

PART III
FORM OF PROPOSAL

INDEX

1.	FORM OF PROPOSAL	P-2
2.	LEGAL STATUS OF BIDDER	P-4
3	BIDDERS AFFIDAVIT	P-5
4.	BID SCHEDULE – SCHEDULE OF VALUES	P-7
5.	STATEMENT OF BIDDER'S QUALIFICATIONS	P-10
6.	LIST OF PROPOSED SUBCONTRACTORS.....	P-13
7.	MBE/WBE PARTICIPATION FORM.....	P-14
8.	MBE/WBE SUBSTITUTION FORM (only if awarded bid).....	P-15
9.	MBE QUOTE SUMMARY FORM.....	P-16
10.	SUBCONTRACTOR MONTHLY PAYMENT REPORT (only if awarded bid)	P-17
11.	STATEMENT OF GOOD FAITH EFFORTS	P-18
12.	AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST	P-19
13.	STATEMENT OF EXPERIENCE	P-20
14.	EQUAL OPPORTUNITY AGREEMENT	P-22
15.	EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY	P-25
16.	WORKFORCE ANALYSIS.....	P-26
17.	EVIDENCE OF INSURABILITY	P-27
18.	DEBARRED FIRMS.....	P-28
19.	DEBARRED CERTIFICATION	P-29

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$200 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

- Addendum No. 1 Date 2/12/13
- Addendum No. 2 Date 2/19/13
- Addendum No. 3 Date 2/25/13
- Addendum No. Date
- Addendum No. Date
- Addendum No. Date
- Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. LEGAL STATUS OF BIDDER

Bidder Kalkreuth Roofing and Sheet Metal

Date 2/26/13

* 1. A corporation duly organized and doing business under the laws of the State of WV, for whom Ed Sitoski, bearing the official title of Division Manager, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. ~~A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

* 3. ~~An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Ed Sitoski, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Ed Sitoski and he/she is the individual submitting the bid or is the authorized representative of Kalkreuth Roofing and Sheet Metal, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
Further, Affiant sayeth naught.



(Affiant)

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by
Ed Sitoski on this the 26th day of February,

2013.

My Commission expires: 12/7/15

Mollie Absher
NOTARY PUBLIC, STATE AT LARGE

4. BID SCHEDULE - SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the CONTRACTOR and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Owner in accordance with the General Conditions.

In case of discrepancy, the amount shown in words will govern.

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
1.	Complete Base Bid Scope of Work \$49,995 Dollars zero Cents (Lump Sum)	1	LS	\$ 12.93	\$ 49,995
2.	Add Alternate #1 Scope of Work \$5,994.00 Dollars zero Cents (Lump Sum)	1	LS	\$ 4.50	\$ 5,994

Contract award will be made based on the lump sum base bid or lump sum bid with any combination of bid alternatives selected. Bid alternatives will be added or deducted from the lump sum base bid, if they are accepted, prior to Notice of Award being made.

OWNER reserves the right to accept or reject any bid alternatives or equipment alternatives to the lump sum base bid. Consideration of equipment alternatives of the selected Bidder will be made by OWNER within 60 days after the Effective Date of the Agreement.

If bid alternative is selected by OWNER, the awarded Contract price will include the selected alternative(s).

Bid Alternative #1: Prepare surface and apply polyurethane coating to Roof Area B.
CONTRACTOR shall include in the cost of bid alternative ALL work associated with providing the scope listed below.

Prepare surface, repair damaged membrane, and apply spray polyurethane coating to Roof Area B. Additionally install new gutter and downspout, re-flash HVAC curbs, provide condensate lines and support, and provide new pitch pans. Complete information is included in the drawings and technical specifications.

Submitted by:

Kalkreuth Roofing and Sheet Metal

Firm


2131 Capstone Dr

Address

Lexington, KY 40511

City, State & Zip

***Bid must be signed:
(original signature)***



Signature of Authorized Company Representative – Title

Ed Sitoski

Representative/s Name (Typed or Printed)

859-231-7663

859-231-7669

Area Code – Phone – Extension

Fax #

esitoski@krsm.net

E-Mail Address

OFFICIAL ADDRESS: (Corporate)

41 40th St

PO Drawer 6399

Wheeling, WV 26003

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Kalkreuth Roofing and Sheet Metal
- 2. Permanent Place of Business: 41 40th St PO Drawer 6399 Wheeling, WV 26003
- 3. When Organized: 1984
- 4. Where Incorporated: 1984
- 5. Construction Plant and Equipment Available for this Project:
N/A

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Delmarva Surety (Surety)

Signed: _____ (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

NAME	LOCATION	CONTRACT SUM
LFUCG Chlorine Building	Lexington, KY	\$72,111
LFUCG Detention Ctr Kitchen Roof	Lexington, KY	\$15,800.00
LFUCG Cooperative Extension Office	Lexington, KY	\$84,600.00

9. The Bidder has now under contract and bonded the following projects:

NAME	LOCATION	CONTRACT SUM
Bluegrass Community & Technical College	Lexington, KY	\$499,718
Stonewall Elementary School	Lexington, KY	\$776,714
Adair Youth Development Center	Columbia, KY	\$323,864
Franklin County Career Center	Frankfort, KY	\$1,066,288
Pike County Public Library	Pikeville, KY	\$134,048

10. List Key Bidder Personnel who will work on this Project.

NAME	POSITION DESCRIPTION	NO. OF YEARS W/BIDDER
Ed Sitoski	Division Manager	4
Tim Ginter	Superintendent	1

11. DBE Participation on current bonded projects under contract:

SUBCONTRACTORS (LIST)	PROJECT (SPECIFY TYPE)	DBE	% OF WORK
	N/A		

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK- LIST EACH MAJOR ITEM (e.g., electrical, carpentry, HVAC, etc.)	SUBCONTRACTOR	DBE? Yes/No	% of WORK
1.	Name: Address:		
2.	Name: Address:		
3.	Name: Address: N/A		
4.	Name: Address:		
5.	Name: Address:		
6.	Name: Address:		
7.	Name: Address:		

(Attach additional sheet(s) if necessary.)



7. LFUCG MBE/WBE PARTICIPATION FORM

Bid/RFP/Quote Reference # _____

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.			
2.	N/A		
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title



8. LFUCG MBE/WBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # _____

The substituted MBE/WBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MBE/WBE Company Name, Address, Phone, Email	MBE/WBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.		N/A			
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title



9. MBE/WBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # _____

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MBE/WBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
N/A							

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Company

Company Representative

Date

Title



10. LFUCG MBE/WBE SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MBE/WBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # _____
 Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address: _____
Federal Tax ID:	Contact Person: _____

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
/							
/							
/							
/							
/							

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

 Company

 Company Representative

 Date

 Title



11. LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # Senior Citizens Center

By the signature below of an authorized company representative, we certify that we have utilized the following methods to obtain the maximum practicable participation by minority and women owned business enterprises on the project. Please indicate which methods you used by placing an X in the appropriate place.

- Attended LFUCG Central Purchasing Economic Inclusion Outreach Event
 - Sponsored Economic Inclusion event to provide networking opportunities
 - Requested a list of MBE/WBE subcontractors or suppliers from LFUCG Economic Engine
 - Advertised for MBE/WBE subcontractors or suppliers in local or regional newspapers
 - Showed evidence of written notice of contracting and/or supplier opportunities to MBE/WBE firms at least seven days prior to the bid opening date
 - Provided copies of quotations submitted by MBE/WBE firms which were not used and/or responses from firms indicating they would not be submitting a quote
 - Provided plans, specifications, and requirements to interested MBE/WBE subcontractors
 - Other
Please list any other methods utilized that aren't covered above.
-
-
-

The undersigned acknowledges that all information is accurate. Any misrepresentations may result termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Kalkreuth Roofing and Sheet Metal

Dave Muntean

Company

Company Representative

2/26/13

Estimator

Date

Title

12. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State KY or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky x. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

13. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: John L. Kalkreuth

POSITION/TITLE: Owner/President

STATEMENT OF EXPERIENCE: See attached

NAME OF INDIVIDUAL: James J. Hurley

POSITION/TITLE: Owner/Executive Vice President

STATEMENT OF EXPERIENCE: See attached

NAME OF INDIVIDUAL: Ed Sitoski

POSITION/TITLE: Division Manager/Operations Manager

STATEMENT OF EXPERIENCE: 30 years experience in commercial roofing;

15 years as a project manager; project managed over \$100 million in roofing projects

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)



JOHN L. KALKREUTH
41 – 40TH Street
Wheeling, West Virginia 26003
(304) 232-8540

EDUCATION

- 1989 Wheeling Jesuit University. Wheeling, West Virginia. Masters Degree Business Administration.
- 1984 West Virginia University. Morgantown, West Virginia. B.S. Degree Industrial Engineering.
- 1978 The Pennsylvania State University. State College, Pennsylvania. A.E. Degree Mechanical Engineering Technology.

EMPLOYMENT

- 1979 to Kalkreuth Roofing & Sheet Metal, Inc., Wheeling, West Virginia. Owner and
present President.

ACTIVITIES AND MEMBERSHIPS

- Board of Directors – National Roofing Contractor’s Association
- Board of Directors – National Association of Siding and Roof Decking Contractors
- Board of Directors – Ohio Valley Construction Employers Council
- Member – International Institute of Industrial Engineers



JAMES J. HURLEY
41 – 40TH Street
Wheeling, West Virginia 26003
(304) 232-8540

EDUCATION

- 1989 Wheeling Jesuit University. Wheeling, West Virginia. Masters Degree Business Administration.
- 1984 West Virginia University. Morgantown, West Virginia. B.S. Degree Industrial Engineering.
- 1978 The Pennsylvania State University. State College, Pennsylvania. A.E. Degree Mechanical Engineering Technology.

EMPLOYMENT

- 1984 to present Kalkreuth Roofing & Sheet Metal, Inc., Wheeling, West Virginia. Owner and Executive Vice President.
- 1979 to 1984 Kalkreuth Brothers, Inc., Wheeling, West Virginia. Sales and Estimating.

ACTIVITIES AND MEMBERSHIPS

- Trustee – Sheet Metal Workers Pension Board, Local 33
- Member – National Roofing Contractor's Association
- Member – Ohio Roofing Contractor's Association
- Member – Associated Roofing Contractor's of Maryland
- Member – Midwest Roofing Contractor's Association
- Member – National Association of Siding and Roof Decking Contractors
- Member – Ohio Valley Construction Employers Council



Kalkreuth Roofing & Sheet Metal, Inc.
Key Personnel

- **John L. Kalkreuth, President**

MBA - Wheeling Jesuit University

BS - Industrial Engineering - West Virginia University

28+ years experience in roofing, waterproofing and metal fabrication.

- **James J. Hurley, Executive Vice President**

MBA - Wheeling Jesuit University

BS - Industrial Engineering - West Virginia University

AT - Mechanical Engineering - Pennsylvania State University

25+ years experience in roofing, waterproofing and metal fabrication.

- **Patrick E. Hurley, Vice President of Operations**

BS - Business Administration - West Liberty State College

20+ years experience in roofing, waterproofing and metal fabrication.

- **J. David Hesse, Vice President, Maryland Division**

BS - Industrial Engineering - West Virginia University

15+ years experience in all types of roofing systems and waterproofing.

- **Ed Sitoski, Division Manager, Kentucky Division**

25+ years experience in the roofing industry

- **Wesley H. Nickell, Controller**

MBA – Wheeling Jesuit University

BS – Accounting and Economics – WV Wesleyan College

- **William Sokolowski, Project Manager**

25+ years experience in all types of roofing systems and waterproofing

Please note that our foremen and superintendents average 25 years experience in the roofing industry.

14. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

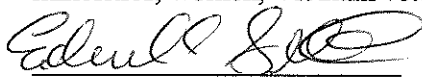
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature

Kalkreuth Roofing and Sheet Metal

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

15. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Kalkreuth Roofing and Sheet Metal

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

16. WORKFORCE ANALYSIS FORM

Name of Organization: Kalkreuth Roofing and Sheet Metal Date: 2 / 26 / 13

Categories	Total		White		Black		Other		Total	
	M	F	M	F	M	F	M	F	M	F
Administrators										
Professionals										
Superintendents										
Supervisors										
Foremen										
Technicians										
Protective Service										
Para-Professionals										
Office/Clerical										
Skilled Craft	232	180	12	1	0	0	39	0	231	1
Service/Maintenance										
Total:										

Prepared By: Mollie Absher

17. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Kalkreuth Roofing and Sheet Metal Employee ID: 55-0647319
 Address: 2131 Capstone Dr Lexington, KY 40511 Phone: 859-231-7663

Project to be insured: Senior Citizens Center

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000 per occ	Arch Insurance Co.	11150	A+
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$ 1,000,000 per occ \$ 2,000,000 Aggr.	Arch Insurance Co	11150	A+
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w /endorsement as noted	\$ statutory limits	Arch Insurance Co	11150	A+

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Arthur J. Gallagher Risk Management Services

Agency or Brokerage

Two Pierce Place

Street Address

Itasca IL 60143

City State Zip

(312) 803-6375

Telephone Number

Ed Sitoski

Name of Authorized Representative

Division Manager

Title 

Authorized Signature

2/26/13

Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

19. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Kalkreuth Roofing and Sheet Metal

Project: Senior Citizens Center

Printed Name and Title of Authorized Representative: Ed Sitoski Division Manager

Signature: 

Date: 2/26/13

END OF SECTION