

**PART III**

---

**Invitation to Bid No. 12-2016**

**Construction Unit Price Contract for Parks & Recreation**

**1. FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: 3/16/16

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Bluegrass Contracting Corporation

1075 Red Mile Road, Lexington, KY 40504

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky \_\_\_\_\_, doing business as \_\_\_\_\_ a corporation \_\_\_\_\_ "a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Construction Unit Price Contract for Parks & Recreation** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the

Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$100.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Addendum No. \_\_\_\_ Date \_\_\_\_\_

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder Bluegrass Contracting Corporation

Date 3/16/16

\* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Mark W. Johnson, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~\* 2. A Partnership, all of the members of which, with addresses are. (Designate general partners as such)~~

---

---

---

---

---

~~\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

---

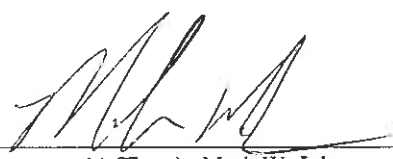
---

\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Mark W. Johnson, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Mark W. Johnson and he/she is the individual submitting the bid or is the authorized representative of Bluegrass Contracting Corporation, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.  
Further, Affiant sayeth naught.



(Affiant) Mark W. Johnson, President

STATE OF Kentucky

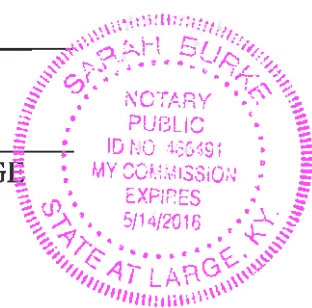
COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by Mark W. Johnson on this the 9<sup>th</sup> day of March, 2016.

My Commission expires: 3-14-2016



NOTARY PUBLIC, STATE AT LARGE



**PROJECT: Construction Unit Price Contract for Parks & Recr LFUCG Bid No. 12-2016**  
**BIDDER: Bluegrass Contracting Corporation**

| #  | Item  | Unit | Spec Sec. | Unit Price |
|----|---|------|-----------|------------|
| 1  | Bituminous pavement for athletic courts Base              | TN   |           | \$127.00   |
| 2  | Bituminous pavement for athletic courts Surface           | TN   |           | \$132.00   |
| 3  | Bituminous pavement reinforced with aramid fibers for ath | TN   |           | \$152.00   |
| 4  | Bituminous pavement reinforced with aramid fibers for ath | TN   |           | \$154.00   |
| 5  | Bituminous pavement milling athletic courts               | TN   |           | \$65.00    |
| 6  | Dense grade aggregate placed Base                         | TN   |           | \$30.00    |
| 7  | Bituminous pavement Crack repair                          | LF   |           | \$26.00    |
| 8  | Bituminous pavement Crack filler                          | LF   |           | \$1.95     |
| 9  | Court color coating                                       | SY   |           | \$6.20     |
| 10 | Court striping  | LF   |           | \$1.30     |
| 11 | Athletic court fencing coated 8'                          | LF   |           | \$42.25    |
| 12 | Athletic court fencing coated 10'                         | LF   |           | \$47.45    |
| 13 | Athletic court fencing coated 12'                         | LF   |           | \$57.85    |
| 14 | Athletic court fencing galvanized 8'                      | LF   |           | \$35.75    |
| 15 | Athletic court fencing galvanized 10'                     | LF   |           | \$42.25    |
| 16 | Athletic court fencing galvanized 12'                     | LF   |           | \$50.00    |
| 17 | Asphalt sealing   | SY   |           | \$1.75     |
| 18 | Parking lot striping                                      | LF   |           | \$1.00     |
| 19 | Concrete sidewalk   | SY   |           | \$50.00    |
| 20 | Finished concrete   | CY   |           | \$480.00   |
| 21 | Excavation  | CY   |           | \$36.00    |
| 22 | Embankment  | CY   |           | \$38.00    |
| 23 | Finish grading  | CY   |           | \$22.50    |
| 24 | Laser grading   | CY   |           | \$150.00   |
| 25 | Environmental fencing                                     | LF   |           | \$6.00     |
| 26 | Seeding and protection                                    | SY   |           | \$1.00     |
| 27 | Topsoil   | CY   |           | \$32.00    |

|  |      |
|--|------|
| Payment and Performance Bond Cost for Projects over \$50,000 (See Special Conditions, Section 6) - Enter % of Total Project Cost | 1.5% |
|--|------|

**PROJECT: Construction Unit Price Contract for Parks & Recr LFUCG Bid No. 12-2016**  
**BIDDER: Bluegrass Contracting Corporation**

| # | Item  | Unit | Spec<br>Sec. | Unit Price               |
|---|---|------|--------------|--------------------------|
|   | Unspecified Incidental Materials at Cost Plus 15% - <b>Check if Interested</b>                              |      |              | <input type="checkbox"/> |
|   | Unspecified Incidental Labor at Direct Wages Plus Certified Overhead Plus 15% - <b>Check if Interested</b>  |      |              | <input type="checkbox"/> |
|   | Minimum Total Project for Consideration (See Special Conditions, Section 3)<br>- <b>Enter dollar amount</b> |      |              | \$ 10,000.00             |

**4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on future Plans for the following proposed unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

In addition to completing the following schedule of values, bidders shall enter their unit prices on the attached Excel spreadsheet (Available through the LFUCG Economic Engine Marketplace), titled *Construction Unit Price Contract P&R Bid Schedule.xls*, and submit it with their bid on a CD or flash drive. Any discrepancies between entries in the table below and the electronic spreadsheet will defer to the unit price as written in the schedule below.

**The Bidder is not required to bid on every item listed in the bid schedule. In instances where the Bidder chooses not to bid on a specific item, the Bidder shall enter the phrase “No Bid” in the unit price column for that respective item. However, Bidders are cautioned that failure to submit a unit bid price on an item will disqualify the Bidder from any project requiring that item, even if they have submitted unit bid prices for all other work items pertaining to that particular project.**

Form of proposal must include unit bid prices written in words and unit price written in numbers per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers per line item.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG’s decision on the bid amount is final.

| Item No. | Description w/Unit Bid Price Written in Words   | Unit | Unit Price       |
|----------|---|------|------------------|
| 1.       | Bituminous pavement for athletic courts - Base<br><u>One Hundred + Twenty Seven</u> Dollars<br><u>zero</u> Cents                                | TN   | \$ <u>127.00</u> |
| 2.       | Bituminous pavement for athletic courts – Surface<br><u>One Hundred + Thirty Two</u> Dollars<br><u>zero</u> Cents                               | TN   | \$ <u>132.00</u> |
| 3.       | Bituminous pavement reinforced with aramid fibers for athletic courts – Base<br><u>one Hundred + Fifty Two</u> Dollars<br><u>zero</u> Cents     | TN   | \$ <u>152.00</u> |
| 4.       | Bituminous pavement reinforced with aramid fibers for athletic courts – Surface<br><u>One Hundred + Fifty Four</u> Dollars<br><u>zero</u> Cents | TN   | \$ <u>154.00</u> |

| Item No. | Description w/Unit Bid Price Written in Words                                       | Unit | Unit Price |
|----------|---|------|------------|
| 5.       | Bituminous pavement milling for athletic courts<br>Sixty five Dollars<br>Zero Cents | TN   | \$ 65.00   |
| 6.       | Dense Grade aggregate placed Base<br>Thirty Dollars<br>Zero Cents                   | TN   | \$ 30.00   |
| 7.       | Bituminous pavement Crack repair<br>Twenty Six Dollars<br>Zero Cents                | LF   | \$ 26.00   |
| 8.       | Bituminous pavement Crack filler<br>One Dollars<br>Ninety Five Cents                | LF   | \$ 1.95    |
| 9.       | Court color coating<br>Six Dollars<br>Twenty Cents                                  | SY   | \$ 6.20    |
| 10.      | Court striping<br>One Dollars<br>Thirty Cents                                       | LF   | \$ 1.30    |
| 11.      | Athletic court fencing coated 8'<br>Forty Two Dollars<br>Twenty Five Cents          | LF   | \$ 42.25   |
| 12.      | Athletic court fencing coated 10'<br>Forty Seven Dollars<br>Forty Five Cents        | LF   | \$ 47.45   |
| 13.      | Athletic court fencing coated 12'<br>Fifty Seven Dollars<br>Eighty Five Cents       | LF   | \$ 57.85   |
| 14.      | Athletic court fencing galvanized 8'<br>Thirty Five Dollars<br>Seventy Five Cents   | LF   | \$ 35.75   |
| 15.      | Athletic court fencing galvanized 10'<br>Forty Two Dollars<br>Twenty Five Cents     | LF   | \$ 42.25   |
| 16.      | Athletic court fencing galvanized 12'<br>Fifty Dollars<br>Zero Cents                | LF   | \$ 50.00   |



| Item No. | Description w/Unit Bid Price Written in Words                                  | Unit | Unit Price       |
|----------|--|------|------------------|
| 17.      | Asphalt sealing<br><u>One</u> Dollars<br><u>Seventy five</u> Cents             | SY   | \$ <u>1.75</u>   |
| 18.      | Parking lot striping<br><u>One</u> Dollars<br><u>zero</u> Cents                | LF   | \$ <u>1.00</u>   |
| 19.      | Concrete Sidewalk<br><u>Fifty</u> Dollars<br><u>zero</u> Cents                 | SY   | \$ <u>50.00</u>  |
| 20.      | Finished concrete<br><u>Four Hundred + Eighty</u> Dollars<br><u>zero</u> Cents | CY   | \$ <u>480.00</u> |
| 21.      | Excavation<br><u>Thirty Six</u> Dollars<br><u>zero</u> Cents                   | CY   | \$ <u>36.00</u>  |
| 22.      | Embankment<br><u>Thirty Eight</u> Dollars<br><u>zero</u> Cents                 | CY   | \$ <u>38.60</u>  |
| 23.      | Finish grading<br><u>Twenty Two</u> Dollars<br><u>Fifty</u> Cents              | CY   | \$ <u>22.50</u>  |
| 24.      | Laser grading<br><u>One Hundred + Fifty</u> Dollars<br><u>zero</u> Cents       | CY   | \$ <u>150.00</u> |
| 25.      | Environmental Fencing<br><u>Six</u> Dollars<br><u>zero</u> Cents               | LF   | \$ <u>6.00</u>   |
| 26.      | Seeding and protection<br><u>One</u> Dollars<br><u>zero</u> Cents              | SY   | \$ <u>1.00</u>   |
| 27.      | Topsoil<br><u>Thirty Two</u> Dollars<br><u>zero</u> Cents                      | CY   | \$ <u>32.00</u>  |

|   |                                     |
|---|-------------------------------------|
| Payment and Performance Bond Cost for Projects over \$50,000.<br>Percent of Total Project Cost*<br>*See Special Conditions, Section 4, Payment for Cost of P&P Bond | <u>1.5</u> %                        |
| Unspecified Incidental Materials at Cost Plus 15% - Check if Interested   | <input checked="" type="checkbox"/> |
| Unspecified Incidental Labor at Direct Wages Plus Certified Overhead Plus 15% - Check if interested   | <input checked="" type="checkbox"/> |
| Minimum Total Project Cost for Consideration (See Special Conditions, Section 2) – Enter dollar amount  | \$ <u>10,000.00</u>                 |

Mobilization, Traffic Control, Construction Staking, and E&S Permitting will be paid on a sliding percentage scale for increments of the final price for Work, per the table below.

For example, if the final total of Bid Item Prices for the Work is \$24,000, Mobilization would be (\$10,000 X 15%) plus (\$10,000 X 10%) plus (\$4,000 X 5%) = \$2,700).

No additional compensation will be paid beyond a contract price of \$100,000. For example, the mobilization cost for a \$100,000 price of Work will be identical to that for a \$110,000 price of Work.

Applicability of Traffic Control, Construction Staking, and E&S Permitting will be agreed upon individually for each Purchase Order.

**Table of Incremental Costs for Mobilization and Additional Services**

| Increment Price for Work | (a)<br>Mobilization<br>Cost % | (b)<br>Traffic<br>Control<br>Cost % | (c)<br>Construction<br>Staking<br>Cost % | (d)<br>SWPP Etc.<br>Cost % |
|--------------------------|-------------------------------|-------------------------------------|--|----------------------------|
| \$0 - \$10,000           | 15.0                          | 7.5                                 | 7.5                                      | 5.0                        |
| \$10,000 - \$20,000      | 10.0                          | 4.5                                 | 4.5                                      | 0.5                        |
| \$20,000 - \$30,000      | 5.0                           | 3.0                                 | 3.0                                      | .05                        |
| \$30,000 - \$50,000      | 5.0                           | 2.5                                 | 2.5                                      | 0.5                        |
| \$50,000 - \$75,000      | 5.0                           | 2.5                                 | 2.5                                      | 0.5                        |
| \$75,000 - \$100,000     | 4.0                           | 2.0                                 | 2.0                                      | 0.5                        |

Submitted by:

Bluegrass Contracting Corporation

Firm

1075 Red Mile Road

Address

Lexington, KY 40504

City, State & Zip

**Bid must be signed:  
(original signature)**

 President  
**Signature of Authorized Company Representative – Title**

Mark W. Johnson, President

Representative/s Name (Typed or Printed)

859-231-0069

Area Code – Phone – Extension

859-281-6457

Fax #

bgcc@bluegrasscontracting.com

E-Mail Address

**OFFICIAL ADDRESS:**

1075 Red Mile Road

P.O. Box 11638

Lexington, KY 40576

(Seal if Bid is by Corporation)



**By signing this form you agree to ALL terms, conditions, and associated forms in this bid package**

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Bluegrass Contracting Corporation
- 2. Permanent Place of Business: 1075 Red Mile Road, Lexington, KY 40504
- 3. When Organized: April 3, 1969
- 4. Where Incorporated: Kentucky
- 5. Construction Plant and Equipment Available for this Project:  
See Attached Corporate Resume  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Attach Separate Sheet If Necessary)

- 6. Financial Condition:  
  
If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

- 7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:  
North American Specialty Insurance Company (Surety)  
Signed: Monica A Kaiser (Representative of Surety)  
Monica A. Kaiser, Attorney-in-Fact

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

| <u>NAME</u>                          | <u>LOCATION</u> | <u>CONTRACT SUM</u> |
|--------------------------------------|-----------------|---------------------|
| <u>See Attached Corporate Resume</u> | _____           | _____               |
| _____                                | _____           | _____               |
| _____                                | _____           | _____               |
| _____                                | _____           | _____               |
| _____                                | _____           | _____               |

9. The Bidder has now under contract and bonded the following projects:

| <u>NAME</u>                   | <u>LOCATION</u>        | <u>CONTRACT SUM</u>   |
|-------------------------------|------------------------|-----------------------|
| <u>USACE - Wolf Creek Dam</u> | <u>Russell Co., KY</u> | <u>\$2,757,036.25</u> |
| <u>LFUCG - Rogers Road</u>    | <u>Lexington, KY</u>   | <u>\$2,838,990.20</u> |
| <u>KYTC - Lincoln Co.</u>     | <u>Lincoln Co., KY</u> | <u>\$1,105,012.51</u> |
| <u>KYTC - Oldham Co.</u>      | <u>Oldham Co., KY</u>  | <u>\$755,974.25</u>   |
| <u>KYTC - Powell Co.</u>      | <u>Powell Co., KY</u>  | <u>\$517,046.75</u>   |

10. List Key Bidder Personnel who will work on this Project.

| <u>NAME</u>                          | <u>POSITION DESCRIPTION</u> | <u>NO. OF YEARS WITH BIDDER</u> |
|--------------------------------------|-----------------------------|---------------------------------|
| <u>See Attached Corporate Resume</u> | _____                       | _____                           |
| _____                                | _____                       | _____                           |
| _____                                | _____                       | _____                           |
| _____                                | _____                       | _____                           |
| _____                                | _____                       | _____                           |

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

| <b><u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u></b> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc. | <b><u>SUBCONTRACTOR</u></b>                                   | <b><u>DBE</u></b><br><b><u>Yes/No</u></b> | <b>% of Work</b> |
|---|---|---|------------------|
| 1. <u>Seeding &amp; Erosion Control</u>   | Name: <u>Cedar Valley Seeding</u><br>Address: <u>Parrs KY</u> | <u>Yes</u>                                | <u>?/N/A</u>     |
| 2. _____  | Name: _____<br>Address: _____                                 | _____                                     | _____            |
| 3. _____  | Name: _____<br>Address: _____                                 | _____                                     | _____            |
| 4. _____  | Name: _____<br>Address: _____                                 | _____                                     | _____            |
| 5. _____  | Name: _____<br>Address: _____                                 | _____                                     | _____            |
| 6. _____  | Name: _____<br>Address: _____                                 | _____                                     | _____            |
| 7. _____  | Name: _____<br>Address: _____                                 | _____                                     | _____            |

(Attach additional sheet(s) if necessary.)

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE) and Disadvantaged (DBE) Business Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned or Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

#### D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid.**

#### E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
  - b. Included documentation of advertising in the above publications with the bidders good faith efforts package



- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- f. Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- j. Provided the interested MWBDE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a

MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.



## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller  
Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

**OUR MISSION:** The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

*“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”*

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs in Economic Engine (<https://lfucg.economicengine.com>)

| <b>Business</b>   | <b>Contact</b>                         | <b>Email Address</b>   | <b>Phone</b> |
|---|--|--|--------------|
| <b>LFUCG</b>  | Sherita Miller                         | <a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>           | 859-258-3323 |
| <b>Commerce Lexington – Minority Business Development</b> | Tyrone Tyra                            | <a href="mailto:ttyra@commercelexington.com">ttyra@commercelexington.com</a>   | 859-226-1625 |
| <b>Tri-State Minority Supplier Diversity Council</b>      | Sonya Brown                            | <a href="mailto:sbrown@tsmsdc.com">sbrown@tsmsdc.com</a>                       | 502-625-0137 |
| <b>Small Business Development Council</b>                 | Dee Dee Harbut<br>UK SBDC              | <a href="mailto:ddharbut@uky.edu">ddharbut@uky.edu</a>                         |              |
|   | Shiree Mack                            | <a href="mailto:smack@uky.edu">smack@uky.edu</a>                               |              |
| <b>Community Ventures Corporation</b>                     | James Coles                            | <a href="mailto:jcoles@cycky.org">jcoles@cycky.org</a>                         | 859-231-0054 |
| <b>KY Department of Transportation</b>                    | Melvin Bynes                           | <a href="mailto:Melvin.bynes@ky.gov">Melvin.bynes@ky.gov</a>                   | 502-564-3601 |
|   | Shella Eagle                           | <a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>                   | 502-564-3601 |
| <b>Ohio River Valley Women's Business Council (WBENC)</b> | Rea Waldon                             | <a href="mailto:rwaldon@gcul.org">rwaldon@gcul.org</a>                         | 513-487-6534 |
| <b>Kentucky MWBE Certification Program</b>                | Yvette Smith, Kentucky Finance Cabinet | <a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>                   | 502-564-8099 |
| <b>National Women Business Owner's Council (NWBOC)</b>    | Janet Harris-Lange                     | <a href="mailto:janet@nwbo.org">janet@nwbo.org</a>                             | 800-675-5066 |
| <b>Small Business Administration</b>                      | Robert Coffey                          | <a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>                 | 502-582-5971 |
| <b>LaVoz de Kentucky</b>                                  | Andres Cruz                            | <a href="mailto:lavozeck@yahoo.com">lavozeck@yahoo.com</a>                     | 859-621-2106 |
| <b>The Key News Journal</b>                               | Patrice Muhammad                       | <a href="mailto:paatricem@keynewsjournal.com">paatricem@keynewsjournal.com</a> | 859-373-9428 |



**LFUCG MWDBE PARTICIPATION FORM**

Bid/RFP/Quote Reference # 12-2016

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

| MWDBE Company, Name, Address, Phone, Email   | Work to be Performed      | Total Dollar Value of the Work | % Value of Total Contract |
|--|---------------------------|--------------------------------|---------------------------|
| 1. Cedar Valley Seeding<br>Susan Redmon<br>851 Redmon Rd<br>Paris, KY 859-937-1497 | Seeding & Erosion Control | N/A                            | N/A                       |
| 2.   |                           |                                |                           |
| 3.   |                           |                                |                           |
| 4.   |                           |                                |                           |

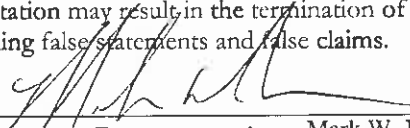
The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Bluegrass Contracting Corporation

**Company**

03/16/16

**Date**

  
**Company Representative** Mark W. Johnson

President

**Title**



**MWDBE QUOTE SUMMARY FORM**

**Bid/RFP/Quote Reference #** 12-2016

**The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.**

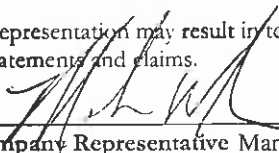
|  |  |
|--|--|
| Company Name<br>Bluegrass Contracting Corporation  | Contact Person<br>Mark W. Johnson, President       |
| Address/Phone/Email<br>1075 Red Mile Road, Lexington, KY 40504<br>(859) 231-0069 / bgcc@bluegrasscontracting.com | Bid Package / Bid Date<br>12-2016 / March 16, 2016 |

| MWDBE Company Address | Contact Person | Contact Information (work phone, Email, cell) | Date Contacted | Services to be performed | Method of Communication (email, phone meeting, ad, event etc) | Total dollars \$\$ Do Not Leave Blank (Attach Documentation) | MBE *<br>AA<br>HA<br>AS<br>NA<br>Female |
|-----------------------|----------------|---|----------------|--------------------------|---|--|---|
| Cedar Valley          | Susan Redman   | 859-987-1497<br>CVSeeding@outlook.com         | 3/9/16         | Erosion                  | email   | N/A  | Female                                  |
|                       |                |   |                |                          |   |  |   |
|                       |                |   |                |                          |   |  |   |
|                       |                |   |                |                          |   |  |   |
|                       |                |   |                |                          |   |  |   |
|                       |                |   |                |                          |   |  |   |

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Bluegrass Contracting Corporation  
 \_\_\_\_\_  
 Company  
 3/16/16  
 \_\_\_\_\_  
 Date

  
 \_\_\_\_\_  
 Company Representative Mark W. Johnson  
 President  
 \_\_\_\_\_  
 Title

11. DBE Participation on current bonded projects under contract:

| <u>SUBCONTRACTORS<br/>(LIST)</u>  | <u>PROJECT<br/>(SPECIFIC TYPE)</u> | <u>DBE</u> | <u>% of WORK</u> |
|-----------------------------------|------------------------------------|------------|------------------|
| <u>Cedar Valley Seeding, Inc.</u> | <u>Storm/Sewer</u>                 | <u>Yes</u> | <u>1.5%</u>      |
| <u>NWK Construction</u>           | <u>Asphalt/Rd Rehab</u>            | <u>Yes</u> | <u>4.7%</u>      |
| <u>Pro-Mark, Inc.</u>             | <u>Asphalt/Rd Rehab</u>            | <u>Yes</u> | <u>3.45%</u>     |
| <u> </u>                          | <u> </u>                           | <u> </u>   | <u> </u>         |
| <u> </u>                          | <u> </u>                           | <u> </u>   | <u> </u>         |
| <u> </u>                          | <u> </u>                           | <u> </u>   | <u> </u>         |
| <u> </u>                          | <u> </u>                           | <u> </u>   | <u> </u>         |

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

## Julie Shearer

---

**From:** Pauley, Daniel S <daniel.pauley@uky.edu>  
**Sent:** Thursday, March 10, 2016 10:13 AM  
**To:** julies@bluegrasscontracting.com  
**Subject:** Confirmation of Bid Posting

M.s Shearer,

Please see below for confirmation of your bid posted on March 8, 2016:

**Bluegrass Contracting Corporation, 1075 Red Mile Road, Lexington, KY 40504.**

Y – Potential Construction Subcontracting Opportunities-Diverse Businesses Requested. SOL DUE 03/15/2016 Daniel Stamps. Phone: (859)231-0069. Email: daniels@bluegrasscontracting.com. BID Date: 3/15/16. BID TIME: 5:00 PM. "Bluegrass Contracting Corporation is inviting quotes on the following. Project: Construction Unit Price Contract for Parks & Recreation. Project Location: Lexington, KY. Quotes Requested for the following subcontractors: Fencing, Seeding and Protection, Parking Lot Striping and Other Miscellaneous Trades. Quotes Requested for the following materials: Pavement, Stone, Fence, Concrete and Other Miscellaneous Materials. Additional Information (e.g. DBEs strongly encouraged to bid): DBE Goal is 10%. Plans Available at the following location(s): LFUCG Division of Central Purchasing 200 East Main St, Lexington, KY 40507. Bluegrass Contracting Corporation - 1075 Red Mile Rd, Lexington, KY 40504. Project Contact Information: Name: Daniel Stamps. Phone: (859)231-0069. Email: daniels@bluegrasscontracting.com. This notice is provided by the Kentucky Procurement Technical Assistance Center (PTAC). The information is believed to be correct, but should be verified with the issuing agency/organization.

Dan Pauley  
Procurement Coordinator  
Kentucky Procurement Technical Assistance Center (PTAC)  
University of Kentucky  
One Quality Street, Suite 635  
Lexington, KY 40507  
[www.KentuckyPTAC.com](http://www.KentuckyPTAC.com)<<http://www.KentuckyPTAC.com>>  
[@kentuckyptac](mailto:@kentuckyptac)  
[daniel.pauley@uky.edu](mailto:daniel.pauley@uky.edu)  
[859.257.7668](tel:859.257.7668) Office  
[859.323.1907](tel:859.323.1907) Fax



**LFUCG STATEMENT OF GOOD FAITH EFFORTS**  
**Bid/RFP/Quote # 12-2016**

---

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

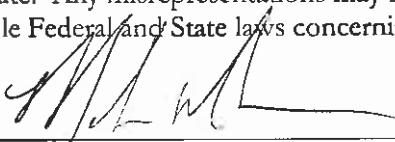
- \_\_\_\_\_ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- \_\_\_\_\_ Included documentation of advertising in the above publications with the bidders good faith efforts package
- \_\_\_\_\_ Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- \_\_\_\_\_ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- \_\_\_\_\_ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- \_\_\_\_\_ Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- \_\_\_\_\_ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- \_\_\_\_\_ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- \_\_\_\_\_ Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- \_\_\_\_\_ Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- \_\_\_\_\_ Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

- \_\_\_\_\_ Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- \_\_\_\_\_ Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- \_\_\_\_\_ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
- \_\_\_\_\_ Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- \_\_\_\_\_ Made efforts to expand the search for MWDBE firms beyond the usual geographic boundaries.
- \_\_\_\_\_ Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Bluegrass Contracting Corporation  
**Company**  
03/16/16  
**Date**

  
**Company Representative** Mark W. Johnson  
**President**  
**Title**

**8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky  X . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. **STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: See Attached Corporate Resume

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

**10. EQUAL OPPORTUNITY AGREEMENT**

The Law

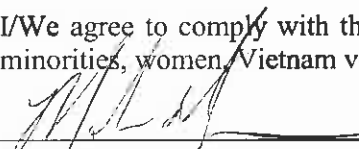
- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:  
*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*
- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:  
*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

  
\_\_\_\_\_  
Signature

Bluegrass Contracting Corporation  
\_\_\_\_\_  
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

#### KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

#### KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.



**11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Bluegrass Contracting Corporation to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

**12. WORKFORCE ANALYSIS FORM**

Name of Organization: Bluegrass Contracting Corporation

Date: 03 / 10 / 16

| Categories          | Total     | White     |          | Black    |   | Other    |   | Total     |          |
|---------------------|-----------|-----------|----------|----------|---|----------|---|-----------|----------|
|                     |           | M         | F        | M        | F | M        | F | M         | F        |
| Administrators      | 1         | 1         |          |          |   |          |   | 1         |          |
| Professionals       | 1         | 1         |          |          |   |          |   | 1         |          |
| Superintendents     | 3         | 3         |          |          |   |          |   | 3         |          |
| Supervisors         |           |           |          |          |   |          |   |           |          |
| Foremen             | 15        | 15        |          |          |   |          |   | 15        |          |
| Technicians         |           |           |          |          |   |          |   |           |          |
| Protective Service  |           |           |          |          |   |          |   |           |          |
| Para-Professionals  |           |           |          |          |   |          |   |           |          |
| Office/Clerical     | 4         |           | 4        |          |   |          |   |           | 4        |
| Skilled Craft       | 51        | 45        | 1        | 3        |   | 2        |   | 50        | 1        |
| Service/Maintenance | 2         | 2         |          |          |   |          |   | 2         |          |
| <b>Total:</b>       | <b>77</b> | <b>67</b> | <b>5</b> | <b>3</b> |   | <b>2</b> |   | <b>72</b> | <b>5</b> |

Prepared By: Billie Johnson

**13. EVIDENCE OF INSURABILITY**

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT**  
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Name Insured: Bluegrass Contracting Corporation  
Address: 1075 Red Mile Road, Lexington, KY 40504

Employee ID: 61-0679440  
Phone: 859-231-0069

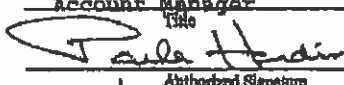
Project to be insured: Construction Unit Price Contract for Parks & Recreation

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are confined to the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

| Section Items                              | Coverage | Minimum Limits and Policy Requirements         | Limits Provided To Insured | Name of Insurer         | A.M. Best's Code | Rating |
|--|----------|--|----------------------------|-------------------------|------------------|--------|
| BC-3, Section 2, Part 4.1 - see provisions | OGL      | \$1,000,000 per occ. And \$2,000,000 aggregate | \$1,000,000/<br>2,000,000  | Travelers Insurance Co. | 004461           | A++:XV |
| BC-3, Section 2, Part 4.1 - see provisions | AUTO     | \$2,000,000/occ.                               | \$1,000,000                | Travelers Ins.          | 004461           | A++:XV |
| BC-3, Section 2, Part 4.1 - see provisions | WC       | Statutory w/endorsement as noted               | \$4,000,000                | Kentucky AGC            | 055002           | A-:VII |

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

T. Smith Lanier & Co.  
Agency or Brokerage  
360 E. Vine Street  
Street Address  
Lexington KY 40507  
City State Zip  
859-244-7637  
Telephone Number

Paula Hardin  
Name of Authorized Representative  
Account Manager  
Title  
  
Authorized Signature  
3/8/16  
Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT:** Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

**14. DEBARRED FIRMS**

**PROJECT NAME:** Construction Unit Price Contract for Parks & Recreation

**BID NUMBER:** 12-2016

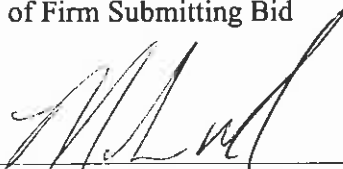
**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Bluegrass Contracting Corporation has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Bluegrass Contracting Corporation  
Name of Firm Submitting Bid

  
Signature of Authorized Official Mark W. Johnson

President  
Title

03/16/16  
Date

## 15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

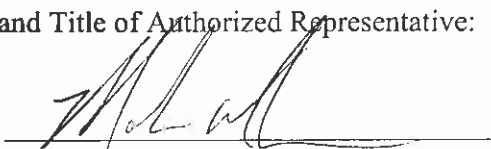
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Bluegrass Contracting Corporation

Project: Construction Unit Price Contract for Parks & Recreation

Printed Name and Title of Authorized Representative: Mark W. Johnson, President

Signature: 

Date: 03/16/16

END OF SECTION



**BLUEGRASS CONTRACTING CORPORATION**

**INCORPORATED:**

**April 3, 1969**

**PRE-QUALIFIED BY:**

**Kentucky Department of Transportation  
Tennessee Department of Transportation**

**CERTIFIED:**

**Small Business  
Hub Zone  
Veteran Owned**

**AWARDS:**

**Kentucky Association of Highway Contractors, Inc.  
President's Safety Achievement Award 1991  
KAHC Chairman's Safety Achievement Award 1999  
Safety Merit Award 1993, 1994, 2002, 2003, 2004, 2006  
Safety Award 2007, 2008, 2009, 2012 & 2013**

**American Public Works Association – KY Chapter  
Project of the Year: Sugar Mill Mitigation Project  
Stormwater Category, 2010**

**Indiana Ready Mix Concrete Association  
Outstanding Concrete Achievement Award:  
Merom Landfill Cell Project – Sullivan, IN, 2013**

**KY Ready Mixed Concrete Association  
Concrete Design Award for the 19<sup>th</sup> Engineer Battalion  
Complex, 2014**

**KY Ready Mixed Concrete Association  
Concrete Design Award for the US25 & KY36 Intersection  
2014**

**MEMBERS OF:**

**Kentucky Association of Highway Contractors, Inc.  
since 1970  
Kentucky Ready Mixed Concrete Association since 2005  
Kentucky County Judge/Executive Association  
Society of American Military Engineers  
Kentucky Concrete Pavement Association**



## BLUEGRASS CONTRACTING CORPORATION

Bluegrass Contracting Corporation has been focused on heavy and highway construction since 1969, but today as a general contractor our focus has evolved to include many other areas.

### SERVICES WE SPECIALIZE IN:

Project Management  
Design Build

Site Development  
Sanitary Landfill Operation

Bridges and Culverts  
Concrete Paving

Clearing and Grubbing  
Grading

Drainage and Storm Sewer  
Sanitary Sewer

Asphalt Paving  
Asphalt Sales (Garrard Co. Plant)

### BUSINESS VOLUME

Fiscal Year Ending:

|          |                 |
|----------|-----------------|
| 12-31-14 | \$19,000,000.00 |
| 12-31-13 | 13,400,000.00   |
| 12-31-12 | 10,071,050.00   |
| 12-31-11 | 6,776,000.00    |
| 12-31-10 | 8,209,000.00    |
| 12-31-09 | 6,466,000.00    |
| 12-31-08 | 7,236,000.00    |
| 12-31-07 | 6,989,000.00    |
| 12-31-06 | 6,300,000.00    |
| 12-31-05 | 4,200,000.00    |
| 12-31-04 | 2,017,060.00    |
| 12-31-03 | 1,268,000.00    |
| 12-31-02 | 2,848,000.00    |
| 12-31-01 | 3,386,000.00    |
| 12-31-00 | 5,400,000.00    |
| 12-31-99 | 4,790,000.00    |
| 12-31-98 | 4,368,000.00    |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>                                       | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|---|------------------------|---------------|------------------------|
| Federal Highway Administration<br>Land Between the Lakes                | \$334,757.25           | 0%            | 12/15                  |
| Carver Tunnel Rehabilitation<br>U.S. Army Corps of Engineers            | \$870,607.62           | 5%            | 03/16                  |
| Boonesboro Manor Pump Station<br>JFG Enterprises, Inc.                  | \$324,724.00           | 0%            | 01/16                  |
| KY Dept. of Transportation<br>Fayette Co.                               | \$217,878.30           | 0%            | 11/15                  |
| Blue Grass Army Depot<br>Container/Handling Builindg<br>TEM Group, Inc. | \$144,785.00           | 10%           | 11/15                  |
| Marion-Crittendon Airport<br>Jave, LLC                                  | \$56,070.00            | 0%            | 12/15                  |
| KY Dept. of Transportation<br>Whitley Co.                               | \$264,053.00           | 60%           | 11/15                  |
| KY Dept. of Transportation<br>Madison Co.                               | \$280,083.10           | 30%           | 11/15                  |
| KY Dept. of Transportation<br>Laurel Co.                                | \$1,116,218.25         | 60%           | 11/15                  |
| KY Dept. of Transportation<br>Butler Co. D/O                            | \$237,525.00           | 100%          | 08/15                  |
| KY Dept. of Transportation<br>Simpson Co. D/O                           | \$220,700.00           | 100%          | 09/15                  |
| Lincoln Co. Roads<br>Lincoln Co. Fiscal Court                           | \$453,180.00           | 100%          | 09/15                  |
| Speedway – Versailles, KY<br>Lawrence Building Company                  | \$560,302.70           | 60%           | 10/15                  |
| Danville Streetscape<br>City of Danville                                | \$493,511.75           | 5%            | 12/15                  |





BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>                                      | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|--|------------------------|---------------|------------------------|
| Linden Walk East Parking Lot<br>University of Kentuck<br>Lexington, KY | \$192,289.25           | 100%          | 08/15                  |
| Derby Estates<br>City of Georgetown, KY                                | \$55,565.00            | 100%          | 08/15                  |
| Eagle Way – Hopkinsville, KY<br>City of Hopkinsville, KY               | \$588,744.00           | 40%           | 10/15                  |
| LFUCG<br>Meadows-Northland Arlington 5C                                | \$864,959.22           | 20%           | 04/16                  |
| KY Dept. of Transportation<br>Hardin Co. W/O                           | \$494,000.00           | 100%          | 05/15                  |
| KY Dept. of Transportation<br>Johnson Co.                              | \$978,224.25           | 100%          | 09/15                  |
| SRE Maintenance Facility<br>Messer Construction                        | \$980,716.00           | 95%           | 08/15                  |
| KY Dept. of Transportation<br>Danville D/O                             | \$90,470.00            | 100%          | 12/14                  |
| Speedway<br>Wilson Brothers  | \$572,229.00           | 95%           | 10/15                  |
| Lextran Headquarters<br>Messer Construction                            | \$2,457,479.00         | 65%           | 11/15                  |
| Turfland Mall Parking Lot<br>Bristol Group                             | \$626,023.25           | 100%          | 12/14                  |
| KY Dept. of Transportation<br>Whitley Co.                              | \$408,391.75           | 100%          | 12/14                  |
| KY Dept. of Transportation<br>Butler Co.                               | \$2,762,317.25         | 100%          | 09/15                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>   | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|---|------------------------|---------------|------------------------|
| KY Dept. of Transportation<br>Bath Co.  | \$97,200.54            | 100%          | 11/14                  |
| KY Dept. of Transportation<br>Garrard Co.   | \$416,427.10           | 100%          | 07/15                  |
| KY Dept. of Transportation<br>Madison Co.   | \$191,640.63           | 100%          | 12/14                  |
| Spurlock Station Landfill<br>Ryan Incorporated Central<br>Maysville, KY               | \$46,385.00            | 100%          | 12/14                  |
| KY Dept. of Transportation<br>Laurel Co. Delivery Order                               | \$304,000.00           | 100%          | 09/14                  |
| Jim Beam<br>H & M Construction Co., Inc.  | \$46,904.00            | 100%          | 11/14                  |
| Campbell Co.<br>Mago Construction Co.   | \$198,328.00           | 100%          | 11/14                  |
| Indian Fort Trail Bike Path<br>City of Berea, KY                                      | \$284,689.25           | 100%          | 11/14                  |
| Federal Highway Administration<br>Great Smokey Mtns.<br>Roaring Fork – Gatlinburg, TN | \$1,799,629.00         | 100%          | 05/15                  |
| Federal Highway Administration<br>Blue Ridge Parkway, NC                              | \$847,334.00           | 100%          | 05/15                  |
| LFUCG<br>Isaac Murphy Trailhead   | \$97,686.70            | 100%          | 12/14                  |
| Bell Co.<br>Hinkle Contracting  | \$267,387.75           | 100%          | 08/14                  |
| KY Dept. of Transportation<br>Clark Co.   | \$195,239.50           | 100%          | 08/14                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>                                   | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|---|------------------------|---------------|------------------------|
| ICI – Georgetown, KY<br>Shimizu North America                       | \$705,500.00           | 100%          | 05/15                  |
| KY Dept. of Transportation<br>Garrard Co. Work Order                | \$48,350.00            | 100%          | 06/14                  |
| Sabre Field Asphalt Apron<br>Replacement<br>TJC Engineering, Inc.   | \$630,382.00           | 100%          | 11/14                  |
| KY Dept. of Transportation<br>Barren Co. Work Oder                  | \$52,741.05            | 100%          | 05/14                  |
| UK FEMA Flood Mitigation<br>University of Kentucky<br>Lexington, KY | \$5,404,486.95         | 100%          | 09/15                  |
| KY Dept. of Transportation<br>Laurel Co. US25                       | \$179,582.88           | 100%          | 04/14                  |
| KY Dept. of Transportation<br>Laurel Co. Hwy 192                    | \$115,013.33           | 100%          | 05/14                  |
| KY Dept. of Transportation<br>Owen Co.                              | \$841,332.04           | 100%          | 07/14                  |
| Whitley Co.<br>Kay & Kay Contracting                                | \$336,298.50           | 100%          | 08/14                  |
| Greenbriar Country Club<br>Lexington, KY                            | \$23,438.00            | 100%          | 04/14                  |
| KY Dept. of Transportation<br>Bell Co.                              | \$743,911.98           | 100%          | 04/14                  |
| Blue Grass Airport<br>Codell Construction                           | \$700,773.00           | 100%          | 10/14                  |
| Hume Road Power Plant<br>Davis H. Elliot<br>Lexington, KY           | \$85,660.75            | 100%          | 06/14                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>  | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|--|------------------------|---------------|------------------------|
| LFUCG<br>Pump Station<br>Lexington, KY                                   | \$161,977.00           | 100%          | 06/14                  |
| Transylvania University<br>Fourth Street Reconstruction<br>Lexington, KY | \$239,630.40           | 100%          | 11/13                  |
| Toyota Tsusho<br>Denham-Blythe Co.<br>Georgetown, KY                     | \$36,025.00            | 100%          | 09/13                  |
| KY Dept. of Transportation<br>Estill Co.                                 | \$372,019.85           | 100%          | 11/13                  |
| KY Dept. of Transportation<br>Powell Co.                                 | \$108,180.25           | 100%          | 11/13                  |
| KY Dept. of Transportation<br>Madison Co.                                | \$335,408.00           | 100%          | 11/13                  |
| KY Dept. of Transportation<br>Clark Co.                                  | \$373,829.60           | 100%          | 12/13                  |
| Commonwealth of Kentucky<br>D/O Hardin Co.                               | \$337,800.00           | 100%          | 07/13                  |
| LFUCG<br>Clays Mill Road Improvements<br>Lexington, KY                   | \$3,310,582.35         | 100%          | 09/14                  |
| LFUCG<br>Tates Creek Sidewalks<br>Lexington, KY                          | \$1,671,416.30         | 100%          | 06/14                  |
| KY Dept. of Transportation<br>Grant Co.                                  | \$618,253.50           | 100%          | 08/13                  |
| City of Simpsonville<br>US-60 Highway Improvements                       | \$618,253.50           | 100%          | 12/13                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>   | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|---|------------------------|---------------|------------------------|
| LFUCG<br>Meadows-Northland Arlington 5B<br>Lexington, KY                        | \$738,199.00           | 100%          | 07/14                  |
| Watterson Expressway<br>Hall Contracting<br>Louisville, KY                      | \$129,000.00           | 100%          | 07/13                  |
| KY Dept. of Transportation<br>Gene Snyder Freeway<br>Jefferson Co.              | \$64,500.00            | 100%          | 07/13                  |
| Scott Co. I-75<br>Hamilton-Hinkle Paving<br>Georgetown, KY                      | \$1,337,001.50         | 100%          | 08/13                  |
| Fayette Co. - Newtown Pike<br>KY Dept. of Transportation<br>Lexington, KY       | \$3,022,078.72         | 100%          | 08/14                  |
| 19 <sup>th</sup> Engineering Battalion Complex<br>B.L. Harbert<br>Fort Knox, KY | \$1,253,748.00         | 100%          | 05/14                  |
| Fleming Co.<br>HG Mays<br>Flemingsburg, KY                                      | \$572,984.00           | 100%          | 07/13                  |
| ATS Construction<br>Deroode St<br>Lexington, KY                                 | \$581,942.00           | 100%          | 11/13                  |
| Mammoth Cave National Park<br>Cave City, KY                                     | \$24,875.00            | 100%          | 01/13                  |
| KY Dept. of Transportation<br>Franklin County                                   | \$306,002.25           | 100%          | 07/13                  |
| Trans-Ash, Inc.<br>Drakesboro, KY   | \$77,706.21            | 100%          | 06/13                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>  | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|--|------------------------|---------------|------------------------|
| City of Winchester, KY<br>Depot Street   | \$1,022,231.00         | 100%          | 06/13                  |
| KY Dept. of Transportation<br>Pulaski County                                       | \$1,053,854.21         | 100%          | 11/12                  |
| KY Dept. of Transportation<br>Bell County  | \$573,538.44           | 100%          | 11/12                  |
| KY Dept. of Transportation<br>Laurel County  | \$1,843,640.00         | 100%          | 10/12                  |
| Federal Highway Administration<br>Jackson County, KY                               | \$490,806.50           | 100%          | 11/12                  |
| KY Dept. of Transportation<br>Barren County  | \$521,478.12           | 100%          | 09/12                  |
| City of Georgetown, KY<br>Kroger Turning Lane                                      | \$64,925.00            | 100%          | 07/12                  |
| Mason Co. Gabion Mattress<br>Maysville, KY   | \$324,601.97           | 100%          | 08/12                  |
| KY Dept. of Transportation<br>Butler County  | \$368,188.00           | 100%          | 07/12                  |
| Federal Highway Administration<br>Caney Creek                                      | \$2,058,313.00         | 100%          | 02/13                  |
| Republic Services<br>Transfer Station<br>Lexington, KY                             | \$225,125.00           | 100%          | 02/12                  |
| Ironton Bridge<br>Wayne National Forest<br>Ironton, OH                             | \$985,430.00           | 100%          | 07/12                  |
| U.S. Army Corps of Engineers<br>Old Hickory Bluff Stabilization<br>Old Hickory, TN | \$613,485.00           | 100%          | 06/12                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>  | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|--|------------------------|---------------|------------------------|
| Federal Highway Administration<br>Mammoth Cave National Park                                     | \$763,096.25           | 100%          | 11/11                  |
| Hinkle Contracting, LLC<br>Hoosier Energy<br>Bloomington, IN                                     | \$1,835,526.00         | 100%          | 08/12                  |
| KY Dept. of Transportation<br>Whitley County   | \$214,290.35           | 100%          | 06/12                  |
| KY Dept. of Transportation<br>Perry County   | \$1,229,280.10         | 100%          | 06/12                  |
| Chickamauga & Chattanooga<br>National Military Park<br>Alexander's Bridge<br>Chickamauga, GA     | \$1,598,256.00         | 100%          | 12/11                  |
| KY Finance Cabinet<br>Somerset Community College<br>Somerset, KY                                 | \$454,700.00           | 100%          | 03/11                  |
| KY Dept. of Transportation<br>Clark County   | \$25,570.00            | 100%          | 04/11                  |
| KY Dept. of Transportation<br>Boyle County   | \$50,620.00            | 100%          | 05/11                  |
| KY Dept. of Transportation<br>Second Street/KY32 Intersection<br>Rowan County                    | \$1,530,381.70         | 100%          | 02/12                  |
| KY Dept. of Transportation<br>Concrete Pavement US25<br>Laurel County                            | \$683,234.82           | 100%          | 07/10                  |
| U.S. Forest Service<br>Major Culverts<br>Daniel Boone National Forest<br>Bath & Whitley Counties | \$447,500.00           | 100%          | 08/11                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>  | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|--|------------------------|---------------|------------------------|
| KY Dept. of Transportation<br>Legacy Trail Phase 2<br>Bridge/Trail Construction<br>Lexington, KY | \$697,800.00           | 100%          | 09/10                  |
| KY Dept. of Transportation<br>Legacy Trail Phase 1<br>Bridge/Trail Construction<br>Lexington, KY | \$1,737,800.00         | 100%          | 09/10                  |
| KY Dept. of Transportation<br>Streetscape<br>Oldham County<br>Crestwood, KY                      | \$103,828.92           | 100%          | 08/10                  |
| KY Dept. of Transportation<br>Streetscape<br>Oldham County<br>Pee Wee Valley, KY                 | \$98,177.17            | 100%          | 08/10                  |
| KY Dept. of Transportation<br>Jefferson County<br>Middletown, KY                                 | \$156,069.19           | 100%          | 10/10                  |
| U.S. Forest Service<br>Indian Creek<br>Bridge Replacement<br>Menifee County                      | \$315,000.00           | 100%          | 09/09                  |
| LFUCG<br>Vaughn's Branch<br>Flood Mitigation Project<br>Lexington, KY                            | \$1,225,006.25         | 100%          | 05/10                  |
| KY Dept. of Transportation<br>Jefferson County<br>Louisville, KY                                 | \$399,034.44           | 100%          | 11/09                  |
| KY Dept. of Transportation<br>Greenup County<br>Ashland, KY                                      | \$109,995.55           | 100%          | 07/09                  |





BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>  | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|--|------------------------|---------------|------------------------|
| Allied Technical Services, Inc.<br>Lake Vega Siphon<br>Blue Grass Army Depot<br>Richmond, KY                 | \$152,400.00           | 100%          | 06/09                  |
| U.S. Army Corps of Engineers<br>Wilson Road Improvements<br>Fort Knox, KY                                    | \$6,474,653.00         | 100%          | 04/10                  |
| City of Beattyville<br>Beattyville Streetscape<br>Beattyville, KY  | \$620,854.00           | 100%          | 06/09                  |
| Eastern Federal Lands Hwy. Div.<br>Federal Highway Administration<br>Panther Creek Bridge<br>Bryson City, NC | \$1,053,367.00         | 100%          | 08/09                  |
| City of Berea<br>Mayde Road Improvements<br>Berea, KY  | \$3,053,470.24         | 100%          | 11/08                  |
| KY Dept. of Transportation<br>Casey County Bridge<br>Liberty, KY   | \$560,890.37           | 100%          | 06/08                  |
| KY Finance Cabinet<br>Cigar Lane Improvements<br>KY Horse Park<br>Georgetown, KY                             | \$1,778,240.00         | 100%          | 07/08                  |
| Layne Geo Construction<br>Lake Vega Dam Repairs<br>Blue Grass Army Depot<br>Richmond, KY                     | \$331,527.00           | 100%          | 07/08                  |
| KY Dept. of Transportation<br>Rockcastle County Bridge<br>Renfro Valley, KY                                  | \$247,862.80           | 100%          | 05/08                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>   | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|---|------------------------|---------------|------------------------|
| LFUCG<br>Meadow, Northland, Arlington<br>Neighborhood Improvements<br>Lexington, KY                           | \$959,526.50           | 100%          | 11/07                  |
| Kay & Kay Contracting, LLC<br>Laurel Co.  | \$1,488,516.00         | 100%          | 10/07                  |
| KY Dept. of Transportation<br>Hardin County<br>Sonora, KY   | \$677,082.20           | 100%          | 08/07                  |
| City of Versailles<br>Versailles Streetscape<br>Versailles, KY  | \$298,840.00           | 100%          | 08/07                  |
| Bechtel Parson, Inc.<br>BGCAPP – Roadwork Concrete,<br>Caissons, and Mech. Utilities<br>Blue Grass Army Depot | \$530,987.00           | 100%          | 07/07                  |
| Bechtel Parsons, Inc.<br>BGCAPP – Fencing Installations<br>Blue Grass Army Depot<br>Richmond, KY              | \$1,037,115.00         | 100%          | 07/07                  |
| KY Finance Cabinet<br>Mark's Lane Bridge<br>Georgetown, KY  | \$64,300.00            | 100%          | 06/07                  |
| Army Corps of Engineers<br>Cumberland Point Boat Ramp<br>Lake Cumberland, KY                                  | \$29,735.00            | 100%          | 05/07                  |
| Army Corps of Engineers<br>Waitsboro Boat Ramp<br>Lake Cumberland, KY   | \$20,926.00            | 100%          | 05/07                  |
| Army Corps of Engineers<br>Alligator #2 Boat Ramp<br>Lake Cumberland, KY                                      | \$23,995.00            | 100%          | 05/07                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>   | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|---|------------------------|---------------|------------------------|
| Pendleton Co. Fiscal Court<br>Lenoxburg Bridge<br>Pendleton, KY   | \$247,500.00           | 100%          | 05/07                  |
| Eastern Federal Lands Hwy. Div.<br>Federal Highway Administration<br>North Carolina Forest Highway<br>Haywood County, North Carolina    | \$3,156,029.87         | 100%          | 02/07                  |
| Blue Grass Airport<br>Phase IIIB – NAVAIDs<br>Runway 4-22 Safety Area Improv.<br>Lexington, KY  | \$1,505,000.00         | 100%          | 01/07                  |
| LFUCG<br>I-75 Sound Barrier Wall<br>Lexington, KY   | \$372,637.50           | 100%          | 10/06                  |
| Eastern Federal Lands Hwy. Div.<br>Federal Highway Administration<br>Cold Hill Rd.-Daniel Boone Nat'l Forest<br>Laurel County, Kentucky | \$1,804,396.00         | 100%          | 01/06                  |
| Whistlers Lane Home Owners Assoc.<br>Bridge on Thistle Way<br>Scott County, Kentucky  | \$109,831.00           | 100%          | 11/05                  |
| Brasch & Berry Contractors<br>Goodwill Industries Site<br>Rowan County, Kentucky  | \$89,640.00            | 100%          | 10/05                  |
| TDOT Bridge<br>Macon County, Tennessee  | \$362, 640.00          | 100%          | 10/05                  |
| KYDOT JPC Pavement Repairs<br>Whitley County, Kentucky  | \$121,275.50           | 100%          | 07/05                  |
| KYDOT JPC Pavement Repairs<br>Laurel County, Kentucky   | \$154,198.75           | 100%          | 07/05                  |



BLUEGRASS CONTRACTING CORPORATION

PROJECTS CURRENT AND PAST

| <u>Description &amp; Location</u>                                   | <u>Contract Amount</u> | <u>Status</u> | <u>Completion Date</u> |
|---|------------------------|---------------|------------------------|
| Estill Co. Fiscal Court<br>Approaches<br>Estill County, Kentucky    | \$144,000.00           | 100%          | 05/05                  |
| New Entrance Bridge<br>Morgan County, Kentucky                      | \$173,900.00           | 100%          | 04/05                  |
| CSX Transportation Slope Protection<br>Louisville, Kentucky         | \$58,300.00            | 100%          | 03/05                  |
| KYDOT Grade, Drain and Asphalt<br>Fayette County, Kentucky          | \$433,843.39           | 100%          | 03/05                  |
| Franklin Ind. RR Culvert Extension<br>Crab Orchard, Tennessee       | \$88,700.00            | 100%          | 01/05                  |
| Retention Structure<br>Jessamine County, Kentucky                   | \$40,503.40            | 100%          | 12/04                  |
| Eagle View Plaza Site Prep<br>Fayette County, Kentucky              | \$95,135.00            | 100%          | 12/04                  |
| KYDOT Bridge, Grade and Drain<br>Bourbon County, Kentucky           | \$301,615.10           | 100%          | 10/04                  |
| City of Augusta Riverwalk<br>Bracken County, Kentucky               | \$460,000.00           | 100%          | 10/04                  |
| KYDOT Bridge Replacement<br>Jefferson County, Kentucky              | \$139,577.00           | 100%          | 08/04                  |
| Chevron Station Removal<br>Fayette County, Kentucky                 | \$29,484.00            | 100%          | 07/04                  |
| London Airport Retaining Wall<br>Laurel County, Kentucky            | \$67,500.00            | 100%          | 07/04                  |
| Wendy's Site Grading and Retaining Wall<br>Fayette County, Kentucky | \$150,000.00           | 100%          | 07/04                  |



## BLUEGRASS CONTRACTING CORPORATION

### PRIMARY FIELD SUPERVISORS

**Jason Gosney:** Concrete Foreman. Experience on multiple bridge projects including jobs with pavement repairs and grading. DOT certified. Joined Bluegrass Contracting in 2013.

**Donnie Garrett:** Project Supervisor. Experience on multiple concrete projects including grading, sewer work, and underground utilities. First Aid, CPR certified and DOT certified. Has over forty years in concrete construction.

**Jack Holliday:** Project Supervisor. Experience on multiple concrete projects including bridges, grading and pipe work.

**Ray Morgan:** Asphalt Paving Supervisor. DOT certified. Has over thirty years in construction and asphalt paving experience.

**Jeff Smith:** Grading Foreman. Experience on multiple bridge projects including jobs with pavement repairs and grading. 10 OSHA, Trench Safety and Confined Space Entry certified. Joined Bluegrass Contracting in 2013.

**Brad Stamper:** Experience on multiple bridge projects including jobs with pavement repairs. First Aid and CPR certified, as well as 10 HR OSHA and DOT certified. Joined Bluegrass Contracting in 2007.

**David Stump:** Project Supervisor. Experience in concrete construction and asphalt paving projects for seventeen years. First Aid, CPR certified and DOT certified.

**Greg Thorpe:** Project Supervisor. Experience on multiple concrete projects including bridges, grading and pipe work. First Aid, CPR certified and DOT certified.

**J.R. Wagers:** Worked as carpenter and carpenter foreman for T.C. Young Construction Company for 12 years. Joined Bluegrass Contracting Corporation in 1986. Foreman on several bridge projects. Certified as ACI Concrete Technician and KYDOT Earthwork Technician. Received commendation from KYDOT for quality of work on bridge over Norfolk Southern Railway in Jessamine County, KY.

**Greg Ward:** Concrete Foreman. Certified in pervious concrete. First Aid and CPR certified, as well as 10 HR OSHA and DOT certified. Joined Bluegrass Contracting Corporation in 2007.

**Roger D. Webb:** Project Superintendent. Over fifteen years of experience on multiple construction projects including grading, utility work and structural concrete. First Aid, CPR certified and DOT certified.



BLUEGRASS CONTRACTING CORPORATION

COMPANY EQUIPMENT LIST

- (1) Link Belt 290
- (1) Link Belt 240
- (2) Link Belt 225
- (1) Link Belt 210
- (1) 2002 Kobelco SK210
- (1) Kobelco 200 Excavator/with Breaker
- (1) PC78 Komatsu Excavator
- (2) Kobelco SK70 Excavator
- (1) PC27 Excavator
- (1) CAT 420IT Backhoe Loader
- (1) CAT 420B Backhoe Loader
- (1) Case Backhoe Loader/with Breaker
- (1) Case Forklift
- (1) CAT 963 Track Loader
- (1) Komatsu 510C Loader
- (1) D32 Komatsu Dozer
- (1) D61 EX-12 Komatsu Dozer
- (1) Fiatallis 65C Motor Grader
- (1) SD40 Ingersoll-Rand Roller
- (1) SD105 Ingersoll-Rand Roller
- (3) Bobcat Skid Loader
- (1) Broce BB250C Sweeper Broom
- (1) Sullair 100 cfm Air Compressor
- (2) Sullair 185 cfm Air Compressor
- (1) Sillair 375 cfm Air Compressor
- (1) Gomaco Bridge Deck Finishing Machine
- (1) Bidwell Bridge Deck Finishing Machine
- (1) Bidwell Ditch Trimming Machine
- (1) Bidwell Ditch Finishing Machine
- (3) CrafcO Hot Tar Melter
- (1) Vermeer 1150 Trencher
- (1) Vermeer BC625 Chipper
- (2) 6" Water Pump
- (2) Light Plant
- (5) Office/Storage Trailers
- (1) 2005 Ford Explorer
- (1) 2012 Ford Explorer
- (8) Ford F150 & F250 Pickup Trucks
- (4) International Dump Truck
- (1) Ford F650 Roll Back Truck
- (1) Tri Axle Mack Dump Truck
- (1) 2004 Terex TA27 Articulated Dump
- (3) Allen Tube Concrete Paver
- (2) GMC 5500 Service Truck
- (2) GMC 3500 Pickups
- (1) Teramite Roller Screed
- (2) Form Riding Concrete Paver



**BLUEGRASS CONTRACTING CORPORATION**

**CREDIT AND TRADE REFERENCES**

**Vulcan Materials Company**  
3001 Alcoa Highway  
Knoxville, TN 37901  
Ph: (865) 577-2511  
Fax: (865) 579-2967

**Whayne Supply Company**  
P.O. Box 951439  
Cleveland, OH 44193-0016  
Ph: (859) 254-2756  
Fax: (859) 254-2750

**Harrod Concrete**  
500 S. Forbes Road  
Lexington, KY 40504  
Ph: (859) 254-0526  
Fax: (502) 223-1855

**Sherman Dixie Concrete**  
200 42<sup>nd</sup> Avenue North  
Nashville, TN 37209  
Ph: (615) 889-0700  
Fax: (615) 889-2670

**The Wells Group LLC**  
P.O. Box 28  
West Liberty, KY 41472  
Ph: (606) 743-3485

**Equip. Sales & Rentals**  
628 Bizzell Drive  
Lexington, KY 40510  
Ph: (859) 231-5343



**CONTRACTING AGENCY REFERENCES**

**Mr. Paul Nesbitt**  
Nesbitt Engineering  
416 Park Place  
Lexington, KY 40511  
Ph: (859) 233-3111

**Mr. Roger Blair**  
Tetra Tech, Inc.  
800 Corporate Drive  
Lexington, KY 40503  
Ph: (859) 223-8000

**Mr. Sam Williams**  
LFUCG  
200 East Main Street  
Lexington, KY 40507  
Ph: (859) 258-3410

**Mr. David Hughes**  
KY Transportation Cabinet  
713 New Circle Rd. NW  
Lexington, KY 40512  
Ph: (859) 246-2355

**Mr. Brad Peters**  
Construction Op. SPSV1  
TN Dept of Transportation  
1407 Greenwood Drive  
Greeneville, TN 37745

**Mr. James Ballinger, PE**  
KY Transportation Cabinet  
763 New Circle Road, NW  
Lexington, KY 40512  
Ph: (859) 246-2355

**Mr. Mike Calebs**  
KY Transportation Cabinet  
P.O. Box 250  
Manchester, KY 40962  
Ph: (606) 598-2145

**Mr. Bart Bryant**  
KY Transportation Cabinet  
P.O. Box 347  
Flemingsburg, KY 41041  
Ph: (800) 817-2551