



Recipient Information

1. Recipient Name
 LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
 200 E MAIN ST
 LEXINGTON, KY 40507

2. Congressional District of Recipient
 06

3. Payment System Identifier (ID)
 1610858140A1

4. Employer Identification Number (EIN)
 610858140

5. Data Universal Numbering System (DUNS)
 020428777

6. Recipient's Unique Entity Identifier
 VM1GLHWZXA96

7. Project Director or Principal Investigator
 Carmen Combs Marks

 ccombs@lexingtonky.gov
 859-258-3834

8. Authorized Official
 Ms. Linda Gorton
 mayor@lexingtonky.gov
 859-258-3100

Federal Agency Information

9. Awarding Agency Contact Information
 Linda Kim
 Grants Specialist
 linda.kim@samhsa.hhs.gov
 240-276-1865

10. Program Official Contact Information
 Javana Lovett
 Program Official
 javana.lovett@samhsa.hhs.gov
 240-276-1099

Federal Award Information

11. Award Number
 5H79TI084804-03

12. Unique Federal Award Identification Number (FAIN)
 H79TI084804

13. Statutory Authority
 Section 546 of the PH Act, 42 USC 290ee-1, as amended

14. Federal Award Project Title
 Expanded First Responders and Community Partners Overdose Prevention Project (EFCPOPP)

15. Assistance Listing Number
 93.243

16. Assistance Listing Program Title
 Substance Abuse and Mental Health Services_Projects of Regional and National Significance

17. Award Action Type
 Non-Competing Continuation

18. Is the Award R&D?
 No

Summary Federal Award Financial Information	
19. Budget Period Start Date 09/30/2024 – End Date 09/29/2025	
20. Total Amount of Federal Funds Obligated by this Action	\$499,997
20a. Direct Cost Amount	\$499,997
20b. Indirect Cost Amount	\$0
21. Authorized Carryover	
22. Offset	
23. Total Amount of Federal Funds Obligated this budget period	\$499,997
24. Total Approved Cost Sharing or Matching, where applicable	\$0
25. Total Federal and Non-Federal Approved this Budget Period	\$499,997
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26. Project Period Start Date 09/30/2022 – End Date 09/29/2026	
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$1,499,991

28. Authorized Treatment of Program Income
 Additional Costs

29. Grants Management Officer - Signature
 Rosalie Vega

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



First Responders-CARA
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Notice of Award

Issue Date: 07/24/2024

Center for Substance Abuse Treatment

Award Number: 5H79TI084804-03
FAIN: H79TI084804
Program Director: Carmen Combs Marks

Project Title: Expanded First Responders and Community Partners Overdose Prevention Project (EFCPOPP)

Organization Name: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

Authorized Official: Ms. Linda Gorton

Authorized Official e-mail address: mayor@lexingtonky.gov

Budget Period: 09/30/2024 – 09/29/2025

Project Period: 09/30/2022 – 09/29/2026

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$499,997 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT in support of the above referenced project. This award is pursuant to the authority of Section 546 of the PH Act, 42 USC 290ee-1, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Rosalie Vega
Grants Management Officer
Division of Grants Management
Rosalie.Vega@samhsa.hhs.gov
See additional information below

SECTION I – AWARD DATA – 5H79TI084804-03

Award Calculation (U.S. Dollars)

Personnel(non-research)	\$60,832
Fringe Benefits	\$27,599
Travel	\$7,490
Supplies	\$304,079
Contractual	\$99,997
Direct Cost	\$499,997
Approved Budget	\$499,997
Federal Share	\$499,997
Cumulative Prior Awards for this Budget Period	\$0
 AMOUNT OF THIS ACTION (FEDERAL SHARE)	 \$499,997

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
3	\$499,997
4	\$499,997

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number:	93.243
EIN:	1610858140A1
Document Number:	22TI84804A
Fiscal Year:	2024

IC	CAN	Amount
TI	C96N707	\$499,997

IC	CAN	2024	2025
TI	C96N707	\$499,997	\$499,997

TI Administrative Data:

PCC: FRCARA22 / **OC:** 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 5H79TI084804-03

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 5H79TI084804-03

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.

- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – TI SPECIAL TERMS AND CONDITIONS – 5H79TI084804-03

REMARKS

Continuation Award

1. This Notice of Award (NoA) is issued to inform your organization that the application submitted for the *FY 2022 First Responders-Comprehensive Addiction and Recovery Support Services Act Grant (FRCARA22)* program is being continued.

- o This award reflects conditional approval of the budget submitted *02/02/2024* as part of the continuation application by your Organization.

2. Key Personnel

Key Personnel are listed below:

- o *Carmen Combs Marks, Project Director @ 50% level of effort*
- o *Matthew Webster, Evaluator @ 20.6% level of effort*

Organizations receiving Federal Funds may not exceed 100% level of effort for any program staff member (Key Personnel or otherwise) across all federally funded sources.

Any changes to Key Personnel including level of effort involving separation from the project for any continuous period of three months or longer, or a reduction in time dedicated to the project of 25% or more requires a prior approval and must be submitted as a postaward amendment in eRA Commons.

Note: If an organization is awarded a grant and chooses to move forward with hiring an individual for a Key Personnel position before receiving SAMHSA s formal approval, this will be done at the organization s own risk.

For additional information on how to submit a post-award amendment, please visit the SAMHSA website: <https://www.samhsa.gov/grants/grants-management/post->

[award-amendments](#).

3. All responses to award terms and conditions and post award amendment requests must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions or how to submit a post award amendment request please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading Grant Management Reference Materials for Grantees.

4. Recipients are expected to plan their work and ensure that funds are expended within the 12-month budget period reflected on this Notice of Award. If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

SPECIAL CONDITIONS

Revised Detailed Budget with Narrative Justification

Per the NOFO, details must be concrete and specific, demonstrating costs as necessary, reasonable and allocable to the grant. You must justify the basis for each proposed cost and how that cost was calculated. Budget/narrative details must be aligned with your -03 continuation programmatic narrative, referencing activities, resources, staff and other items. In addition, your -03 budget must address the funding limitations/restrictions specified in Section IV-5 of the NOFO as their expenses must be identified in your proposed budget.

By **10/30/2024**, submit the following via the eRA Commons Terms Tracker:

- o **Updated Detailed Budget with Narrative Justification:** Revise the 02/02/24 version of your -03 Budget/Narrative as follows providing a detailed line-item breakdown of costs with an accompanying narrative justification:
 - **B. Fringe Benefits:** Identify the fringe component referenced as "Fringe" at 10%.
 - **C. Travel @ \$7490:** Break down increased mileage proposed for -03 according to traveler, purpose and number of trips involved with estimated mileage.
 - **E. Supplies, Printing/Duplications @ \$808:** Demonstrate the need for this cost in -03 and provide a calculation of the cost according to basis and quantity.
 - **E. Supplies, Educational materials \$5500:** Identify those educational materials and supplies as needed in -03 and provide a calculation of the total cost according to basis and quantity.
 - **F. Contractual, Fringe Benefit:** Explain the Fixed/Lump Sum amount including how the amount charged to this grant has been determined (See previous 3/12/24 correspondence to address your current -02 budget)
 - **F. Contractual, Other (F&A) @ \$25,372:** Reallocate as "Indirect" and properly reflect the application of their 34% rate.
 - **Funding Limitations/Restrictions (per NOFO):** You must address each of the following by confirming any associated costs, including "0", in your -03 budget.

**No more than 15 percent of the total grant award for the budget period may be used for developing the infrastructure necessary for expansion of services.*

**No more than 20 percent of the total grant award for the budget period may be used for data collection, performance measurement, and*

performance assessment, including incentives for participating in the required data collection follow-up.

**Recipients may use up to 10 percent of the total grant award for the budget period for state, tribal, or local governmental administrative costs.*

**SAMHSA grant award funds must not be used for the same activities that are funded by HRSA, CDC, or other SAMHSA programs.*

**Only drugs or devices approved or cleared under the Federal Food, Drug, and Cosmetic Act for emergency treatment of known or suspected opioid overdose may be purchased with FR-CARA funds.*

The SAMHSA Budget Template, user guide, and a [sample budget](#) can be accessed at <https://www.samhsa.gov/grants/applying/forms-resources>.

All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

STANDARD TERMS AND CONDITIONS

Required Reporting

All SAMHSA recipients are required to collect and report certain data so SAMHSA can meet its obligation under the Government Performance Results Act (GPRA) Modernization Act of 2010. These performance data are collected and reported using SAMHSA's Performance Accountability and Reporting System (SPARS). SPARS is an online data entry, reporting, and training system that supports grantee recipients in reporting timely and accurate data to SAMHSA. A username and password are required to gain access to SPARS system, <https://spars.samhsa.gov>. Your assigned Government Project Officer will provide additional information about these reporting requirements after award. Grant recipients will be expected to report each year of the grant. To help grant recipients understand the reporting requirements and systems used to monitor progress, all grant recipients are required to complete online SPARS training.

To meet these requirements, FR CARA grant recipients are expected to:

- o Submit the first quarterly performance report into SPARS no later than January 31, 2025 for the periods covering October 1, 2024 - December 31, 2024
- o Submit the second quarterly performance report into SPARS no later than April 30, 2025 for the periods covering January 1, 2025 - March 31, 2025
- o Submit the third quarterly performance report into SPARS no later than July 31, 2025 for the periods covering April 1, 2025 - June 30, 2025
- o Submit the fourth quarterly performance report into SPARS no later than October 31, 2025 for the periods covering July 1, 2025 - September 30, 2025

Annual Programmatic Progress Report

By **12/28/2025**, submit via eRA Commons: **Annual Programmatic Progress Report.**

The Programmatic Progress Report (PPR) is required on an annual basis and must be submitted no later than 90 days after the end of each 12-month

budget period/incremental period.

The Annual PPR must, at a minimum, include the following information:

- o Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- o A summary of key program accomplishments to-date.
- o Description of the changes, if any, that were made to the project that differ from the application for this budget period.
- o Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Please contact your Government Program Official (GPO) for program specific submission information. Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires SAMHSA to report evaluation data to ensure the effectiveness and efficiency of its programs.

The response to this term must be submitted as PDF documents in eRA Commons under the *View Terms Tracking Details* page. For more information on how to respond to tracked terms and conditions, refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading *How to Respond to Terms and Conditions*.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

Annual Federal Financial Report (FFR or SF-425)

All financial reporting for recipients of Health and Human Services (HHS) grants and cooperative agreements has been consolidated through a single point of entry, which has been identified as the Payment Management System (PMS). The Federal Financial Report (FFR or SF-425) initiative ensures all financial data is reported consistently through one source; shares reconciled financial data to the HHS grants management systems; assists with the timely financial monitoring and grant closeout; and reduces expired award payments.

The FFR is required on an annual basis and must be submitted **no later than 90 days after the end of each incremental period/budget period**. The FFR should reflect cumulative amounts. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

SAMHSA reserves the right to request more frequent submissions of FFRs. If so, the additional submission dates will be shown below.

Your organization is required to submit an FFR for this grant funding as follows:

- By **12/28/2025**, submit the Federal Financial Report (FFR)/(SF-425).
- The grant recipient staff member(s) responsible for FFR preparation, certification and submission of the FFR must either submit a request for New User Access or Update User Access to the FFR Module as applicable. Refer to the PMS User Access website <https://pms.psc.gov/grant-recipients/user-access.html> for information on how to submit a New User Access, Update User

Access or Deactivate User Access. You can also view PMS Video on how to request new user access @ <https://youtu.be/kdoqaXfiuI0> and PDF resource with instructions on Requesting Access @ https://pms.psc.gov/forms/New-User-Request_Grantee.pdf

- Instructions on **how to submit a FFR via PMS** are available at <https://pmsapp.psc.gov/pms/app/help/ffr/ffr-grantee-instructions.html> (The user must be logged in to PMS to access the link). Updates to the FFR instructions effective 4/1/2022 are also available @ <https://pms.psc.gov/grant-recipients/ffr-updates.html>
- While recipients must submit the FFR in PMS, the FFR can also be accessed by connecting seamlessly from the eRA Commons to PMS by clicking the Manage FFR link on the Search for Federal Financial Report (FFR) page in eRA Commons, which will redirect to PMS. SAMHSA will not accept FFRs submitted by email or uploaded as an attachment into eRA. To access the Manage FFR link in eRA Commons, the individual must be registered in eRA Commons and assigned the Financial Status Reporter (FSR) role for their organization. The individual assigned the FSR role is responsible for reporting the statement of grant expenditures for their organization. Refer to the page [Managing eRA User Accounts](#) on SAMHSA's website for instructions on how to assign a the FSR role.

If you have questions about how to set up a PMS account for your organization, please contact the PMS Help Desk at PMSSupport@psc.hhs.gov or 1-877-614-5533. Note: While recipients will use PMS to report all financial expenditures as well as to drawdown funds, recipients will continue to use eRA Commons for all other grant-related matters, including submitting progress reports, requesting post award amendments, and accessing grant documents such as the Notice of Award.

Standard Terms for Awards

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on your Notice of Award. SAMHSA's Terms and Conditions webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Standards for Financial Management

Recipients and subrecipients are required to meet the standards and requirements for financial management systems set forth in 45 CFR part 75 Subpart D. The financial systems must enable the recipient and subrecipient to maintain records that adequately identify the sources of funds for federally assisted activities and the purposes for which the award was used, including authorizations, obligations, unobligated balances, assets, liabilities, outlays or expenditures, and any program income. The system must also enable the recipient and subrecipient to compare actual expenditures or outlays with the approved budget for the award. SAMHSA funds must retain their specific identity – they may not be commingled with non-federal funds or other federal funds. “Commingling funds” typically means depositing or recording funds in a general account without the ability to identify each specific source of funds with related expenditures.

Reasonable Costs for consideration

Recipients must exercise proper stewardship over Federal funds and ensure that

costs charged to awards are allowable, allocable, reasonable, necessary, and consistently applied regardless of the source of funds according to “Reasonable Costs” consideration per 2 CFR § 200.404 and the “Factors affecting allowability of costs” per 2 CFR § 200.403. A cost is reasonable if, in its nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost.

Consistent Treatment of Costs

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also be charged as a direct cost. Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.). If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA’s understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of [45 CFR 75.364](#), [45 CFR 75.371](#), [45 CFR 75.386](#) and [45 CFR Part 75, Subpart E](#), Audit Requirements.

Compliance with Award Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Javana Lovett, Program Official

Phone: 240-276-1099 **Email:** javana.lovett@samhsa.hhs.gov

Linda Kim, Grants Specialist

Phone: 240-276-1865 **Email:** linda.kim@samhsa.hhs.gov