

**GRANT AWARD AGREEMENT**

*Fiscal Year 2015 Class B Education Incentive Grant Project*

**THIS AGREEMENT**, made and entered into on the 29<sup>th</sup> day of September, 2016, by and between the **LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT (LFUCG)**, an urban county government of the Commonwealth of Kentucky, pursuant to KRS Chapter 67A (hereinafter "Government"), on behalf of its Division of Water Quality, and **William Henderson**, 1610 Bedinger Court, Lexington, Kentucky 40511 (hereinafter "Grantee").

**WITNESSETH:**

**WHEREAS**, the Grantee is a documented fee-payer of the Government's Water Quality Management Fee; and

**WHEREAS**, the Grantee has proposed a need for the funds requested to develop and implement a proposed project by submitting a valid grant application; and

**WHEREAS**, the Grantee's grant application has been reviewed and selected for funding by the LFUCG Water Quality Fees Board in accordance with Sections 16-408 and 16-410 of the LFUCG Code of Ordinances; and

**WHEREAS**, the Government has funds available through the Stormwater Quality Projects Incentive Grant Program to assist qualified Grantee in the development and implementation of projects that meet the goals of the program; and

**WHEREAS**, the Grantee desires to implement a specific project that meets one or more Incentive Grant program goals to improve water quality, reduce stormwater runoff, and provide public or private education related to stormwater quality; and

**WHEREAS**, any such improvements funded by the Government shall benefit the public through installed improvements and/or educational programming;

**THAT FOR AND IN CONSIDERATION OF THE MUTUAL PROMISES AND COVENANTS HEREIN EXPRESSED, GOVERNMENT AND GRANTEE AGREE AS FOLLOWS:**

- (1) Government hereby grants the Grantee the sum of **\$2,496.10** (hereinafter "the Grant"), for use in implementing the project elements as listed in Attachment A which is incorporated herein by reference as if fully set out herein.
- (2) The Grantee shall provide equal opportunity in employment for all qualified persons; prohibit discrimination in employment because of race, color, creed, national origin, sex, age between 40 and 70 or disability; promote equal employment through a positive, continuing program of equal employment; and cause each of its subcontractors to do so. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.
- (3) The Grantee agrees to match the Grant above the first \$2,500.00, with contributions, labor and other services equal to or greater than 50% of the total project costs.
- (4) The Grantee agrees to use the Grant only for the activities set forth in Attachment A.

- (5) The Grantee agrees to perform periodic reporting as detailed in Paragraph 6 herein below, and produce a Project Final Report within 30 calendar days of the completion of the project elements in digital and hard copy following a standardized format to be provided by the Government summarizing all work completed and detailing the total expenditures, grant reimbursements, and match.
- (6) The Grant to the Grantee shall be disbursed in the following manner:
  - (a) The Grantee shall submit at least once every 3 months, if not specified otherwise in Attachment A, a Request for Funds to the Government's Grant Manager designated by the Director of the Division of Water Quality for the project. Each Request for Funds shall include documentation that the Grantee has already expended the requested funds or shall be in a position to expend properly the requested funds within thirty (30) days of receipt of the funds. The Request for Funds shall include full accounting of these eligible grant-related expenses, as listed in Attachment A. Copies of invoices, purchase orders, or receipts showing vendor, date, amount, and items purchased or ordered shall be provided with the Request for Funds. For project specific personnel costs and stipends, documentation of all billed hours shall include copies of employee timesheets, hourly payroll rate, and description of work performed for hours billed.
  - (b) Each Request for Funds shall be accompanied by a Project Status Report describing the progress of the project to date, including a description and schedule of all activities completed, and hardcopy or electronic copies of materials completed and/or used to date. For educational events, copies of the class rosters or sign-in sheets documenting the number of attendees and evaluation forms shall be provided.
  - (c) Each Request for Funds shall include documentation of all of the Grantee's Match Costs listed in Attachment A and claimed for the prior period. For cash expenditures this shall include receipts, showing vendor paid, date, amount, and items purchased. For donated project specific personnel costs and stipends, documentation of all billed hours shall include copies of employee timesheets, hourly payroll rate, and description of work performed for hours donated. For in-kind volunteer hours this shall include sign-in sheets describing the events with signatures, name, address, time in, time out, for each participant (volunteers must be 12 years of age or older). For mileage, this shall include driver name, type of vehicle, location to and from, date, and total miles driven.
  - (d) The Government's Grant Manager shall review each Request for Funds and supporting documents for compliance with the terms of this Agreement and the guidelines of the Stormwater Quality Projects Incentive Grant Program. If the Grant Manager finds the Grantee's Request for Funds is in compliance with the terms of this Agreement and the guidelines of the Stormwater Quality Projects Incentive Grant Program and that the activity progress and management program of the Grantee satisfy the terms of the grant award, he or she shall approve the Request for Funds and forward it to the Division of Accounting for payment
  - (e) Should the Government's Incentive Grant Program Administrator determine that the Grantee is not in compliance with the terms of this Agreement and/or the Stormwater Quality Projects Incentive Grant Program, including deficiencies in progress and management of the project, the Division of Water Quality shall notify the Mayor's Office and the appropriate district Council person and shall meet with the Grantee on matters that prevent approval of the Request for Funds. Failure to resolve any such matters to the satisfaction of the Government may lead to termination of the Agreement for cause pursuant to Paragraph 11 herein below.

- (f) The Government shall release payment of the final 5% of the Grant only after receipt and acceptance of the Project Final Report. The Government's Grant Manager shall review the Project Final Report and provide comments to the Grantee within 15 calendar days or, if acceptable, forward approval to the Division of Accounting for payment.
- (7) The Grantee agrees to obtain written approval from the Government's Grant Manager or Program Administrator for any proposed changes to the Project Team or Project Plan as listed in Attachment A prior to implementing the changes. Failure to gain written approval prior to making changes may lead to termination of the Agreement for cause pursuant to Paragraph 11 herein below.
- (8) The term of this Agreement shall be from the date of this Agreement until completion of the project outlined herein. The Grantee agrees to complete the project within 12 months. The Grantee agrees to obtain written approval from the Government's Grant Manager or Program Administrator for any time extensions beyond the schedule. Failure to gain written approval prior to making changes may lead to termination of the Agreement for cause pursuant to Paragraph 11 herein below.
- (9) The Grantee understands that the Grant shown herein in Paragraph 1 is a not-to-exceed amount, and any additional funding needed to complete the project elements listed in Attachment A is the responsibility of the Grantee. If it becomes apparent to the Grantee or the Government that the Grantee will be unable to complete the project either in the manner or for the amount described in this Agreement, then the Grantee must immediately notify the Government's Grant Manager and Program Administrator by providing a complete and detailed written explanation of its inability to comply with the terms of the Agreement. The Grantee must further provide the Government's Grant Manager and Program Administrator with a complete and detailed written explanation of any proposed changes, and the reasons for those changes.
- (10) The Grantee asserts that it is in full compliance with all applicable provisions of the Lexington-Fayette Urban County Government's Code of Ordinances Chapter 5 – Buildings and Building Regulations, Chapter 7 – Finance and Taxation, Chapter 12 – Housing, and Chapter 16 – Sewage, Garbage, Refuse, and Weeds, or in compliance with Kentucky Department of Housing Buildings and Construction rules and requirements as is appropriate for those state institutions, parcels or buildings which are subject to state regulations and oversight as opposed to local ordinances and regulations. If the Grantee becomes out of compliance with any of these provisions, it will contact the Government's Grant Manager and Program Administrator immediately. Failure to resolve any such matters to the satisfaction of the Government may lead to termination of the Agreement for cause pursuant to Paragraph 11 herein below.
- (11) If, through any cause, the Grantee shall fail to fulfill in timely and proper manner its obligations under this Agreement, or if the Grantee shall violate any of the covenants, agreements or stipulations of this Agreement, the Government shall thereupon have the right to terminate this Agreement by giving written notice to the Grantee of such termination and specifying the effective date thereof, at least five (5) calendar days before the effective date of such termination. In that event, all finished or unfinished documents, receipts and reports prepared by the Grantee shall, at the option of the Government, become its property and the Grantee shall immediately repay to the Government all monies received pursuant to this Agreement less any amount representing just and equitable compensation for the Government's share of any satisfactory work completed pursuant to the Agreement.
- (12) This Agreement may not be modified except by written agreement of the Government and the Grantee.
- (13) The Grantee agrees to comply with all applicable local, state, and federal rules, regulations, ordinances, and laws in implementation of the project.


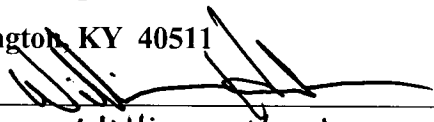
- (14) The Grantee agrees to allow the Government access to perform monitoring of the project elements for compliance with this Agreement.
- (15) In any written or oral communications, the Grantee agrees to identify the Lexington-Fayette Urban County Government as the source of the above referenced funds; the Grantee shall not specifically identify any individual or elected official as being responsible for the funds donated by the Government.
- (16) The Grantee agrees to allow the Government to publicize the Grantee's project through the Government's website and other media.
- (17) The Grantee agrees to reference the Lexington-Fayette Urban County Government's Water Quality Management Fee and the Stormwater Quality Projects Incentive Grant Program as a source of funding for the project on any permanent signage or educational brochures, presentations, websites, etc. produced using grant monies.
- (18) The Grantee agrees to prepare class rosters or sign-in sheets and provide evaluation forms to the attendees for any educational event funded by the Grant.
- (19) Equipment purchased or facilities constructed by the Grantee with the Grant for use on this project will remain in service and maintained by the Grantee or its members per the terms listed in Attachment A. Equipment purchased by the Grantee with the Grant for use on this project will remain the property of the Grantee unless otherwise noted in Attachment A.
- (20) The Government assumes no responsibility whatsoever in the Grantee's project activities. Grantee shall, to the extent allowed by law, defend, indemnify, and hold harmless Government from and against all liability, claims, losses, actions, costs, expenses, obligations, fines, and assessments of whatever kind, including defense costs and attorney's fees that are in any way incidental to or connected with, or that arise or are alleged to have arisen, directly or indirectly, in whole or in part, from or by Grantee's or its contractor(s), agents, or assigns, negligent acts or misconduct, or errors or omissions, in connection with the activities carried out pursuant to this Agreement, the Grant award or the Stormwater Quality Projects Incentive Grant Program.
- (21) The Grantee is solely responsible for assuring that adequate and appropriate insurance or other necessary coverage is maintained during the term of this Agreement.
- (22) The Grantee's sole remedy for a breach of this Agreement by the Government shall be limited to the amount of the Grant.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement at Lexington, Fayette County, Kentucky, this the day and year first above written.

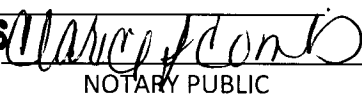
LEXINGTON-FAYETTE URBAN COUNTY  
GOVERNMENT

BY:   
JIM GRAY, MAYOR

ATTEST:  
  
CLERK, URBAN COUNTY COUNCIL

Grantee Organization:  William Henderson  
1910  
1610 Bedinger Court  
Lexington, KY 40511  
BY:   
NAME: William Henderson  
TITLE: Director

The foregoing Agreement was subscribed, sworn to and acknowledged before me by  
William Henderson, as the duly authorized representative for and on behalf of  
William Henderson, on this the 16<sup>th</sup> day of August, 20 16

My commission expires:  
**MY COMMISSION EXPIRES**  08-16-2016  
**JULY 21, 2017** NOTARY PUBLIC  
**NOTARY ID # 492301**

**ATTACHMENT A**  
**to the GRANT AWARD AGREEMENT**  
**between Lexington-Fayette Urban County Government (LFUCG) and**  
**William Henderson**

**GRANT PROGRAM: 2015 Stormwater Quality Projects Incentive Grant Program  
Class B (Education) Projects**

- Funded through the LFUCG Water Quality Management Fee
- Administered by the LFUCG Division of Water Quality in the Department of Environmental Quality

**PROJECT TEAM AND CONTACT INFORMATION**

**Grantee Organization:** William Henderson  
1910 Bedinger Court  
Lexington, KY 40511



**Primary Project Contact  
And Project Manager:** William Henderson  
1910 Bedinger Court  
Lexington, KY 40511  
859-608-1327 (phone)  
william@ueducateu.com (email)

**Secondary Project Contact:** None

**Project Team Members:** Student engineering organizations at the University of Kentucky

**TARGET AUDIENCE**

The target audiences for this grant are students and parents from 4<sup>th</sup> to 12<sup>th</sup> grade who are interested in STEM careers.

**PROJECT PLAN ELEMENTS**

- 1) ***EDUCATIONAL ACTIVITIES:*** Through STEM initiative workshops & camps, this project looks to incorporate stormwater quality educational activities including outreach seminars, tree planting and stream cleanings.
- 2) ***STORM WATER QUALITY CURRICULA:*** The project will introduce a curriculum comprised of green roofs, tree planting, bioretention & infiltration, permeable pavement, and water harvesting materials. The proposed curriculum looks to create public involvement for pollution mitigation and water quality improvement that will benefit both the city of Lexington and the environment.

**PROJECT SUSTAINABILITY**

- 1) The addition of storm water education to the curricula at STEM, which is ongoing, will add a long-term component to the project.
- 2) Impacting the environment, this project provides exposure to students considering a career in a STEM related field.

**PROJECT SUCCESS MEASURES**

- 1) Registration records will be obtained and kept as a way to measure the number of participants attending each event.
- 2) Surveys and evaluations to be used to determine the level of success of the educational materials introduced. The evaluations will also include a comment section inviting both positive and negative feedback that will be used to improve future STEM initiatives.

**REPORTING REQUIREMENTS**

In addition to the reporting requirements outlined in the Grant Award Agreement, the following special items are noted for this project:

- 1) All copies of documents generated as part of the grant (i.e. sign-in sheets, photos of events, etc.) shall be provided in a reproducible hardcopy form to LFUCG for its use prior to project closeout.

**EQUIPMENT**

Any equipment purchased with the Grant shall remain the property of the Organization.

**GRANT PERIOD & PROJECT SCHEDULE**

The grant period starts on the date of execution by the Mayor and extends for the time period as listed in the Grant Award Agreement. Any time extensions must be approved in writing by the LFUCG Grant Manager. The project schedule shown in Table 1 is preliminary. Proposed changes to the project which alter this schedule significantly shall be discussed with the LFUCG Grant Manager prior to implementation.

**TABLE 1. PROJECT SCHEDULE**

Activity	Anticipated Date
Notice to Proceed	(Anticipated) February 2015
Project Kickoff	March 2015
Podcast Research and Development	March 2015
Finalize Podcasts and Map	March – April 2015
Website Design and Testing	March – May 2015
Finalize Website and Launch	June 2015
Material Distribution	June 2015
Project Events	June – July 2015
Provide Project Final Report to LFUCG	30 days after project completion

**PROJECT BUDGET – GRANT ELIGIBLE EXPENSES & ORGANIZATION COST SHARE**

Table 2. lists the Eligible Expenses for this project. Only properly invoiced items shall be reimbursed with grant monies or counted toward the Organization’s cost share.

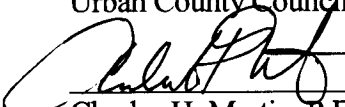
Any work performed on this project prior to grant award by Urban County Council and Notice to Proceed from the LFUCG Grant Administrator is not an eligible expense and shall not be reimbursed or counted toward the cost share with the following exception: None.

**TABLE 2. ELIGIBLE PROJECT EXPENSES**

ELIGIBLE EXPENSES									
	Type of Expense	Participants	Item	Unit Price		Quantity	Funded by Organization	Funded by Grant	Total Expense
<b>1</b>	<b>Project Element: Organization Adopt a Stream Cleaning and Tree Planting</b>								
2	Personnel Hours	Project Manager	Organizing Event: Project Manager hours at current payroll rate	\$ 45.00	per hour	15	\$ -	\$ 675.00	\$ 675.00
3	Supplies	Organization	Advertising: 400 sigle page flyers - black and white	\$ 0.20	per flyer	170	\$ -	\$ 34.00	\$ 34.00
4	Supplies	Organization	Work gloves	\$ 7.25	per pair	20	\$ -	\$ 145.00	\$ 145.00
5	Supplies	Organization	Trash Bags	\$ 7.50	per box	10	\$ -	\$ 75.00	\$ 75.00
6	Materials	Plant Nursery	Tree w/rootball	\$ 71.35	per tree	2	\$ -	\$ 142.70	\$ 142.70
7	Materials	Plant Nursery	Scrub	\$ 31.57	per schrub	10	\$ -	\$ 315.70	\$ 315.70
8	Materials	Plant Nursery	Bags of Mulch	\$ 6.95	per bag	14	\$ -	\$ 97.30	\$ 97.30
9	Mileage	Organization Volunteers	Mieage to haul trash to waste facility	\$ 0.56	per mile	65	\$ -	\$ 36.40	\$ 36.40
<b>10</b>	<b>Project Element: Workshop for Target Audience on reducing Storm Water pollution for bulsnesses through Site Management</b>								
11	Personnel Hours	Project Manager	Organizing Event: Project Manager hours at current payroll rate	\$ 45.00	per hour	15	\$ -	\$ 675.00	\$ 675.00
12	Consulting Fees	Engineers	Honorarium Fee for worshop	\$ 100.00	per hour	3	\$ -	\$ 300.00	\$ 300.00
<b>TOTAL PROJECT BUDGET:</b>							<b>\$ -</b>	<b>\$ 2,484.40</b>	<b>\$ 2,484.40</b>



TO: Mayor Jim Gray  
Urban County Council

FROM:   
Charles H. Martin, P.E., Director  
Division of Water Quality

DATE: August 26, 2016

SUBJECT: Requesting authorization to execute an agreement awarding a FY2015 Class B (Education) Stormwater Quality Projects Incentive Grant to William Henderson, President, Educateu Inc..

Request

The purpose of this memorandum is to request authorization to execute an agreement awarding a Class B (Education) Stormwater Quality Projects Incentive Grant to William Henderson, President, Educateu Inc. for storm water education, at a total cost of \$2,496.10.

Purpose of Request

The purpose of the project is to provide storm water education to parents and students from 4<sup>th</sup> to 12<sup>th</sup> grade. The proposed curriculum looks to create public involvement for pollution mitigation and water quality improvement that will benefit the environment and the city of Lexington.

Project Cost in FY16 and in Future Budget Years

The grant has been approved by the Water Quality Fees Board in the amount of \$2,496.10 and is anticipated to be fully spent by FY17.

Are Funds Budgeted

Funds are budgeted in: 4052 - 303204 - 3373 - 78112 - WQINCENTIVE\_15 - WQ\_GRANT

Martin/Hoskins-Squier

