

PART III

Invitation to Bid No. 157-2014

ROOFING AND HVAC RENOVATION AT CORONER'S OFFICE AND COMMUNITY ACTION CENTER

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: Oct. 16, 2014

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Riddell Construction Inc.

4245 Richmond Rd. Irvine, Ky. 40336
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky., doing business as Riddell Construction Inc.
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Roofing and HVAC Renovation at Coroner's Office and Community Action Center** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

PART III

FORM OF PROPOSAL

INDEX

1.	FORM OF PROPOSAL	P-2
2.	LEGAL STATUS OF BIDDER.....	P-4
3.	BIDDERS AFFIDAVIT	P-5
4.	BID SCHEDULE – SCHEDULE OF VALUES	P-6
5.	STATEMENT OF BIDDER'S QUALIFICATIONS	P-9
6.	LIST OF PROPOSED SUBCONTRACTORS	P-12
7.	LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS	P-13
8.	AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST.....	P-25
9.	STATEMENT OF EXPERIENCE.....	P-26
10.	EQUAL OPPORTUNITY AGREEMENT.....	P-28
11.	EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY	P-31
12.	WORKFORCE ANALYSIS	P-32
13.	EVIDENCE OF INSURABILITY	P-33
14.	DEBARRED FIRMS.....	P-34
15.	DEBARRED CERTIFICATION	P-35

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$250.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date Oct 6, 2014

Addendum No. 2 Date Oct 9, 2014

Addendum No. 3 Date Oct 13, 2014

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder Riddell Construction Inc.

Date Oct 16, 2014

* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Reve West, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

~~_____~~
~~_____~~
~~_____~~
~~_____~~
~~_____~~

* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

~~_____~~
~~_____~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Lerie West, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Lerie West and he/she is the individual submitting the bid or is the authorized representative of Riddell Const. Inc., the entity submitting the bid (hereinafter referred to as "Bidder").
 2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
 3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
 4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
 5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
 6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
 7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
- Further, Affiant sayeth naught.

Lerie West
(Affiant)

STATE OF Ky.
COUNTY OF Estell

The foregoing instrument was subscribed, sworn to and acknowledged before me by Lerie West on this the 16th day of Oct, 2014.

My Commission expires: 12/10/15

Elizabeth Muchow
NOTARY PUBLIC, STATE AT LARGE

4. **BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG’s decision on the bid amount is final.

LFUCG reserves the right to award bid based on any combination of alternates in the best interest of the Government.

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
1.	Base Bid (Replacement of roofing and HVAC systems at both locations, as per specifications) <i>FOUR HUNDRED EIGHTY THOUSAND</i> Dollars <i>00/100</i> Cents	LS	\$ <u>480,000.00</u>
2.	Alternate #1 (Install roofing on sloped metal roof at CAC, as per specifications) <i>SEVENTY FIVE THOUSAND</i> Dollars <i>00/100</i> Cents	LS	\$ <u>75,000.00</u>
3.	Alternate #2 (Install sheet metal flashing at CAC in lieu of bellows type flashing, as per specifications) <i>SEVEN HUNDRED TWENTY</i> Dollars <i>00/100</i> Cents	LS	\$ <u>720.00</u>
4.	Alternate #3 (Install lockable roof access ladder at Coroner’s Office, as per specifications) <i>SEVEN THOUSAND FIVE HUNDRED</i> Dollars _____ Cents	LS	\$ <u>7,500.00</u>
5.	Alternate #4 (Replace square foot of foil faced membrane with like kind, as needed) <i>SEVEN</i> Dollars <i>00/100</i> Cents	SF	\$ <u>7.00</u>
6.	Alternate #5 (LED lighting replacement, as per specifications) <i>FOURTY FOUR THOUSAND</i> Dollars _____ Cents	LS	\$ <u>44,000.00</u>

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
7.	Alternate #6 (T8 lighting replacement, as per specifications) THIRTY FOUR THOUSAND FIVE HUNDRED Dollars <u>NO/105</u> Cents	LS	\$ <u>34,500</u>

THIRTY FOUR THOUSAND FIVE HUNDRED

Submitted by:

Riddell Construction Inc.

Firm

4245 Richmond Road

Address

Irvine, Ky. 40336

City, State & Zip

Bid must be signed:
(original signature)

Rene West President

Signature of Authorized Company Representative - Title

Rene West

Representative/s Name (Typed or Printed)

606 723 - 5800

Area Code - Phone - Extension

606-723-7800

Fax #

riddellinc@windstream.net

E-Mail Address

OFFICIAL ADDRESS:

Riddell Construction Inc.

4245 Rich. Rd.

Irvine, Ky. 40336

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

THE AMERICAN INSTITUTE OF ARCHITECTS



AIA Document A310

Bid Bond

KNOW ALL MEN BY THESE PRESENTS, that we Riddell Construction, Inc.
(Here insert full name and address or legal title of Contractor)
 4245 Richmond Road, Irvine KY 40336
 as Principal, hereinafter called the Principal, and FCCI Insurance Company
(Here insert full name and address or legal title of Surety)
 6300 University Parkway, Sarasota FL 34240
 a corporation duly organized under the laws of the State of FL
 as Surety, hereinafter called the Surety, are held and firmly bound unto Lexington Fayette Urban County Govt
(Here insert full name and address or legal title of Owner)
 200 E Main St 3rd FL Rm 338 Lexington KY 40507
 as Obligee, hereinafter called the Obligee, in the sum of Five Percent of the Amount Bid

Dollars (\$ 5% of Amt Bid),
 for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind
 ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by
 these presents.

WHEREAS, the Principal has submitted a bid for (Here insert full name, address and description of project)

Roofing and HVAC Renovation of the Coroner's Office

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 16th day of October 2014

B. Hansen

 (Witness)

Riddell Construction Inc.
(Principal) _____ (Seal)
Eric West, Pres.

 (Title)

Mary Elliott

 (Witness)

FCCI Insurance Company
(Surety) _____ (Seal)
Jeff McIntosh

 (Title) Attorney-in-fact



GENERAL POWER OF ATTORNEY

Know all men by these presents: That the FCCI Insurance Company, a Corporation organized and existing under the laws of the State of Florida (the "Corporation") does make, constitute and appoint:

Mary Elliot, Steve Simmons, Jeff McIntosh

Each, its true and lawful Attorney-In-Fact, to make, execute, seal and deliver, for and on its behalf as surety, and as its act and deed in all bonds and undertakings provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed the sum of (not to exceed \$5,000,000): \$5,000,000.00

This Power of Attorney is made and executed by authority of a Resolution adopted by the Board of Directors. That resolution also authorized any further action by the officers of the Company necessary to effect such transaction.

The signatures below and the seal of the Corporation may be affixed by facsimile, and any such facsimile signatures or facsimile seal shall be binding upon the Corporation when so affixed and in the future with regard to any bond, undertaking or contract of surety to which it is attached.

In witness whereof, the FCCI Insurance Company has caused these presents to be signed by its duly authorized officers and its corporate Seal to be hereunto affixed, this 22ND day of September, 2011.

Attest: Craig Johnson
Craig Johnson, President
FCCI Insurance Company



Thomas A. Koval
Thomas A. Koval Esq., SVP, General Counsel,
Government Affairs and Corporate Secretary
FCCI Insurance Company

State of Florida
County of Sarasota

Before me this day personally appeared Craig Johnson, who is personally known to me and who executed the foregoing document for the purposes expressed therein.

My commission expires: 9/25/2016

ARLENE GUEMAN
Notary Public, State of Florida
My Comm. Expires Sept. 25, 2016
No. EE 213092

Arlene Gueman
Notary Public

State of Florida
County of Sarasota

Before me this day personally appeared Thomas A. Koval, Esq., who is personally known to me and who executed the foregoing document for the purposes expressed therein.

My commission expires: 9/25/2016

ARLENE GUEMAN
Notary Public, State of Florida
My Comm. Expires Sept. 25, 2016
No. EE 213092

Arlene Gueman
Notary Public

CERTIFICATE

I, the undersigned Secretary of FCCI Insurance Company, a Florida Corporation, DO HEREBY CERTIFY that the foregoing Power of Attorney remains in full force and has not been revoked; and furthermore that the February 24, 2011 Resolution of the Board of Directors, referenced in said Power of Attorney, is now in force.

Dated this 16th day of Oct, 2014

Thomas A. Koval
Thomas A. Koval, Esq., SVP, General Counsel,
Government Affairs and Corporate Secretary



5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Riddell Construction Inc.
- 2. Permanent Place of Business: 4245 Richmond Road Irvine, Ky. 40336
- 3. When Organized: Oct 1999
- 4. Where Incorporated: Oct 1999
- 5. Construction Plant and Equipment Available for this Project:
Office
Backhoe
Bobcat
Ladders
Tools Small.

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

FCC Insurance Company (Surety)

Signed: [Signature] (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Riddell Construction Inc.
- 2. Permanent Place of Business: 4245 Richmond Road Irvine Ky. 40336
- 3. When Organized: Oct 1999
- 4. Where Incorporated: Oct 1999
- 5. Construction Plant and Equipment Available for this Project:

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

FCCd Insurance Company (Surety)

Signed: [Signature] (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

5. STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Riddell Construction Inc.
- 2. Permanent Place of Business: 4245 Richmond Road Irvine, Ky. 40336
- 3. When Organized: Oct. 1999
- 4. Where Incorporated: Oct 1999
- 5. Construction Plant and Equipment Available for this Project:
Office
Backhoe
Boat
Ladders
Tools Small

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

FCC Insurance Company (Surety)

Signed: _____ (Representative of Surety)

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
Housing Authority of Paris	Paris, Ky.	800,000. ⁰⁰ / ₁₀₀
City of Richmond Tipton Mt. Nursing	Rich. Ky.	903,000. ⁰⁰ / ₁₀₀
Housing Authority of Paris.	Paris, Ky.	274,000. ⁰⁰ / ₁₀₀

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>

Riddell Construction, Inc.

4245 Richmond Road

Irvine, KY 40336

(606) 723-5800

(606) 723-7800 Fax

LIST OF PREVIOUS PROJECTS

Housing Authority of Irvine
285 Mt. Crest
Irvine, KY 40336
CFPKY 36P036-501-08-09
KY 36S036-501-09

Snapp & Associates
103 Wind Haven Drive
Nicholasville, KY 40356
859.422.5175

Housing Authority of Prestonsburg
#12 Blane Hall St.
Prestonsburg, KY
CFP KY 36P035-501 (05)

C.S. Design
401 West Main Street, Suite 318
Lexington, KY 40507
859.367.7521

Housing Authority of Mt. Sterling
335 Bernard Ave
Mt. Sterling, KY

C.S. Design
401 West Main Street, Suite 318
Lexington, KY 40507
859.367.7521

Housing Authority of Middlesboro
P.O. Box 977
Middlesboro, KY
CFP KY 36P019-501

Donald Rochester
628 N. Broadway, Suite 304
Lexington, KY 40508
859.255.3662

Housing Authority of London
100 S. McFalden Lane
London, KY 40744

Donald Rochester
628 N. Broadway, Suite 304
Lexington, KY 40508
859.255.3662

Lees ADA Access/ Hazard Community College
Jackson, KY
CT2-785-070000500

William C. Martin, Architect
810 Glen Dover Road
Lexington, KY 40508
859.269.1213

Estill County Health Department
River Drive
Irvine, KY 40336

Stewart Architecture
One Fountain Plaza
161 N. Eagle Creek Suite #120
Lexington, KY 40509
859.263.0749

Estill County Emergency Operations Center
182 Broadway
Irvine, KY 40336

Housing Authority of Irvine
285 Mt Crest
Irvine, KY 40336
KY36P036-501(08 & 09) Part A
KY 36S036-501 (09) Part B

Daniel Boone Community Action Center
1535 Shamrock Road
Manchester, KY

Housing Authority of Middlesboro
P.O. Box 977
Middlesboro, KY
KY36P019-501-07 KY 19-5

Housing Authority of Middlesboro
P.O. Box 977
Middlesboro, KY
KY36P019-501 (09)

Housing Authority of Winchester
200 Canewood Drive
Winchester, KY
KY 36P018-501 (10)

James W. Potts, Architecture
431 South Mill Street
Lexington, KY 40508
859.252.0166

Snapp & Associates
103 Wind Haven Drive
Nicholasville, KY 40356
859.422.5175

Architecture Etc., Tom Cruze
629 Poplar Springs Lane
Lexington, KY 40515
859.272.3944

Donald Rochester
628 N. Broadway, Suite 304
Lexington, KY 40508
859.255.3662

Donald Rochester
628 N. Broadway, Suite 304
Lexington, KY 40508
859.255.3662

C.S. Design
401 West Main Street, Suite 318
Lexington, KY 40507
859.367.7521

Schedule A: List of Previous Projects and Section 8 Contracts. By my name below is the complete list of my previous projects and my participation history as a principal in Multifamily Housing programs of HUD/FmHA, State, and Local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Abbreviate where possible. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If you have no previous projects write, by your name, "No previous participation, First Experience."

1. List each Principal's Name (list in alphabetical order, last name first)	2. List Previous Projects (give the I.D. number, project name, city location, & government agency involved if other than HUD)	3. List Principals' Role(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of Loan (current, defaulted, assigned, or foreclosed)	5. Was Project ever in Default, during your participation?	If "Yes," explain	6. Last Mgmt. and/or Physical Inspectin Rating
Nenson, Brandon West. Oh's West Rene.	Housing Authority of Irvine 885 Mt. Crest Irvine KY 40336 CFPKY 36 P036-501-08-09 KY 36 5036-501-09	Const. Supervisor Project Mgr. Resident				
	Housing Authority of Prestonsburg 412 Brane Wall St. Prestonsburg, Ky. CFPKY 36 P035-501-05					
	Housing Authority of Mt. Sterling 335 Bernard Ave Mt. Sterling, Ky.					
	Housing Authority of Winchester 200 Lane wood Winchester, Ky. CFPKY 36 P018-501-05					
	Housing Authority of Middlesboro P. Box 977 Middlesboro, Ky. CFPKY 36 P019-001					
	Housing Authority of London 100 S. McFadden Ln. London, Ky. 40374 Lees ADA Access/ Hazard Comm. College Jackson, Ky. CT 2-785-67000500.					

Part II - For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or referral to Headquarters as checked below:

Date (mm/dd/yyyy)	Telephone Number and Area Code	<input type="checkbox"/> A. No adverse information; form HUD-2530 approval is recommended. <input type="checkbox"/> B. Name match in system	<input type="checkbox"/> C. Disclosure or Certification problem <input type="checkbox"/> D. Other, our memorandum is attached.
Staff	Processing and Control	Director of Housing / Director, Multifamily Division	
Supervisor		Approved <input type="checkbox"/> Yes <input type="checkbox"/> No	Date (mm/dd/yyyy)

Schedule A: List of Previous Projects and Section 8 Contracts. By my name below is the complete list of my previous projects and my participation history as a principal in Multifamily Housing programs of HUD/FmHA, State, and Local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Abbreviate where possible. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If you have no previous projects write, by your name, "No previous participation, First Experience."

1. List each Principal's Name (list in alphabetical order, last name first)	2. List Previous Projects (give the I.D. number, project name, city location, & government agency involved if other than HUD)	3. List Principal's Role(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of Loan (current, defaulted, assigned or foreclosed)	5. Was Project ever in Default, during your participation? Yes No	If "Yes," explain	6. Last Mgmt. and/or Physical Inspect Rating
	Housing Authority of Berea 100 Orchard St. Berea, Ky. CFP KY 36 PD 90-501 (05-20-02)					
	Estill Co. Health Dept. Rivue Dr. IRVINE, Ky. 40336					
	Estill Co. Emergency Operations Center 182 Broadway IRVINE Ky.					
	Housing Authority of IRVINE 285 Mt. Crest IRVINE Ky. 40336					
	KY 36 PD 36-501 (02-09) Part A. KY 36 PD 36-501 (09) Part B.					
	Daniel Boone Comm. Method Center 1535 Shamrock Rd. Manchester, Ky. Housing Authority of Mt. Vernon P.O. Box 977 Mt. Vernon, Ky. KY 36 PD 19-501 (08 KY) 19-5					

Part II - For HUD Internal Processing Only
 Received and checked by me for accuracy and completeness; recommend approval or transfer to Headquarters as checked below:

Date (mm/dd/yyyy)	Telephone Number and Area Code	<input type="checkbox"/> A. No adverse information; form HUD-2530 approval is recommended. <input type="checkbox"/> B. Name match in system	<input type="checkbox"/> C. Disclosure or Certification problem <input type="checkbox"/> D. Other, our memorandum is attached.
Processing and Control	Director of Housing / Director, Multifamily Division	Approved <input type="checkbox"/> Yes <input type="checkbox"/> No	Date (mm/dd/yyyy)

Schedule A: List of Previous Projects and Section 8 Contracts. By my name below is the complete list of my previous projects and my participation history as a principal in Multifamily Housing programs of HUD/FmHA, State, and Local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Abbreviate where possible. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If you have no previous projects write, by your name, "No previous participation, First Experience."

1. List each Principal's Name (list in alphabetical order, last name first)	2. List Previous Projects (give the I.D. number, project name, city location, & government agency involved if other than HUD)	3. List Principal's Role(s) (Indicate dates participated, and if fee or identity of interest participant)	4. Status of Loan (current, defaulted, assigned, or foreclosed)	5. Was Project ever in Default, during your participation? If "Yes," explain	6. Last Mgmt. and/or Physical Inspectn Rating
	Nursing Authority of IRVINE 283 Mt. Crest Irvine Ky. 40360 KY36P036-50108-09 Project A KY36-5036-50108 Project B				
	Nursing Authority of Middleboro P.O. Box 999 Middleboro, Ky. KY36 PD15-201 (01)				
	Nursing Authority of Winchester 200 Concord Winchester, Ky. KY36 PD18-50100				
	Nursing Authority of Winchester 300 Concord Winchester, Ky. KY36 PD18-50101				

Part II - For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or transfer to Headquarters as checked below:

Date (mm/dd/yyyy) _____ Telephone Number and Area Code _____

Staff _____ Processing and Control _____

Supervisor _____ Director of Housing / Director, Multifamily Division _____

Approved Yes No _____ Date (mm/dd/yyyy) _____

Previous editions are obsolete

Schedule A: List of Previous Projects and Section 8 Contracts. By my name below is the complete list of my previous projects and my participation history as a principal in Multifamily Housing programs of HUD/FmHA, State, and Local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Abbreviate where possible. Make full disclosure. Add extra sheets if you need more space. Double check for accuracy. If you have no previous projects write, by your name, "No previous participation, First Experience."

1. List each Principal's Name (list in alphabetical order, last name first)	2. List Previous Projects (give the I.D. number, project name, city location, & government agency involved if other than HUD)	3. List Principals' Roles(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of Loan (current, defaulted, assigned, or foreclosed)	5. Was Project ever in Default, during your participation? Yes No If "Yes," explain	6. Last Mgmt. and/or Physical Inspectn Rating and Date
	<p>Nursing Authority of Richmond 100 Robinson Dr. Richmond, Ky. 40475 KPLB P016-501(11)</p> <p>Pioneer Nursing 100 Robinson Dr. Richmond, Ky. 40475. Pioneer Project.</p> <p>Pioneer Nursing 100 Robinson Dr. Richmond Ky. 40475 Four M. 1st Project. Nursing Authority of M. Middleboro Middleboro, Ky. Ky 316 PB19-501(11)</p>				

Part II - For HUD Internal Processing Only

Received and checked by me for accuracy and completeness; recommend approval or transfer to Headquarters as checked below:

Date (mm/dd/yyyy)

Telephone Number and Area Code

Staff

Processing and Control

Supervisor

A. No adverse information; form HUD-2530 approval is recommended.

B. Name match in system.

Director of Housing / Director, Multifamily Division

C. Disclosure or Certification problem

D. Other, our memorandum is attached.

Approved Yes No

Date (mm/dd/yyyy)

Previous Participation Certification

OMB Approval No. 2502-0118
(Exp. 02/29/2016)

Schedule A: List of Previous Projects and Section 8 Contracts. Below is a complete list of the principals' previous participation projects and participation history in multifamily housing programs of HUD/FmHA, State and local Housing Finance Agencies. **Note:** Read and follow the instruction sheet carefully. Make full disclosure. Add extra sheets if you need more space. **Double check for accuracy.** If no previous projects, write by your name, **"No previous participation, First Experience"**.

1. Principals Name (Last, First)	2. List of previous projects (Project name, project ID and, Gov. agency involved)	3 List Principals' Role(s) (indicate dates participated, and if fee or identity of interest participant)	4. Status of loan (current, defaulted, assigned, foreclosed)	5. Was the Project ever in default during your participation Yes No If yes, explain	6. Last MOR rating and Physical Insp. Score and date
	Nursing Authority of Winchester 300 Concord Winchester, Ky. QPKJ36P018-12)				

Part II- For HUD Internal Processing Only

Received and checked by me for accuracy and completeness, recommend approval or refer to Headquarters after checking appropriate box.

Date (mm/dd/yyyy)	Tel No. and area code	<input type="checkbox"/> A. No adverse information, form HUD-2530 approval recommended.	<input type="checkbox"/> C. Disclosure or Certification problem
Staff	Processing and Control	<input type="checkbox"/> B. Name match in system	<input type="checkbox"/> D. Other (attach memorandum)
Supervisor	Director of Housing/Director, Multifamily Division	Approved <input type="checkbox"/> Yes <input type="checkbox"/> No	Date (mm/dd/yyyy)

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	% of Work
1. <u>Roofing</u>	Name: <u>PEARCE BALKBURN</u>	<u>NO</u>	_____
	Address: _____		
2. _____	Name: _____	_____	_____
	Address: _____		
3. _____	Name: _____	_____	_____
	Address: _____		
4. _____	Name: _____	_____	_____
	Address: _____		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		
7. _____	Name: _____	_____	_____
	Address: _____		

(Attach additional sheet(s) if necessary.)

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE) and Disadvantaged (DBE) Business Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned or Woman-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned or Woman-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled “MWDBE Participation Form”. The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form.” The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the “MWDBE Participation Form”, the “Quote Summary Form” and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE participation, bidder shall enter “None” on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
 - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
 - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- f. Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- j. Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a

MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Marilyn Clark
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
mclark@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs in Economic Engine (<https://lfucg.economicengine.com>)

Business	Contact	Email Address	Phone
LFUCG	Marilyn Clark	mclark@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Sonya Brown	sbrown@tsmsdc.com	502-625-0137
Small Business Development Council	Dee Dee Harbut UK SBDC	dharbut@uky.edu	
	Shiree Mack	smack@uky.edu	
Community Ventures Corporation	James Coles	jcoles@cvcky.org	859-231-0054
KY Department of Transportation	Melvin Bynes	Melvin.bynes@ky.gov	502-564-3601
	Shella Eagle	Shella.Eagle@ky.gov	502-564-3601
Ohio River Valley Women’s Business Council (WBENC)	Rea Waldon	rwaldon@gcul.org	513-487-6534
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozecky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 157-2014

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.			
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Riddell Construction Inc.

Company

Oct 16, 2014

Date

Leri West

Company Representative

President

Title



LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # _____

The substituted MWDBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # _____

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Company

Company Representative

Date

Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # _____

Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 157-2014

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

- Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- Included documentation of advertising in the above publications with the bidders good faith efforts package
- Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

- Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.
- Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- Made efforts to expand the search for MWDBE firms beyond the usual geographic boundaries.
- Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Riddell Const. Inc.
 Company
Oct 16, 2014
 Date

Lene West
 Company Representative
President
 Title

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State Ky. or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Rene West

POSITION/TITLE: President

STATEMENT OF EXPERIENCE: _____

Construction since 1986

President of Corp. Since 1999

NAME OF INDIVIDUAL: Larry W. Liddell

POSITION/TITLE: Construction Supervisor

STATEMENT OF EXPERIENCE: _____

Started company in 1963

Extensive experience in New Construction & Renovations

Master HVAC Master Electric

NAME OF INDIVIDUAL: Brandon Henson

POSITION/TITLE: Project Manager / Estimator

STATEMENT OF EXPERIENCE: _____

Worked with company since 2005

Lead Base Certifications

Bachelor's Degree in Const. Mgt. 2004 EKV.

Larry W. Riddell

1830 Pea Ridge Road
Irvine, KY 40336
606.723.3927

EDUCATION

Master Electrician
Master HVAC

EXPERIENCE

Self Employed (1980-1984)

Owner

- New Construction, building residential structures from the ground up
- Residential remodeling

Riddell Construction (1984-1999)

Supervisor

- New Construction, building residential structures from the ground up
- Residential Remodeling
- Commercial Remodeling

Riddell Construction, Inc. (1999-Current)

Supervisor

New Construction, building residential structures from the ground up

Residential remodeling

Commercial Remodeling

Commercial Construction, from the ground up

Otis L. West

234 Rice Station Road
Irvine, KY 40336
859.314.5495

EDUCATION

Estill County High School Class of 1972

Lead Based Paint Certification #R-1-18521-11-22190

EXPERIENCE

Riddell Construction, Inc.

Supervisor (1999-Current)

- New Construction, building residential structures from the ground up
- Residential Remodeling
- Commercial Remodeling

Larry W Riddell Construction

Partner (1986-1999)

- Oversee various tradesmen on the job site
- Keep steady supply of materials

Supervisor (1972-1986)

- Oversee Job-site
- Keep Projects under budget and on time

Hobart Manufacturing

Paint Technician (1972-1986)

- Prepare various small machines for paint
- Paint and finish machines for final inspections

Brandon Henson

189 Thoroughbred Way
Berea, KY 40403
859.582.8973

EDUCATION

Eastern Kentucky University, Richmond, KY
Bachelor's Degree in Construction Management, 2004

Lead Based Paint Certification

EXPERIENCE

Riddell Construction, Inc.

Estimator (2005-Current)

- Put bids together in their entirety
- Collect and review subcontractor bids
- Request and review material costs

Riddell Construction, Inc.

Assistant Estimator (2003-2005)

- Assist lead estimator on all major projects
- Prepare all bids for estimator's review

Daniel Muchow

1075 Rice Station Road
Irvine, KY 40336
d.muchow1@yahoo.com
(859) 351-4001

Education

Eastern Kentucky University, Richmond, KY
Bachelor's Degree in Construction Management 2007

Relevant Experience

Right Angle Contracting, LLC.

Currently work in subcontracting field framing with metal and wood studs, and conducting remodeling projects. 1/01/2008-Present

Riddell Construction Inc., Irvine, KY

Superintendent 10/12/2007-12/31/2008

Assistant superintendent 11/12/2004-10/12/2007

Administrative assistant 3/01/2003-11/12/2004

Lisle Electric, Irvine, KY

Assistant electrician 5/2005-8/2005

Administrative Skills

- Construction Materials Take Off
- Divisional Estimating
- Contract Documents Review and Evaluation
- Microsoft Projects, Word, Excel, PowerPoint

Career Objectives

- Become a team member that coordinates projects administratively or out in the field
- Use knowledge gained from various trades and courses taken as well as learn new aspects to better manage and organize projects
- Take challenging responsibilities
- Perform tasks accurately to the best of my ability
- Desiring an opportunity to advance in the industry

Rex A. Hardy

1451 Pea Ridge Road
Irvine, KY 40336
606.723.0963

EDUCATION

Estill County High School Class of 1990

Lead Based Paint Certification

EXPERIENCE

Riddell Construction (2011-Current)

Supervisor

- Oversee various tradesmen on the job site
- Increased productivity by keep steady supply of materials

Hardy & Hunt Construction (2002-2011)

Assistant Supervisor

- Various remodeling jobs
- Keep laborers working by prepping materials for use

JMBA Inc. (2001-2002)

- Install Windows and doors
- Lay concrete and prepare forms
- Lay multiple floor finishes

W.B. Chic (1994-2001)

- Lay concrete floors and walls
- Forming concrete
- Metal framing

DELBERT DOUGLAS ISAACS

OBJECTIVE

To retain employment with a successful, professional, and organized commercial roofing company that will look to fully make use of my skills and abilities. I wish to fill a needed position that will allow me to have an immediate and positive impact. I desire a permanent position of significant responsibility.

SKILLS & ABILITIES

I have over 25 years experience in the commercial roofing industry. Having hands on experience has allowed me to become proficient and to develop a high skill level in most technical applications of the following roofing categories: Commercial, Industrial, Historical and Residential. I am particularly skillful and knowledgeable in SBS, APP, Coal Tar Pitch, BUR, Single-Ply (EPDM, TPO, PVC), Standing Seam, Custom Sheetmetal, Copper, Slate and Wood Shakes. By applying myself, being thoroughly committed to and being fully engaged in the commercial roofing industry has allowed me to develop skills and professionalism in sales, marketing, estimating, cash flow management, cost control, quality control, project management, safety enforcement, and excellent leadership abilities. I've always taken a team work approach with my fellow co-workers, customers, and superiors. Being a person of integrity I take direction well along with being loyal and honest. I have an excellent history of working well with customers and co-workers. I have always been committed to 100% customer satisfaction through dedication and hard work. I pay acute attention to details and hold myself accountable to my work, my customers and superiors. I am a very positive person and a proven problem solver possessing a natural ability working through obstacles that may arise. I am a self motivated and goal oriented person with a strong work ethic. Being competent and skillful in all the above mentioned skills and abilities will allow me to have an immediate, positive and significant impact on the organization I work with.

EXPERIENCE

25 Years experience in the commercial roofing industry. I started out on a tear-off crew at the age of 18. I eventually worked my way up as a top roofing applicator in multiple roofing systems. I have served as a lead man, foreman, superintendent, estimator, project manager, sales manager, vice president, and president of a major successful commercial roofing company for many years. I started my own company in October 1999 with a \$20,000 credit card and no cash. From this I was able to build the company to a respectable size within a few short years having over 70 employees and producing over six million in annual revenue. I have successfully completed many high profile and logistically challenging projects. Listed is a sampling of a few such projects: **Bryan Station High School** (This was a two million

dollar roof project. It consisted of several systems such as a 4-Ply BUR, Standing Seam, and various custom sheetmetal work including a custom made Half Round Gutter System. At the time it was the largest roof of any public school in the State of Kentucky. Project was completed on schedule and passed all inspections.) **Shadwell Farms** (This project involved the complete tear off of the existing roofs, decking the entire roof area and installing a Standing Seam roofing system. This was done on multiple horse barns as well as offices and homes on one of the most prestiges Horse Farms in the World. Obviously much special care had to be taken due to the nature of the high sensitivity working around some of the most prestiges and expensive Race Horses in the World. This was a privately sold job and completed on schedule. It was over a two million dollar project.) **Hyatt Regency Downtown Lexington** (This was a 13 story building with two Pent Houses. This project involved a complete tear off of two existing roofing systems over a gyp deck, installation of a low rise foam applied ISO and a 80 mil Fully Adhered TPO. Three other large national roofing companies were invited to bid on this project and all of them insisted that the job would be impossible to do without the use of a crane which would have had a very negative impact on the day to day operations of the Hyatt as well as add significant cost to the project. I developed a specialized unique logistical plan that was highly efficient, cost effective and no impact on the day to day operations of the Hyatt Regency. This innovative approach eliminated the need and use of an expensive and highly intrusive crane.

I have acquired and successfully completed many slate and copper projects that had high levels of difficulty. One such Historical Ornamental Slate and Copper project got a very positive write up in the Lexington Herald Leader. I have numerous more projects I could list but these listed should give an idea of my overall experience and professionalism. I was intimately and thoroughly involved in the estimating, project management and sales of all the above projects mentioned.

I invented and patented a Metal Standing Seam Roofing System that eliminates oil canning.

EDUCATION

ESTILL COUNTY HIGH SCHOOL

Twelfth grade education I excelled in Math and was always in the top math class in my grade. Initially tutored by my father in the roofing industry, I began diligently applying myself to the trade through hands on experience and self education. I committed to reading and studying numerous roofing specification books. For many years I have attended numerous national seminars that covered everything from technical applications, sales, moral building, maximizing profits, safety enforcement, cost cutting, marketing etc. I have also continually applied myself to reading many self help books as they related to business, sales, management etc. I have received many awards and honors from various manufacturers for quality applications and proficient sales. I was invited to Chicago by GAF (Chris Mooney) to be involved in a "think tank" made up of top roofing professionals from around the country to help GAF learn how they could further assist their top contractors be more successful especially as it related to sales. Approximately twelve contractors were handpicked and invited to attend this exclusive meeting.

COMMUNICATION

I feel communication is one of my strongest qualities. I successfully communicate with others on many levels, whether it is a clean-up man on the ground, foreman, superintendent, architect, facilities manager, or owner. I have a strong proven track record in sales. Sixty to Seventy percent of my jobs were private sales projects. I've successfully trained sales people, estimators, superintendents and project managers as well as developed estimating programs and tracking systems with the aid of someone proficient in excel. For many years I taught a public specking school that had over 60 students. And I have spent a life time giving public speeches. I possess the strong communication skills and experience required to creditably and professionally represent any organization that retains my services.

LEADERSHIP

I have natural and strong leadership abilities. I enjoy cultivating high moral and a cooperative team spirit. I have experience and success in settling confrontations and disputes. I enjoy working with a team to accomplish our goals for the benefit of the company and our customers.

REFERENCES

SCOTT CLEMONS

Farm Manager / Shadwell Farms

859-229-3275

JARED STEPHENSON

Sales / Siplast

859-779-8893

VAN CHAMPION

Facilities Engineer / Hyatt Regency

859-699-4801

HAROLD RHODUS

Facilities Manager / The Ridge BHS

859-893-4536

ROBBIE ROBERTSON

President, Owner / CMC

859-582-0669

KEN GRIFFIN

Owner / Bran-Ken

859-661-2117

NAME OF INDIVIDUAL: Otis West
POSITION/TITLE: Project Supervisor
STATEMENT OF EXPERIENCE: Worked in Construction 1986 - Current
Lead Base Certification #R-1-17521-11-22190

NAME OF INDIVIDUAL: Elizabeth Muchow
POSITION/TITLE: Secretary
STATEMENT OF EXPERIENCE: Construction office since 2004

NAME OF INDIVIDUAL: Delbert Isacs
POSITION/TITLE: Project Manager / Estimator
STATEMENT OF EXPERIENCE: Enclosed.

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

Gene West
Signature

Riddell Construction, Inc.
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Riddell Construction, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: Riddell Const. Inc. Date: 10 / 16 / 2014

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	4	3	1					3	1
Professionals	3	2	1					2	1
Superintendents	4	3	1					3	1
Supervisors	2	1	1					1	1
Foremen									
Technicians	3	3						3	
Protective Service									
Para-Professionals									
Office/Clerical	2		2						2
Skilled Craft	6	5	1					5	1
Service/Maintenance	8	6	1		1			6	2
Total:	32	23	8		1			23	9

Prepared By: [Signature]

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)


Names Insured: Riddell Construction Inc. Employee ID: _____
 Address: 4245 Richmond Road Irvine KY 40336 Phone: 606-723-5800

Project to be insured: LFUGG Roofing & HVAC Renovation Coroner's Office-Corn. Center

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000 occ/ 2,000,000 agg.	EMC National Life Company	06339	A-
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$ 2,000,000	EMC National Life Company	06339	A-
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$ 500,000/500,000/ 500,000	Summit/Bridgerfield	011812	A

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Energy Insurance Agency
 Agency or Brokerage: Jeff McIntosh VP
 Name of Authorized Representative: _____
3008 Atkinson Avenue
 Street Address: _____
Lexington KY 40509
 City: _____ State: _____ Zip: _____
 Title: Agent/VP
 Authorized Signature: 
 Date: 10/15/14
 Telephone Number: _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: _____ Employee ID: _____
 Address: _____ Phone: _____

Project to be insured: _____

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$			
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$			
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w /endorsement as noted	\$			

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage _____ Name of Authorized Representative _____
 Street Address _____ Title _____
 City _____ State _____ Zip _____ Authorized Signature _____
 Telephone Number _____ Date _____

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: Roofing, NMC Renovation at Coroner's Office & Community Action Center
BID NUMBER: #157-2014

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Riddell Construction, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Riddell Constructing, Inc.
Name of Firm Submitting Bid

Gene West
Signature of Authorized Official

President
Title

Oct 16, 2014
Date

14. DEBARRED FIRMS

PROJECT NAME: Roofing NMC Renovation of Governor's Office & Community Action Center

BID NUMBER: #157-2014

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Riddell Construction Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Riddell Construction Inc.
Name of Firm Submitting Bid

Leri West, President
Signature of Authorized Official

President
Title

10/16/2014
Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.

2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Riddell Construction Inc.

Project: Roofing & HVAC Renovation, Coronel's Office & Community Action Center

Printed Name and Title of Authorized Representative: Rene West President

Signature: Rene West

Date: Oct 16, 2014

END OF SECTION

