



TO: Mayor Linda Gorton
Urban County Council

FROM: Mark Sanders, P.E., Interim Director
Division of Water Quality *MSJ 6/10/26*

DATE: June 9, 2026

SUBJECT: Change Order No. 1 - Town Branch and West Hickman WWTP UV Disinfection Process Replacement Project (Contract No. 131-2024)

Request

The Division of Water Quality recommends approval of Change Order No. 1 to Herrick Company, Inc., the contractor for the Town Branch and West Hickman WWTP UV Disinfection Process Replacement Project.

Purpose of Request

The following changes result in a net increase to the contract:

- Non-potable water PLC HMI modifications (+\$19,950)
- Change from a natural gas to a diesel generator at Town Branch (-\$5,775)
- Changes to wire trough layouts requested by manufacturer (+\$95,700)
- Changes to the ductile iron pipe layout at Town Branch to add cleanouts (+\$6,600)
- Change to stack sawcut concrete slabs and reduce backfill amount (-\$18,940)
- Coat concrete channel walls due to pitting (+\$35,596)
- Addition of bollards around electrical handholes (+\$10,000)
- Credit for unused sodium bisulfite solution (-\$29,782)
- Addition of sumps in channels for dewatering (+\$11,950)
- Rework power feed for UV influent gates (+\$12,200)
- Addition of communication module for WH generator (+\$2,072.50)
- Changes to HVAC lintels after bidding (+\$18,500)
- Additional spare parts (\$1,857,862)

This change does not alter the Contract time.

Project Cost in FY26 Budget and Future Budget Years



FY26 – Approval of this change order results in an increase of \$2,070,430.50 to the contract. The revised contract amount including this change order will be \$15,715,933.50 representing a 14.71% increase of the original contract amount.

Future Budget Impact – This change order will not have an impact on future budget years.

Are Funds Budgeted

Funds are Budgeted in: 3230 303401 3401 92711 2024 UV_GRANT_2024/FED_GRANT

A budget amendment is in process to fund this change order. Additional grant funds were allocated to this project via Resolution No. 228-2026.

Sanders/Martin



LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONTRACT CHANGE ORDER Page 1 of 2	Date:	June 9, 2026
	Project:	Town Branch and West Hickman WWTP UV Disinfection Process Replacement Project
	Location:	Town Branch and West Hickman WWTP

To (Contractor): Herrick Company, Inc. 780 Enterprise Dr. 40510 Lexington, KY	Contract No.	131-2024	
	Original Contract Amt.	\$13,700,000.00	
	Cumulative Amount of Previous Change Orders	\$0.00	
	Percent Change - Previous Change Orders		0.00%
	Total Contract Amount Prior to this Change Order	\$13,700,000.00	
	Change Order No.	1	

You are hereby requested to comply with the following changes from the contract plans and specification;

Current Change Order

Item No.	Description of changes-quantities, unit prices, change in completion date, etc.	Decrease in contract price	Increase in contract price	
1	CPR No. 2 - PLC-NPW additions		\$19,950.00	
2	CPR No. 4 - TB generator change to diesel from natural gas	\$5,775.00		
3	CPR No. 5 - TB wire trough changes		\$95,700.00	
4	CPR No. 7 - TB DI pipe layout changes		\$6,600.00	
5	CPR No. 8 - TB backfill tanks with cut slabs	\$18,940.00		
6	CPR No. 9 - WH coat pitting UV channel walls		\$35,596.00	
7	CPR No. 10 - TB Bollards at handholes		\$10,000.00	
8	CPR No. 11 - WH credit for SBS	\$29,782.00		
9	CPR No. 12 - WH add additional sumps		\$11,950.00	
10	CPR No. 13 - TB rework power for influent gates		\$12,200.00	
11	CPR No. 14 - WH generator communication module		\$2,072.50	
12	CPR No. 15 - HVAC opening lintels		\$18,500.00	
13	CPR No. 17 - Spare parts and storage equipment		\$1,857,862.00	
	Total decrease	\$54,497.00		
	Total increase		\$2,070,430.50	
	Net Amount of this Change Order	\$2,015,933.50		
	New Contract Amount Including this Change Order	\$15,715,933.50		
	Percent Change - This Change Order			14.71%
	Percent Change - All Change Orders			14.71%

The time provided for the completion in the contract and all provisions of the contract will apply hereto.

This Change Order shall have a zero (0) calendar day time extension.

Recommended by: <i>Emily Epperson</i>	(Project Manager)	Date	06/09/2026
Reviewed / Accepted by: <i>Elizabeth Diment</i>	(Consultant)	Date	06/09/2026
Accepted by: <i>[Signature]</i>	(Contractor)	Date	06/09/2026
Approved by: <i>[Signature]</i>	(Director)	Date	6/10/26
Approved by: (N/A if less than 5%) <i>[Signature]</i>	(Commissioner)	Date	6-11-26
Approved by: (N/A if less than 5%) <i>[Signature]</i>	(Mayor or CAO)	Date	

JUSTIFICATION FOR CHANGE

PROJECT: Town Branch and West Hickman WWTP UV Disinfection P

CONTRACT NO. 131-2024

CHANGE ORDER #: 1

1. See Contract History Form

2. Is proposed change an alternate bid? ___Yes XNo

3. Will proposed change alter the physical size of the project? ___Yes XNo

If "Yes", explain.

4. Effect of this change on other prime contractors: none

5. Has consent of surety been obtained? XYes ___Not Necessary

6. Will this change affect expiration or extent of insurance coverage? ___Yes XNo

If "Yes", will the policies be extended? ___Yes ___No

7. Effect on operation and maintenance costs: none

8. Effect on contract completion date: none

Mayor

Date