	<p><b><u>SUBCONTRACTOR</u></b></p>	<p><b><u>DBE</u></b>                      yes/no \$ of proposed                      work</p>
1. <u>Painting</u>	Name: <u>Mc Kinney Painting, Inc.</u> Address: <u>Versailles, KY</u>	yes / <u>7,500.00</u>
2. <u>Electrical</u>	Name: <u>JSC Electric</u> Address: <u>Lexington, KY</u>	no / <u>35,450.00</u>
3. _____	Name: _____ Address: _____	_____
4. _____	Name: _____ Address: _____	_____
5. _____	Name: _____ Address: _____	_____
6. _____	Name: _____ Address: _____	_____
7. _____	Name: _____ Address: _____	_____

**LFUCG MBE/WBE PARTICIPATION FORM**

Bid/RFP/Quote Reference # 35-2012, WEST MILKMAN

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. McKinney Parady Versailles, KY 859-873-6424	PANOPIA	\$7500	3.07%
2. JSG Electric Lexington, KY 859-948-2663	Electrical	35,450	14.5%
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

HERRICK COMPANY, INC.  
 Company  
APRIL 10, 2012  
 Date

Mark Herrick  
 By MARK HERRICK  
PROJECT MANAGER  
 Title

**LFUCG MBE/WBE SUBSTITUTION FORM**

Bid/RFP/Quote Reference # 25-2012, WEST HICKMAN

The substituted MBE/WBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MBE/WBE Company Name, Address, Phone, Email	MBE/WBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.  NA					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

HERRICK COMPANY, INC.

Company

Moul Herrick

Company Representative

APRIL 10, 2012

Date

PROJECT MANAGER

Title



**MBE QUOTE SUMMARY FORM**

Bid/RFP/Quote Reference # 35-2012

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name <u>HERRICK COMPANY, INC.</u>	Contact Person <u>MARK HERRICK</u>
Address/Phone/Email <u>1385 TRACY ROAD 502.837.3481</u> <u>LAWRENCEBURG, KY 40342</u>	Bid Package / Bid Date <u>35-2012 / APRIL 10, 2012</u>

MBE/WBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
<u>McKinney Painting</u> <u>Versailles, KY</u>	<u>MAA GAMMIL</u>	<u>859.873.6424</u>	<u>3-27-12</u>	<u>PAINTING</u>	<u>fax</u>	<u>\$7,500</u>	<u>WBE</u>

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

HERRICK COMPANY, INC.  
Company  
APRIL 10, 2012  
Date

Mark Herrick  
Company Representative MARK HERRICK  
PROJECT MANAGER  
Title

**LFUCG STATEMENT OF GOOD FAITH EFFORTS**

Bid/RFP/Quote # 35-2012, WEST MILKMAN WWTP

By the signature below of an authorized company representative, we certify that we have utilized the following methods to obtain the maximum practicable participation by minority and women owned business enterprises on the project. Please indicate which methods you used by placing an X in the appropriate place.

- Attended LFUCG Central Purchasing Economic Inclusion Outreach Event
- Sponsored Economic Inclusion event to provide networking opportunities
- Requested a list of MBE/WBE subcontractors or suppliers from LFUCG Economic Engine
- Advertised for MBE/WBE subcontractors or suppliers in local or regional newspapers
- Showed evidence of written notice of contracting and/or supplier opportunities to MBE/WBE firms at least seven days prior to the bid opening date
- Provided copies of quotations submitted by MBE/WBE firms which were not used and/or responses from firms indicating they would not be submitting a quote
- Provided plans, specifications, and requirements to interested MBE/WBE subcontractors
- Other  
Please list any other methods utilized that aren't covered above.  
KENTUCKY PROCUREMENT AD.  
PLEASE SEE ATTACHMENTS

The undersigned acknowledges that all information is accurate. Any misrepresentations may result termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

HERRICK COMPANY INC.  
Company

MARK HERRICK  
Company Representative

APRIL 10, 2012  
Date

PROJECT MANAGER  
Title

**Mark**

---

**From:** Cunningham, Lynda (CED) <Lynda.Cunningham@ky.gov> on behalf of KPAP <CED.KPAP@ky.gov>  
**Sent:** Wednesday, April 04, 2012 7:22 AM  
**To:** Mark  
**Subject:** RE: Bid Match

Hi Mark!

A copy of your bid notice as posted on 4/3/12 is provided below for your records.

Lynda Cunningham  
Kentucky Procurement Assistance Program

**Herrick Company, Inc., 1385 Tracy Road, Lawrenceburg, KY 40342**

Z -- POTENTIAL CONSTRUCTION SUBCONTRACTING OPPORTUNITIES. ATTENTION ALL DBE, MBE, & WBE ENTERPRISES: Herrick Company, Inc. is preparing a Prime Contractor bid for WEST HICKMAN CREEK WASTEWATER TREATMENT PLANT IMPROVEMENTS. Owner is: Lexington-Fayette Urban County Government, Lexington, KY. Bid date is Tuesday, April 10, 2012 at 2:00 p.m. local time. We are soliciting bids from those involved in the supply of WWTP materials and pumps, and the following specific subcontract trades: Restoration of Lawns and Grasses, Painting, and Electrical. Engineer is: Strand & Associates, 1525 Bull Lea Road, Suite 100, Lexington, KY 40511. Plans and Specifications are available from: LYNN Imaging, Lexington, KY. Plans may be viewed at Owner's offices in Lexington, KY; Engineer's offices in Lexington, KY; Builders Exchange of Louisville; HCI office in Lawrenceburg, KY. Interested firms should contact Herrick Company, Inc. with any questions, and submit their bid by Monday, April 9, 2012, 4:00 p.m. HCI phone number: 502-839-3484; Fax: 502-839-0939; e-mail: [hci@dcr.net](mailto:hci@dcr.net). Herrick Company, Inc. is an equal opportunity employer. All DBE's are encouraged to inquire and bid. This notice is provided by the Kentucky Procurement Assistance Program. The information is believed to be correct, but should be verified with the issuing agency/organization.

*Matching Key Words: age:herrick; age:company;*

*Softshare Article Number: 120403/KY/4542*

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**From:** Mark [[mailto:mark\\_hci@dcr.net](mailto:mark_hci@dcr.net)]  
**Sent:** Tuesday, April 03, 2012 9:20 AM  
**To:** KPAP  
**Cc:** HCI  
**Subject:** Bid Match

Morning Lynda,  
Please place the attached bid match.  
We already ran this but job postponed until 4-10 so we would like to run again.

Thanks,

*Mark*

Herrick Company, Inc.  
1385 Tracy Road  
Lawrenceburg, KY 40342  
phone: 502-839-3484; fax: 502-839-0939



**Mark**

---

**From:** Cunningham, Lynda (CED) <Lynda.Cunningham@ky.gov> on behalf of KPAP <CED.KPAP@ky.gov>  
**Sent:** Thursday, March 29, 2012 7:19 AM  
**To:** Mark  
**Subject:** RE: Subcontractor / Supplier solicitation

Good morning Mark!

A copy of your bid notice as posted on 3/28/12 is provided below for your records.

Lynda Cunningham  
Kentucky Procurement Assistance Program

**Herrick Company, Inc., 1385 Tracy Road, Lawrenceburg, KY 40342**

Z -- POTENTIAL CONSTRUCTION SUBCONTRACTING OPPORTUNITIES. ATTENTION ALL DBE, MBE, & WBE ENTERPRISES: Herrick Company, Inc. is preparing a Prime Contractor bid for WEST HICKMAN CREEK WASTEWATER TREATMENT PLANT IMPROVEMENTS. Owner is: Lexington-Fayette Urban County Government, Lexington, KY. Bid date is Tuesday, April 3, 2012 at 2:00 p.m. local time. We are soliciting bids from those involved in the supply of WWTP materials and pumps, and the following specific subcontract trades: Restoration of Lawns and Grasses, Painting, and Electrical. Engineer is: Strand & Associates, 1525 Bull Lea Road, Suite 100, Lexington, KY 40511. Plans and Specifications are available from: LYNN Imaging, Lexington, KY. Plans may be viewed at Owner's offices in Lexington, KY; Engineer's offices in Lexington, KY; Builders Exchange of Louisville; HCI office in Lawrenceburg, KY. Interested firms should contact Herrick Company, Inc. with any questions, and submit their bid by Monday, April 2, 2012, 4:00 p.m. HCI phone number: 502-839-3484; Fax: 502-839-0939; e-mail: [hci@dcr.net](mailto:hci@dcr.net). Herrick Company, Inc. is an equal opportunity employer. All DBE's are encouraged to inquire and bid. This notice is provided by the Kentucky Procurement Assistance Program. The information is believed to be correct, but should be verified with the issuing agency/organization.

*Matching Key Words: age:herrick;*

*Softshare Article Number: 120328/KY/4391*

---

**From:** Mark [[mailto:mark\\_hci@dcr.net](mailto:mark_hci@dcr.net)]  
**Sent:** Wednesday, March 28, 2012 3:02 PM  
**To:** KPAP  
**Cc:** Sabrina Gabbard / HCI  
**Subject:** Subcontractor / Supplier solicitation

Good afternoon,

Please place our attached ad for subs and suppliers.

Thank you,

*Mark*

Herrick Company, Inc.  
1385 Tracy Road  
Lawrenceburg, KY 40342  
phone: 502-839-3484; fax: 502-839-0939

**Mark**

---

**From:** Bid-Match Subscription <search@outreachsystems.com>  
**Sent:** Wednesday, March 28, 2012 5:15 PM  
**To:** hci@dcr.net; mark\_hci@dcr.net  
**Subject:** Bid Match for March 28, 2012 [4349.Z749]

## OutreachSystems Search for March 28, 2012

Account/Profile: 4349/Z749

This information is provided by the Kentucky Procurement Assistance Program (KPAP), a business assistance program of the Kentucky Cabinet for Economic Development. For assistance, contact one of the KPAP Contracting Specialists by [email](mailto:email), or call us at (800) 838-3266. Please let us know if the information you receive is garbled or unreadable.

Email to: [hci@dcr.net](mailto:hci@dcr.net), [mark\\_hci@dcr.net](mailto:mark_hci@dcr.net)

### Kentucky State Procurement

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#### **Herrick Company, Inc., 1385 Tracy Road, Lawrenceburg, KY 40342**

Z -- POTENTIAL CONSTRUCTION SUBCONTRACTING OPPORTUNITIES. ATTENTION ALL DBE, MBE, & WBE ENTERPRISES: Herrick Company, Inc. is preparing a Prime Contractor bid for WEST HICKMAN CREEK **WASTEWATER TREATMENT PLANT IMPROVEMENTS**. Owner is: Lexington-Fayette Urban County Government, Lexington, KY. Bid date is Tuesday, April 3, 2012 at 2:00 p.m. local time. We are soliciting bids from those involved in the supply of **WWTP** materials and **pumps**, and the following specific subcontract trades: Restoration of Lawns and Grasses, Painting, and Electrical. Engineer is: Strand & Associates, 1525 Bull Lea Road, Suite 100, Lexington, KY 40511. Plans and Specifications are available from: LYNN Imaging, Lexington, KY. Plans may be viewed at Owner's offices in Lexington, KY; Engineer's offices in Lexington, KY; Builders Exchange of Louisville; HCI office in Lawrenceburg, KY. Interested firms should contact Herrick Company, Inc. with any questions, and submit their bid by Monday, April 2, 2012, 4:00 p.m. HCI phone number: 502-839-3484; Fax: 502-839-0939; e-mail: [hci@dcr.net](mailto:hci@dcr.net). Herrick Company, Inc. is an equal opportunity employer. All DBE's are encouraged to inquire and bid. This notice is provided by the Kentucky Procurement Assistance Program. The information is believed to be correct, but should be verified with the issuing agency/organization.

*OutreachSystems Article Number: 120328/KY/4391*

*Matching Key Words: wastewater; treat\*; pump\*; wwtp;*

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OMB Control No: 2090-0090  
 Approved: 05/01/2008  
 Approval Expires: 01/31/2011



Environmental Protection Agency

Disadvantaged Business Enterprise Program  
 DBE Subcontractor Performance Form

NAME OF SUBCONTRACTOR <b>MCKINNEY PAINTING</b>		PROJECT NAME <sup>WWTTP</sup> <b>WEST HICKMAN Thickenet Project</b>
ADDRESS <b>104 KULLMAN Drive Versailles Ky</b>		BID/PROPOSAL NO.
TELEPHONE NO. <b>859-873-6424</b>	E-MAIL ADDRESS <b>MATT@MCKINNEY PAINTING.COM</b>	
PRIME CONTRACTOR NAME <b>HERRICK INC.</b>		
CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES BID TO PRIME	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
	<b>PAINTING of Thickenet</b>	<b>\$ 7,500.00</b>
Currently certified as an MBE or <del>WBE</del> under EPA's DBE Program? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Signature of Prime Contractor <b>MARK HERRICK</b>		Date <b>4-10-12</b>
Print Name <b>MARK HERRICK</b>		Title <b>PROJECT MANAGER</b>
Signature of Subcontractor <b>Matt Gamlin</b>		Date <b>4-9-12</b>
Print Name <b>MATT GAMLIN</b>		Title <b>Vice Pres.</b>

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**WBENC** | Women's Business Enterprise  
National Council

hereby grants:

# National Women's Business Enterprise Certification

to

## McKinney Painting, Inc.

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).  
This certification affirms the business is woman-owned, operated and controlled, and is valid through the date herein.

WBENC National WBE Certification was processed and validated by Ohio River Valley - Women's Business Council, a WBENC Preferred Partner Organization.

*Patricia Williams*

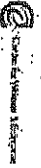
Authorized by: **Patricia Williams**, Executive Director,  
Ohio River Valley - Women's Business Council

**ORV WBC**  
Ohio River Valley - Women's Business Council

Expiration Date: 03/09/2013  
WBENC National Certificate Number: 20085115157

NAICS Codes: 238320

UNSPSC Codes: 72151300, 72151302, 72151303



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 Report **Firms** Bidders CSI Plans Specs Addenda Map

**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1  
 Action stage: GC Bidding  
 645 W HICKMAN PLANT RD  
 NICHOLASVILLE, KY 40356-8582 USA  
 Bid date: 04/03/2012 @ 02:00 PM EDT  
 KY(JESSAMINE)  
 Valuation: \$    
 First issue bid stage IND: Y.  
 Project delivery system: Design-Bid-Build  
 Target start date: 06/01/2012  
 Owner type: Local Government

**Project Overview**

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish date:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
Type of Work:	Alterations
Status:	GC Bids to Owner April 3 at 2:00 PM (EDT)
Status project delivery system:	Design-Bid-Build
Publish date:	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)  
 Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone: 859-425-2406, Fax: 859-258-3403, E-mail: tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID : tiffanyr@lfucg.com  
 Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA), Phone: 859-258-3329, Fax: 859-258-3322, E-mail: bettyb@lfucg.com, http://www.lexingtonky.gov/  
 Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone: 859-255-1021, Fax: 859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
 Notes: CRCA08  
 Plans available from: Reprographer

Additional features: Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

TO: Guthrie Sales 615-373-2701  
 FR: Herrick Company, Inc. (hci@dcr.net)

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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Report **Firms** Bidders CSI Plans Specs Addenda Map **Order**

**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1  
 Action stage: GC Bidding  
 645 W HICKMAN PLANT RD  
 NICHOLASVILLE, KY 40356-8582 USA  
 KY(JESSAMINE)  
 Bid date: 04/03/2012 @ 02:00 PM EDT  
 Valuation: \$ B  
 First issue bid stage IND: Y.  
 Project delivery system: Design-Bid-Build  
 Target start date: 06/01/2012  
 Owner type: Local Government

**Project Overview**

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish date:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
Type of Work:	Alterations
Status:	GC Bids to Owner April 3 at 2:00 PM (EDT)
Status project delivery system:	Design-Bid-Build
Publish date:	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)  
 Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone:859-425-2406, Fax:859-258-3403, E-mail:tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID : tiffanyr@lfucg.com  
 Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA), Phone:859-258-3329, Fax:859-258-3322, E-mail:bettyb@lfucg.com, http://www.lexingtonky.gov/  
 Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone:859-255-1021, Fax:859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
 Notes: CRCA08  
 Plans available from: Reprographer

Additional features: Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

TO: *H P Thompson 513-248-3201*  
 FR: **Herrick Company, Inc. ([hci@dcr.net](mailto:hci@dcr.net))**

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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Report Firms Bidders CSI Plans Specs Addenda Map

West Hickman Wastewater Plant Thickener No 2 Replacement 352012 201200460731 v1

Action stage: GC Bidding

645 W HICKMAN PLANT RD  
NICHOLASVILLE, KY 40356-8582 USA

KY(JESSAMINE)

Bid date: 04/03/2012 @ 02:00 PM EDT

Valuation: \$ B

First issue bid stage IND: Y.

Project delivery system: Design-Bid-Build

Target start date: 06/01/2012

Owner type: Local Government

Project Overview

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish date:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
Type of Work:	Alterations
Status:	GC Bids to Owner April 3 at 2:00 PM (EDT)
Status project delivery system:	Design-Bid-Build
Publish date:	03/21/2012

Key Contacts and Bid Documents

Submit bids to:	Owner (Public)
Engineer:	LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA) , Phone:859-425-2406, Fax:859-258-3403, E-mail:tiffanyr@lfucg.com, http://www.lfucg.com Email ID : tiffanyr@lfucg.com
Owner (Public):	Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA) , Phone:859-258-3329, Fax:859-258-3322, E-mail:bettyb@lfucg.com, http://www.lexingtonky.gov/
Reprographer:	Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA) , Phone:859-255-1021, Fax:859-233-1558, http://www.lynnimaging.com/ Distribution of Plans and Specs
Notes:	CRCA08
Plans available from:	Reprographer
Additional features:	Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

TO: Delaney & Asso. 859-342-8331

FR: Herrick Company, Inc. (hci@dcr.net)

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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Report **Firms** **Bidders** **CSI** **Plans** **Specs** **Addenda** **Map** **Orders** **Details** **Help**

**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1  
 Action stage: GC Bidding  
 645 W HICKMAN PLANT RD  
 NICHOLASVILLE, KY 40356-8582 USA  
 Bid date: 04/03/2012 @ 02:00 PM EDT  
 KY(JESSAMINE)  
 Valuation: \$ B  
 First issue bid stage IND: Y.  
 Project delivery system: Design-Bid-Build  
 Target start date: 06/01/2012  
 Owner type: Local Government

**Project Overview**

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish dates:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
Type of Work:	Alterations
Status:	GC Bids to Owner April 3 at 2:00 PM (EDT)
Status project delivery system:	Design-Bid-Build
Publish date:	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)
Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA) , Phone:859-425-2406, Fax:859-258-3403, E-mail:tiffanyr@lfucg.com, http://www.lfucg.com Email ID : tiffanyr@lfucg.com
Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA) , Phone:859-258-3329, Fax:859-258-3322, E-mail:bettyb@lfucg.com, http://www.lexingtonky.gov/
Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA) , Phone:859-255-1021, Fax:859-233-1558, http://www.lynnimaging.com/ Distribution of Plans and Specs Notes: CRCA08 Plans available from: Reprographer
Additional features: Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

TO: *Southern Sales 615-254-0791*  
 FR: **Herrick Company, Inc. ([hci@dcr.net](mailto:hci@dcr.net))**

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939



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**Report** | Firms | Bidders | CSI | Plans | Specs | Addends | Map | | | | |

**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1  
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 KY(JESSAMINE)  
 Valuation: \$   
 First issue bid stage IND: Y.  
 Project delivery system: Design-Bid-Build  
 Target start date: 06/01/2012  
 Owner type: Local Government

**Project Overview**

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish date:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
Type of Work:	Alterations
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Status project delivery system:	Design-Bid-Build
Publish date:	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)  
 Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone:859-425-2406, Fax:859-258-3403, E-mail:tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID : tiffanyr@lfucg.com  
 Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA), Phone:859-258-3329, Fax:859-258-3322, E-mail:bettyb@lfucg.com, http://www.lexingtonky.gov/  
 Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone:859-255-1021, Fax:859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
 Notes: CRCA08  
 Plans available from: Reprographer  
 Additional features: Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

TO: Jerry Ristau 859-426-5177  
 FR: Herrick Company, Inc. (hci@dcr.net)

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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Report **Items** **Bidders** **CSI** **Plans** **Specs** **Addenda** **Map**  
 201200460731 v1  
**West Hickman Wastewater Plant Thickener No 2 Replacement 352012**  
 Action stage: GC Bidding  
 645 W HICKMAN PLANT RD  
 NICHOLASVILLE, KY 40356-8582 USA  
 Bid date: 04/03/2012 @ 02:00 PM EDT  
 KY(JESSAMINE)  
 Valuation: \$ B  
 First issue bid stage IND: Y.  
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 Target start date: 06/01/2012  
 Owner type: Local Government

**Project Overview**

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish date:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
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Publish date:	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)  
 Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone:859-425-2406, Fax:859-258-3403, E-mail:tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID : tiffanyr@lfucg.com  
 Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA), Phone:859-258-3329, Fax:859-258-3322, E-mail:bettyb@lfucg.com, http://www.lexingtonky.gov/  
 Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone:859-255-1021, Fax:859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
 Notes: CRCA08  
 Plans available from: Reprographer

Additional features: Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

*WBE SUMMER*

TO: *M + M Mfg., Inc. 270-586-6424*

FR: **Herrick Company, Inc. (hci@dcr.net)**

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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view 1 per page 1 - 1 of 1 items 1 of 1 pages << prev 1 next >>

Report **Firms** Bidders CSI Plans Specs Addenda Map

**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1  
 Action stage: GC Bidding  
 645 W HICKMAN PLANT RD  
 NICHOLASVILLE, KY 40356-8582 USA  
 KY(JESSAMINE)  
 Bid date: 04/03/2012 @ 02:00 PM EDT  
 Valuation: \$ B  
 First issue bid stage IND: Y.  
 Project delivery system: Design-Bid-Build  
 Target start date: 06/01/2012  
 Owner type: Local Government

**Project Overview**

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish date:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
Type of Work:	Alterations
Status:	GC Bids to Owner April 3 at 2:00 PM (EDT)
Status project delivery system:	Design-Bid-Build
Publish date:	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)  
 Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA) , Phone:859-425-2406, Fax:859-258-3403, E-mail:tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID : tiffanyr@lfucg.com  
 Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA) , Phone:859-258-3329, Fax:859-258-3322, E-mail:bettyb@lfucg.com, http://www.lexingtonky.gov/  
 Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA) , Phone:859-255-1021, Fax:859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
 Notes: CRCA08  
 Plans available from: Reprographer

Additional features: Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

*Handwritten note:* MBE SUB

TO: TEM Electric 859-259-2680  
 FR: Herrick Company, Inc. ([hci@dcr.net](mailto:hci@dcr.net))

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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Report **Firms** Bidders CSI Plans Specs Addenda Map

**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1

645 W HICKMAN PLANT RD  
 NICHOLASVILLE, KY 40356-8582 USA  
 KY(JESSAMINE)

Action stage: GC Bidding  
 Bid date: 04/03/2012 @ 02:00 PM EDT  
 Valuation: \$ B  
 First issue bid stage IND: Y.  
 Project delivery system: Design-Bid-Build  
 Target start date: 06/01/2012  
 Owner type: Local Government

**Project Overview**

<b>Project type:</b>	Sewage Treatment Plant.
<b>Report type:</b>	Project
<b>Sub project count:</b>	0
<b>First publish date:</b>	03/21/2012
<b>Publisher:</b>	McGraw-Hill Construction Dodge
<b>Type of Work:</b>	Alterations
<b>Status:</b>	GC Bids to Owner April 3 at 2:00 PM (EDT)
<b>Status project delivery system:</b>	Design-Bid-Build
<b>Publish date:</b>	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)  
**Engineer:** LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone: 859-425-2406, Fax: 859-258-3403, E-mail: tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID : tiffanyr@lfucg.com  
**Owner (Public):** Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA), Phone: 859-258-3329, Fax: 859-258-3322, E-mail: bettyb@lfucg.com, http://www.lexingtonky.gov/  
**Reprographer:** Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone: 859-255-1021, Fax: 859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
**Notes:** CRCA08  
 Plans available from: Reprographer

**Additional features:** Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

TO: Electra of Lexington 859-254-8916

FR: Herrick Company, Inc. (hci@dcr.net)

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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view: 1 per page 1 - 1 of 1 items 1 of 1 pages << prev 1 next >>

Report **Plans** Bidders CSI Plans Specs Addenda Map

**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1

Action stage: GC Bidding

645 W HICKMAN PLANT RD  
 NICHOLASVILLE, KY 40356-8582 USA

KY(JESSAMINE)

Bid date: 04/03/2012 @ 02:00 PM EDT

Valuation: \$

First Issue bid stage IND: Y.

Project delivery system: Design-Bid-Build

Target start date: 06/01/2012

Owner type: Local Government

**Project Overview**

Project type:	Sewage Treatment Plant.
Report type:	Project
Sub project count:	0
First publish date:	03/21/2012
Publisher:	McGraw-Hill Construction Dodge
Type of Work:	Alterations
Status:	GC Bids to Owner April 3 at 2:00 PM (EDT)
Status project delivery system:	Design-Bid-Build
Publish date:	03/21/2012

**Key Contacts and Bid Documents**

Submit bids to: Owner (Public)	
Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone: 859-425-2406, Fax: 859-258-3403, E-mail: tiffanyr@lfucg.com, http://www.lfucg.com Email ID : tiffanyr@lfucg.com	
Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St # 338 LEXINGTON, KY 40507 (USA), Phone: 859-258-3329, Fax: 859-258-3322, E-mail: bettyb@lfucg.com, http://www.lexingtonky.gov/	
Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone: 859-255-1021, Fax: 859-233-1558, http://www.lynnimaging.com/ Distribution of Plans and Specs	
Notes: CRCA08	
Plans available from: Reprographer	
<b>Additional features:</b> Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.	

TO: JL Davis Electric 606-546-8561  
 FR: Herrick Company, Inc. ([hci@dcr.net](mailto:hci@dcr.net))

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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Report **Firms** Bidders CSI Plans Specs Addenda Map

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 Engineer: LFUCG Department of Public Works, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone: 859-425-2406, Fax: 859-258-3403, E-mail: tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID: tiffanyr@lfucg.com  
 Owner (Public): Lexington Fayette Urban Co Govt Dept of Finance, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA), Phone: 859-258-3329, Fax: 859-258-3322, E-mail: bettyb@lfucg.com, http://www.lexingtonky.gov/  
 Reprographer: Lynn Imaging, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone: 859-255-1021, Fax: 859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
 Notes: CRCA08  
 Plans available from: Reprographer

**Additional features:** Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

*WBE SUB*

TO: McKinney Painting 859-873-1312

FR: Herrick Company, Inc. ([hci@dcr.net](mailto:hci@dcr.net))

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

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view  per page 1 - 1 of 1 items 1 of 1 pages << prev 1 next >>

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**West Hickman Wastewater Plant Thickener No 2 Replacement 352012** 201200460731 v1

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 NICHOLASVILLE, KY 40356-8582 USA  
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**Key Contacts and Bid Documents**

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 Engineer: **LFUCG Department of Public Works**, Tiffany Rank (Plant Engineering), 200 E Main St Ste 934 Lexington, KY 40507-1310 (USA), Phone:859-425-2406, Fax:859-258-3403, E-mail:tiffanyr@lfucg.com, http://www.lfucg.com  
 Email ID : tiffanyr@lfucg.com  
 Owner (Public): **Lexington Fayette Urban Co Govt Dept of Finance**, Betty Landrum (Senior Buyer), Division of Purchasing 200 East Main St #338 LEXINGTON, KY 40507 (USA), Phone:859-258-3329, Fax:859-258-3322, E-mail:bettyb@lfucg.com, http://www.lexingtonky.gov/  
 Reprographer: **Lynn Imaging**, Dawn George 328 Old Vine St Lexington, KY 40507-1534 (USA), Phone:859-255-1021, Fax:859-233-1558, http://www.lynnimaging.com/  
 Distribution of Plans and Specs  
 Notes:CRCADS  
 Plans available from:Reprographer

**Additional features:** Replacing existing gravity thickener collector mechanism - walkway - railings - associated electrical and HVAC equipment.

TO: *Shelburne Electrical 859-865-2194*

FR: **Herrick Company, Inc. ([hci@dcr.net](mailto:hci@dcr.net))**

Job bids APRIL 3, 2012 at 2:00 p.m. Please take a look and let us know if you will be quoting. As always, your quotes are appreciated. Questions, please call Doug at 502-839-3484. Our fax number is 502-839-0939

**7. AUTHENTICATION OF BID AND STATEMENT OF  
NON-COLLUSION AND NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.



AUTHENTICATION OF BID AND STATEMENT OF

NON-COLLUSION AND NON-CONFLICT OF INTEREST (continued)

READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID.

Signed by: Donna S. Herrick

Firm: DONNA S. HERRICK, CEO  
HERRICK COMPANY, INC.

Address: 1385 TRACY ROAD  
LAWRENCEBURG, KY 40340

Telephone: 502-839-3484

Date: April 10, 2012

8. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: H. DOUGLAS HERRICK

POSITION/TITLE: PRESIDENT / SECRETARY

STATEMENT OF EXPERIENCE: CO-FOUNDED HCI IN JANUARY  
1996. IN HEAVY CONSTRUCTION 30+ YEARS

NAME OF INDIVIDUAL: DONNA S. HERRICK

POSITION/TITLE: CEO / TREASURER

STATEMENT OF EXPERIENCE: CO-FOUNDED HCI IN JANUARY  
1996.

HCI AFFIRMATIVE ACTION OFFICER;  
EEOC OFFICER; HR OFFICER

NAME OF INDIVIDUAL: SABRINA K. GARRARD

POSITION/TITLE: OFFICE MANAGER

STATEMENT OF EXPERIENCE: PAYROLL, AR, AP, BENEFITS  
ADMINISTRATOR; FUNDING AGENCY  
COORDINATOR

NAME OF INDIVIDUAL: MARK HERRICK

POSITION/TITLE: PROJECT MANAGER

STATEMENT OF EXPERIENCE: WITH HCI 10 YEARS

NAME OF INDIVIDUAL: SAM G. THORPE

POSITION/TITLE: SUPERINTENDENT

STATEMENT OF EXPERIENCE: 30+ YEARS HEAVY CONSTRUCTION

BY: HERRICK COMPANY, INC.  
Name of Firm

DATE: APRIL 10, 2012

BY: Donna S. Herrick  
DONNA S. HERRICK

TITLE: CEO

\* Include all officers, office management's, Affirmative Action officials, and field management personnel. Attach separate sheets if necessary.

## 9. EQUAL OPPORTUNITY AGREEMENT

### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Donna S. Herrick  
Signature DONNA S. HERRICK, CEO

HERRICK COMPANY, INC.  
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

#### KRS 45.610. Hiring minorities - Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions above quoted to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

Any project over \$50,000 will require a Performance Bond in an amount equal to 100% of the contract.

**10. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of HERRICK COMPANY, INC.  
to assure that all applicants for employment and all employees are treated on a fair and equitable basis  
without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff  
or termination, rates of pay and other forms of compensation, and selection for training, whether  
apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever  
feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to  
Equal Employment Opportunity for all individuals.

DONNA S. HERRICK has been appointed Equal Employment Compliance (EEOC) Officer and  
shall be available for counseling, answering of questions in regards to this company policy, and to hear any  
complaints of discrimination. The EEOC Officer may be reached by calling 502-839-3484

Signature: Donna S. Herrick  
(Bidding Contractor) DONNA S. HERRICK

Title: CEO

Date: APRIL 10, 2012

WORKFORCE ANALYSIS FORM

Name of Organization: HERRICK COMPANY, INC.

Date: 4 10 1 2012

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	2	1	1					2	1
Professionals									
Superintendents	3	3						3	
Supervisors									
Foremen	2	2						2	
Technicians									
Protective Service									
Para-Professionals									
Office/Clerical	2	1	1					1	1
Skilled Craft	7	7						7	
Service/Maintenance									
Total:	16	14	2					14	2

Prepared By: Mark Herrick

MARK HERRICK, PROJECT MANAGER



**II. EVIDENCE OF INSURABILITY**  
 LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Name Insured: Herrick Company, Inc. Employee ID: 61-1296034  
 Address: 1385 Tracy Road Phone: 502-839-3484  
Lawrenceburg, KY 40342  
 Project to be insured: West Hickman Gravely Thickenner Improvements, Bid No. 35-2012

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions: the limits below should correspond with what comes back from Law Dept

Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
CGI	\$1,000,000/per occ. \$2,000,000/aggregate	\$1,000,000/OCC \$2,000,000/agg	Cincinnati Ins. Company	004294	A+ : XV
AUTO	\$1,000,000/per occ.	\$1,000,000	Cincinnati Ins. Company	004294	A+ : XV
WC	Statutory	\$4,000,000	KY Assoc. Gen. Contractors	055002	A- : VII
Employer's Liability	\$1,000,000	\$4,000,000			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

PWM, A Div. of J. Smith Lanier & Co.  
 Agency or Brokerage  
360 E. Vine Street  
 Street Address  
Lexington KY 40324  
 City State Zip  
859-254-8023  
 Telephone Number

Chris Barnett  
 Name of Authorized Representative  
 Agent  
 Title  
Chris Barnett  
 Authorized Signature  
March 28, 2012  
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGE'S LISTED ABOVE IS NOT PROVIDED**

**12. DEBARRED FIRMS**

**CONTRACT NUMBER:** 35-2012

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime CONTRACTORS shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the attached certification in duplicate and submit both copies to the OWNER with the bid proposal. The OWNER (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after Bid opening.

PROJECT NAME: WEST HICKMAN WWTP THICKENER IMPROVEMENTS

CONTRACT NO: 35-2012

DEBARRED FIRMS

The undersigned hereby certifies that the firm of HERRICK COMPANY, INC. has not and will not award a contract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

HERRICK COMPANY, INC.  
Name of Firm Submitting Bid

Donna S. Herrick  
Signature of Authorized Official DONNA S. HERRICK

CEO  
Title

APRIL 10, 2012  
Date

END OF SECTION

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

## CREDIT / REFERENCE INFORMATION

**Herrick Company, Inc.:** General Contractor: Water & Wastewater Industry.

Kentucky Corporation, incorporated January, 1996.  
Federal ID: 61-1296034  
D-U-N-S Number: 957361348

Phone: 502-839-3484  
Fax: 502-839-0939

### Officers

Donna S. Herrick, Chief Executive Officer / Treasurer  
H. Douglas Herrick, President / Secretary

### Banking Information

Century Bank  
201 Woodford Street  
Lawrenceburg, KY 40342  
502-859-5111: Gary Gaines, SVP

Madison Bank  
1001 Gibson Bay Drive  
Richmond, KY 40475  
859-626-8008: Matt Wingate, AVP

### Bonding Company

Smith-Manus Company  
2307 River Road Suite 200  
Louisville, KY 40206  
502-636-9191: Mr. Tom Smith, Principal

### Insurance Agent

Powell – Walton – Milward  
Agent Chris Barnes  
Lexington, KY  
859-254-8029

### Principal Suppliers

IMI  
Customer No. 34272  
Louisville, KY 502-456-6930  
Fax: 859-887-4450

Hek Glass, Inc.  
Customer No. 2429  
Lexington, KY 859-8252-2452  
Fax: 859-225-5415

MMI of Kentucky  
Customer No. 1852  
Lexington, KY 859-225-0070  
Fax: 859-231-6522

Jiffy Fasteners  
Account: HERCOM  
Lexington, KY 859-422-1900  
Fax: 800-232-9851

Equipment Sales & Rentals  
Customer No. 1901  
Lexington, KY 859-231-5343  
Fax: 859-231-5340

Sherman-Dixie Concrete  
Customer No: 01-HCI  
Nashville, TN 502-680-0462  
Fax: 859-254-1734

Plumbers Supply Company  
Customer No. 19865  
Lexington, KY 859-255-0700  
Fax: 859-281-1393

M & M Manufacturing Co.  
Account No.: HERRICK  
Franklin, KY 270-586-8208  
Fax: 270-586-6424

HERRICK COMPANY, INC.  
RESOLUTION OF THE BOARD  
AUGUST 15, 2009

RESOLVED, the Board of Directors has voted to name Donna S. Herrick as Chief Executive Officer. The Board has amended Article IV, Section Five of the Bylaws to define the duties of Chief Executive Officer and the President.

In addition to other duties as named in Article IV, Section Five, the Chief Executive Officer or President shall sign any Deeds, Mortgages, Bonds, Contracts, or other instruments which the Board of Directors has authorized to be executed in the course of doing business.

DATED this 15<sup>th</sup> day of August, 2009.

Donna S. Herrick

Donna S. Herrick

H. Douglas Herrick

H. Douglas Herrick

Attest:

Sabrina K. Gabbard

Sabrina K. Gabbard



Herrick Company, Inc.  
1385 Tracy Road  
Lawrenceburg, KY 40342  
502-839-3484  
502-839-0939 Fax

SCHEDULE OF EQUIPMENT AVAILABLE:

- 35 ton Crane
- 15 ton Crane
- 15 ton Boom Truck
- 50 ton Crane
- CAT D5 Dozer
- CAT 953 Loader
- CAT 315 Excavator
- CAT 416 Backhoe
- CAT 420 Backhoe
- CAT 302.5 Excavator
- Bobcat Skid Steer Loader
- International Flat Bed Dump
- (2) 185 Air Compressors
- 6" Diesel Pump
- Kundel 8' x 20' trench box
- (8) pickup trucks
- Miscellaneous small equipment and tools

Herrick Company, Inc.  
1385 Tracy Road  
Lawrenceburg, KY 40342

April 10, 2012

**CONTRACTS ON HAND**

<u>Project Name</u>	<u>Amount</u>	<u>Percent complete</u>	<u>Projected completion</u>
WTP Improvements Columbia / Adair Co. Water Comm. Monarch Engineering, Inc. Frankfort, KY	\$2.488 million	99%	April, 2012
High Service Pump Station City of Frankfort, KY GRW Engineers, Inc. Lexington, KY	\$1.105 million	90%	April, 2012
Raw Water Intake Mods. Hardin County Water Dist. 2 Kenvirons, Inc. Frankfort, KY	\$269,396.00	Punch	April, 2012
WWTP Improvements Barbourville, KY Utl. Comm. Vaughn & Melton Engineers, Inc. Middlesboro, KY	\$381,469.00	94%	March, 2012
Redstone Arsenal WWTP PDR Properties, Inc. Lexington, KY	\$261,650.00	50%	April, 2012
McCreary County WTP McCreary County Water Dist. Whitley City, KY	\$2,280,500.00	3%	December, 2012

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Horse Cave, KY WWTP Additions, Contract A

CONTRACT #: NA

BID DATE: 1/28/2010

NOTICE TO PROCEED: NA

CONTRACTUAL COMPLETION  
DATE: NA

ACTUAL COMPLETION DATE: Job In Progress

CONTRACT AMOUNT: \$532,717.00

DESCRIPTION: New clarifier/equipment

OWNER: Caveland Environmental Authority  
508 South Dixie Highway  
Cave City, KY 42147

ENGINEER: Water Management Services, LLC  
2 International Plaza, Suite 401  
Nashville, TN 37217  
Bob Sladen, PE

615-366-6088



# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Campbellsville, KY WWTP - Bar Screens

CONTRACT #: Monarch Job # 097

BID DATE: 9/11/2009

NOTICE TO PROCEED: 10/21/2009

CONTRACTUAL COMPLETION  
DATE: 2/23/2009

ACTUAL COMPLETION DATE: Job In Progress

CONTRACT AMOUNT: \$327,100.00

DESCRIPTION: 2 new bar screens at headworks

OWNER: City of Campbellsville  
110 South Columbia Avenue  
Campbellsville, KY 42718  
Brenda Allen, Mayor 270-789-3133  
Ralph Ortt, WWTP Superintendent 270-469-3966

ENGINEER: Monarch Engineering, Inc.  
556 Carlton Drive  
Lawrenceburg, KY 40342  
David Bowles, PE 502-839-1310

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Burkesville, KY WTP Expansion

CONTRACT #: GRW Proj # 2968

BID DATE: 3/6/2008

NOTICE TO PROCEED: 5/28/2008

CONTRACTUAL COMPLETION  
DATE: 2/28/2010

ACTUAL COMPLETION DATE: Job In Progress

CONTRACT AMOUNT: \$4,471,568.00

DESCRIPTION: New 2 million GPD water treatment plant

OWNER: City of Burkesville  
214 Upper River Street  
Burkesville, KY 42717  
Keith Riddle, Mayor 270-864-5391  
Ed Peretto, Plant Superintendent 270-864-5154

ENGINEER: GRW Engineers, Inc.  
801 Corporate Drive  
Lexington, KY 40503  
Michael L. Jacobs, PE 859-223-3999, ext. 212

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Green River Water Treatment Plant Improvements

CONTRACT #: Fund Activity # FGL2003 0028

BID DATE: 8/27/2009

NOTICE TO PROCEED: 12/11/2009

CONTRACTUAL COMPLETION  
DATE: 10/18/2010

ACTUAL COMPLETION DATE: Job In Progress

CONTRACT AMOUNT: \$1,545,250.00

DESCRIPTION: New clearwell, yard pipe, flange pipe, painting, electrical, equipment

OWNER: Green River Valley Water District  
85 East Les Turner Road  
Cave City, KY 42147  
David Paige, Manager 270-773-2135  
John Rutlege, WWTP Superintendent 270-528-2081

ENGINEER: Water Management Services, LLC  
2 International Plaza, Suite 401  
Nashville, TN 37217  
Joe Hinkle, PE 615-366-6088

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: London, KY Hwy 192 Pump Station Upgrade

CONTRACT #: NA

BID DATE: 3/27/2009

NOTICE TO PROCEED: 5/13/2009

CONTRACTUAL COMPLETION  
DATE: NA

ACTUAL COMPLETION DATE: 11/30/2009

CONTRACT AMOUNT: \$159,950.00

DESCRIPTION: Bypass pump, furnish/install 1 new pump/controls, new guide rails/  
lift systems for 3 pumps, clean out wet well, electrical

OWNER: London Utility Commission  
801 North Main Street  
London, KY 40743  
Randy Bingham, Superintendent 606-864-2103

ENGINEER: HDR/Quest Engineers  
2517 Sir Barton Way  
Lexington, KY 40509  
Benton Hanson, PE 859-223-3755

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Frankfort, KY WWTP - Clarifier Modifications

CONTRACT #: HMB Project # 4145.15

BID DATE: 5/7/2009

NOTICE TO PROCEED: 6/24/2009

CONTRACTUAL COMPLETION DATE: 12/24/2009

ACTUAL COMPLETION DATE: 11/30/2009

CONTRACT AMOUNT: \$232,710.00

DESCRIPTION: Rebuild gearboxes, extend walkway bridges, repair scraper arms, install algae sweep systems, concrete, electrical

OWNER: Frankfort Sewer Department  
1200 Kentucky Avenue  
Frankfort, KY 40602  
Bob Peterson, PE 502-875-2448  
Eugene Moore, WWTP Superintendent 502-875-2448

ENGINEER: HMB Professional Engineers, Inc.  
3 HMB Circle  
Frankfort, KY 40602  
Steve Willard, PE 502-320-6764  
Ray Bascom, PE 502-320-6764

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: McCreary County WTP - Raw Water Pump

CONTRACT #: Project No. 0757

BID DATE: 5/26/2009

NOTICE TO PROCEED: NA

CONTRACTUAL COMPLETION DATE: NA

ACTUAL COMPLETION DATE: 8/15/2009

CONTRACT AMOUNT: \$48,692.00

DESCRIPTION: Replace vertical turbine raw water pump at resevoir

OWNER: McCreary County Water District  
P.O. Box 488  
Whitley City, KY 42653  
Steve Whitaker 606-376-2540

ENGINEER: Monarch Engineering, Inc.  
556 Carlton Drive  
Lawrenceburg, KY 40342  
David M. Bowles, PE 502-839-1310

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Heaven Hill Distillery - Pump Station

CONTRACT #: NA

BID DATE: 10/17/2008

NOTICE TO PROCEED: 10/27/2008

CONTRACTUAL COMPLETION DATE: NA

ACTUAL COMPLETION DATE: 4/3/2009

CONTRACT AMOUNT: \$205,010.00

DESCRIPTION: Install PS, valve vault, force main, and yard pipe

OWNER: Heaven Hills Distillery  
1064 Loretto Road  
P.O. Box 729  
Bardstown, KY 40004  
Mr. David Hobbs 502-348-3921

ENGINEER: Hall Environmental  
103 Fieldview Drive  
Versailles, KY 40383  
Mr. Tab Farthing 859-873-3331

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Sims Rd. Landfill- Pump Station #1 Modifications

CONTRACT #: NA

BID DATE: 9/3/2008

NOTICE TO PROCEED: 9/13/2008

CONTRACTUAL COMPLETION DATE: NA

ACTUAL COMPLETION DATE: 4/10/2009

CONTRACT AMOUNT: \$81,126.00

DESCRIPTION: Change pumps, controls and modifications to PS; SCADA conductor to flow meter

OWNER: Georgetown Department of Public Works  
235 West Yusen Way  
Georgetown, KY 40324  
Eric Larson, City Engineer 502-542-2409

ENGINEER: Nesbitt Engineering, Inc.  
227 North Upper Street  
Lexington, KY 40507  
Ken Reid, PE 859-797-0370



# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: KY American Water Co. - Mercer Road Tank Rehabilitation

CONTRACT #: TIC 07.317.H310.16

BID DATE: 6/26/2008

NOTICE TO PROCEED: Na

CONTRACTUAL COMPLETION  
DATE: NA

ACTUAL COMPLETION DATE: 3/22/2009

CONTRACT AMOUNT: \$65,507.00

DESCRIPTION: Repair/regROUT of Mercer Road tank foundation/structure

OWNER: Kentucky American Water Company  
2300 Richmond Road  
Lexington, KY 40502  
Kevin Kruchinski, Operations Specialist 859-335-3415

ENGINEER: Tank Industry Consultants, Inc.  
7740 West New York St.  
Indianapolis, IN 46214  
Patrick Brown, PE

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Georgetown, KY WWTP No. 1 - Oxidation Ditch Aerator Repairs

CONTRACT #: NA

BID DATE: 6/24/2008

NOTICE TO PROCEED:

CONTRACTUAL COMPLETION  
DATE: NA

ACTUAL COMPLETION DATE: 3/31/2009

CONTRACT AMOUNT: \$113,276.00

DESCRIPTION: Misc. work on rotor shafts, bearings, couplings, and gear boxes

OWNER:

City of Georgetown  
Georgetown Municipal Water & Sewer Service  
125 West Clinton  
Georgetown, KY 40324  
Robert Riddle, General Manager 502-863-7816  
Rick Mulberry, Operations Manager 502-863-7816  
Chuck Rodgers, WWTP No. 1 Supervisor 502-863-7861  
Reggie Greenup, WWTP No. 2 Supervisor 502-863-7862

ENGINEER:

City of Georgetown

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Albany, KY WWTP Improvements, Phase 1, Contract 15

CONTRACT #: Monarch Project # 0601

BID DATE: 3/2/2006

NOTICE TO PROCEED: 6/6/2006

CONTRACTUAL COMPLETION DATE: 2/1/2009

ACTUAL COMPLETION DATE: 12/31/2008

CONTRACT AMOUNT: \$3,075,000.00

DESCRIPTION: New headworks, oxidation ditches (2), secondary clarifiers (2), electric building, lift station, valve vaults, equipment, electrical

OWNER: City of Albany  
2041 Cross Street  
Albany, KY 42602  
John Smith, Mayor 606-387-6011  
Eric Smith, WWTP Operator 606-387-8358

ENGINEER: Monarch Engineering, Inc.  
556 Carlton Drive  
Lawrenceburg, KY 40342  
David Bowles, PE 502-839-1310

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Kentucky American Water Company Sludge Handling Project

CONTRACT #: K.A.W.C. Contract No. 3393  
K.A.W.C. Project No. 12020505

BID DATE: 11/30/2006

NOTICE TO PROCEED: 2/8/2007

CONTRACTUAL COMPLETION DATE: N/A

ACTUAL COMPLETION DATE: 8/1/2008

CONTRACT AMOUNT: \$2,178,754.00

DESCRIPTION: Sludge Handling Modifications, New Wash Water Holding Tank.

OWNER: Kentucky American Water Company  
2300 Richmond Rd.  
Lexington, KY 40502  
Jason M. Hurt, P.E. (859) 335-3415

ENGINEER: GRW Engineers, Inc.  
801 Corporate Dr.  
Lexington, KY 40503  
Alan Bryan, Project Manager (859) 233-3999

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Boonesboro Manor WWTP Repairs & Improvements

CONTRACT #: Tetra Tech Project No. 07192

BID DATE: 4/4/2007

NOTICE TO PROCEED: 9/12/2007

CONTRACTUAL COMPLETION DATE: NA

ACTUAL COMPLETION DATE: 6/23/2008

CONTRACT AMOUNT: \$80,458.57

DESCRIPTION: Yard pipe, flange pipe, diffusers, annodes, pump, storage building, flow meter, dechlorination units, final grade/seed

OWNER: Boonesboro Manor WWTP  
Lexington, Ky

ENGINEER: Tetra Tech, Inc.  
800 Corporate Drive, Suite 200  
Lexington, KY 40503  
Jim Buckles, PE 859-226-8000

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Springfield, KY WTP- Filter Pipe Gallery

CONTRACT #: NA

BID DATE: 1/9/2007

NOTICE TO PROCEED: 8/1/2007

CONTRACTUAL COMPLETION  
DATE: NA

ACTUAL COMPLETION DATE: 3/20/2008

CONTRACT AMOUNT: \$129,665.00

DESCRIPTION: New electric actuated valves in filter gallery, new transmitters for existing devices

OWNER: Springfield Water & Sewer Commission  
Springfield Wastewater Treatment Plant  
182 Bloomfield Road  
Springfield, KY 40069  
Ray Hamilton, Supt. 859-336-5457

ENGINEER: None

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Georgetown, KY WTP - Clarifier/Tube Settlers

CONTRACT #: NA

BID DATE: 9/7/2006

NOTICE TO PROCEED: 11/3/2006

CONTRACTUAL COMPLETION DATE: 3/31/2008

ACTUAL COMPLETION DATE: 3/15/2008

CONTRACT AMOUNT: \$934,300.00

DESCRIPTION: Remove/replace tube settlers/supports; remove/replace clarifier system; new UV disinfection to filter effluent stream

OWNER: City of Georgetown  
Georgetown Municipal Water & Sewer Service  
125 West Clinton  
Georgetown, KY 40324  
Billy Jenkins, General Manager 502-863-7816  
Hubert Caudill, Operations Manager 502-863-7816  
Jim Long, WTP Superintendant 502-863-7819

ENGINEER: DLR Consultants, LLC  
2201 Regency Road, Suite 302  
Lexington, KY 40503  
Paul Danheiser, PE 859-313-5005

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Trane Company - Pump Station & Grinder

CONTRACT #: Trane PO #LKL37933

BID DATE: 11/14/2006

NOTICE TO PROCEED: 9/1/2007

CONTRACTUAL COMPLETION  
DATE: NA

ACTUAL COMPLETION DATE: 2/27/2008

CONTRACT AMOUNT: \$159,850.00

DESCRIPTION: Demo/install new concrete lid w/ hatch on wet well, new grinder, demo old PS 2, install new PS, take old PS 1 out of service

OWNER: The Trane Company, Inc.  
1515 Mercer Rd.  
Lexington, KY 40511  
Tim Hensel, Project Manager 859-259-2569  
fax 859-288-2655

ENGINEER: none



# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Bowling Green WTP- High Service Pump Addition

CONTRACT #: ID# WX21227003 (2020 Infrastructure Fund B Grant)

BID DATE: 8/11/2005

NOTICE TO PROCEED: 10/24/2005

CONTRACTUAL COMPLETION DATE: 6/20/2006

ACTUAL COMPLETION DATE: 7/14/2007

CONTRACT AMOUNT: \$696,860.00

DESCRIPTION: Install new high service pump, ductile pipe, valves, flow meter, concrete, electrical, final grade & seed

OWNER: Bowling Green Municipal Utilities  
801 Center Street  
Bowling Green, KY 42101-2122  
Brett Jones, Engineer (270) 782-4309  
Brian Skelton, General Manager (270) 782-4301

ENGINEER: Water Management Services, LLC  
111 Bush Rd.  
P.O. Box 17650  
Nashville, TN 37217  
David L. Kuhlman, PE (615) 366-6088

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-639-3484  
Fax: 502-639-0939

NAME: Bowling Green, KY WTP - Tube Settlers Replacement

CONTRACT #: BGMU Project # W07-648

BID DATE: 10/26/2006

NOTICE TO PROCEED: 11/14/2006

CONTRACTUAL COMPLETION  
DATE: NA

ACTUAL COMPLETION DATE: 5/10/2007

CONTRACT AMOUNT: \$249,000.00

DESCRIPTION: Demo old tube settlers, furnish/install new tube settlers

OWNER: Bowling Green Municipal Utilities  
801 Center Street  
P.O. Box 10300  
Bowling Green, KY 42102  
Brett Jones 270-782-4309

ENGINEER: Bowling Green Municipal Utilities  
801 Center Street  
P.O. Box 10300  
Bowling Green, KY 42102  
Brett Jones 270-782-4309

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Frankfort, KY WTP - Filter Media & Tube Settlers

CONTRACT #: FPB Bid # 1360

BID DATE: 4/5/2005

NOTICE TO PROCEED: 5/23/2005

CONTRACTUAL COMPLETION  
DATE: 12/31/2005

ACTUAL COMPLETION DATE: 12/20/2005

CONTRACT AMOUNT: \$374,500.00

DESCRIPTION: Replace mixed media in filters, replace tube settlers

OWNER: Frankfort Plant Board  
P.O. Box 308  
305 Hickory Drive  
Frankfort, KY 40602  
Chris Riddle, WTP Supt. 502-352-4340

ENGINEER: Frankfort Plant Board  
P.O. Box 308  
305 Hickory Drive  
Frankfort, KY 40602  
David Billings, PE 502-352-4468

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

**NAME:** Georgetown WTP- Contract 13

**CONTRACT #:** PDR Job #02024

**BID DATE:** 6/3/2003

**NOTICE TO PROCEED:** 9/6/2003

**CONTRACTUAL COMPLETION DATE:** 4/29/2005

**ACTUAL COMPLETION DATE:** 4/29/2005

**CONTRACT AMOUNT:** \$1,626,188.94

**DESCRIPTION:** New filter media, new underdrains & air scour, blowers, flange pipe/valves, yard pipe/valves, manholes, structural concrete, misc. metals, electrical, telemetry, HVAC, architectural finishes, site work, painting, masonry

**OWNER:** City of Georgetown  
Georgetown Municipal Water & Sewer Service  
125 West Clinton  
Georgetown, KY 40324  
Robert Riddle, General Manager 502-863-7816  
Billy Jenkins, Operations Manager 502-863-7816  
Jim Long, WTP Superintendent 502-863-7819

**ENGINEER:** PDR Engineers, Inc.  
800 Corporate Drive, Suite 100  
Lexington, KY 40503  
Morey Lampson, PE 859-223-8000  
Bryan Lovan, PE 859-223-8000

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Georgetown WWTP #1, Contract 15

CONTRACT #: PDR Job #02222

BID DATE: 6/3/2003

NOTICE TO PROCEED: 7/7/2003

CONTRACTUAL COMPLETION DATE: 4/29/2005

ACTUAL COMPLETION DATE: 4/29/2005

CONTRACT AMOUNT: \$980,665.51

DESCRIPTION: Structural concrete, yard pipe/valves, flange pipe/valves, new influent pumps, electrical, telemetry, HVAC, painting, site work

OWNER: City of Georgetown  
Georgetown Municipal Water & Sewer Service  
125 West Clinton  
Georgetown, KY 40324  
Robert Riddle, General Manager 502-863-7816  
Billy Jenkins, Operations Manager 502-863-7816  
Brad Parrent, WWTP #1 Supervisor 502-863-7861

ENGINEER: PDR Engineers, Inc.  
800 Corporate Drive, Suite 100  
Lexington, KY 40503  
Morey Lampson, PE 859-223-8000  
Bryan Lovan, PE 859-223-8000

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Uniontown, KY WWTP Improvements

CONTRACT #: 504-00-01

BID DATE: 12/12/2002

NOTICE TO PROCEED: 3/3/2003

CONTRACTUAL COMPLETION  
DATE: 7/10/2003

ACTUAL COMPLETION DATE: 9/25/2003

CONTRACT AMOUNT: \$387,916.51

DESCRIPTION: New UV structure, UV equipment, effluent pump station, and effluent  
force main to river

OWNER: City of Uniontown  
P.O. Box 548  
Uniontown, KY 42461-0548  
Brian Mann, Mayor 270-822-4277

ENGINEER: Howard K. Bell Consulting Engineers, Inc.  
107 Forbes Dr., P.O. Box 661  
Hopkinsville, KY 42240  
Robert Pickerill, P.E. 270-886-5466, fax 270-886-5122  
Mark Sowell, Inspector 270-886-5466

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Clarksville, IN WWTP Improvements #1

CONTRACT #: NA

BID DATE: 6/12/2002

NOTICE TO PROCEED: 7/12/2002

CONTRACTUAL COMPLETION DATE: 1/8/2003

ACTUAL COMPLETION DATE: 3/31/2003

CONTRACT AMOUNT: \$283,532.00

DESCRIPTION: Install new Parkson screen, reform channel, new screen building and associated electric and improvements

OWNER: City of Clarksville  
2000 Broadway  
Clarksville, IN 47129  
Tom Clevidence, Town Eng. 812-288-7155 ext. 334

ENGINEER: Omni Engineering, Inc.  
2200 Envoy Circle, Suite 2202  
Louisville, KY 40299  
Robert Hawkins, P.E. 502-491-2425

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Georgetown WWTP #2, Contract 9- Headworks

CONTRACT #: PDR Job #00206

BID DATE: 4/25/2001

NOTICE TO PROCEED: 8/7/2001

CONTRACTUAL COMPLETION DATE: NA

ACTUAL COMPLETION DATE: 2/25/2003

CONTRACT AMOUNT: \$1,481,293.00

DESCRIPTION: Rock excavation, structural concrete, ductile iron yard & flange pipe, architectural finishes, grit removal & mechanical screen equipment, electrical, HVAC & plumbing, painting, grade work.

OWNER: City of Georgetown  
Georgetown Municipal Water & Sewer Service  
125 West Clinton  
Georgetown, KY 40324  
Robert Riddle, General Manager 502-863-7816  
Billy Jenkins, Operations Manager 502-863-7816  
Reggie Greenup, WWTP No. 2 Supervisor 502-863-7862

ENGINEER: PDR Engineers, Inc.  
800 Corporate Drive, Suite 100  
Lexington, KY 40503  
Paul B. Danheiser 859-223-8000



# HERRICK COMPANY, INC.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone 502-839-3484  
Fax 502-839-0939

NAME: Williamsburg, KY WTP Improvements- Filter Valving Renovation

CONTRACT #: Quest Job #0136

BID DATE: 10/3/2000

NOTICE TO PROCEED: 11/22/2000

CONTRACTUAL COMPLETION  
DATE: 9/10/2001

ACTUAL COMPLETION DATE: 8/6/2001

CONTRACT AMOUNT: \$240,299.00

DESCRIPTION: WTP filter renovation, new filter valves/soles/instrumentation,  
electrical, painting, pumps, DI flange pipe, DI pipe

OWNER: City of Williamsburg  
116 N Second St.  
Williamsburg, KY 40769  
Bill Nighbert, Mayor (606) 549-6040  
Geraldine (606) 549-6040

ENGINEER: Quest Engineers, Inc.  
881 Corporate Dr.  
Lexington, KY 40503  
Anthony Pellegrino, P.E. (859) 223-3755

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

NAME: Replace Flocculation Shafts and Bearings - Bldg. 1746

CONTRACT #: DAKF23-99-C-0346

BID DATE: 9/22/1999

NOTICE TO PROCEED: 10/20/1999

CONTRACTUAL COMPLETION DATE: 8/4/2000

ACTUAL COMPLETION DATE: 8/4/2000

CONTRACT AMOUNT: \$156,098.00

DESCRIPTION: Replace flocculation shafts and bearings at Water Treatment Plant

OWNER: U. S. Army 101st Airborne Division  
Contracting Division  
Building 2174, 13 1/2 Street  
Fort Campbell, KY 42223

CONTRACT ADMINISTRATOR: Chuck House (270) 798-0380 or Jim Dysart (270) 798-6536

CONSTRUCTION MANAGEMENT &  
INSPECTION: Art Duncan (270) 798-1280

WWTP & WTP SUPERVISOR: Jim Evans (270) 798-4926

# HERRICK COMPANY, INC.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone 502-839-3484  
Fax 502-839-0939

NAME: Georgetown, KY WWTP Improvements, Contract 1, WWTP No. 1  
and WWTP No. 2

CONTRACT #: Contract 1

BID DATE: 2/11/99

NOTICE TO PROCEED: 3/25/99

CONTRACTUAL COMPLETION  
DATE:

ACTUAL COMPLETION DATE: 9/15/00

CONTRACT AMOUNT: \$1,912,614.00

DESCRIPTION: Install sludge presses, oxidation ditch rotors, UV disinfection, sludge  
pumps, flange pipe, structural concrete, electrical, HVAC, painting,  
yard pipe, digester renovation, blowers, air diffusers

OWNER: City of Georgetown  
Georgetown Municipal Water & Sewer Service  
125 West Clinton  
Georgetown, KY 40324  
Robert Riddle, General Manager 502-863-7816  
Rick Mulberry, Operations Manager 502-863-7816  
Chuck Rodgers, WWTP No. 1 Supervisor 502-863-7861  
Reggie Greenup, WWTP No. 2 Supervisor 502-863-7862

ENGINEER: PDR Engineers, Inc.  
800 Corporate Drive, Suite 100  
Lexington, KY 40503  
Morey Lampson 606-223-8000  
Paul B. Danheiser 606-223-8000