

MAYOR LINDA GORTON



LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

ADDENDUM No. 1

Bid Number: **#97-2019**

Subject: Sharon Village Pump Station and Force Main
Wastewater System Improvements
Remedial Measures Project No. CR-8

Date: September 24, 2019

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1.	Will an antenna be required for SCADA installation?	LFUCG will install the antenna and antenna cable. This is clarified in Addendum No. 1.
2.	Where will the flow meter transmitter be installed?	The transmitter will be mounted at the flow meter vault.
3.	Will disconnects be mounted at the wet wells?	Wet well mounted disconnects are not required. The VFDs shall be provided with lockable disconnects.
4.	What type of conductor is required for the flow meter?	Wiring to be per the Control Interconnecting Wiring Diagram shown on Sheet E-4. Provide shielded instrument conductor between the flow meter transmitter and the indicator inside the pump station.
5.	Is there an engineer's estimate?	Engineer's estimate is not disclosed.
6.	Is the building pre-wired?	Yes. The building is specified in the electrical section and will be pre-manufactured and wired for installation at the site.
7.	Is the erosion control inspector required to be certified?	The inspector is required to be qualified, but there are no required certifications.
8.	Will Conshield be required for anything in this project?	Conshield coating will be required for manholes located downstream of the force main discharge. This includes manholes SV C-1, SV C-2, SV C-3, SV C-4 and SV C-5.





A. DRAWINGS

1. SHEET NO. C-09-GRAVITY LINE A PLAN AND PROFILE STATION 17+50 TO STATION 27+40

CHANGE casing pipe size for railroad and highway crossing from "22-inch" to "24-inch" diameter.

2. SHEET NO. E-01-PUMP STATION BUILDING ELECTRICAL PLAN

REPLACE Note 4 with the following.

- "4. SCADA PANEL PROVIDED BY LFUCG FOR INSTALLATION BY CONTRACTOR. CONTRACTOR SHALL MOUNT PANEL IN ELECTRICAL BUILDING AND SHALL INSTALL CONDUIT AND CONDUCTORS SHOWN IN THE CONTROL INTERCONNECTING WIRING ON SHEET E-04. LFUCG SHALL INSTALL ANTENNA AND ANTENNA CABLE."

B. SPECIFICATIONS

DIVISION 0-PROCUREMENT AND CONTRACTING REQUIREMENTS

Page 00100-3, SECTION 00100-ADVERTISEMENT FOR BIDS, Paragraph No. 1.11 - PRE-BID MEETING

REPLACE with the following:

"A pre-Bid meeting will be held at 1:00 pm, local time, September 19, 2019 at the DWQ Offices, 125 Lisle Industrial Avenue, Suite 180, Lexington, KY 40511. Bidders are encouraged to attend."

Page 00300-5, SECTION 00100-INFORMATION AVAILABLE TO BIDDERS, Paragraph No. 1.23 - MWDBE PARTICIPATION GOALS

REPLACE with the revised Paragraph No. 1.23 - MWDBE PARTICIPATION GOALS included as an attachment to this Addendum.

Page 00300-5, SECTION 00100-INFORMATION AVAILABLE TO BIDDERS, Paragraph No. 1.24 - MINORITY BUSINESS ENTERPRISE PROGRAM

REPLACE with the revised Paragraph No. 1.24 - MINORITY BUSINESS ENTERPRISE PROGRAM included as an attachment to this Addendum.





DIVISION 1-GENERAL REQUIREMENTS

- a. Page 01520-2, SECTION 01520-MAINTENANCE OF UTILITY OPERATIONS DURING CONSTRUCTION, PART 1-GENERAL, Section 1.03 - BYPASS PUMPING FLOWS FOR EXISTING CONDITIONS

ADD the following Section.

"1.03 BYPASS PUMPING FLOWS FOR EXISTING CONDITIONS

- A. Existing flow conditions in the existing sewer system, as determined by hydraulic model are summarized in the following table. Flows are based on 2-year, 24-hour design storm flow rates.

Bypass Pumping Flows - Existing Conditions		
Downstream MH/Structure	Upstream MH/Structure	Existing Conditions Flow (MGD)
Sharon Village PS	CR4 82	0.37
CR4 528	CR4 530	1.32

For manhole and pump station locations referenced in the table, refer to Sharon Village Pump Station - Appendix C - Bypass Pumping Rates exhibit included as an attachment to this Addendum."

DIVISION 2-SITE CONSTRUCTION

- a. Page 02374-1, SECTION 02374-ESC PERMITTING, INSPECTION, AND PERMITTING PROCEDURES, Permit - PERMITTING, INSPECTION, AND ENFORCEMENT PROCEDURES FOR EROSION, SEDIMENT AND STORMWATER CONTROL ON DIVISION OF WATER QUALITY CAPITAL CONSTRUCTION PROJECTS

REPLACE pages 1 through 5 titled "Permitting, Inspection, and Enforcement Procedures for Erosion and Sediment Control on Capital Projects Division of Water Quality Remedial measures Plan (RMP)" with the attached pages 1 through 6 titled "Permitting, Inspection, and Enforcement Procedures for Erosion, Sediment and Stormwater Control on Division of Water Quality Capital Construction Projects."

Todd Slatin

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.



MAYOR LINDA GORTON



LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Smith Contractors, Inc.

ADDRESS: P.O. Box 480, Lawrenceburg, KY 40342

SIGNATURE OF BIDDER: 
Kerry Smith, President



MAYOR LINDA GORTON



LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

ADDENDUM No. 2

Bid Number: **#97-2019**

Date: September 26, 2019

Subject: Sharon Village Pump Station and Force Main
Wastewater System Improvements
Remedial Measures Project No. CR-8

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

	Questions	Answers
1.	Can you please advise what spec section the pre-manufactured electrical building is in? I have searched and can not find details on the building or a manufacturer.	The pre-manufactured building is specified in Section 16440. Refer to clarification included in Addendum 2 for additional information.
2.	On Drawing C-13 shows it shows an Electric Utility Meter located next to the Utility Company Transformer. Is this to be a self-contained meter base? If yes is a Nema 3R or 4X lockable non-fusible disconnect required on the utility side of the meter?	A non-fusible disconnect is not required on the utility side of the meter. A meter detail from the Electric Utility Company is included in Addendum 2.
3.	Is there a raceway specification section in the Bid Documents?	Refer to Specification Section 16130 included in Addendum 2.
4.	Is VFD Cable required between the VFD's located in the Electrical Building and the Pump Terminal Boxes at the Wet Well?	Provide VFD cable as specified in Section 16120-Conductors and Cables. Conduit shall be sized based on actual outside diameter of VFD cable supplied.



MAYOR LINDA GORTON



LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

A. DRAWINGS

SHEET NO. C-13-PUMP STATION SITE PLAN

ADD Kentucky Utilities Company "INSTRUMENT METER PEDESTAL" detail included as an attachment to this Addendum. CONTRACTOR shall provide double post installation including meter base.

SHEET NO. E-01-PUMP STATION BUILDING ELECTRICAL PLAN

CLARIFICATION: Specifications for the electrical building are included in Specification Section 16440-MOTOR CONTROL, Paragraph 2.03 WALK-IN OUTDOOR ENCLOSURE, pages 16440-10 and 16440-11. The housing/electrical equipment combination shall be factory assembled and construction arranged to facilitate CONTRACTOR installation. The housing shall be provided by the Motor Control Equipment manufacturer.

B. SPECIFICATIONS

DIVISION 16-ELECTRICAL

a. Page 16130-1, SECTION 16130-RACEWAYS

ADD Section 16130-RACEWAYS, pages 16130-1 through 16130-7 included as an attachment to this Addendum.

Todd Slatin 

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Smith Contractors, Inc.

ADDRESS: P.O. Box 480, Lawrenceburg, KY 40342

SIGNATURE OF BIDDER: *Kerry Smith*

Kerry Smith
President



MAYOR LINDA GORTON



LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

ADDENDUM No. 3

Bid Number: **#97-2019**

Date: September 27, 2019

Subject: Sharon Village Pump Station and Force Main
Wastewater System Improvements
Remedial Measures Project No. CR-8

Address inquiries to:
Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

A. SPECIFICATIONS

1. DIVISION 2--SITE CONSTRUCTION

- a. Page 02400-2, SECTION 02400--BORING AND JACKING, PART 3--EXECUTION, Paragraph 3.01, B.

DELETE paragraph in its entirety. End seals shall be constructed in accordance with SECTION 02400, Paragraph 2.04 - CASING END SEALS.

2. DIVISION 16--ELECTRICAL

- a. Page 16620-2, SECTION 16620--PACKAGED ENGINE GENERATOR SYSTEMS,

CLARIFICATION Generator shall be natural gas fueled. Base mounted fuel storage tank and leak detection is not required. Fuel supply shall be natural gas in accordance with details on the Drawings.

Todd Slatin, Director
Division of Central Purchasing



MAYOR LINDA GORTON




LEXINGTON

CHARLES MARTIN
DIRECTOR
WATER QUALITY

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Smith Contractors, Inc.

ADDRESS: P.O. Box 480, Lawrenceburg, KY 40342

SIGNATURE OF BIDDER: 
Kerry Smith, President



SECTION 00410 – BID FORM

Sharon Village Pump Station and Force Main Wastewater System Improvements

Division of Water Quality
Lexington-Fayette Urban County Government

LFUCG Bid No.-97-2019

1.01 GENERAL

Place: Lexington, Kentucky

Date: October 3, 2019

The following Bid Form shall be followed exactly in submitting a Bid for this Work.

This Bid Form Submitted by Smith Contractors, Inc.
P.O. Box 480, Lawrenceburg, KY 40342
(Name and Address of Bidder)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as a corporation
"a corporation," "a partnership", or an "individual" as applicable

To: Lexington-Fayette Urban County Government
(Hereinafter called "Owner")
Office of the Director of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507

The Bidder, in compliance with your Advertisement for Bids for the **Sharon Village Pump Station and Force Main Wastewater System Improvements**; Lexington, Kentucky, having examined the Contract Documents including the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions and any and all addendums surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this Bid is a part.

The Bidder hereby agrees to commence Work under this Contract on a date to be specified in a written "Notice to Proceed" of the Owner and to substantially complete the Project within 270 days, consecutive calendar days. Bidder further agrees to pay liquidated damages, the sum of One Thousand Dollars and Zero Cents (\$1,000.00) for each consecutive day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 9/24/19; Addendum No. Date
Addendum No. 2 Date 9/26/19; Addendum No. Date
Addendum No. 3 Date 9/27/19; Addendum No. Date
Addendum No. Date ; Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

1.02 LEGAL STATUS OF BIDDER

Bidder Smith Contractors, Inc.

Date October 3, 2019

*A. A corporation duly organized and doing business under the laws of the State of Kentucky,
for whom Kerry Smith, bearing the
official title of President, whose signature is
affixed to this Bid is duly authorized to execute contracts.

*B. A Partnership, all of the members of which, with addresses are: (Designate general partners
as such)

~~_____

_____~~

*C. An individual, whose signature is affixed to this Bid. (Print name)

~~_____

_____~~

* The Bidder shall fill out the appropriate form and strike out the other two.

1.03 BIDDERS AFFIDAVIT

Comes the Affiant, Kerry Smith, and after being first duly sworn, states under penalty of perjury as follows:

- A. His/her name is Kerry Smith and he/she is the individual submitting the Bid or is the authorized representative of Smith Contractors, Inc. the entity submitting the Bid (hereinafter referred to as "Bidder").
- B. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the Bid is submitted, prior to award of the Agreement and will maintain a "current" status in regard to those taxes and fees during the life of the Agreement.
- C. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the Agreement.
- D. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
- E. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of an Agreement to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
- F. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
- G. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his/her conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

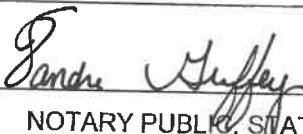

Affiant Signature

STATE OF KENTUCKY
COUNTY OF ANDERSON

The foregoing instrument was subscribed, sworn to and acknowledged before me by

KERRY SMITH on this the 3rd day of October, 20 19.

My Commission expires: 7-16-22


NOTARY PUBLIC, STATE AT LARGE

1.04 BID SCHEDULE

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of Bid. In all cases of discrepancies or math errors the amount written in for the unit price of an item shall govern.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

By submitting a Bid, the Bidder acknowledges that the trenchless constructability of all tunnels shown on the Contract Drawings have been vetted by the Bidder and that the Bidder's own design has been advanced to the extent necessary to establish, in the Bidder's own opinion, the ability to safely construct by means of tunneling methods.

A Preliminary Tunnel Plan shall be developed by the Bidder, concurrent with the development of the Bid, to document the Bidder's awareness and understanding of the quantity of, location of, and access to the portions of the Contract that are required to be built by trenchless means. The Preliminary Tunnel Plan is a conceptual plan establishing the capability to accomplish the tunnel construction required by Contract. Following award of the Contract, the Contractor shall modify the Preliminary Tunnel Plan as needed to develop the most efficient construction plan of operation in accordance with Contract Documents. Requirements for submittal of and content of the Preliminary Tunnel Plan shall be in accordance with this Section.

Apparent Low Bidder shall submit, no later than 48 hours after Bid Opening, a Preliminary Tunnel Work Plan as part of its Bid. The Preliminary Tunnel Work Plan shall consist of sketches, markups, and/or narrative explanations. Legible hand written notes on Bid Documents (e.g.: Bid Drawings) are acceptable. The Preliminary Tunnel Work Plan shall include the following:

- A. Indication of type diameter, type, and material of initial tunnel support, casings, or jacking pipe.
- B. Indication of tunnel drive direction.
- C. Indication of basic tunnel equipment type, size, make, and model anticipated such as tunnel boring machine size, microtunnel boring machine, pipe jacked shield, auger boring machine, or utility hand tunneling methods.
- D. Indication of conceptual understanding of all shaft locations, size (e.g.: diameter, length and width), orientation, anticipated conceptual method of support, staging/storage, and means of site access for each tunnel shaft as required for the Bidder's specific means and methods.
- E. Conceptual markup of plan, profile, or detail drawings of the following:
 1. groundwater removal process and safe discharge locations,
 2. spoil handling and storage,
 3. method of installation and blocking of carrier pipe, and
 4. annular space grouting.

F. Preliminary indication all areas where potential ground modification strategies are anticipated or will be further explored by the Bidder upon award of the Contract.

For a unit price based bid, the sum of the item totals is the bid amount the Owner uses for bid comparison.

The Owner's decision on the bid amount is final.

BID SCHEDULE

Item	Description	Qty	Unit	Unit Price	Item Price
1	Mobilization	1	LS	\$ 50,000	\$ 50,000
2	Bonds and Insurance	1	LS	\$ 180,000	\$ 180,000
3	General Requirements	1	LS	\$ 200,000	\$ 200,000
4	Demobilization	1	LS	\$ 25,000	\$ 25,000
5	Erosion and Sediment Control and Conformance with SWPPP	1	LS	\$ 20,000	\$ 20,000
6	6-Inch PVC Gravity Sewer Lateral	1,200	LF	\$ 30	\$ 36,000
7	10-Inch PVC Force Main and DI Fittings	1,400	LF	\$ 125	\$ 175,000
8	10-Inch PVC Gravity Sewer Pipe	475	LF	\$ 175	\$ 83,125
9	12-Inch PVC Gravity Sewer Pipe	1,605	LF	\$ 185	\$ 296,925
10	15-Inch PVC Gravity Sewer Pipe	1,095	LF	\$ 205	\$ 224,475
11	Tee Fittings for Gravity Sewer Lateral Connection (Non-Ductile Iron)	30	EA	\$ 500	\$ 15,000
12	Bore and Jack Between MHA-7 and MHA-8, of 24-Inch, Minimum Casing Size with a 12-Inch Carrier Pipe	225	LF	\$ 750	\$ 168,750
13	Connection to Existing Sewer	6	EA	\$ 1000	\$ 6,000
14	Manhole Abandonment	3	EA	\$ 500	\$ 1,500
15	Install Cleanout	15	EA	\$ 1000	\$ 15,000
16	Standard Manhole, 4-foot diameter up to 6-FT Deep	18	EA	\$ 4200	\$ 75,600
16A	Manhole Barrel Extension	52	VF	\$ 200	\$ 10,400

17	Cut and Cap Existing Sewer	4	EA	\$ 600	\$ 2,400
18.	Pipe Abandonment, Safeload, 12-inch pipe	400	LF	\$ 28	\$ 11,200
19.	Video Inspection of New Sewer Pipe	3,175	LF	\$ 2	\$ 6,350
20.	Clearing and Grubbing	1	LS	\$ 20,000	\$ 20,000
21.	Bituminous Concrete: Trench Construction, Street	200	SY	\$ 30	\$ 6,000
22.	Bituminous Concrete: Full Width Paving, Street	4,000	SY	\$ 16	\$ 64,000
23.	Bituminous Concrete: Private Parking Lots/Driveways	2,000	SY	\$ 16	\$ 32,000
24.	Portland Cement Concrete Paving: Private Parking Lots / Driveways / Aprons	100	SY	\$ 40	\$ 4,000
25.	Seeding, Temporary, Extra as directed	6,000	SY	\$.20	\$ 1,200
26.	Site Restoration, Method A	2,000	SY	\$ 4	\$ 8,000
27.	Site Restoration, Method B	10,000	SY	\$ 1	\$ 10,000
28.	Site Restoration, Method C	3,000	SY	\$ 2	\$ 6,000
29.	Concrete Curb Removal and Replacement	500	LF	\$ 15	\$ 7,500
30.	Dense Graded Aggregate - DGA, Extra as directed	100	Ton	\$ 20	\$ 2,000
31.	No. 9 Crushed Stone, Extra as directed	100	Ton	\$ 20	\$ 2,000
32.	No. 57 Crushed Stone, Extra as directed	100	Ton	\$ 20	\$ 2,000
33.	No. 2 Crushed Stone, Extra as directed	100	Ton	\$ 20	\$ 2,000
34.	Flowable (Controlled Density) Fill	100	CY	\$ 50	\$ 5,000
35.	Concrete Sidewalk	200	SY	\$ 40	\$ 8,000
36.	Sidewalk Ramps, Including Detectable Warnings	4	EA	\$ 500	\$ 2,000
37.	Bypass Pumping and Setup	1	LS	\$ 40,000	\$ 40,000
38.	Maintenance of Traffic	1	LS	\$ 20,000	\$ 20,000
39.	Combo Vacuum/Hydraulic Jet/Hydro Excavator, extra as directed	10	HR	\$ 150	\$ 1,500

40.	Backhoe/Extend-a-hoe, Extra as directed	10	HR	\$ 40	\$ 400
41.	Hoe Ram, Extra as directed	10	HR	\$ 100	\$ 1,000
42.	Dump Truck, Extra as directed	10	HR	\$ 75	\$ 750
43.	Track Hoe, Extra as directed	10	HR	\$ 50	\$ 500
44.	Skid-Steer Loader, Extra as directed	10	HR	\$ 40	\$ 400
45.	Roller/Compactor, Extra as directed	10	HR	\$ 40	\$ 400
46.	Traffic Maintenance Type 1, Extra as directed	10	HR	\$ 50	\$ 500
47.	Electronic Arrow Board, Extra as directed	10	HR	\$ 20	\$ 200
48.	Electronic Message Board, as Directed	10	HR	\$ 20	\$ 200
49.	Rip Rap at Headwall	5	Ton	\$ 40	\$ 200
50.	Precast Concrete Headwall	1	EA	\$ 1000	\$ 1,000
51.	Catch Basin/Storm Manhole	2	EA	\$ 2500	\$ 5,000
52.	15-IN Storm Sewer SDR35 PVC	100	LF	\$ 80	\$ 8,000
53.	Add for Manhole Outside Drop	4	EA	\$ 1000	\$ 4,000
54.	2 MGD, 4-PLEX Submersible Pump Station, Complete in Place	1	LS	\$ 975,000	\$ 975,000
55.	Miscellaneous Site Improvements	1	LS	\$300,000	\$300,000
56.	10-IN Buried Gate Valve and Box	1	EA	\$ 3000	\$ 3,000
57.	Special Paving per Note, Sheet C-13	475	CY	\$ 35	\$ 16,625
58.	Abandon Existing Pump Station	1	LS	\$ 10,000	\$ 10,000
59.	Concrete Pipe Cradle	1	LS	\$ 400	\$ 400

60.	2-IN Gas Service Line	100	LF	\$ 30	\$ 3,000
61.	2-IN Water Service Line	400	LF	\$ 30	\$ 12,000
TOTAL BID (Items 1 thru 61)				\$ 3,188,500.00	

TOTAL BID AMOUNT:

Three million one hundred and eighty eight thousand
five hundred Dollars (\$ 3,188,500)

Bidder shall circle the name of the equipment manufacturer selected for equipment listed below.

Alternate Equipment Information	
Equipment Item	Base Bid Equipment Manufacturer
1. Pumps	<p><u>KSB</u></p> <p>Sulzer-ABS</p> <p>Xylem Corporation Flygt Division</p> <p>Myers</p>
2. VFDs	<p>Schneider (Square D)</p> <p>Allen Bradley</p> <p><u>Eaton</u></p>
3. Generator Set	<p>Ceterpillar</p> <p>Kohler</p> <p><u>Cummins/Onan</u></p> <p>MTU/Detroit Diesel</p>

Notes: 1. Where more than one Base Bid equipment manufacturer is listed above, the Bidder has the option of including any of the listed manufacturers in the Base Bid. The bidder must indicate the Base Bid equipment included in the Bid by circling the selected manufacturer at the time of the submission of the Bid. The design has been completed using the first listed Base Bid equipment manufacturer. Should the Bidder list the second, third, or fourth Base Bid equipment, the Bidder, at no additional cost to the Owner, shall make any changes to structure, piping controls, electrical, instrumentation, architectural, mechanical, etc. that may be necessary to accommodate this equipment.

Respectfully Submitted,

FIRM: Smith Contractors, Inc.

ADDRESS: P.O. Box 480

CITY/STATE/ZIP: Lawrenceburg, KY 40342

DATE: 10/3/19

BY: 
(must be original signature)

TITLE: President

PHONE: (502) 839-4196 FAX: (502) 839-8348
(area code, number & extension)

EMAIL ADDRESS: ks@sci82.com

OFFICIAL ADDRESS AND PHONE:

Smith Contractors, Inc.

P.O. Box 480

Lawrenceburg, KY 40342

(Seal if Bid is by Corporation)

By signing this form you agree to all of the terms and associated forms.

1.05 STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Bid:

- A. Name of Bidder: Smith Contractors, Inc.
- B. Permanent Place of Business: 1241 Bypass N., Lawrenceburg, KY 40342
- C. When Organized: January 27, 1982
- D. Where Incorporated: Kentucky
- E. Financial Condition:

If specifically requested by the Owner, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the Owner's Division of Central Purchasing within seven (7) calendar days following the Bid opening.

- F. In the event the Agreement is awarded to the undersigned, Performance, Payment, Erosion and Sediment Control, and Warranty bonds will be furnished by:

Liberty Mutual Insurance Company (Surety)

Signed: [Signature] (Representative of Surety)

- G. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>(See ATTACHED)</u>		

- H. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>(See ATTACHED)</u>		

I. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
<i>(See Attached)</i>		

J. MWDBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS (LIST)</u>	<u>PROJECT (SPECIFIC TYPE)</u>	<u>MWDBE</u>	<u>% of WORK</u>
<i>(See Attached)</i>			

(USE ADDITIONAL SHEETS IF NECESSARY)

K. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the Owner within seven (7) calendar days following the Bid Opening, a sworn statement regarding all office management and field management personnel. Additionally, if requested by the Owner, we will within seven (7) days following the request submit audited financial statements and loss history for insurance claims for the three (3) most recent years (or a lesser period if stipulated by the Owner)

1.06 LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the Owner to be executed, completed and submitted with the Bid Form. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of Bid.

<u>BRANCH OF WORK**</u> (List each major item)	<u>SUBCONTRACTOR</u>	<u>MWDBE (yes/no)</u>	<u>% of WORK</u>
1. <u>CCV, Testing</u>	Name: <u>Tru Test</u> Address: <u>Louisville Ky</u>	<u>Yes</u>	<u>.3%</u>
2. <u>Fence</u>	Name: <u>Herb Geddes Fencing</u> Address: <u>Nicholasville, Ky</u>	<u>Veteran</u>	<u>.4%</u>
3. <u>Electrical</u>	Name: <u>Faust Electric</u> Address: <u>Lexington, Ky</u>	<u>no</u>	<u>7%</u>
4. <u>Bore</u>	Name: <u>Gary Price</u> Address: <u>Science Hill, Ky</u>	<u>NO</u>	
5. <u>Pumps + Controls</u>	Name: <u>Geco Enterprise</u> Address: _____	<u>yes</u>	<u>9%</u>
6. _____	Name: _____ Address: _____		

** Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.

1.07 AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- A. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the Bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- B. That the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Advertisement for Bid, designed to limit independent bidding or competition;
- C. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the Bid or Bids, and will not be communicated to any such person, prior to the official opening of the Bid or Bids;
- D. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- E. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky X . [Check the statement applicable.]
- F. This offer is for ninety (90) calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items Bid above, an Agreement shall thereby be created with respect to the items accepted.
- G. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- H. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

Smith Contractors, Inc.
Company

10/3/19
Date


Representative

Kerry Smith,
President

1.08 STATEMENT OF EXPERIENCE

SEE ATTACHED

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management, Affirmative Action officials, and field management personnel. Attach separate sheets if necessary.

1.09 TUNNELING CONTRACTOR QUALIFICATIONS

Tunneling Company Name:	
Kentucky Contractor's License Number:	

This Tunneling Contractor Qualifications is required to be completed for each Tunneling Contractor and included with the Bidder's Bid for the Project. To be considered for award of the Contract, Bidder shall provide all information that is requested in Attachment A. If all information is not provided, the Bid will be deemed non-responsive.

Time (in years) that organization has been doing business under present name	
Name of designated Project Manager	
Name of designated Field Superintendent	
Number of Regular Employees of the Organization	

In addition to completing the above form, the Contractor shall provide, as attachments, the following items:

- Resume of designated Project Manager.
- Resume of designated Field Superintendent.
- Company's existing work commitments.

1.10 TUNNELING CONTRACTOR QUALIFICATIONS – EXPERIENCE

This Tunneling Contractor's Qualifications – Experience Information (Attachment B) is required to be completed and included with the Bidder's Bid for the Project. To be considered for award of the Contract, Tunneling Contractor shall demonstrate it has experience performing similar work on other projects.

For each tunnel installation method that the Bidder is intending to use on the Project, the Tunneling Contractor shall complete the Project Experience Summary Table(s) outlining experience that the Tunneling Contractor has utilizing that specific tunnel installation method. The table shall be populated with Projects that the Tunneling Contractor completed within the past 10 years. The experience qualifications requirements shall meet the minimum requirements set forth in the specific tunnel specification section.

If the Bidder wishes to include more information that what is specified in the summary table, it may be included as an attachment to the end of Attachment B.

PROJECT EXPERIENCE SUMMARY TABLE

	Project 1	Project 2	Project 3
Project Name			
Tunnel Installation Method(s)			
Year(s) Project Occurred			
Owner			
Owner's Phone Number			
Original & Final Contract Price			
Original & Final Contract Times (days)			
Carrier Pipe Material and Diameter			
Casing or Tunnel Lining Type and Diameter			
Carrier Pipe Grouted in Place (Y / N)			
Total Tunnel Length (feet) 2,000 LF (min.)			
Range of Tunnel Depth (feet)			
Rock Excavation Required (Y / N)			
Temporary or Permanent Shaft w/invert below groundwater table (Y / N)			

1.09 EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:
The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.
- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause an Agreement to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



Signature

Kerry Smith
President

Smith Contractors, Inc.

Name of Business

The Entity (regardless of whether construction Contractor, non-construction Contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities - Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor - Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 to 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

In the case of an Agreement exceeding \$250,000, the Contractor will be required within seven (7) days following the Bid Opening to furnish evidence that its work-force in Kentucky is representative of the available work-force in the area from which it draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the Contract.

1.10 LFUCG MWDBE PARTICIPATION FORM

LFUCG Bid/RFP/Quote Reference No. 97-2019



The MWDBE and/or Veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the Work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
Herb Geddes Fencing Nicholasville, KY	Fencing	\$ 11,185	.4%
TruTest Louisville, KY	CCN + Testing	\$ 9,500	.3%
Geco Enterprise London, KY	Pumps + Controls	\$ 278,590	9%

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Smith Contractors, Inc.

 Company

 Company Representative
 Kerry Smith

10/3/19

 Date

President

 Title

1.11 LFUCG MWDBE SUBSTITUTION FORM

LFUCG Bid/RFP/Quote Reference No. 97-2019




The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract

The undersigned acknowledges that any misrepresentation may result in termination of the Contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Smith Contractors, Inc.

Company



Company Representative
 Kerry Smith

10/3/19

Date

President

Title

1.13 LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

LFUCG Bid/RFP/Quote No. 97-2019



The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the Contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Smith Contractors, Inc.

Company

 10/3/19

Date

Company Representative
 Kerry Smith

 President

Title

1.14 LFUCG STATEMENT OF GOOD FAITH EFFORTS

LFUCG Bid/RFP/Quote No. 97-2019



By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBEs and/or Veterans on the project and can supply the appropriate documentation.

- Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- Included documentation of advertising in the above publications with the bidders good faith efforts package
- Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce
- Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its

own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

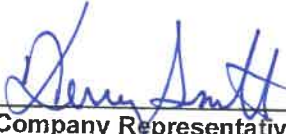
- Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.
- Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Smith Contractors, Inc.

Company



Company Representative
Kerry Smith

10/3/19

Date

Title

1.15 EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Smith Contractors, Inc.
(Name of Bidder)

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

1.16 WORKFORCE ANALYSIS FORM

Name of Organization: SMITH CONTRACTORS, Inc.

Categories	Total		White (not Hispanic or Latino)		Hispanic or Latino		Black or African-American (not Hispanic or Latino)		Native Hawaiian and other Pacific Islander (not Hispanic or Latino)		Asian (not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (not Hispanic or Latino)		Total	
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	2	2															2	
Professionals																		
Superintendents	7	7															7	
Supervisors																		
Foremen																		
Technicians																		
Operators Protective Service	15																	
LABORERS Para-Professionals	24	19	4												1		15	24
Office/Clerical	5	1	3				1										1	4
Skilled Craft	9	6	3															9
Service/Maintenance	8	7	1															8
Total	70	42	3	8	1		1								1		66	4

Prepared By: [Signature] Date 10/13/19

1.17 EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Smith Contractors, Inc.
 Address: P.O. Box 480, Lawrenceburg, KY 40342
 Project to be insured: Sharon Village Pump Station and Force Main

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Section 00600 - Bonds and Certifications, including all requirements, and conditions:

Article Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided to Insured	Name of Insurer	A.M. Best's	
					Code	Rating
1.05.D.1	CGL	\$1,000,000/per occ., \$2,000,000/aggregate or \$2,000,000 combined single limit Requirements (a) through (e)	\$1,000,000 per occurrence \$2,000,000 aggregate	Amerisure Mutual	23396	A
1.05.D.1	Auto	Combined single \$1,000,000/per occ. aggregate Requirements (a) through (c)	\$1,000,000 CLS per occ/agg	Amerisure Mutual	23396	A
1.05.D.1	WC	\$ Statutory	\$4,000,000	Kentucky AGC	55002	A-
1.05.D.1	Employer's Liability	\$500,000	\$4,000,000 \$4,000,000	Kentucky AGC	55002	A-

Section 00600 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting

Garrett-Stotz Company
 Agency or Brokerage
 1601 Alliant Blvd.
 Street Address
 Louisville, KY 40299
 City
 502-415-7000
 Telephone Number

Andrew G. Windhorst
 Name of Authorized Representative
 Owner
 Title
 Authorized Signature
 9-25-19
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: CONTRACT MAY NOT BE AWARDED IF A COMPLETED AND SIGNED COPY OF THIS FORM FOR ALL COVERAGES LISTED ABOVE IS NOT PROVIDED.

1.18 DEBARRED FIRMS

PROJECT NAME: Sharon Village Pump Station and Force Main Wastewater System Improvements

LFUCG BID NO.: 97-2019

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All Bidders shall complete the Debarment Certification in duplicate and submit both copies to the Owner with the Bid Form. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development within fourteen (14) days after Bid opening.

The undersigned hereby certifies that the firm of Smith Contractors, Inc. has not and will not award a subcontract, in connection with any Agreement award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 As Amended or any Federal Law.

Smith Contractors, Inc.

Name of Firm Submitting Bid



Signature of Authorized Official

President

Title

10/3/19

Date

1.19 DEBARMENT CERTIFICATION

All Contractors/Subcontractors shall complete this certification.

The Contractor/Subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

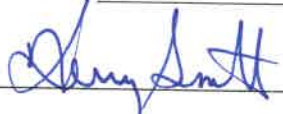
- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.
 - a) Have not within a three year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c. Have not within a three (3) year period preceding this Bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Smith Contractors, Inc.

Project: Sharon Village Pump Station and Force Main

Printed Name: Kerry Smith

Title of Authorized Representative: President

Signature: 

Date: 10/3/19

1.20 CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty for not less than \$10,000 and not more than \$100,000 for each such failure.

Kerry Smith, President

Typed Name & Title of Authorized Representative



Signature of Authorized Representative

10/3/19

Date

____ I am unable to certify to the above statements. My explanation is attached.

1.21 BID BOND

BID BOND

Bond Number: n/a

KNOW ALL MEN BY THESE PRESENTS, that we Smith Contractors, Inc.

as principal (the "Principal") and Liberty Mutual Insurance Company

hereinto called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
200 East Main Street, Third Floor
Lexington, Kentucky 40507

as obligee (the "Obligee"), in the penal sum of Five Percent of bid dollars
for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind
ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by
these presents.

WHEREAS, the Principal has submitted a bid for
Sharon Village Pump Station and Force Main

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal within the period specified therein,
or, if no period be specified, within ninety (90) days after opening, and the Principal shall enter into a
contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may
be specified in the bidding or contract documents, or in the event of the failure of the Principal to enter into
such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference in
money not to exceed the penal sum hereof between the amount specified in said bid and such larger
amount for which the Obligee may in good faith contract with another party to perform the work covered by
said bid, then this obligation shall be null and void; otherwise to remain in full force and effect. In no event
shall the liability hereunder exceed the penal sum thereof.

PROVIDED AND SUBJECT TO THE CONDITION PRECEDENT, that any claim by Obligee under this
bond must be submitted in writing by registered mail, to the attention of the Surety Law Department at the
address above, within 120 days of the date of this bond. Any suit under this bond must be instituted before
the expiration of one (1) year from the date of this bond. If the provisions of this paragraph are void or
prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of
the suit shall apply.

DATED as of this 3rd day of October, 2019.

WITNESS / ATTEST:

[Signature]
Principal (Secretary)

Smith Contractors, Inc.
Principal

By: [Signature] (seal)
Name: KEEY SMITH
Title: PRESIDENT

Liberty Mutual Insurance Company
Surety (Secretary)

Liberty Mutual Insurance Company
Surety
By: [Signature] (seal)
Name: Terri Cook
Title: Attorney In Fact



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

Certificate No: 8201416-975251

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Terri Cook, Christopher A. Cyterski; David Douglas; Eyan Garmon; Nikki Penix; Sandra Whaley

all of the city of Louisville state of KY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 14th day of June, 2019.



Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

State of PENNSYLVANIA
County of MONTGOMERY ss

On this 14th day of June, 2019 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Upper Merion Twp., Montgomery County
My Commission Expires March 28, 2021
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS: Section 12. Power of Attorney.

Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 3rd day of October, 2019.



By: Renee C. Llewellyn
Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

POWER OF ATTORNEY

(Attach to Bid Bond)

END OF SECTION

Codee Guffey

From: Codee Guffey
Sent: Wednesday, September 25, 2019 8:54 AM
To: ptac@ksbcdc.org
Subject: Sharon Village Pump Station and Force Main - Lexington, KY

To whom it may concern,

Smith Contractors, Inc. is soliciting proposals from MBE and WBE organizations for the subject project that bids on October 3, 2019 at 2:00 pm ET.

Subcontractor opportunities include, but are not limited to:

- Electrical
- Fencing
- Paving
- Hauling
- Bore and jack

Supplier opportunities include, but are not limited to:

- Stone
- Concrete
- PVC Pipe
- Casing pipe
- Generator
- Precast manholes and vaults

If there are any interested firms that need assistance in viewing the plans or specs please contact me at (502) 839-4196 or by email at: cg@sci82.com

Thank you,

Codee Guffey



P.O. Box 480 | 1241 Bypass N
Lawrenceburg, KY 40342
P: 502-839-4196 | F: 502-839-8348

Codee Guffey

From: Codee Guffey
Sent: Wednesday, September 25, 2019 8:57 AM
To: jwatson888@aol.com
Subject: Sharon Village Pump Station and Force Main
Attachments: L Watson-1.pdf

Jane,

Please find attached the bid invite for the subject project.

Thank you,

Codee Guffey



P.O. Box 480 | 1241 Bypass N
Lawrenceburg, KY 40342
P: 502-839-4196 | F: 502-839-8348

SMITH CONTRACTORS, INC.

**P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342**

**502 -839-4196
502-839-8348 – Fax #**

September 25, 2019

L. Watson Trucking
211 North Beckley Station Rd.
Louisville, KY 40245

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Jane Watson

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Hauling

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: L. Watson Trucking
Fax: (502) 632-2171

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc

P.O. Box 480

Lawrenceburg, KY 40342

Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

Codee Guffey

From: Codee Guffey
Sent: Wednesday, September 25, 2019 8:51 AM
To: Becky Graf
Subject: Sharon Village Pump Station & Force Main
Attachments: S&M.pdf

Becky,

Please find attached the bid invitation for the subject project.

Thank you,

Codee Guffey



P.O. Box 480 | 1241 Bypass N
Lawrenceburg, KY 40342
P: 502-839-4196 | F: 502-839-8348

SMITH CONTRACTORS, INC.

**P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342**

**502 -839-4196
502-839-8348 – Fax #**

September 25, 2019

S&M Precast
16700 Sima Gray Road
Henryville, IN 47126

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Becky Graf

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Precast manholes and vaults

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: S&M Precast
Email: bgraf@smprecast.com

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc

P.O. Box 480

Lawrenceburg, KY 40342

Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

* * * Communication Result Report (Sep. 25. 2019 8:58AM) * * *

1)
2)

Date/Time: Sep. 25. 2019 8:52AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9628	Memory TX	18592332365	P. 2	OK	

Reason for error

- E. 1) Hang up or line fail
- E. 3) No answer
- E. 5) Exceeded max. E-mail size

- E. 2) Busy
- E. 4) No facsimile connection
- E. 6) Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342

502-839-4196
502-839-8348 - Fax #

September 25, 2019

G&G Paving
3136 Bracktown Road
Lexington, KY 40511

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Marvin Gatoskill

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Paving

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@scs1.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS:cg

Attachment

SMITH CONTRACTORS, INC.

**P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342**

**502 -839-4196
502-839-8348 – Fax #**

September 25, 2019

G&G Paving
3136 Bracktown Road
Lexington, KY 40511

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Marvin Gateskill

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Paving

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: G&G Paving
Fax: (859) 233-2365

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc
P.O. Box 480
Lawrenceburg, KY 40342
Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

* * * Communication Result Report (Sep. 25. 2019 8:57AM) * * *

1)
2)

Date/Time: Sep. 25. 2019 8:51AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9627	Memory TX	18599662560	P. 2	OK	

Reason for error

- E. 1) Hang up or line fail
- E. 3) No answer
- E. 5) Exceeded max. E-mail size

- E. 2) Busy
- E. 4) No facsimile connection
- E. 6) Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342

502-839-4196
502-839-8348 -- Fax #

September 25, 2019

Burcor Fencing, LLC
6702 Dixie Highway
Florence, KY 41042

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Sasha Hess

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Fencing

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sc2.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

SMITH CONTRACTORS, INC.

*P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342*

*502 -839-4196
502-839-8348 – Fax #*

September 25, 2019

Burcor Fencing, LLC
6702 Dixie Highway
Florence, KY 41042

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Sasha Hass

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Fencing

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: Burcor Fencing, LLC
Fax: (859) 966-2560

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc

P.O. Box 480

Lawrenceburg, KY 40342

Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

Date/Time: Sep. 25, 2019 8:51AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9626	Memory TX	15029621068	P. 2	OK	

Reason for error
E. 1) Hang up or line fail
E. 2) Busy
E. 3) No answer
E. 4) No facsimile connection
E. 5) Exceeded max. E-mail size
E. 6) Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 488
1241 Bypass North
Lawrenceburg, Kentucky 40342

502-839-4196
502-839-8348 - Fax #

September 25, 2019

Advanced Electrical Systems, Inc.
3312 Gilmore Industrial Blvd.
Louisville, KY 40213

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Evelyn Strange

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Electrical

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@cei2.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS:og

Attachment

SMITH CONTRACTORS, INC.

**P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342**

**502 -839-4196
502-839-8348 – Fax #**

September 25, 2019

Advanced Electrical Systems, Inc.
3312 Gilmore Industrial Blvd.
Louisville, KY 40213

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Evelyn Strange

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Electrical

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: Advanced Electrical Systems, Inc.
Fax: (502) 962-1068

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc

P.O. Box 480

Lawrenceburg, KY 40342

Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

* * * Communication Result Report (Sep. 25. 2019 8:55AM) * * *

1}
2}

Date/Time: Sep. 25. 2019 8:50AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9624	Memory TX	15022443620	P. 2	OK	

Reason for error

E. 1) Hang up or line fail	E. 2) Busy
E. 3) No answer	E. 4) No facsimile connection
E. 5) Exceeded max. E-mail size	E. 6) Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 489
1241 Bypass North
Lawrenceburg, Kentucky 40343

502-839-4196
502-839-8348 - Fax #

September 25, 2019

Advanced Paving and Construction Co., Inc.
18320 Shelbyville Road
Fishersville, KY 40023

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Sylvia Gilozan

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Paving

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@scf82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS:cg

Attachment

SMITH CONTRACTORS, INC.

**P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342**

**502 -839-4196
502-839-8348 – Fax #**

September 25, 2019

Advanced Paving and Construction Co., Inc.
18320 Shelbyville Road
Fisherville, KY 40023

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Sylvia Gilezan

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Paving

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: Advanced Paving
Fax: (502) 244-3620

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc
P.O. Box 480
Lawrenceburg, KY 40342
Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

* * * Communication Result Report (Sep. 25. 2019 8:54AM) * * *

1}

Date/Time: Sep. 25. 2019 8:50AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9623	Memory TX	15024588703	P. 2	OK	

Reason for error

E. 1)	Hang up or line fail	E. 2)	Busy
E. 3)	No answer	E. 4)	No facsimile connection
E. 5)	Exceeded max. E-mail size	E. 6)	Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342

502-839-4196
502-839-8348 - Fax #

September 25, 2019

Metro Fence Industries, Inc.
4521 Bishop Lane
Louisville, KY 40218

Re: Sharco Village Pump Station and Force Main
Lexington, KY

Attention: David Johnson

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Fencing

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ksg@scs182.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS:cg

Attachment

SMITH CONTRACTORS, INC.

*P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342*

*502 -839-4196
502-839-8348 – Fax #*

September 25, 2019

Metro Fence Industries, Inc.
4521 Bishop Lane
Louisville, KY 40218

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: David Johnson

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Fencing

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: Metro Fence Industries, Inc.
Fax Number: (502) 458-8703

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc
P.O. Box 480
Lawrenceburg, KY 40342
Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

* * * Communication Result Report (Sep. 25. 2019 8:53AM) * * *

1)
2)

Date/Time: Sep. 25. 2019 8:50AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9622	Memory TX	15024540110	P. 2	OK	

Reason for error

- E. 1) Hang up or line fail
- E. 3) No answer
- E. 5) Exceeded max. E-mail size

- E. 2) Busy
- E. 4) No facsimile connection
- E. 6) Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342

502-839-4196
502-839-8348 - Fax #

September 25, 2019

T.R.M. Group, Inc.
3560 Bashford Avenue, Suite 100
Louisville, KY 40218

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Tom Clark

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Electrical

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@scib2.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS:cg

Attachment

SMITH CONTRACTORS, INC.

*P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342*

*502 -839-4196
502-839-8348 – Fax #*

September 25, 2019

T.E.M. Group, Inc.
3560 Bashford Avenue, Suite 100
Louisville, KY 40218

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Tom Clark

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Electrical

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: T.E.M. Group, Inc.
Fax Number: (502) 454-0110

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc
P.O. Box 480
Lawrenceburg, KY 40342
Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

* * * Communication Result Report (Sep. 25. 2019 8:52AM) * * *

1}

Date/Time: Sep. 25. 2019 8:51AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9625	Memory TX	16068646390	P. 2	OK	

Reason for error

E. 1) Hang up or line fail	E. 2) Busy
E. 3) No answer	E. 4) No facsimile connection
E. 5) Exceeded max. E-mail size	E. 6) Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342

502-839-4196
502-839-8348 - Fax #

September 25, 2019

GECCO Enterprises, Inc.
1221 East 4th Street
London, KY 40743

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Gigi Bacon

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Supply pipe

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@scif2.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS:cg

Attachment

SMITH CONTRACTORS, INC.

*P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342*

*502 -839-4196
502-839-8348 – Fax #*

September 25, 2019

GECO Enterprises, Inc.
1221 East 4th Street
London, KY 40743

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Gigi Bacon

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Supply pipe

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: GECO Enterprises, Inc.
Fax: (606) 864-6390

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc
P.O. Box 480
Lawrenceburg, KY 40342
Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

* * * Communication Result Report (Sep. 25. 2019 8:50AM) * * *

1}

Date/Time: Sep. 25. 2019 8:49AM

File No.	Mode	Destination	Pg(s)	Result	Page Not Sent
9621	Memory TX	18598731312	P. 2	OK	

Reason for error

- E. 1) Hang up or line fail
- E. 2) Busy
- E. 3) No answer
- E. 4) No facsimile connection
- E. 5) Exceeded max. E-mail size
- E. 6) Destination does not support IP-Fax

SMITH CONTRACTORS, INC.

P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342

502-839-4196
502-839-8348 - Fax #

September 25, 2019

McKinsey Painting, Inc.
104 Industry Drive
Versailles, KY 40383

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Matt Gamlin

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Painting

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@scie2.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS:cg

Attachment

SMITH CONTRACTORS, INC.

***P.O. Box 480
1241 Bypass North
Lawrenceburg, Kentucky 40342***

***502 -839-4196
502-839-8348 – Fax #***

September 25, 2019

McKinney Painting, Inc.
104 Industry Drive
Versailles, KY 40383

Re: Sharon Village Pump Station and Force Main
Lexington, KY

Attention: Matt Gamlin

We will be bidding as a general contractor on the above referenced project that bids on October 3, 2019 at 2:00 pm local time.

We are soliciting MBE/WBE/DBE firms for:

- 1) Painting

If you are interested in submitting a quote on this project, please send your quote via fax @ 502-839-8348 or via email to ks@sci82.com by bid time on October 3, 2019.

Attached to this letter is a reply to indicate whether you are interested in submitting a quote on this project. Please complete and return by fax or email for our files.

If you have any questions, please contact our office.

Sincerely,

Kerry Smith

Kerry Smith
President

KAS;cg

Attachment

Subcontractor: McKinney Painting
Fax Number: (859) 873-1312

Requesting a competitive MBE/WBE/DBE subcontractor bid for:

Project: Sharon Village Pump Station and Force Main
Location: Lexington, KY

_____ **YES**, I am interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

_____ **NO**, I am not interested in submitting a WBE/MBE/DBE Subcontractor Proposal for this project.

Signature – In Writing

Signature – Typed or Printed

Date

***Please fax reply to (502) 839-8348 or email to cg@sci82.com**

Smith Contractors, Inc
P.O. Box 480
Lawrenceburg, KY 40342
Phone: (502) 839-4196

SMITH CONTRACTORS, INC. IS AN EQUAL OPPORTUNITY EMPLOYER

SMITH CONTRACTORS, INC.

FIVE YEAR PROJECT HISTORY

Project Name & Location	Owner	Design Engineer	Date Complete	Contract Amount	References
<i>Dover Water Treatment Plant</i> Dover, TN	North Stewart Utility District	James C. Hailey	July, 2013	\$ 3,855,750.00	Neal Westerman 615-883-4933 7518 Hwy 70S, Nashville, TN 37221
<i>Raw Water Intake</i> Dover, TN	North Stewart Utility District	James C. Hailey	July, 2013	\$ 2,046,000.00	Neal Westerman 615-883-4933 7518 Hwy 70S, Nashville, TN 37221
<i>Lexington Expansion Area 2AA - Force Main</i> Lexington, KY	Lexington Fayette Urban Govt.	GRW Engineers	Aug., 2013	\$ 1,084,002.41	Joe Henry 859-223-3999 801 Corporate Dr., Lexington, KY 40503
<i>Red River WWTP</i> Stanton, KY	Red River WW Authority	Bell Engineering	March, 2014	\$ 9,266,870.95	Ron Rogers 859-278-5412 2480 Fortune Dr., Ste 301, Lexington, KY 40509
<i>Lexington Expansion Area 2AA - Pump Station</i> Lexington, KY	Lexington Fayette Urban Govt.	GRW Engineers	June, 2014	\$ 4,861,000.00	Joe Henry 859-223-3999 801 Corporate Dr., Lexington, KY 40503
<i>Owensboro Cargo Dock</i> Owensboro, KY	Owensboro Riverport Authority	W.R. Coles	Oct., 2014	\$ 6,517,000.00	Ron Coles 615-712-9755 P.O. Box 159266, Nashville, TN 37215
<i>Monticello WWTP</i> Monticello, KY	City of Monticello	Bell Engineering	Dec., 2014	\$ 7,054,447.19	Ron Rogers 859-278-5412 2480 Fortune Dr., Ste 301, Lexington, KY 40509
<i>Carr Creek WTP Expansion</i> Vico, KY	Knott Co. Water & Sewer	R.M. Johnson Engineering	Aug., 2014	\$ 2,254,000.00	Jared Salmons 606-785-5926 P.O. Box 444, Hindman, KY 41822

SMITH CONTRACTORS, INC.

FIVE YEAR PROJECT HISTORY

Project Name & Location	Owner	Design Engineer	Date Complete	Contract Amount	References
<i>Jonesborough WWTP</i> Jonesborough, TN	Town of Jonesborough	GRW Engineers	Oct., 2014	\$ 1,987,205.11	Robert Threadgill, P.E. 615-366-1600 404 BNA Drive, Ste 201, Nashville, TN 37217
<i>Town Branch Vector Truck</i> Lexington, KY	Lexington Fayette Urban Gov't.	Lexington Fayette Urban Gov't.	Nov., 2014	\$ 391,998.00	Chase Azevedo 859-258-3425 125 Industrial Avenue, Lexington, KY 40511
<i>Monticello WTP</i> Monticello, KY	City of Monticello	Bell Engineering	May, 2015	\$ 10,910,695.00	David Schrader 859-278-5412 2480 Fortune Dr., Ste 301, Lexington, KY 40509
<i>Owensboro Riverfront Pavilion</i> Owensboro, KY	City of Owensboro	City of Owensboro	July, 2015	\$ 1,713,651.00	Bailey Bennett 270-687-8641 P.O. Box 10003, Owensboro, KY 42302
<i>Bon Air Lift Station</i> Frankfort, KY	Frankfort Sewer Plant Board	Kenvirons, Inc.	Oct., 2015	\$ 1,430,729.82	Phil Meador 502-695-4357 452 Versailles Road, Frankfort, KY 40601
<i>Two Creeks Sanitary Sewer Collection Sys</i> Frankfort, KY	Frankfort Sewer Plant Board	Jacobus Engineering Group	Oct., 2015	\$ 3,335,943.54	Dinesh Palaniswamy 513-595-7429 1880 Waycross Road, Cincinnati, OH 45240
<i>New Water Treatment Plant</i> Greensburg, KY	City of Greensburg	Bell Engineers	May, 2016	\$ 6,271,809.00	Mike Wilmoth 859-278-5412 2480 Fortune Drive, Lexington, KY 40509
<i>Lebanon WTP</i> Lebanon, TN	City of Lebanon	Water Management Services	June 2015	\$ 7,761,742.80	Joe Hinkle 615-366-6088 2 International Plaza, Nashville, TN 37217

SMITH CONTRACTORS, INC.

FIVE YEAR PROJECT HISTORY

Project Name & Location	Owner	Design Engineer	Date Complete	Contract Amount	References
<i>Water Street Storm Sewer</i> Richmond, KY	City of Richmond	Integrated Engineering	Sept., 2016	\$ 5,952,546.83	Steve Garland 859-368-0145 166 Prosperous Place, Lexington, KY 40509
<i>Ross's Landing Riverfront Improvements</i> Chattanooga, TN	City of Chattanooga	HDR Engineering, Inc.	April, 2016	\$ 6,278,943.06	Dan Garza 361-696-3300 1201 Market Street, Ste C, Chattanooga, TN 37402
<i>Hite Creek WQTC</i> Louisville, KY	Metropolitan Sewer District	Metropolitan Sewer District	Aug., 2016	\$ 9,116,001.37	Steven Leong 502-540-6637 700 West Liberty Street, Louisville, KY 40203
<i>Moccasin Bend WWTP Effluent Disinfection</i> Chattanooga, TN	City of Chattanooga	Barge Waggoner Sumner & Cannon	May 2016	\$ 8,043,733.86	David Bible 423-756-3025 1110 Market St, Ste 200, Chattanooga, TN 37402
<i>Hartsville WWTP Improvements</i> Hartsville, TN	Hartsville/Trousdale Water & Sewer	Barge Waggoner Sumner & Cannon	May 2017	\$ 6,200,899.00	Luke Burris 615-254-1500 211 Commerce St., Ste 600, Nashville, TN 37201
<i>West Frankfort Pump Station</i> Frankfort, KY	City of Frankfort	GRW Engineers	Oct., 2017	\$ 2,787,851.70	John Martin 859-223-3999 801 Corporate Dr., Lexington, KY 40503
<i>Beaver Creek WWTP Improvements</i> Knoxville, TN	Hallsdale Powell Utility District	CTI Engineers	Dec., 2017	\$ 7,813,057.00	David Jones 865-246-2750 112 Durwood Rd., St A, Knoxville, TN 37922
<i>Sludge Dewatering Conveyance</i> Shelbyville, KY	Shelbyville Municipal Water & Sewer Commission	HDR Engineering, Inc.	May, 2017	\$ 4,035,952.57	Jerry McClary 859-629-4800 2517 Sir Barton Way, Lexington, KY 40509

SMITH CONTRACTORS, INC.

FIVE YEAR PROJECT HISTORY

Project Name & Location	Owner	Design Engineer	Date Complete	Contract Amount	References
<i>Portland CSO Basin Project</i> Louisville, KY	Metropolitan Sewer District	Metropolitan Sewer District	Nov., 2017	\$ 4,722,996.59	Jacob Mathis 502-741-1236 700 West Liberty Street, Louisville, KY 40203
<i>Harrodsburg WWTP Expansion</i> Harrodsburg, KY	City of Harrodsburg	GRW Engineers	Oct., 2018	\$ 17,582,953.82	Bob Smallwood 859-223-3999 801 Corporate Drive, Lexington, KY 40503
<i>Fleming-Neon WTP</i> Fleming-Neon, KY	City of Fleming-Neon	Nesbitt Engineering	March, 2019	\$ 2,641,588.24	Ora Main 859-685-4514 227 North Upper Street, Lexington, KY 40507

Smith Contractors, Inc.

Current Projects

Project Name & Location	Owner	Engineer	Contract		Percent Complete	Scheduled Completion	Reference Including Address & Phone #
			Amount				
Ten Mile PS & Sewer Lines Knoxville, Tennessee	West Knox Utility District	GRW Engineers	\$ 5,158,189.01		97%	360 days	Louis Robbins, P.E. 404 BNA Drive, Ste 201, Nashville, TN 37217 615-366-1600
Versailles WWTP Versailles, Kentucky	City of Versailles	GRW Engineers, Inc.	\$ 18,701,496.00		76%	720 days	John Martin, P.E. 801 Corporate Drive, Lexington, KY 40503 859-223-3999
WWTP Improvements Trenton, Tennessee	Trenton Light & Water	J.R. Wauford & Co.	\$ 8,888,000.00		99%	365 days	Robert Qualman, P.E. PO Box 140350, Nashville, TN 37214 615-883-3243
West Hickman 7 Wet Weather Storage Tank Lexington, Kentucky	Lexington Fayette Urban Co. Government	HDR Engineering, Inc.	\$ 10,929,733.00		88%	365 days	Benton Hanson, P.E. 2517 Sir Barton Way, Lexington, KY 40509 859-629-4800
Versailles Phase 4 Sanitary Sewer Improvement Versailles, Kentucky	City of Versailles	GRW Engineering, Inc.	\$ 2,244,359.34		95%	300 days	Mike Jacobs, P.E. 801 Corporate Drive, Lexington, KY 40503 859-223-3999
Contract 2 - HSPS Addition & WTP Improvement Guthrie, Kentucky	Logan Todd Regional Water Commission	McGhee Engineering, Inc.	\$ 2,473,352.00		97%	300 days	Chris Wilcutt, P.E. 202 Ewing Street, Guthrie, KY 42234 270-483-9985
Cadiz Water Treatment Plant Cadiz, Kentucky	City of Cadiz	Water Management	\$ 8,545,000.00		31%	730 days	Steven Jones, P.E. 2 International Plaza, Nashville, TN 37217 615-366-6088
Irvine WTP Modernization - Contr 1 Irvine, Kentucky	Irvine Municipal Utilities	GRW Engineering, Inc.	\$ 3,289,738.33		36%	365 days	Alan Bryan, P.E. 801 Corporate Drive, Lexington, KY 40507 859-223-3999
Water Main & Storage Tank - Contr 3 Irvine, Kentucky	Irvine Municipal Utilities	GRW Engineering, Inc.	\$ 1,673,090.00		94%	270 days	Alan Bryan, P.E. 801 Corporate Drive, Lexington, KY 40507 859-223-3999

Smith Contractors, Inc.

KEY PERSONNEL

<i>Employee Name</i>	<i>Position</i>	<i>Date Started with SCI</i>	<i>Date Started In Construction</i>	<i>Prior Positions and Experience In Construction</i>
Kerry Smith	President/Owner	January, 1982	1970	Superintendent/Project Manager
Joe Smith	Vice-President	June, 1999	1998	Superintendent/Project Manager
Vandra Guffey	Treasurer	December, 1989	1982	Accounting Controller
Jerry Powell	Superintendent/ Project Manager	May, 1992	1972	Resume provided upon request
Jack Pennington	Superintendent	November, 1984	1983	Resume provided upon request
Dennis Muse	Superintendent	April, 2004	1984	Resume provided upon request
Jason Steinmetz	Superintendent/ Project Manager	December, 2001	2000	Resume provided upon request
Mike Craft	Superintendent	June, 2004	1994	Resume provided upon request
Chris James	Superintendent	August, 2001	2001	Resume provided upon request
Ryan Cox	Foreman	January, 2007	2007	Resume provided upon request

Kerry Smith

PERSONAL

1217 Fairway Drive
Lawrenceburg, Kentucky 40342

Phone: 502-839-5700
Date of Birth: May 3, 1951

Employment History

President/Owner: Smith Contractors, Inc.
January 27, 1982

Education

Russell County High School

University of Kentucky
(Bachelors in Accounting)

References will be furnished upon request

Kerry Smith

Joe Smith

PERSONAL

1068 Ninevah Road
Lawrenceburg, Kentucky 40342

Phone: 502-859-4251

Date of Birth: September 26, 1975

Employment History

Vice-President: Smith Contractors, Inc.
June 1, 1999

Education

Anderson County High School

Eastern Kentucky University
(Bachelors in Construction Management)

References will be furnished upon request

Joe Smith

Vandra Guffey

PERSONAL

*1004 Iron Way
Lawrenceburg, Kentucky 40342*

Employment History

*Treasurer: Smith Contractors, Inc.
December 1, 1989*

Education

Russell County High School

*University of Kentucky
Bachelors in Accounting*

Phone: 502-839-4196

Fax: 502-839-8348

E-mail: vg@sci32.com

JERRY POWELL

PERSONAL

375 Sunnyside Road
Eminence, KY 40019

Phone: 502-680-1679

Date of Birth: May 7, 1956

EXPERIENCE

Forty years experience as Foreman/ Superintendent

Forty-Three years experience installing all types of water lines 1/2" thru 60" PVC, ductile iron pipe and pre-stressed concrete pipe, concrete work, bridges, box culverts, catch basins, sidewalks, curb work, and all types of formwork and flatwork, dirt work, roadways and retention basins, blasting in rock, (trench line and mass rock)

Forty years experience in new and expanded water treatment plants and wastewater treatment plants.

May 13, 1992 to present with Smith Contractors, Inc. as a superintendent/project manager

JERRY POWELL

JACK PENNINGTON

PERSONAL

215 Crisp Road
Morehead, Kentucky 40351

Phone: 502-680-1678

Date of Birth: April 15, 1955

EXPERIENCE

Thirty-Five years experience as Foreman/Superintendent

Thirty-Five years experience installing all types of water lines 1/2" thru 60" PVC, ductile iron pipe and pre-stressed concrete pipe, concrete work, bridges, box culverts, catch basins, sidewalks, curb work, and all types of formwork and flatwork, dirt work, roadways and retention basins, blasting in rock, (trench line and mass rock)

Thirty-Five years experience in new and expanded water treatment plants and wastewater treatment plants.

November 5, 1984 to present with Smith Contractors, Inc. as a superintendent/project manager

"

JACK PENNINGTON

Dennis M. Muse

PERSONAL

4922 Pretty Ridge
Morehead, Kentucky 40351
606-784-46406
502-680-1670 - Cell #

EDUCATION

Wells High School
Wells, Nevada

EMPLOYMENT HISTORY

Superintendent - Smith Contractors, Inc.
April 2004 - Present

Superintendent - Judy Construction
August 1998 - March 2004

EXPERIENCE

Over twenty years experience in heavy construction

Dennis M. Muse

Jason Steinmetz

PERSONAL

121 Dogwood Road
Charlotte, TN 37036

Phone: 615-789-3962

Date of Birth: November 17, 1977

Employment History

Superintendent - Smith Contractors, Inc.
January 2008 - Present

Foreman - Smith Contractors, Inc.
December 2001 - December 2007

Carpenter - W. Rogers Company

Education

Dickson County High School
University of Tennessee @ Martin
(Bachelors in Business Marketing)

JASON STEINMETZ

Michael D. Craft

PERSONAL

175 Mountainside Drive
Morehead, KY 40351

Phone: 606-780-0237

Employment History

Foreman - Smith Contractors, Inc.
July 2010- Present

Carpenter - Smith Contractors, Inc.
June 2004 - June 2010

Supervisor - Bristol Group
August 1998 - June 2004

Education

Fleming County High School
Devry Institute of Technology, Columbus, OH
Associated Degree of Applied Science in Electronics

References will be furnished upon request

Michael D. Craft

Chris James

Personal

892 Jenkins Road
Whitesburg, KY 41858

615-789-9183

Education

Dickson County High School
Dickson, Tennessee

EMPLOYMENT HISTORY

W. Rogers Company

Smith Contractors, Inc.
Carpenter - 2001- 2004
Carpenter Foreman - 2005 - 2010
Superintendent - 2013 - Present

EXPERIENCE

Over 20 years experience in heavy construction.

Chris James

Ryan Cox

PERSONAL

***1075 Summerstar Circle
Gallatin, TN 37066***

Phone: 270-469-4709

Employment History

Foreman - Smith Contractors, Inc.
September 2017 - Present

Laborer - Smith Contractors, Inc.
January 2007 - August 2017

References will be furnished upon request

Ryan Cox