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PART III

Invitation to Bid No. 156-2012

Phoenix Building and Police Headquarters Building Elevator Renovation Project

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 10/1/12

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Oracle Elevator

4523 Knopp Ave, Louisville, KY 40213
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as Oracle Elevator
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for Phoenix Bldg and Police HQ Bldg Elevator Renovations having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, for each consecutive calendar day thereafter. Liquidated damages for the Phoenix Building - Phase I are \$500.00; for all other phases, and all work in the Police Headquarters Building, liquidated damages are \$250.00 per day. See the Contract Agreement for details regarding phasing and their time frames.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 10/22/12 (70)

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.



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Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

Jane C. Driskell
Commissioner

ADDENDUM #1

Bid Number: 156-2012

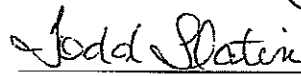
Date: October 22, 2012

Subject: Phoenix Building and Police Headquarters Elevator
Renovation Project

Please address inquiries to:
Sondra Stone, Buyer
(859) 258-3324

TO ALL PROSPECTIVE BIDDERS:

Pre-bid sign-in sheet attached.



Todd Slatin, Acting Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Oracle Elevator

ADDRESS: 4523 Knopp Ave, Louisville, KY 40213

SIGNATURE OF BIDDER: 

TR

2. LEGAL STATUS OF BIDDER

Bidder Oracle Elevator Company of Kentucky

Date 10/1/12

* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Tim Rose, bearing the official title of Branch Manager, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)~~

TR

~~* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

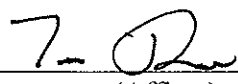
TR

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. BIDDERS AFFIDAVIT

Comes the Affiant, Tim Rose, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Tim Rose and he/she is the individual submitting the bid or is the authorized representative of Oracle Elevator, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
Further, Affiant sayeth naught.



 (Affiant)

STATE OF Kentucky

COUNTY OF Jefferson

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Yim Rose on this the 31st day of October,
2012.

My Commission expires: 9-14-2018

Trish Corbett
NOTARY PUBLIC, STATE AT LARGE

Trish Corbett
Notary Public
State At Large
Kentucky
My Commission Expires
9/04/2015



4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the CONTRACTOR and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or “or equal” items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

In case of discrepancy, the amount shown in words will govern.

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Item No.	Description w/ Unit Bid Price Written in Words	Estimated Quantity	Unit	Total Bid Amount
1	Complete Base Bid Scope of Work <u>Four Hundred Nine Thousand, Nine Hundred Ninety Dollars and Zero Cents. (Lump Sum)</u>	1	LS	\$409,990
2	Complete Bid Alternate #1 Scope of Work <u>One Hundred Fifty Eight Thousand, Seven Hundred Eighty Five Dollars and Zero Cents (Lump Sum)</u>	1	LS	\$158,785

Contract award will be made based on the lump sum base bid with any combination of bid alternatives selected. Bid alternatives will be added or deducted from the lump sum base bid, if they are accepted, prior to Notice of Award being made.

OWNER reserves the right to accept or reject any bid alternatives to the lump sum base bid. Should OWNER wish to consider bid alternatives listed, Bidder is required to provide additional information as listed in Article 6.05 of the General Conditions prior to Notice of Award. Consideration of equipment alternatives of the selected Bidder will be made by OWNER within 60 days after the Effective Date of the Agreement.

If a bid alternative is selected by OWNER, the awarded Contract price will include the selected alternative(s).

BID ALTERNATIVE #1: Police Headquarters Building Elevator No. 3.
 CONTRACTOR shall include in the cost of bid alternative ALL work associated with providing the scope listed below.

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The price of \$ 158,785 shall be added to the contract lump sum base bid if OWNER elects to have CONTRACTOR provide and install all work related to the simplex hydraulic elevator in the Police Headquarters Building.

Submitted by:

Oracle Elevator

Firm

4523 Knopp Ave.

Address

Louisville, KY 40213

City, State & Zip

***Bid must be signed:
(original signature)***

Tim Rose (Branch Manager)

Signature of Authorized Company Representative – Title

Tim Rose

Representative/s Name (Typed or Printed)

502-363-9300

Area Code – Phone – Extension

502-363-9210

Fax #

tim.rose@oracleelevator.com

E-Mail Address

OFFICIAL ADDRESS:

Oracle Elevator

43 Daycoeton Place

Torrington, CT 06790

_____ (Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Oracle Elevator
- 2. Permanent Place of Business: 4523 Knopp Ave, Louisville, KY 40213
- 3. When Organized: September, 2004
- 4. Where Incorporated: Delaware
- 5. Construction Plant and Equipment Available for this Project:

Oracle's Louisville office is equipped with a warehouse and shop. It contains all the tools and machinery needed to perform the proposed work. We also store spare parts in our Louisville and Lexington ~~VA~~ TN locations.

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Fidelity and Deposit Company of Maryland (Surety)

Signed: Sammy D. Masterson (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

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<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>Dosker Manor</u>	<u>Louisville, K.Y.</u>	<u>\$1,600,000</u>
<u>V.A.-Beckley</u>	<u>Beckley, W.V.</u>	<u>\$1,000,000</u>
<u>UK-Ky Clinic</u>	<u>Lexington, K.Y.</u>	<u>\$846,000</u>
<u>Avenue Plaza</u>	<u>Louisville, KY</u>	<u>\$539,000</u>
<u>OSU-Morrison Siebert</u>	<u>Columbus, OH.</u>	<u>\$1,100,000</u>

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>OSU</u>	<u>Columbus, OH.</u>	<u>\$2,300,000</u>
<u>Wright State University</u>	<u>Dayton, OH.</u>	<u>\$221,000</u>
<u>Hocking Correctional</u>	<u>Hocking, OH.</u>	<u>\$309,000</u>
<u>Kentucky History Center</u>	<u>Frankfort, KY.</u>	<u>\$81,000</u>

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
<u>Tim Rose</u>	<u>Branch Manager</u>	<u>11</u>
<u>Jeff Redmon</u>	<u>General Manager, V.P.</u>	<u>13</u>
<u>Steve Lancaster</u>	<u>Modernization Manager</u>	<u>21</u>

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11. DBE Participation on current bonded projects under contract: *—None at this time*

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

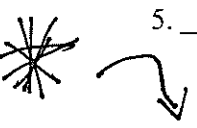
12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u>	<u>SUBCONTRACTOR</u>	<u>DBE Yes/No</u>	<u>% of Work</u>
1. <u>Electrical</u>	Name: <u>Ready Electric</u> <u>2412 Palumbo</u> Address: <u>Lexington, KY 40509</u>	<u>No</u>	_____
2. <u>Fire Protection</u>	Name: <u>Simplex Grinnell</u> <u>973 Beasley Street</u> Address: <u>Lexington, KY 40509</u>	<u>No</u>	_____
3. <u>Plumbing/HVAC</u>	Name: <u>SMS-Scarborough</u> <u>4615 Bittersweet Rd</u> Address: <u>Louisville, KY 40208</u>	<u>No</u>	_____
4. _____	Name: _____ Address: _____	_____	_____
5. _____	Name: _____ Address: _____	_____	_____
6. <u>Elevator Controllers & Selector</u>	Name: <u>Elevator Controls Corp.</u> <u>6150 Warehouse Way</u> Address: <u>Sacramento CA 95826</u>	<u>yes</u>	<u>13.5%</u>
7. _____	Name: _____ Address: _____	_____	_____



(Attach additional sheet(s) if necessary.)



* See supplier info below - if allowed to use suppliers for participation.

7. LFUCG MBE/WBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 156-2012

The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.			
2.			
* 3. Elevator Controls Corp. 6150 Warehouse Way Sacramento, CA 95826	Supplying the elevator controllers and selectors		13.5%
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Oracle Elevator
Company

[Signature]
Company Representative

10/31/12
Date

Branch Manager
Title

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Serving Northern California and Hawaii

Northern California Supplier Development Council

This certifies that

Elevator Controls Corporation

Elevator Controls

Supplier

has met the
minority business
Supplier Development
the North

bona fide
National Minority
adopted by
Council.

An affiliate of

(NMSDC)



Michael D. Ruiz
Michael Ruiz
President

Certificate # 08-0072
Issue Date: 06/30/2008
Expiration Date: 06/30/2009

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8. LFUCG MBE/WBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 156-2012

The substituted MBE/WBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MBE/WBE Company Name, Address, Phone, Email	MBE/WBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Oracle Elevator
Company

10/31/12
Date

[Signature]
Company Representative

Branch Manager
Title

TR



9. MBE/WBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 156-2012

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name <u>Oracle Elevator</u>	Contact Person <u>Tim Rose</u>
Address/Phone/Email <u>4523 Knopp Ave, Louisville Ky 40213</u> <u>502-363-9300 tim.rose@oracleelevator.com</u>	Bid Package / Bid Date <u>Phoenix Bldg and Police Hq Elevator renovations</u> <u>Due: 10/31/12</u>

MBE/WBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Oracle Elevator

 Company
10/31/12

 Date

T. Rose

 Company Representative
Branch Manager

 Title

TR



10. LFUCG MBE/WBE SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MBE/WBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 156-2012
Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract # Phoenix Bldg & Police HQ Elevator Renovations	Work Period/ From: TBD To:
Company Name: Oracle Elevator	Address: 4523 Knopp Ave, Louisville Ky 40213
Federal Tax ID: 20-1968406	Contact Person: Tom Rose

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Oracle Elevator
Company
10/31/12
Date

[Signature]
Company Representative
Branch Manager
Title

TR



11. LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 156-2012

By the signature below of an authorized company representative, we certify that we have utilized the following methods to obtain the maximum practicable participation by minority and women owned business enterprises on the project. Please indicate which methods you used by placing an X in the appropriate place.

- Attended LFUCG Central Purchasing Economic Inclusion Outreach Event
- Sponsored Economic Inclusion event to provide networking opportunities
- Requested a list of MBE/WBE subcontractors or suppliers from LFUCG Economic Engine
- Advertised for MBE/WBE subcontractors or suppliers in local or regional newspapers
- Showed evidence of written notice of contracting and/or supplier opportunities to MBE/WBE firms at least seven days prior to the bid opening date
- Provided copies of quotations submitted by MBE/WBE firms which were not used and/or responses from firms indicating they would not be submitting a quote
- Provided plans, specifications, and requirements to interested MBE/WBE subcontractors
- Other

Please list any other methods utilized that aren't covered above.

Note: Oracle Elevator uses MBE & WBE whenever possible.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Oracle Elevator
Company

[Signature]
Company Representative

10/1/12
Date

Branch Manager
Title



12. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

~ See attached ~



13. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____



Qualifications

Oracle Elevator-Louisville/Lexington Office Management and Technician Experience

The Company...

Oracle Elevator Company started in 2004, by acquiring merit shop companies in the Midwest and the South. The company is growing into a nationwide, independent elevator service company with the technical resources to maintain all makes and models of elevator equipment. By focusing on the service side of the business and providing a supportive environment for its technicians, the company provides a quality service product at a much lower cost than the large elevator manufacturers. The company currently has operations in several markets, including Florida, Georgia, South Carolina, Texas, Kentucky, Ohio, Illinois, Indiana, Tennessee and West Virginia.

Independence...

With just under 300 employees Oracle Elevator is the nation's largest independent elevator company. Oracle's unrelenting drive for efficiencies in the field and office allows Oracle to focus on its mission statement, to provide excellent service at affordable prices. By foregoing the use of unionized labor Oracle is able to keep its labor costs low and pass along its low costs to customers. Oracle's strategic decision to not manufacture its own equipment allows the company to stay lean and reduce overhead; it also allows forces the company to become experts on a wide array of elevator equipment, especially non-proprietary equipment.

Louisville/Lexington Office...

As the largest and most technically advanced entity of Oracle Elevator, the Louisville Office has positioned itself as the leaders of the company. Though part of a larger organization, the Louisville/Lexington Office has prided itself in providing a small, local way of doing business. This has been accomplished by providing our customers with a Local Management Team, Administration Department, and Dispatching Department. Our local way of doing business has allowed us to work with our customers one on one and to customize their contracts to fit their needs. The Louisville/Lexington Office currently employs 16 Service Technicians and 8 Modernization and Repair Mechanics. Oracle's technicians are amongst the best trained in the Louisville and Lexington area with 15 mechanics holding CET certificates, 9 holding QEI certificates and 8 enrolled in the CET apprenticeship program. You can be assured that we will quickly respond to all trouble calls. We are so confident in our response that we guarantee that it will be in 2 hours or less. Typically, our response is only a matter of minutes for the Downtown Louisville area.

Benefits...

Being part of a larger organization allows the Louisville/Lexington Office to pull our resources when needed. Oracle has strategically hired technical leaders from all the leading elevator manufacturers. Our President Bill Miller ran Otis Elevator North America before starting Oracle Elevator, one of our General Managers, Joe Barna, was key in the design of the Otis Gen 2 elevator controller and the list goes on. Over 200 technicians are currently employed with Oracle Elevator. We have picked the best and brightest amongst them all according to their specialty and created a Technical Advisory Committee. The committee meets weekly, but also is on demand through an online chat room designated to assist any Oracle technician at anytime.

The Total Package...

When you enlist Oracle Elevator as your maintenance provider, you get a local company with a personal touch that will customize your account to whatever your needs may be, yet, at the same time provide the technical resources of a much larger company. When it's all said and done, Oracle will save you money by providing top notch preventative maintenance to extend the life of your elevators along with vast technical resources to get your elevator up in running on a timely basis.

Qualifications

Oracle Elevator-Louisville/Lexington Office Management and Technician Experience

Louisville/Lexington Management Team

Jeff Redmon Abell Elevator Oracle Elevator	General Manager (VP) 2000 to 2005 2005 to Present	--KY State Licensed --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Tim Rose Irvin Elevator Oracle Elevator	Branch Manager 2001 to 2005 2005 to Present	--KY State License --CET (Certified Elevator Technician)
Steve Lancaster Abell Elevator Oracle Elevator	Modernization Manager 1990 to 2004 2004 to Present	--KY State License --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)

Louisville/Lexington Sales Team

Ricky Welch Abell Elevator River City Elevator Irvin Elevator Oracle Elevator	Repair and Modernization 1987 to 1991 1995 to 1996 1996 to 2005 2005 to Present	--KY State License --CET (Certified Elevator Technician)
Ryan Gold Schindler Elevator Oracle Elevator	Maintenance and Modernization 2007 to 2012 2012 to Present	--Masters in Business Administration

Louisville/Lexington Service Department

Chuck Obermeier Abell Elevator Oracle Elevator	Service Dept. Field Supervisor 1994 to 2004 2004 to Present	--KY State License --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Will Schultheis Irvin Elevator Oracle Elevator	Service Dept. Field Supervisor 2001 to 2005 2005 to Present	--KY State License --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Bobby Bright Abell Elevator Oracle Elevator	Technician 2001 to 2004 2004 to Present	--KY State License --CET (Certified Elevator Technician)



Qualifications

Oracle Elevator-Louisville/Lexington Office Management and Technician Experience

Service Department continued...

Gary Hillerich ICDC Elevator Irvin Elevator Oracle Elevator	Service Technician 1972 to 1998 1998 to 2005 2005 to Present	--KY State License --CET (Certified Elevator Technician)
Joe Dant Abell Elevator Oracle Elevator	Service Technician 1986 to 2005 2005 to Present	--KY State license --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Nick McGill Abell Elevator Oracle Elevator	Service Technician 1993 to 2004 2004 to Present	--KY State License --CET (Certified Elevator Technician)
Mike Miller Abell Elevator Oracle Elevator	Service Technician 1996 to 2004 2004 to Present	--KY State License --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Richard Gamble Powers Elevator Oracle Elevator	Service Technician 1986 to 2004 2004 to Present	--KY State License --CET (Certified Elevator Technician)
Kevin Knasel Irvin Elevator Oracle Elevator	Service Technician 2001 to 2002 2005 to Present	--KY State License --CET <i>Candidate</i> (Enrolled in CET apprentice program)
Jeff McEnaney Oracle Elevator	Service/Repair Tech 2004 to Present	--KY State License --CET <i>Candidate</i> (Enrolled in CET apprentice program)
Corey Parrish Oracle Elevator	Service Technician Helper 2011 to Present	--CET <i>Candidate</i> (Enrolled in CET apprentice program)
Jeremy Lahue Oracle Elevator	Service Technician Helper 2010 to Present	--CET <i>Candidate</i> (Enrolled in CET apprentice program)
Mike Bailey Schindler Elevator Oracle Elevator	Service Technician 2005 to 2009 2009 to Present	--KY State License --CET <i>Candidate</i> (Enrolled in CET apprentice program)
Mike Brooks DC Elevator Oracle Elevator	Service Technician 2006 to 2010 2010 to Present	--KY State License --CET <i>Candidate</i> (Enrolled in CET apprentice program)



Qualifications

Oracle Elevator-Louisville/Lexington Office Management and Technician Experience

Louisville/Lexington Modernization/Repair Department

Peter Van Meter Abell Elevator Oracle Elevator	Modernization Adjuster 2001 to 2005 2005 to Present	--Ky State License --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Eric Hansell Oracle Elevator	Modernization/Repair Mechanic 2006 to Present	--Ky State License --CET (Certified Elevator Technician)
William Haycraft Abell Elevator Oracle Elevator	Modernization/Repair Mechanic 1989 to 2006 2006 to Present	--Ky State License --CET (Certified Elevator Technician)
Danny Knott Oracle Elevator	Modernization/Repair Mechanic 2006 to Present	--Ky State License --CET (Certified Elevator Technician)
John Powell Abell Elevator Oracle Elevator	Modernization/Repair Mechanic 1989 to 2005 2005 to Present	--Ky State License --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Wayne Doyle Abell Elevator Oracle Elevator	Repair Mechanic 2001 to 2005 2005 to Present	--Ky State License --CET (Certified Elevator Technician) --QEI (Qualified Elevator Inspector)
Arthur Mullarkey Oracle Elevator	Modernization and Repair Mechanic 2006 to Present	--Ky State License --CET <i>Candidate</i> (Enrolled in CET apprentice program)
Chris Bohannon Oracle Elevator	Modernization and Repair Mechanic 2008 to present	--CET <i>Candidate</i> (Enrolled in CET apprentice program)

Modernization Manager



Steve Lancaster

1061 Burns Road
Radcliff
KY 40160

Phone: 502-363-9300 Fax: 502-363-9210

Email: Steve.lancaster@oracleelevator.com

I have an extensive construction management background with over twenty years experience in the elevator trade. Prior to that, I ran a restaurant equipment installation company.

Work History

Jan 2004 **Repair/ Modernization Manager**
To *Oracle Elevator Service co.*
Present *Louisville KY*

Jun 2000 **Service Manager**
To *Abell Elevator INT.*
Jan 2004 *Louisville KY*

Jan 1999 **Branch Manager**
To *Abell Elevator INT.*
2000 *Indianapolis IN*

Feb 1990 **Elevator Mechanic/ Service Tech**
To *Abell Elevator INT.*
Jan 1999 *Louisville KY*

Mar 1986 **Owner/ Restaurant Equipment Installer**
To *The Lancaster Co.*
Jun 1990 *Louisville KY*

- New construction/ restaurant equipment installer for Hardees, Taco Bell, KFC etc.

Memberships

I hold two inspection licenses:

- BOCA- Building Officials and Code Administrators
- QEI- Qualified Elevator Inspectors

Education

Feb 1990 I hold several certifications such as CET and CETS (Certified Elevator Technician) and other
To industrial certifications.
Present *Also Hold a 30 Hour OSHA certification*

Sep 1986 **Louisville JCC/ Technical College**
To *Industrial/ Computer Electronics*
May 1989 *Louisville KY*

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

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15. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Oracle Elevator

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

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16. WORKFORCE ANALYSIS FORM

Name of Organization: Oracle Elevator (Louisville & Lex Offices) Date: 10/31/12 TR 10/31/12

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	3	1	2						
Professionals	5	5							
Superintendents	1	1							
Supervisors	3	3							
Foremen	3	3							
Technicians	16	16							
Protective Service									
Para-Professionals									
Office/Clerical	2		2						
Skilled Craft	8	7		1					
Service/Maintenance	1	1							
Total:	42	37	4	1					

Prepared By: Tim Rose (Branch Manager)

TR

See attached certificate of insurance

17. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: _____ Employee ID: _____

Address: _____ Phone: _____

Project to be insured: _____

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$			
SC-3, Section 2, Part 4.1 – see provisions	AUTO	\$2,000,000/per occ.	\$			
SC-3, Section 2, Part 4.1 – see provisions	WC	Statutory w/endorsement as noted	\$			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage _____ Name of Authorized Representative _____

Street Address _____ Title _____

City _____ State _____ Zip _____ Authorized Signature _____

Telephone Number _____ Date _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

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CERTIFICATE OF LIABILITY INSURANCE

ORAL-1

OP ID: 12

DATE (MM/DD/YYYY)

01/11/12

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Neace Lukens - Louisville Assured NL Insurance Agcy, Inc 2305 River Rd Louisville, KY 40206 Alan J. Jones	502-894-2100	CONTACT NAME:	
	502-894-8602	PHONE (A/C, No, Ext):	FAX (A/C, No):
		E-MAIL ADDRESS:	
		INSURER(S) AFFORDING COVERAGE	
		INSURER A:	Valley Forge Insurance Co.
		INSURER B:	Nat'l Fire Ins Co of Hartford
		INSURER C:	StarNet Insurance Company
		INSURER D:	National Union Fire Ins. Co.
		INSURER E:	
		INSURER F:	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
C	GENERAL LIABILITY			JMS000011406	01/01/12	01/01/13	EACH OCCURRENCE \$ 1,000,000
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY						STOP GAP COVERAGE
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						MED EXP (Any one person) \$ 10,000
	Gen Agg is also						PERSONAL & ADV INJURY \$ 1,000,000
	Per Elevator						GENERAL AGGREGATE \$ 4,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PRODUCTS - COM/OP AGG \$ 4,000,000
	<input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC						Emp Ben. \$ 1,000,000
B	AUTOMOBILE LIABILITY			2092499980	01/01/12	01/01/13	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO						BODILY INJURY (Per person) \$
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS					BODILY INJURY (Per accident) \$
	<input checked="" type="checkbox"/> HIRED AUTOS	<input checked="" type="checkbox"/> NON-OWNED AUTOS					PROPERTY DAMAGE (Per accident) \$
	Hr'd PD						\$
D	UMBRELLA LIAB			BE068024723	01/01/12	01/01/13	EACH OCCURRENCE \$ 5,000,000
	<input checked="" type="checkbox"/> EXCESS LIAB	<input checked="" type="checkbox"/> CLAIMS-MADE					AGGREGATE \$ 5,000,000
	DED <input checked="" type="checkbox"/> RETENTION \$ 10,000						\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			292499977	01/01/12	01/01/13	<input checked="" type="checkbox"/> WC STATUTORY LIMITS
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y/N					E.L. EACH ACCIDENT \$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE \$ 1,000,000
							E.L. DISEASE - POLICY LIMIT \$ 1,000,000
B	Install Cov/BR			2092475002	01/01/12	01/01/13	Install 1,000,000
							Ded 1,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
For information purposes only

CERTIFICATE HOLDER	SAMPLE1	CANCELLATION
	Sample Certificate	
		SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
		AUTHORIZED REPRESENTATIVE

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18. DEBARRED FIRMS

PROJECT NAME: Phoenix Bldg & Police HQ Elevator Renovation Project

BID NUMBER: 156-2012

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Oracle Elevator has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Oracle Elevator
Name of Firm Submitting Bid

[Signature]
Signature of Authorized Official

Branch Manager
Title

10/31/2012
Date

19. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

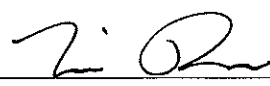
- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.

- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Oracle Elevator

Project: Phoenix Bldg & Police HQ Elevator Renovation Project

Printed Name and Title of Authorized Representative: Tim Rose (Branch Manager)

Signature: 

Date: 10/31/12

END OF SECTION

19. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Ready Electric

Project: LFUCG Elevator Upgrades

Printed Name and Title of Authorized Representative: William Van Buren
Project Manager

Signature: William Van Buren

Date: 10/01/2012

END OF SECTION

19. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

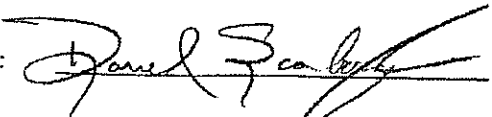
The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: SCARBOROUGH MECHANICAL

Project: PHOENIX BLDG

Printed Name and Title of Authorized Representative: DARREL SCARBOROUGH

Signature: 

Date: 10-1-12

END OF SECTION

Fidelity and Deposit Company

Home Office

OF MARYLAND

Baltimore, MD. 21203

BID BOND

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

CONTRACTOR:

(Name, legal status and address)

Oracle Elevator
1020 Arbor Tech Drive, Suite A
Hebron, KY 41048

SURETY:

(Name, legal status and principal place of business):

Fidelity and Deposit Company of Maryland
The Precedent Office Park
9229 Delegates Row, Suite 300
Indianapolis, IN 46240

OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government
200 East Main Street
3rd Floor, Room 338
Lexington, KY 40507

BOND AMOUNT: \$ five percent (5%) of the amount bid

PROJECT:

(Name, location or address, and Project number, if any)


Modernization of Six (6) Elevators

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a Surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

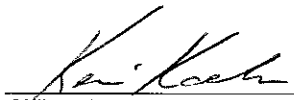
If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirements shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 31st day of October, 2012.



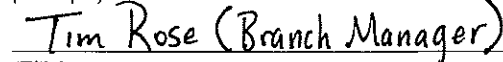
(Witness)



(Witness)

Oracle Elevator

(Principal)

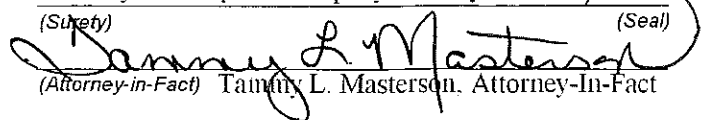


(Title)

(Title)

Fidelity and Deposit Company of Maryland

(Surety)



(Attorney-in-Fact) Tammy L. Masterson, Attorney-In-Fact

Power of Attorney
FIDELITY AND DEPOSIT COMPANY OF MARYLAND

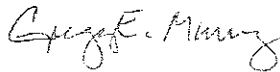
KNOW ALL MEN BY THESE PRESENTS: That the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, a corporation of the State of Maryland, by FRANK E. MARTIN JR., Vice President, and GREGORY E. MURRAY, Assistant Secretary, in pursuance of authority granted by Article VI, Section 2, of the By-Laws of said Company, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, does hereby nominate, constitute and appoint **Douglas A. STOUGH, John A. STOUGH, John F. NEACE, Tammy L. MASTERSON, Kyle SHREWSBURY, Shirley A. SAGUN and Jennifer K. WILLIAMS**, all of Louisville, Kentucky, EACH its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Company, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its office in Baltimore, Md., in their own proper persons. This power of attorney revokes that issued on behalf of Douglas A. STOUGH, John A. STOUGH, Deborah S. PINKERTON, John F. NEACE, Tammy L. MASTERSON, Kyle SHREWSBURY, dated January 30, 2009.

The said Assistant Secretary does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article VI, Section 2, of the By-Laws of said Company, and is now in force.

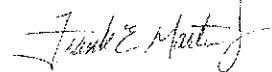
IN WITNESS WHEREOF, the said Vice-President and Assistant Secretary have hereunto subscribed their names and affixed the Corporate Seal of the said FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 7th day of April, A.D. 2011.

ATTEST:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND



Gregory E. Murray
Gregory E. Murray Assistant Secretary



By:

Frank E. Martin Jr.
Frank E. Martin Jr.

Frank E. Martin Jr.
Vice President

State of Maryland }
City of Baltimore } ss:

On this 7th day of April, A.D. 2011, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, came FRANK E. MARTIN JR., Vice President, and GREGORY E. MURRAY, Assistant Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and they each acknowledged the execution of the same, and being by me duly sworn, severally and each for himself depose and saith, that they are the said officers of the Company aforesaid, and that the seal affixed to the preceding instrument is the Corporate Seal of said Company, and that the said Corporate Seal and their signatures as such officers were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn
Constance A. Dunn

Constance A. Dunn
Notary Public

My Commission Expires: July 14, 2011