



First Responders
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Issue Date: 08/01/2019

Center for Substance Abuse Prevention

Grant Number: 5H79SP080309-02
FAIN: H79SP080309
Program Director: Amy Baker

Project Title: First Responders and Community Partners Overdose Prevention Project

Organization Name: LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

Business Official: Charlie Lanter

Business Official e-mail address: clanter@lexingtonky.gov

Budget Period: 09/30/2019 – 09/29/2020

Project Period: 09/30/2018 – 09/29/2022

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$510,594 (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT in support of the above referenced project. This award is pursuant to the authority of Section 546 of the Public Health and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on “Grants” then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 5H79SP080309-02

Award Calculation (U.S. Dollars)

Personnel(non-research)	\$50,494
Fringe Benefits	\$21,497
Travel	\$2,736
Supplies	\$273,200
Contractual	\$161,467
Other	\$1,200
Direct Cost	\$510,594
Approved Budget	\$510,594
Federal Share	\$510,594
Cumulative Prior Awards for this Budget Period	\$0
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$510,594

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
2	\$510,594
3	\$508,928
4	\$510,594

*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.243
EIN: 1610858140A1
Document Number: 17SP80309A
Fiscal Year: 2019

IC	CAN	Amount
TI	C96N707	\$510,594

IC	CAN	2019	2020	2021
TI	C96N707	\$510,594	\$508,928	\$510,594

SP Administrative Data:

PCC: FR-CARA / **OC:** 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 5H79SP080309-02

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW,

SECTION III – TERMS AND CONDITIONS – 5H79SP080309-02

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Additional Costs

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SP Special Terms and Conditions – 5H79SP080309-02

REMARKS

Continuation Award

1. **This Notice of Award (NoA) is issued to inform your organization that the First Responders - Comprehensive Addiction and Recovery Act (FR-CARA) program is being continued.** This award reflects approval of the revised budget submitted May 30, 2019 by your Organization, in response to the continuation application request.
2. **Key Staff**
 - Key staff (or key staff positions, if staff has not been selected) are listed below:
 - Project Director: TBD, 100% level of effort
 - Lead Evaluator: Dr. Michele Staton, 5% level of effort, contractual
 - Any changes in key staff including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project, requires prior approval and must be submitted as a post-award amendment in eRA Commons.
 - For additional information on how to submit a post-award amendment, please visit the SAMHSA website: <https://www.samhsa.gov/grants/grants-management/post-award-changes>. Direct any technical questions regarding the submission process to the eRA Service Desk: <http://grants.nih.gov/support/>.
3. **All responses to award terms and conditions and prior approval requests must be submitted in eRA Commons.** For additional information on how to upload a document in response to a tracked term, please reference under heading **4 Additional Materials grantee** in the User Guide located at:

https://era.nih.gov/files/TCM_User_Guide_Granttee.pdf

4. **Recipients are expected to plan their work and ensure that funds are expended within the 12-month budget period reflected on this Notice of Award.** If activities proposed in the approved budget cannot be completed within the current budget period, SAMSHA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

STANDARD TERMS AND CONDITIONS

Annual Programmatic Progress Report

By December 30, 2020, submit via eRA Commons.

The Programmatic Report is required on an annual basis and must be submitted as a .pdf to the View Terms Tracking Details page in the eRA Commons System no later than 90 days after the end of the budget period. The report must include, at a minimum, the following information:

- o Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- o A summary of key program accomplishments to-date.
- o Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
- o Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

For more information on how to upload a document in response to a tracked term in eRA Commons, reference under heading 4 Additional Materials grantee in the User Guide located at: https://era.nih.gov/files/TCM_User_Guide_Granttee.pdf. Contact your Government Program Official (GPO) for program specific submission information.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

Annual Federal Financial Report (SF-425)

The Federal Financial Report (FFR) (SF-425) is required on an annual basis and must be submitted no later than 90 days after the end of the budget period. It should reflect only cumulative actual Federal funds authorized and disbursed, any non-Federal matching funds (if identified in the Funding Opportunity Announcement (FOA)), unliquidated obligations incurred, the unobligated balance of the Federal funds for the award, as well as program income generated during the timeframe covered by the report. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

FFR reporting must be entered directly into the eRA Commons system. Instructions on how to submit a Federal Financial Report (FFR) via the eRA Commons is available at <https://www.samhsa.gov/sites/default/files/samhsa-grantee-submit-ffr-10-22-17.pptx>.

Standard Terms for Awards

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on Page 2 of your Notice of Award. SAMHSA's Terms and Conditions Webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

COMPLIANCE WITH TERMS AND CONDITIONS:

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer. Failure to comply with the above stated terms and conditions may result in actions in accordance with 45 CFR 75.371, Remedies for noncompliance and 45 CFR 75.372 Termination. This may include withholding payment, disallowance of costs, suspension and debarment, termination of this award, or denial of future funding.

Staff Contacts:

Judith Ellis, Program Official

Phone: (240) 276-2567 **Email:** judith.ellis@samhsa.hhs.gov

Mercedes Martinez, Grants Specialist

Phone: (240) 276-1417 **Email:** Mercedes.Martinez@samhsa.hhs.gov **Fax:** (240) 276-1420