# PART III

# FORM OF PROPOSAL

# <u>INDEX</u>

1.	FORM OF PROPOSAL	P-2
2.	LEGAL STATUS OF BIDDER	P-4
3	BIDDERS AFFIDAVIT	P-5
4.	BID SCHEDULE - SCHEDULE OF VALUES	
5.	STATEMENT OF BIDDER'S QUALIFICATIONS	
6.	LIST OF PROPOSED SUBCONTRACTORS	
7.	AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST	
8.	STATEMENT OF EXPERIENCE	
9.	EQUAL OPPORTUNITY AGREEMENT	
10.	EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY	
11.	WORKFORCE ANALYSIS	
12.	EVIDENCE OF INSURABILITY	
13.	DEBARRED FIRMS	
14.	DEBARRED CERTIFICATION	

### PART III

# Invitation to Bid No. 101-2013

# ANNISTON/WICKLAND CAPITAL STORM SEWER IMPROVEMENT PROGRAM PHASE 3

### 1. FORM OF PROPOSAL

			Place:	Lexing	gton, Kentu	cky_			
			Date:	9/6/1	3				
The following	ng Form of Propos	sal shall be foll	owed exactly i	n submitt	ing a propo	osal fo	or this	Work	
This Proposa	al Submitted by	Lagco In	с.						
		****	shine Lane				405	i05	
(Hereinafter Kentucky	called "Bidder , doing busi	"), organized iness as a co	and existing	g under	the laws	of	the	State	of
		poration," "a pa		an "indiv	idual" as a	pplica	ble.		_
Го:	Lexington-Fay (Hereinafter ca Office of the D 200 East Main Lexington, KY	lled "OWNER birector of Purcl Street, 3rd Floo	") hasing	e <u>nt</u>					

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for ANNISTON/WICKLAND CAPITAL STORM SEWER IMPROVEMENT PROGRAM PHASE III, Lexington, Kentucky, having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$200.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No.	1	Date _	8/29/13
Addendum No.	2	Date _	9/03/13
Addendum No.	3	Date _	9/04/13
Addendum No.			
Addendum No.		Date _	
Addendum No.			
Addendum No.			
Addendum No			

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

# 2. <u>LEGAL STATUS OF BIDDER</u>

Bidder	Lagco Inc.
	9/6/13
1.	A corporation duly organized and doing business under the laws of the State of Kentucky, for whom J.R. Greene, bearing the official title of President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.
2.	A Partnership, all of the members of which, with addresses are: (Designate general partners as such)
	N/A
3.	An individual, whose signature is affixed to this Bid/Proposal (please print name)
	*(The Bidder shall fill out the appropriate form and strike out the other two.)

3.		ERS AFFIDAVIT s the Affiant, J.R. Greene , and after being first duly					
		states under penalty of perjury as follows:					
	private .	His/her name is and he/she is the individual submitting the bid or is the authorized representative of, the cntity submitting the bid (hereinafter referred to as "Bidder").					
	2.	Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.					
	3.	Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.					
	4.	Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.					
	5.	Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.					
	6.	Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."					
	7.	Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.  Further, Affiant sayeth naught.  (Affiant)					
STATE	OF	Kentucky					
COUN	TY OF	State at Large					
The fore	egoing	instrument was subscribed, sworn to and acknowledged before me by					
J.I	<u>R. Gr</u>	eene on this the 6th day of Sept. , 20 13 .					
		on expires: 3/26/14					
		John Jukler Smil					
		NOTARY PUBLIC, STATE AT LARGE					

### 4. <u>BID SCHEDULE – SCHEDULE OF VALUES</u>

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

The contract, if awarded, will be on the basis of materials and equipment specified in the specifications without consideration of possible substitute or "or equal" items.

The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract price. Determination of the actual quantities and classification of unit price work performed by the Contractor will be made by the Engineer in accordance with the General Conditions.

### BASE BID

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
1.	Remove Existing Storm Sewer Manhole  Two hundred ninety fiv Dollars  Zero Cents  Per Each)	3	EA	\$ <u>295.00</u>	\$885.00
2.	Remove Existing 15" RCP Storm Pipe  Fifty six Dollars  Zero Cents  Per Linear Foot	496	LA	\$ <u>56.00</u>	\$ <u>27,776.0</u> 0
3.	Remove Existing 18" RCP Storm Pipe Sixty Dollars Zero Cents Per Linear Foot	632	LA	\$_60.00	\$ <u>37,920.0</u> 0
4.	Remove Existing 30" RCP Storm Pipe  Eighty four Dollars  Zero Cents  Per Linear Foot	33	LF	\$_84.00	\$ <u>2,772.0</u> 0
5.	Remove Existing Surface Inlet  Two hundred ninety five ollars  Zero Cents  Per Each	2	EA	\$ 295.00	\$ 590.00

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
6.	Remove Existing Curb Box Inlet  Two hundred ninety fiDellars  Zero Cents  Per Each	6	EA	\$ 295.00	\$ <u>1,770.0</u> 0
7.	Remove Existing Sanitary Sewer Manhole  Six hundred fifty six Dollars  Zero Cents  Per Each	1	EA	\$ <u>656.00</u>	\$ 656.00
8.	Remove Existing 8" VCP Sanitary Sewer lateral Forty two Dollars Zero Cents Per Linear Foot	162	LF	\$_42.00	\$ 6,804.00
9.	Remove Existing 6" VCP Sanitary Sewer Line  Forty two Dollars  Zero Cents  Per Linear Foot	45	LF	\$_42.00	\$ <u>1,890.0</u> 0
10.	Remove Concrete Curb  Eleven Dollars  Zero Cents  Per Linear Foot	144	LF	\$ 11.00	\$ <u>1,584.0</u> 0
11.	Remove Concrete Sidewalk Fifty five Dollars Zero Cents Per Square Yard	28	SY	\$_55.00	\$ <u>1,540.0</u> 0
12.	Remove Entrance Apron  Fifty five Dollars  Zero Cents  Per Square Yard	28	SY	\$ <u>55.00</u>	\$ <u>1,540.0</u> 0
13.	Milling Two Dollars Zero Cents Per Square Yard	6,238	SY	\$2.00	\$12,476.00
14.	Asphalt Driveway Removal  Eight Dollars  Zero Cents  Per Square Yard	425	SY	\$8.00	\$ <u>3,400.0</u> 0
15.	Safe Load Existing 30" RCP Storm Pipe One hundred forty threellars Zero Cents Per Cubic Yard	24	CY	\$_143.00	\$_3,432.00
16.	60" Steel Casing Pipe  Three hundred eighty Doolears Zero cents	130	LF	\$ <u>381.00</u>	\$49,530.00

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
	Zero Cents Per Linear Foot				
17.	Storm Sewer Manhole (4' Dia.)  Two thousand three hunders twenty eig  Zero  Per Each	nt 5	EA	\$2,328.00	\$ <u>11,640.0</u> 0
18.	Storm Sewer Manhole (6' Dia)  Three thousand seven Modared forty  Zero Cents  Per Each	10	EA	\$3,740.00	\$ 37,400.00
19.	Storm Sewer Manhole (8' Dia)  Six thousand nine hundDedaforty eight  Zero Cents  Per Each	4	EA	\$ <u>6,948.0</u> 0	\$ 27,792.00
20.	LFUCG Surface Inlet (Type A)  Two thousand three hunders twelve  Zero Cents  Per Each	3	EA	\$ <u>2,312.0</u> 0	\$ 6,936.00
21.	LFUCG Impact Stilling Basin (42")  Five thousand two hundbellasighty three  Zero Cents  Per Each	l	ĒA	\$ <u>5,283.0</u> 0	\$ 5,283.00
22.	LFUCG Curb Box Inlet Three thousand two humbleds thirty seven Sero Cents Per Each	n 11	EA	\$ <u>3,237.0</u> 0	\$ <u>35,607.0</u> 0
23.	KYTC Curb Box Inlet Type A (10' Throat)  Four thousand three hundled twelve  Zero Cents  Per Each	1	EA	\$ <u>4,312.0</u> 0	\$ 4,312.00
24.	60" Roadway Bore  Eight hundred sixteen Dollars  Zero Cents  Per Linear Foot	140	LF	\$ 816.00	\$ <u>114,240.0</u> 0
25.	Articulated Block Channel Protection  Fifteen Dollars  Zero Cents  Per Square Foot	280	SF	\$ <u>15.0</u> 0	\$ <u>4,200 0</u> 0
26.	Concrete Supports  Seven hundred sixteen Dollars  Zero Cents  Per Cubic Yard	3	CY	\$_ 716.00	\$ <u>2 148.0</u> 0

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
27.	8" PVC Sanitary Sewer  One hundred thirty six Dollars  Zero Cents  Per Linear Foot	163	LF	\$ <u>136.</u> 00	\$22,168.00
28.	8" Ductile Iron Sanitary Sewer  Two hundred thirty Dollars  Zero Cents  Per Linear Foot	80	LF	\$ 230.00	\$18,400.00
29.	6" PVC Sanitary Sewer  One hundred thirty fiveDollars  Zero Cents  Per Linear Foot	52	LF	\$ <u>135.0</u> 0	\$_7,020.00
30.	Sanitary Manhole (4'Dia.)  Five thousand nine hundred arseventy fou Zero Cents  Per Each	r 3	EA	\$ 5,974.00	\$17,922.00
31.	LFUCG Entrance (12' Apron)  Two thousand one hund Pediathirty  Zero Cents  Per Each	2	EA	\$_2,130.00	\$_4,260.00
32.	LFUCG Entrance (16' Apron)  Two thousand three hurdersixty two  Zero Per Each	1	EA	\$ <u>2,362.0</u> 0	\$_2,362.00
33.	LFUCG Entrance (20' Apron) Three thousand eight hpodiged forty eight Zero Per Each	nt l	EA	\$ 3,848.00	\$ 3,848.00
34.	LFUCG Entrance (25' Apron)  Four thousand three hubdhad fifteen  Zero Cents  Per Each	1	EA	\$ <u>4,315.</u> 00	\$ 4,315.00
35.	Concrete Curb & Gutter  Forty five Dollars  Zero Cents  Per Linear Foot	132	LF	\$ <u>45.</u> 00	\$_5,940.00
36.	Concrete Walk  Sixty seven Dollars  Zero Cents  Per Square Yard	26	SY	\$ 67.00	\$_1,742.00
37.	1-1/2" Asphalt Surfacing For Roadways  One hundred thirteen Dollars  Zero Cents	535	TON	\$ <u>113.</u> 00	\$ <u>60,455.00</u>

Item No.	Description w/Unit Bid Price Written in Words  Per Ton	Estimated Quantity	Unit	Unit Price	Total Bid Amount
38.	Asphalt Paving For Driveways One hundred forty fourDollars Zero Cents Per Ton	85	TON	S_144.00	\$ <u>12,240.00</u>
39.	6" Concrete Utility Cap One hundred fifty severollars Zero Cents Per Cubic Yard	340	CY	\$ <u>157.00</u>	\$53,380.00
40.	6" DGA Under Concrete Cap  Thirty Dollars  Zero Cents  Per TON	114	TON	\$ 30.00	\$_3,420.00
41.	Stone Bridging Thirty Dollars Zero Cents Per TON	150	TON	\$ 30.00	\$_4,500.00
42.	Safe Load Existing 15" RCP Storm Pipe  Six hundred four Dollars  Zero Cents  Per Cubic Yard	1.75	CY	\$_604.00	S_1,057.00
43.	Neenah R-4996-A Trench Drain W/ 12' ~ 4"  PVC Connection Pipe  Four hundred eighty two ollars  Zero Cents  Per Square Yard	10	LF	\$ 482.00	\$ <u>4,820.00</u>
44.	Remove Existing Fence and Posts  Twenty two Dollars  Zero Cents  Per Linear Foot	101	LF	\$ 22.00	\$ 2,222.00
45.	Fence and Posts Thirty Dollars Zero Cents Per Linear Foot	101	LF	\$ 30.00	\$_3,030.00
46.	Driveway Entrance Apron Removal as Directed by the LFUCG Sixty fiveDollars ZeroCents	200	SY	\$ 65.00	\$_13,000.00

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
	Per Square Yard				
47.	Replace Entrance Apron as Directed by the LFUCG  Sixty five Dollars  Zero Cents  Per Square Yard	200	SY	\$ <u>65.0</u> 0	\$ <u>13,000.00</u>
48.	Removal of Existing Curb as Directed by the LFUCG  Twenty five Dollars  Zero Cents  Per Linear Foot	880	LF	\$25.00	\$ <u>22,000.0</u> 0
49.	Replace Curb as Directed by the LFUCG  Forty five Dollars  Zero Cents  Per Linear Foot	880	LF	\$ <u>45.0</u> 0	\$39,600.00
50.	Connect Existing Storm to Proposed Curb Box  Seven hundred sixty sixDollars  Zero Cents  Per Each	l	EA	\$ <u>766.0</u> 0	\$ 766.00
51.	Sod Six Dollars Fifty Cents Per Square Yard	1,813	SY	\$6.50	\$ <u>11,784.50</u>
52.	Seed & Protect One Dollars Zero Cents Per Square Yard	1,200	SY	\$1.00	\$ <u>1,200.00</u>
53.	Soil Erosion & Sediment Control  Six thousand nine hundpedaminety seven  Zero Cents  Per Lump Sum	1	LS	\$ <u>6,997.0</u> 0	\$ <u>6,997.00</u>
54.	Project Sign  Nine_hundred_fiftyDollars  FiftyCents  Per Lump Sum	1	LS	\$ <u>950.5</u> 0	\$ 950.

Item No.	Description w/Unit Bid Price Written in Words	Estimated Quantity	Unit	Unit Price	Total Bid Amount
55	Mobilization & Demobilization  Four thousand seven had bedied twenty twelver twenty tw	o I	LS	\$ <u>4,722.</u> 00	\$ <u>4,722</u> .00
56.	Final Clean Up Two thousand five hundbedars Zero Cents Per Lump Sum	I	LS	\$ <u>2,500.</u> 00	\$ 2,500.00

TOTAL BASE BID FOR ALL BID PRICES FOR ANNISTON/WICKLAND CAPITAL

STORM SEWER IMPROVEMENT PROGRAM PHASE III Project (Items 1 through 56) in words and figures. In case of discrepancy, the amount shown in words will govern.

 Seven	hundred	fifty	thousand	hundred	forty	four	dollars		
and ze	ero cents				(5		<b>244</b> -00	753,	744.00)

90

# **ALTERNATE 1 RCP PIPE**

Item No.	Description w / Deductive Unit Bid Price Written in Words	Estimated Quantity	Unit	Deductive Unit Price	Total Deductive Bid Amount
1.	15"RCP Storm Pipe Fifty Dollars Zero Cents Per Linear Foot	339	LF	\$	\$ <u>16,950</u> .00
2.	18"RCP Storm Pipe  Seventy one Dollars  Zero Cents  Per Linear Foot	557	LF	\$71.00	\$_39,547.00
3.	36" RCP Storm Pipe  One hundred forty nineDollars  Zero Cents  Per Linear Foot	1,040	LF	\$149.00	\$ <u>154,960</u> .00
4.	42" RCP Storm Pipe  One hundred eighty sixDollars  Zero Cents  Per Linear Foot	690	LF	\$ <u>186.</u> 00	\$ <u>128,34</u> 0.00

# TOTAL ALTERNATE 1 BID FOR RCP STORM SEWER PIPE FOR ANNISTON/WICKLAND CAPITAL STORM SEWER IMPROVEMENT PROGRAM

**PHASE III** Project (Items 1 through 4) in words and figures. In case of discrepancy, the amount shown in words will govern.

Three hundred	thirty nine	thousand	seven	hundred	ninety	seven	dollars	
and zero cents	•				(\$ 33	39,797.	00	).

### **ALTERNATE 2 HDPE PIPE**

Item No.	Description w / Deductive Unit Bid Price Written in Words	Estimated Quantity	Unit	Deductive Unit Price	Total Deductive Bid Amount
1.	15"HDPE Storm Pipe Forty Dollars Zero Cents Per Linear Foot	339	LF	\$ <u>40.00</u>	\$ <u>13,560</u> .00
2.	18"HDPE Storm Pipe  Fifty nine Dollars  Zero Cents  Per Linear Foot	557	LF	\$ 59.00	\$_32,863.00
3.	36" HDPE Storm Pipe  Ninety eight Dollars  Zero Cents  Per Linear Foot	1,040	LF	\$ 98.00	\$ <u>101,920</u> .00
4.	42" HDPE Storm Pipe One hundred thirty fiv⊕ollars Zero Cents Per Linear Foot	690	LF	\$_135.00	\$_93,150.00

# TOTAL ALTERNATE 2 BID FOR HDPE STORM SEWER PIPE FOR ANNISTON/WICKLAND CAPITAL STORM SEWER IMPROVEMENT PROGRAM

**PHASE III** Project (Items 1 through 4) in words and figures. In case of discrepancy, the amount shown in words will govern.

Two hundred forty one	thousand four hundred ninet	y three dollars
and zero cents		(\$_241,493.00).

# BASE BID WITH ALTERRNATE 1 (RCP STORM SEWER PIPE) One million ninety three thousand pine hundred forty (\$ 1,000. one dollars (USE WORDS) and zero cents BASE BID WITH ALTERNATE 2 (HDPE STORM SEWER PIPE) Nine hundred ninety five thousand in hundred thirty seven dollars (USE WORDS) and zero cents Submitted by: Lagco Inc. Firm1490 Sunshine Lane Address Lexington, KY 40505 City, State & Zip Bid must be signed: (original signature) Signature of Authorized Company Representative – Title J.R. Greene Representative/s Name (Typed or Printed) 859-293-7473 859-293-7471 Area Code – Phone – Extension Fax # jarrod@lagco.com E-Mail Address

OFFICIAL ADDRESS:

1490 Sunshine Lane

Lexington, KY 40505

(Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

## FORM OF PROPOSAL

## STATEMENT OF BIDDER'S QUALIFICATIONS

The following statement of the bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

Name of Bidder:	Lagco, Inc.	
Permanent Place of	Business: 1490 Sunshine Lane,	Lexington, KY 40505
When Organized:	1/1/1980	-
Where Incorporated:	Ky	
	d Equipment Available for this Project:	
What en	ser needed	
	The state of the s	
angene denny großen henrywedde henrichter den den den menter en den den den den den den den den den	1	
birmining of the second se	<u> </u>	
* ( #		The Art of the State of the Sta
	(Attach Separate Sheet If Necessa	
Financial Condition:		
hree (3) years audited	ed by the OWNER, the apparent low is financial statements to the OWNER'S are following the bid opening.	
In the event the Contr	act is awarded to the undersigned, suret	y bonds will be furnished by:
Great Ame	rican Insurance Company	(Surety)
Signed: James H	Martin, Attorney-in-Fact	(Representative of Surety)

<u>NAME</u> ************************************	See Hached X	CONTRACT SUM
The Bidder has now und	der contract and bonded the following pro	jects:
<u>NAME</u>	LOCATION	CONTRACT SUM
List Key Bidder Personi	nel who will work on this Project.	
<u>NAME</u>	POSITION DESCRIPTION	NO. OF YEARS WITH BIDDER

8.

11,	DBE Participation on current bo SUBCONTRACTORS (LIST)	nded projects under contract PROJECT SPECIFIC TYPE)	DBE	% of WORK
	* Nove See	Attached *		
	(USE ADDITI	ONAL SHEETS IF NECES	SARY)	
12.	We acknowledge that, if we are the OWNER within 7 calend regarding all current work on It form of the experience of our of Additionally, if requested by the submit audited financial statem recent years (or a lesser period s	ar days following the Bid and and under contract, and fficers, office management at the OWNER, we will within acuts and loss history for in	Opening, a statement or	sworn statement the OWNER'S ement personnel. ving the request
Respe	ctfully submitted: (Name of Contracting F	201 LASCOJ	$\sim$ c	
	BY: J.R 6	reere		
	TITLE: Pres La	<u>_</u>		
	DATE º	, 2013		

### 6. <u>LIST OF PROPOSED SUBCONTRACTORS</u>

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

BRANCH OF WORK - LIST EACH MAJOR ITEM Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	SUBCONTRACTOR	DBE Yes/No	% of
1. Bore & Jack	Name: Price Backhoe	_NO_	9%
	Address: Science Hill, KY		
2. <u>Asphalt</u>	Name: Randle Davies	NO_	<u>7</u> 8
	Address: Frankfort, KY		
3. <u>Concrete</u>	Name: <u>Hubbard Concrete</u>	_NO_	<u>3%</u>
	Address: Georgetown, KY		
4	Name:		
	Address:		
5	Name:		
	Address:		
6	Name:		
	Address:		
7	Name:		
	Address:		

(Attach additional sheet(s) if necessary.)

# 7. <u>AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-</u>CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

- 1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
- 3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
- 5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State <a href="KY">KY</a> or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky \_\_\_\_\_. Check the statement applicable.
- 6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
- 7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
- 8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

# 8. <u>STATEMENT OF EXPERIENCE</u>

NAME OF INDIVIDUAL:	X	See	Alta	ched X
POSITION/TITLE:	,	/		
STATEMENT OF EXPERIENCE:				-
	······································			
NAME OF INDIVIDUAL:			1	
POSITION/TITLE:				
STATEMENT OF EXPERIENCE:				
NAME OF INDIVIDUAL:				
POSITION/TITLE:				
STATEMENT OF EXPERIENCE:				
		1		

NAME OF INDIVIDUAL:	X	See	Hach	ed X
POSITION/TITLE:			]	, 
STATEMENT OF EXPERIENCE: _		.,		
NAME OF INDIVIDUAL:			**************************************	-1-
POSITION/TITLE:				
STATEMENT OF EXPERIENCE: _				
			······································	
NAME OF INDIVIDUAL:				
POSITION/TITLE:				
STATEMENT OF EXPERIENCE: _				
	-4-4			

<sup>\*</sup> Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

### 9. EQUAL OPPORTUNITY AGREEMENT

#### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rchabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

#### **Bidders**

I/We agree to comply with	the Civil	Rights Laws	listed above	that g	govern	employment	rights	of
minorities, women, Vietnan	ı veterans,	handicapped	, and aged pe	rsons.				

Signature Lagco Inc.
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit

discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its subcontracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;
- (3) The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and
- (4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.

The Act further provides:

#### KRS 45.610. Hiring minorities – Information required

- (1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.
- (2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

#### KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

(1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such

- certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 45.640.
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

### KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

#### KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as <u>special conditions</u> in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

## 10. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

	It is the policy of	Lagco Inc.		····	
to a:	ssure that all applicants for	r employment and a	ll employees are	treated on a fair a	ınd equitable
basi	s without regard to their ra	ce, religion, sex, co	lor, handicap, nat	ural origin or age	

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Name of Organization:		S	ze Ath	ache	)	Da	nte:	
	_	}						
Calegories	Total	White M F	Bia / M	<u>.ck</u> F		Other F	M	Total
Administrators								
Professionals								
Superintendents								,
Supervisors				-				
Foremen								
Technicians								
Protective Service								
Para-Professionals								
Office/Clerical								
Skilled Craft	-							
Service/Maintenance				-		_		
Total:		1						

DY95874 DY95874 1 **≡03** 

SINGLE ESTABLISHMENT REPORT - TYPE 2013 EMPLOYER INFORMATION REPORT EQUAL EMPLOYMENT OPPORTUNITY

SECTION B - COMPANY IDENTIFICATION

LEXINGTON, KY 40505 1490 SUNSHINE LANE 1. LAGCO, INC.

LAGCO, INC. 1490 SUNSHINE LANE LEXINGTON, KY 40505 2.2

1-N 2-N 3-Y DUNS NO.:832687326

SECTION C-TEST FOR FILING REQUIREMENT

FAYETTE COUNTY >j

NAICS: 238910 Site Preparation Contractors

SECTION E - ESTABLISHMENT INFORMATION

SECTION D - EMPLOYMENT DATA

0 0  $\circ$ 57  $\circ$ 00 68 61 OVERALL TOTALS 0 0 0 0 0 0 OP. MORE RACES NEMN OR 91. 95K.AN NATIVE 0 0 0 0 0 0  $\circ$ MERICAN 0 0 0 0 0 san and an and a person in 0 0 0 0 0 0 00 0 0 Meise NATIVE HAWAIIAN OR PACIFIC ELANDER  $\bigcirc$ 0 0 0 0 0 0 О 0 0 0 0 0 ō 00 0 0 00 0 MENCEN 0 BLACKOR AFRICAN  $\circ$  $\Box$ 0  $\bigcirc$ O 0  $\bigcirc$ 0 60 Ø NOT-HISPANIC OR LATINO **州田田**  $\bigcirc$  $\bigcirc$ 0 0 0 0 0 0 0 0 TWO OR MORE RACES Ü 0 0 0 0 PMERICAN INDIAN OR ALASKAN NATIVE \* 0 0 0 0 0 0 O 0 0 0 0 SI'S NATIVE HAWAIIAN OR PACIFIC 0 0 0 0 0 0 0 0 0 0 ISLANDER. PACH PLOAN BLACKOR AFRICAN Ö 0 0  $\bigcirc$ 0  $\overline{\phantom{a}}$ 0 0 4 0 0 O 0 ц, 33 Ö 0 0 영 28 WHITE 0 0 0 0  $\Box$ ت Ö 0 0 FEMALE HISPANIC OR LATINO 0  $\bigcirc$ 0 0  $\overline{\Box}$ 0 N 0 Ö 0 d 0 MALE EXECUTIVE/SP OFFICIALS A MORS TOTAL FEST/ME OFFICELS & MGPS ADMINISTRATIVE SUPPORT FREVIOUS REPORT TOTAL LABORERS & HELPERS SERVICE WORKERS CRAFT WORKERS SALES WORKERS PROFESSIONALS TECHNICAR JOB CATEGORIES OPERATIVES

SECTION F. REMARKS

09/30/2013 THRU 07/01/2013 DATES OF PAYROLL PERIOD: SECTION G - CERTIFICATION

CERTIFYING OFFICIAL: EEO-1 REPORT CONTACT PERSON: EMAIL: paul@lagco.com

MELISSA WEEKS MELISSA WEEKS

TITLE: ASSISTANT CONTROLLER TITLE: ASSISTANT CONTROLLER TELEPHONE NO: 8592937473

CERTIFIED DATE[EST]: 08/08/2013 02:30 PM

largo Im

#### 13. **EVIDENCE OF INSURABILITY**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Octo Inc	, 	Employee ID 6 0964578			
Address: POBOX 12510	Lexingt	on 14 40583		OF9 · 293 · 7473	
Project to be insured: ANNISTON/V PHASE III  In lieu of obtaining certificates of insurance below. These are outlined in the Insurance	VICKLANI at this time, the	D CAPITAL STORM SI undersigned agrees to provide the	thoye Named Insured with the	minimum coverage listed	
Section Items	. Соустаде	Minimum Limits and Policy Requirements	Limits Provide	ed Name of Insurer	
SC-3, Section 1, Part 1.4 - see provisions	CGL	\$1,000,000 per oce. And \$2,000, aggregate	1,000,000 ag	19 002382 A	
SC-3, Section1, Part 1.4 - see provisions	AUTO	\$2,000,000/per occ.	\$1,00,000	Westfield 10023B2. A	
SC-3, Section 1, Part 1.4 – sec provisions	WC	Statutory w /endorsement as note	# \$4000,000. Employers L	inability offices A-	
Section 2 includes required provisions, state coverage's checked above unless stated oth Wals Fargo Insurance Agency or Brokerage  220 Lektrigton Green Civ	SETVICES (	TSA Inc.	odersigned agrees to abide by all	Il provisions for the	
	40. ute	50°3	Authorized		

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

Telephone Number

Date -

## 13. DEBARRED FIRMS

PROJECT NAME:	ANNISTON/WICKLAND	CAPITAL STORM S	EWER IMPROVEMENT
BID NUMBER:	PROGRAM PHASE III 101-2013		
LEXINGTON-FAY LEXINGTON, KY	ETTE URBAN COUNTY GO	OVERNMENT	
been debarred for nor		abor Standards, Title	ot be awarded to any firms that has VI of the Civil Rights Act of 1964 As
bid proposal. The Ov	plete the attached certification vncr (grantee) shall transmit on of Community Development,	ne copy to the Lexingto	
not award a subcontra been debarred for nor		tract award to it as the abor Standards, Title V	has not and will result of this bid, to any firm that has /I of the civil Rights Act of 1964,
<u>Lagco Inc.</u> Name of Firm Submit	tting Bid		
1.7.	here		
Signature of Authoriz	ed Official		
President Title			
9/6/13 Date			
บลเซ			

#### 14. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name:	Lagco Inc.	-	
Project:	Anniston/Wickland Phase 3	_	
Printed Name a	nd Title of Authorized Representative:	J.R. Greene	President
Signature: _	J.P. Mean	_	
Date:	9/6/13	_	

END OF SECTION

# DISADVANTAGED BUSINESS ENTERPRISE PARTICIPATION POLICY

PROJECT NAME: Anniston/Wickland Capital Storm Sewer Improvement Program Phase 3 BID DATE: September 6, 2013

Name, address and telephone number of contact person on all DBE matters:	
Prime Contractor's Name: *See attached ads and emai	
Contact Person:	
Address:	
Phone:	<u>:</u>
Cell Phone:	
Email:	
Total Contract Amount:	
Total dollar amount/percent of contract of MBE participation: None	
Total dollar amount/percent of contract of WBE participation: None	
Are certifications* for each MBE/WBE/DBE subcontractor Yes Sentlosed; if no, please explain: None sent in with quote	No
Are MBE/WBE/DBE subcontracts or letters of intent signed by both parties enclosed; if no, please explain: None used	No
List of MBE Subcontractors:	
Name: *See attached ads and emails	
Contact Person:	
Address:	
Phone:	
Cell Phone:	
Email:	
Type of Contract:	
Work to be Done:	
Amount:	
List of WBE Subcontractors:	
Name:	
Contact Person:	
Address:	
Phone:	
Cell Phone:	
Email:	
Type of Contract:	
Work to be Done:	
Amount:	
ch Additional Sheets, If Necessary	
f-certification: Self certification of MBE/WBE/DBE firms will NOT be accepted as a valid	_

DOW-October 2011

- 8. Information and documentation concerning efforts taken to comply with EPA's "six good faith efforts"

  (i). Ensure DBE construction firms or material suppliers are made aware of contracting opportunities to the fullest extent practicable through outreach and recontractions including placing
  - (i). Ensure DBE construction firms or material suppliers are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities; including placing DBEs on solicitation lists and soliciting them whenever they are potential sources. A good source for a list of DBEs is the Kentucky Transportation's website: <a href="http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx">http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/Certified-DBE-Directory.aspx</a>.
    - The prime contractor certifies that a bidders list (see example sheet below) of qualified vendors, including DBEs, was developed for current and future solicitations and that the list will be maintained. Submit a copy of the list as documentation.
  - (ii). Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process; including, whenever possible, posting solicitation for bids or proposals for a sufficient amount of time as to receive a competitive bid or proposal pool.
    - The prime contractor certifies that every opportunity was provided to a number of DBEs to encourage their participation in the competitive process and that an adequate amount of time was provided for response.

a. List each DBE construction firm or material supplier to which a solicitation was

Date of any follow-ups and person spoke to: Susan Redmon

attempted. Submit copies of letters, emails, faxes, telecommunication logs, certified mail receipts, returned envelopes, certified mail return receipts, etc. as documentation.

Company name and phone number: Cedar Valley Seeding \*see attached other info Area of work expertise: Seeding and sod

b. Advertisements, if applicable: List each publication in which an announcement or notification was placed. Submit a tear sheet of each announcement from each publication as documentation.

Name of publication: \*See attached\*

Date(s) of advertisement: 
Specific subcontract areas announced:

c. Other, if applicable: List each notification method in which an announcement or outreach was used; list serve, public meeting, etc. Submit applicable information to document effort.

Method of notification:

Date(s) of notification:

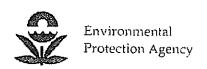
- (iii). Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs; including dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.
  - The prime contractor certifies that the project was broken into its basic elements (i.e., dirt hauling, landscaping, painting, pipe installation, material supplies, etc.) and that a determination was made whether it's economically feasible to bid the elements separately and that the analysis of this effort was documented with a short memo to the project file.

- (iv). Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises.
  - The prime contractor certifies that they established delivery schedules which would allow DBEs to participate in the projects.
- (v). Use the services and assistance of the Small Business Administration (SBA) and the Minority Business Development Agency (MBDA) of the U.S. Department of Commerce. The easiest way to utilize the services of SBA and MBDA is to visit their websites: <a href="www.sba.gov">www.sba.gov</a> and <a href="www.mbda.gov">www.sba.gov</a> and use the electronic tools available there or you may send the nearest SBA and MBDA office a certified letter that generally describes the solicitation, the dates it will be open, the types of vendors you are seeking and applicable SIC or NAIC codes if known. You may also use the services and assistance of the Kentucky Procurement Assistance Program (KPAP). The easiest way to utilize the services of KPAP is to send an email: <a href="mailto:ced.kpap@ky.gov">ced.kpap@ky.gov</a> and provide information on forthcoming opportunities available to DBEs.
  - The prime contractor certifies that the assistance of the SBA, MBDA, and/or KPAP was utilized. Submit pages printed off the SBA and MBDA websites which evidence efforts to register a solicitation on those sites or submit copies of the letter sent and certified mail receipt as documentation; submit copies of emails with KPAP as documentation.
- (vi). If a subcontractor awards any subcontracts, require the subcontractor to take the steps in numbers(i) through (v) above.
  - The prime contractor certifies that subcontractors used for this project will be required to follow the steps of the "six good faith efforts" as listed above.

#### 9. Signature and date:

9/6/13

To the best of my knowledge and belief, all "six good fai	th efforts" have b	een met and the	information
contained in this document is true and correct; the docum	ent has been duly	authorized by t	the legal
representative A Que	_	-	- Pasin
Signature	Print name and	title	



OMB Control No: 2090-0030 Approved: 05/01/2008 Approval Expires: 01/31/2011

Disadvantaged Business Enterprise Program
DBE Subcontractor Participation Form

NAME OF	SUBCONTRACT	rok	PROJECT NAME			
ADDRESS			CONTRACT NO.			
TELEPHONE NO.			EMAIL ADDRESS			
PRIME CO	NTRACTOR NA	ME				
termination l	e space below to	or, late payment, etc.).	garding the above EPA-funded	project (e.g., reason for		
CONTRACT ITEM NO.	ITEM OF WOR	K OR DESCRIPTION OF INTRACTOR	SERVICES RECEIVED FROM	AMOUNT SUBCONTRACTOR WAS PAID BY PRIME CONTRACTOR		

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-2 (DBE Subcontractor Participation Form)

Environmental Protection Agency

OMB Control No: 2090-0030 Approved: 05/01/2008 Approval Expires: 01/31/2011

# Disadvantaged Business Enterprise Program DBE Subcontractor Participation Form

The public reporting and recordkeeping burden for this collection of information is estimated to average fifteen (15) minutes. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed EPA DBE Subcontractor Participation Form to this address.



OMB Control No. 2090-0030 Approved: 05/01/2008 Approval Expires: 01/31/2011

Disadvantaged Business Enterprise Program

DBE Subcontractor Performance Form

### \*SEE ATTACHED\*

NAME OF SUBC	ONTRACTOR .		PROJECT NA	ME	<del></del>
	/				
ADDRESS			BID/PROPOS	AL NO.	
TELEPHONE NO	γ.		E-MAIL ADD	RESS	
	1				
PRIME CONTRA	CTOR NAME				
CONTRACT ITEM NO.	ITEM OF WORE	OR DESCRIPTION	N OF SERVICES B	ID TO	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR
	X				
	1				
Currently certified	as an MBE or WE	BE under EPA's DB	E Program?	Yes	No Signature of
Prime Contractor [	Jate Print Name 1		ure of Subcontrac	tor Data	
		Organi	are or sancontrac	ior Date	Print Print
Name Title	/				
	/				
	\				
	Ì				
					İ

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-3 (DBE\Subcontractor Performance Form)

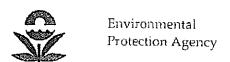


OMB Control No: 2090-0030 Approved: 05/01/2008 Approval Expires: 01/31/2011

# Disadvantaged Business Enterprise Program DBE Subcontractor Performance Form

The public reporting and recordkeeping burden for this collection of information is estimated to average fifteen (15) minutes. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed EPA DBE Subcontractor Performance Form to this address.



BID/PROPOSAL NO.

101-2013

Lagco Inc.

NAME OF PRIME BIDDER/PROPOSER

OMB Control No. 2090-0030 Approved: 05/01/2008 Approval Expires: 01/31/2011

### Disadvantaged Business Enterprise Program DBE Subcontractor Utilization Form

Anniston/Wickland Phase 3

President

Title

PROJECT NAME

E-MAIL ADDRESS

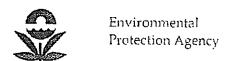
Lagco Inc.		jarroc	delagco.	com	
ADDRESS					
1490 Sunshine Lane	Lex	xington,	KY	40505	
TELEPHONE NO. 859-293-7473		FAX NO.	859=29	3-7471	
The following subcontractors: will	be used on	this projec	ct:		
COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WO			ESTIMATE D DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?
None					
I certify under penalty of perjury that the	faragina stata	monic are ive	io and sam	roal In the aven	<b>.</b> - 6 -
replacement of a subcontractor, I will adhe Section 33.002(c).	ere to the repl	acement requ	uirements	set forth in 40 Cl	r or a FR Part 33
1).11. mee			9/6/	13	
Signature of Prime Contractor			Date		

Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

EPA FORM 6100-4 (DBE Subcontractor Utilization Form)

J.R. Greene

Print Name



OMB Control No: 2090-0030 Approved: 05/01/2008 Approval Expires: 01/31/2011

# Disadvantaged Business Enterprise Program DBE Subcontractor Utilization Form

The public reporting and recordkeeping burden for this collection of information is estimated to average fifteen (15) minutes. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed EPA DBE Subcontractor Utilization Form to this address.



SURETY:

of business)

301 E. 4th Street Cincinnati, OH 45202

### Bid Bond

CONTRACTOR:

(Name, legal status and address)

Lagco, Inc. P.O. Box 12510 Lexington, KY 40583

OWNER:

(Name, legal status and address) LFUCG

200 East Main Street 3rd Floor, Room 338 Lexington, KY 40507

BOND AMOUNT: Five Percent of the Amount Bid

(\$ 5% of Bid

(Name, legal status and principal place

Great American Insurance Company

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

PROJECT:

(Name, location or address, and Project number, if any)
Anniston/Wickland Capitol Storm Sewer - Installation of New Storm Drainage

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common faw bond.

Signed and scaled this

day of

September

(Surety)

7

(Seal)

(Seal)

\_///

/(01111/

(Title)

Great American Insurance Compar

(Title) James H. Martin Attorney-in-Fact

Init.

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### GREAT AMERICAN INSURANCE COMPANY®

Administrative Office: 301 E 4TH STREET CINCINNATI, OHIO 45202 513-369-5000 FAX 513-723-2740

The number of persons authorized by this power of attorney is not more than FIVE

No. 0 18170

### POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the GREAT AMERICAN INSURANCE COMPANY, a corporation organized and existing under and by virtue of the laws of the State of Ohio, does hereby nominate, constitute and appoint the person or persons named below, each individually if more than one is named, its true and lawful attorney-in-fact, for it and in its name, place and stead to execute on behalf of the said Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; provided that the liability of the said Company on any such bond, undertaking or contract of suretyship executed under this authority shall not exceed the limit stated below.

> Limit of Power Address

JAMES T. SMITH JASON D. CROMWELL ALL OF ALL

RAYMOND M. HUNDLEY BROOK T. SMITH LOUISVILLE, KENTUCKY \$75,000,000.

JAMES H. MARTIN

This Power of Attorney revokes all previous powers issued on behalf of the attorney(s)-in-fact named above.

IN WITNESS WHEREOF the GREAT AMERICAN INSURANCE COMPANY has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this day of MAY

Attest

GREAT AMERICAN INSURANCE COMPANY



Assistant Secretary

DAVID C. KITCHIN (877-377-2405)

STATE OF OHIO, COUNTY OF HAMILTON - ss:

20TH On this day of

MAY

2009 , before me personally appeared DAVID C. KITCHIN, to me known, being duly sworn, deposes and says that he resides in Cincinnati, Ohio, that he is a Divisional Senior Vice President of the Bond Division of Great American Insurance Company, the Company described in and which executed the above instrument; that he knows the seal of the said Company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed by authority of his office under the By-Laws of said Company, and that he signed his name thereto by like authority.



KAREN L. GROSHEIM NOTARY PUBLIC, STATE OF OHIO MY COMMISSION EXPIRES 02-20-16 aran R. Grandin

This Power of Attorney is granted by authority of the following resolutions adopted by the Board of Directors of Great American Insurance Company by unanimous written consent dated June 9, 2008.

RESOLVED: That the Divisional President, the several Divisional Senior Vice Presidents, Divisional Vice Presidents and Divisonal Assistant Vice Presidents, or any one of them, be and hereby is authorized, from time to time, to appoint one or more Attorneys-in-Fact to execute on behalf of the Company, as surety, any and all bonds, undertakings and contracts of suretyship, or other written obligations in the nature thereof; to prescribe their respective duties and the respective limits of their authority; and to revoke any such appointment at any time.

RESOLVED FURTHER: That the Company seal and the signature of any of the aforesaid officers and any Secretary or Assistant Secretary of the Company may be affixed by facsimile to any power of attorney or certificate of either given for the execution of any bond, undertaking, contract of suretyship, or other written obligation in the nature thereof, such signature and seal when so used being hereby adopted by the Company as the original signature of such officer and the original seal of the Company, to be valid and binding upon the Company with the same force and effect as though manually affixed.

### CERTIFICATION

I, STEPHEN C. BERAHA, Assistant Secretary of Great American Insurance Company, do hereby certify that the foregoing Power of Attorney and the Resolutions of the Board of Directors of June 9, 2008 have not been revoked and are now in full force and effect.

September

Signed and sealed this

day of

Assistant Secretary

S1029AC (4/11)



# Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor

William O'Mara Commissioner

### **ADDENDUM #1**

Bid Number: <u>101-2013</u>

Date: August 29, 2013

Subject: Anniston/Wickland Capital Storm

Sewer Improvements Phase 3

Please address inquiries to:

Betty Landrum @

bettyb@lexingtonky.gov

and

Todd Slatin, Director@ tslatin@lexingtonky.gov

### TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced bid:

Questions	Answers
MWDBE Requirements	Reference Part III - Form of Proposal. Delete all language
	and requirements of Item 7 – Lexington Fayette Urban
	County Government MWDBE Participation Goals, Forms,
	and good Faith Efforts (pages P-18 through P-29).
	See Attached for Revised Part 111 – Form of Proposal
C HODE D	removing Pages P-18 through P29.
Can HDPE Pipe be used on this project?	HDPE pipe may be used as per the LFUCG
	Approval Dated April 2009 (See Attached)
	(See Attached Revised Part 111 - Form of
	Proposal providing alternates for the use of RCP
Will de De la Company	pipe and HDPE pipe.)
Will the Form of Proposal – Part 111 be revised to	Part 111 - Form of Proposal shall be deleted and
reflect the bid alternates for RCP and HDPE pipe?	replaced with the attached revised Part 111 -
TV1	Form of Proposal
When should disturbed area be revegetated?	The contractor shall provide seed & protect and
	sod as per the contract documents on all disturbed
	areas within 14 days for final grading. (No area
	shall be left bare for longer than 14 day if work is
	not being done in the area.) It is the contractor's
	responsibility to maintain the area that have been
	seeded or sod placed to ensure the area is

	reestablished to the original state before construction work was performed.
What EPA forms must be turn in with the bid?	The following forms shall be submitted with the bid.  1. Disadvantage Business Enterprise Participation Policy  2. EPA Form 6100-3  3. EPA Form 6100-4

### Attachments:

- 1. Pre-Bid Meeting Minutes
- 2. Pre-Bid Sign-In Sheet
- 3. Part 111 Form of Proposal
- 4. LFUCG HDPE Pipe Approval
- 5. Disadvantage Business Enterprise Participation Policy
- 6. EPA Form 6100-3
- 7. EPA Form 6100-4

Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME:	Layca	Inc	ď
	/		

SIGNATURE OF BIDDER:

and Care



A-43

# Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor

William O'Mara Commissioner

### **ADDENDUM #2**

Bid Number: 101-2013

Subject: Anniston/Wickland Capital Storm

Sewer Improvements Phase 3

Date: September 03, 2013

Please address inquiries to:

Betty Landrum @

bettyb@lexingtonky.gov and

Todd Slatin, Director@ tslatin@lexingtonky.gov

### TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced bid:

	<b>Questions</b>	Answers
1)	Do all streets need to be backfilled with flowable fill? If so what unit price does this go in? Demo or the proposed line?	The storm and sanitary trenches in the streets do NOT need to be backfilled with flowable fill. The storm and sanitary sewer trenches in the streets are to be backfilled with No. 9 Crushed Limestone. See attached LFUCG Standard DWG No.201-1.  The No. 9 stone backfill should be included with the price of the proposed storm or sanitary sewer pipe.
2)	Bore & Jack does not include casing?	See Bid Item No. 16~60" Steel Casing Pipe
3)	Which unit does the spacers & end seals go in?	Include spacers and end seals into your price for the 60" steel casing pipe in Bid Item No. 16.

Attached: LFUCG STD Drawing No. 201-01 – Trenching, Laying, Backfilling and Bedding under

Street Pavement.

### Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

**COMPANY NAME:** 

ADDRESS: 1490 Sunshlos Ca

SIGNATURE OF BIDDER:







A-43

# Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor William O'Mara Commissioner

### **ADDENDUM #3**

Bid Number: 101-2013

Subject: Anniston/Wickland Capital Storm

Sewer Improvements Phase 3

Date: September 04, 2013

Please address inquiries to:

Betty Landrum @

bettyb@lexingtonky.gov and Todd Slatin, Director@tslatin@lexingtonky.gov

### **TO ALL PROSPECTIVE BIDDERS:**

Please be advised of the following clarifications to the above referenced bid:

I see the approximate rock line shown on the plan sheets but I do not see soil bores anywhere in the plans or specs.  Are there soil borings available?	See the attached Exhibit indicating the locations and depths to refusal of the soundings. This information is not warranted or guaranteed for any area except where the soundings were taken. It is the contractor's responsibility to assure themselves as to the actual conditions of the site.

Attached: Exhibit - Sounding done by S&ME in 2009

Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME:

ADDRESS:

aglo Inc

Lang

Lexilytin Ky

40505

SIGNATURE OF BIDDER;

200 East Main Street

Lexington, KY 40507

(859) 425-2255

www.lexingtonky.gov

HORSE CAPITAL OF THE WORLD



### Lexington-Fayette Urban County Government DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray Mayor

William O'Mara Commissioner

### ADDENDUM #4

Bid Number: 101-2013

Subject: Anniston/Wickland Capital Storm

**Sewer Improvements Phase 3** 

Date: September 04, 2013

Please address inquiries to:

Betty Landrum @

bettyb@lexingtonky.gov and

Todd Slatin, Director@ tslatin@lexingtonky.gov

### TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced bid:

Questions	Answers
There appears to be a typo in the qualities for 15" and 18" storm pipe?	Yes, see attached revised Sheet GN1.0 (General Summary) and revised Part III – 4. Bid Schedule – Schedule of Values. Replace Part III – 4. Bid Schedule – Schedule of Values (Sheets 6-14) in the bid packet with the attached revised Part III – 4. Bid Schedule – Schedule of Values (Sheets 6-14)

Attached: General Summary Sheet GN1.0 and Part III - Bid Schedule - Schedule of Values Pages 6 -14

> Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME:

SIGNATURE OF BIDDER

From: Sent: Marilyn Clark <mclark@lexingtonky.gov> Thursday, October 18, 2012 4:50 PM

To:

Jarrod Conn

Subject:

**RE: Minority Contractors** 

Attachments:

MWBE Certified Electrical Companies Sept 2012.xls; MWBE Certified Landscaping Companies Sept 2012.xls; MWBE Certified Asphalt Companies Sept 2012.xls; MWBE Certified Demolition Contractors Sept 2012.xls; MWBE Certified Surveyors Sept 2012.xls;

MWBE Certified Concrete Companies Sept 2012.xls

Hi Jarrod,

I think this should help you out. Also go to our web page for the other lists I suggested at www.lexingtonky.gov/MWBE

From: Jarrod Conn [mailto:jarrod@lagco.com]
Sent: Thursday, October 18, 2012 11:03 AM

To: Marilyn Clark

Subject: Minority Contractors

I need to get a list of minority contractors for the following jobs: Concrete, asphalt, seed & straw, Surveying, demolition, rock & dirt trucking ( dump trucks ), & electrical

Thanks! Jarrod Conn

Lagco Inc.

From:

Jarrod Conn <jarrod@lagco.com>

Sent:

Thursday, August 29, 2013 2:22 PM

To: ADEINC1@aol.com; advanced const

ADEINC1@aol.com; advanced construction of ky; brad mook; cedar valley seeding; g&g paving; great lake paving; lester; minnifield enterprize dba all pro electric; prosys; T.E.M.

electric; TAS development electric; Ted Randle (tedr@mis.net); testech; tsi paving

Subject:

MBE/WBE

Looking for MBE / WBE subcontractors for the following project: Anniston / Wickland Capitol Storm Sewer

Need pricing on asphalt, stone, concrete, curb & gutter, materials, layout, seed & straw, bore & jack, fencing, etc...

Plans are available at lynn imaging. Please let me know if you are interested or not.

Thanks
Jarrod Conn

Lagco Inc. 859-293-7473

From:

Mail Delivery System <MAILER-DAEMON@cnchost.com>

Sent:

Thursday, August 29, 2013 2:22 PM

To:

jarrod@lagco.com

Subject: Attachments: Undelivered Mail Returned to Sender details.txt; MBE/WBE (3.29 KB)

This is the mail system at host glatton.cnchost.com.

I'm sorry to have to inform you that your message could not be delivered to one or more recipients. It's attached below.

For further assistance, please send mail to postmaster.

If you do so, please include this problem report. You can delete your own text from the attached returned message.

The mail system

<glmbarlow@hotmail.com>: host mx1.hotmail.com[65.55.92.152] said: 550 SC-001 (SNT0-MC2-F14) Unfortunately, messages from 207.155.248.47 weren't sent. Please contact your Internet service provider since part of their network is on our block list. You can also refer your provider to <a href="http://mail.live.com/mail/troubleshooting.aspx#errors">http://mail.live.com/mail/troubleshooting.aspx#errors</a>. (in reply to MAIL FROM command)

From:

McConnell, Tammy <tmcconnell@herald-leader.com>

Sent:

Thursday, August 29, 2013 3:07 PM

To: Subject: Jarrod Conn Re: ad

# Cost is \$138 for Saturday- I have the ad set to run

Lagco Inc. is requesting MBE/WBE quotes on the following project: Anniston / Wickland Storm Sewer project that bids on 09/6/13. Please call 293–7473 for additional

### Tammy McConnell

Lexington Herald-Leader Recruitment Advertising p. 859-231-1485 f. 859-231-3155 tmcconnell@herald-leader.com

On Thu, Aug 29, 2013 at 2:36 PM, Jarrod Conn < jarrod@lagco.com > wrote:

Tammy,

I need to place an ad today or tomorrow if possible, please let me know:

Lagco Inc. is requesting MBE/WBE quotes on the following project: Anniston / Wickland Storm Sewer project that bids on 09/6/13. Please call 293-7473 for additional information.

I don't want this ad to run online, just in the paper. Could you please send proof back. Please bill the credit card that you have on file.



P.O. Box 12510 \* Lexington, Kentucky 40583 PHONE: (859) 293-7473 \* FAX: (859) 293-7471

FROM

: Jarrod Conn

PROJECT: Anniston / Wickland Phase 3

DATE

: 9/6/13

### **JOBS & REFRENCES**

Start Date: start sometime June 2012

Name: Sunset Avenue Storm Line

Location: Richmond

Prime: Yes

**Description**: Installing storm piping in street

Reference / Contact Name: CDP Engineers - Sandy Camargo

Reference Address / Phone: 3250 Blazer Parkway

Lexington, KY 40509

(859) 264-7500

Project Owner: City Of Richmond Design Engineer: CDP Engineers

Date Complete: completed Dec. 2012

Contract Price: \$ 647,953.53

Subcontractors: 26%

Start Date: May 2012 Name: EKU Steam Line Location: Richmond

Prime: Yes

**Description**: Installing 60" RCP for steam Tunnel

Reference / Contact Name: John Mason

Reference Address / Phone: Staggs & Fisher, Inc.

3264 Lochness Drive

Lexington, Ky 40517 Phone: (859) 271-3246

Project Owner: EKU

Design Engineer: Staggs & Fisher

Date Complete: Complete Dec. 2012

Contract Price: \$ 748,800.00

Subcontractors: 15%

Start Date: March 2012 Name: Papacina Restaurant Location: Lexington Ky

Prime: No

**Description**: Grading & installing storm & sanitary

Reference / Contact Name: David Mitchell w/ Greer Companies Reference Address / Phone: 3620 Walden Drive, Suite 200

Lexington, Ky 40517

Project Owner: Part Of Greer Companies

Design Engineer: CMW Inc.
Date Complete: Complete 2013
Contract Price: \$ 285,660.00

-----

Start Date: Aug. 2011

Name: University Of Kentucky UK CAER Phase 3

Location: Lexington Ky

Prime: No

Description: Grading, Storm drainage & sanitary

Reference / Contact Name: Turner Construction Jason Kruse Reference Address / Phone: 633 West Main Street Suite 450

Louisville, Ky 40202 (502) 377-0688

Project Owner: University Of Ky Design Engineer: Vision Engineer

Date Complete: June 2012 Contract Price: \$313,458.00

Start Date: December May 2010

Name: U Of K Steam Line

Location: Lexington

Prime: No

Description: Excavate & install new steam line

Reference / Contact Name: Dan Branscum w/ Staggs & Fisher

Reference Address / Phone: Staggs & Fisher, Inc. 3264 Lochness Drive

Lexington, Ky 40517 Phone: (859) 271-3246

Project Owner: University of Ky

Design Engineer: Staggs & Fisher

Date Complete: Complete
Contract Price: \$ 948,950.00

-----

Start Date: February 2009

Name: Bluegrass Station Infrastructure

Location: Lexington Ky

Prime: Yes

**Description**: installing site storm, sanitary, water, asphalt & fire protection

Reference / Contact Name: Bluegrass Station - Paul Cable

Reference Address / Phone: 5751 Brian Hill Road Lexington, KY 40516

(859) 293-3203

Project Owner: Bluegrass Station
Design Engineer: CDP Engineers

Date Complete: June 2012

Contract Price: \$ 3,697,449.00

Subcontractors: 44 %

-----

Start Date: 2010

Name: UK 4th & 5th floor steam line

Location: Lexington

Prime: No

**Description**: Excavation & installing Steam line **Reference / Contact Name**: Rich Reidle

Reference Address / Phone: Peterson Service Building Lexington, KY 40506

Project Owner: University Of Ky (859) 257-5911

Design Engineer: Staggs & Fisher Consulting Engineers - Dan Branscum

Date Complete: 2011

Contract Price: \$ 1,517,347.00

-----

Start Date: 2010

Name: Madison Southern High School

Location: Richmond

Prime: Yes

Description: Grading, site storm & asphalt Reference / Contact Name: Tony Thomas

Reference Address / Phone: Clotfelter-Samokar, PSC Architecture | Engineering |

Interiors | Landscapes | Planning 228 E. Reynolds Road, Suite One Lexington, KY 40517 (859) 338-7485

Project Owner: Madison County Board Of EDU

Design Engineer: Clotfelter-Samokar, PSC Architecture | Engineering |

Interiors | Landscapes | Planning

nate combiete: 5011

Contract Price: \$ 381,716.00

Subcontractors: 40%

Start Date: 2010

Name: Aniston Wickland Storm Water

Location: Lexington

Prime: Yes

Description: Remediation sink holes, Grading & site storm

Reference / Contact Name: LFUCG - Rick Day

Reference Address / Phone: 301 Lisle Industrial Ave. Lexington, KY 40511

(859) 425-2407

Project Owner: Lexington Fayette Urban County Government Design Engineer: Lexington Fayette Urban County Government

Date Complete: 2011

Contract Price: \$ 653,827.00

Subcontractors: 15%

Start Date: 2009

Name: Lower Howards Creek

Location: Winchester Ky

Prime: Yes

Description: Installing Gravity Sanitary Sewer

Reference / Contact Name: Winchester Municipal Utilities Duke Dryden

Reference Address / Phone: WMU

150 N Main St,

Winchester, KY 40391 (859) 744-5434

Project Owner: WMU

Design Engineer: Palmer Engineering - Stephanie Blain - 400 Shoppers DR

Winchester KY (859) 744-1218 45391

Date Complete: 2010

Contract Price: \$ 737,522.00

Subcontractors: 15%

-----

Start Date: 2009

Name: UK CAER Phase 1

Location: Lexington

Prime: No

**Description**: Grading & installing storm, sanitary, Concrete, etc.. **Reference / Contact Name**: Turner Construction Jason Kruse **Reference Address / Phone**: 633 West Main Street Suite 450

Louisville, Ky 40202

### (502) 377-0688

Project Owner: University Of Ky Design Engineer: Vision Engineering

Date Complete: June 2010 Contract Price: \$834,489.00

Start Date: 2008

Name: St Luke Methodist Church

Location: Lexington

Prime: No

**Description**: Grading, storm, sanitary

Reference / Contact Name: Burchfield Thomas General Contracting

Reference Address / Phone: 1610 Old Frankfort Pike Lexington, KY 40577

(859) 231-6170

Project Owner: St Luke United Methodist Church

Design Engineer: John L. Carmen & Assoc. - Chris Howard - 310 Old Vine

Street Lexington, KY 40507 (859) 254-8903

Date Complete: 2009

Contract Price: \$ 522,752.00

Start Date: 2007

Name: Keeneland Force Main

Location: Lexington

Prime: Yes

**Description**: Installing sanitary Force main

Reference / Contact Name: Keeneland John Howard

Reference Address / Phone: 4201 Versailles Rd.

Lexington, KY 40510 (859)-288-4232

Project Owner: Keeneland

Design Engineer: GRW 801 Corporate Drive Lexington, KY 40503 (859)

2333-999

Date Complete: 2007

Contract Price: \$ 243,153.00

Subcontractors: 0%

\_\_\_\_\_

Start Date: 2013

Name: EKU Steam Line Replacement Phase 2

Location: Richmond Ky

Prime: Yes

Description: Installing new steam line Reference / Contact Name: John Mason

Reference Address / Phone: Staggs & Fisher, Inc.

3264 Lochness Drive

Lexington, Ky 40517 Phone: (859) 271-3246

Project Owner: EKU

Design Engineer: Staggs & Fisher

Date Complete: July 2013 Contract Price: \$ 338,500.00

Bonded: Yes

Subcontractors: 34%

Start Date: 2013

Name: Glendover Elementary School

Location: Lexington, Ky

Prime: No

**Description**: Site grading & storm drainage **Reference / Contact Name**: Ashley Davis

Reference Address / Phone: Woodford Builders

440 Fairman Road Lexington, Ky 40511

Project Owner: Fayette County School Board

Design Engineer: Carman Engineering

**Date Complete:** Not completed **Contract Price:** \$ 499,845.00

Bonded: No

Subcontractors: 0%

Start Date: August 2013

Name: U Of K Woodland Avenue Sanitary Line

Location: Lexington

Prime: Yes

Description: Excavate & install new sanitary line

Reference / Contact Name: Dan Branscum w/ Staggs & Fisher

Reference Address / Phone: Staggs & Fisher, Inc.
3264 Lochness Drive

Lexington, Ky 40517 Phone: (859) 271-3246

Project Owner: University of Ky Design Engineer: Staggs & Fisher Date Complete: not complete

Bonded: yes

**Contract Price**: \$ 697,000.00

### Other projects Lagco has accomplished:

Huntertown Elementary Equine Vet Clinic Value Place Hotel Montessori Elementary	<u>2007</u>	\$ 279,642.00 \$ 249,825.00 \$ 212,736.00 \$ 174,890.00
UK Farm Road Steam Line Nicholas County High Southwest High Scott County Career Tech Anderson County Annex	<u>2008</u>	\$ 1,042,315.00 \$ 236,444.00 \$ 130,856.00 \$ 123,181.00 \$ 252,000.00
UK Patient Care Rowan County Courthouse BB&T Bank Waynesburg Elementary Eastern State Hospital	<u>2009</u>	\$ 167,115.00 \$ 508,332.00 \$ 133,857.00 \$ 286,975.00 \$ 87,000.00
UK fine Arts Building Congleton Lumber Building Tire Discounters	<u>2010 &amp; 2011</u>	\$ 59,000.00 \$ 52,497.00 \$ 70,725.00

## 2012 & 2013

Kentucky military museum	\$ 25,000.00
Lexmark building 005	\$ 181,900.00
Logans Roadhouse	\$ 65,567.00
Meadothorpe Elementary School	\$ 239,443.00
North Maysville water line	\$ 94,403.00
Panda express	\$ 82,784.00
Taco Bell	\$ 16,182.00

# Schedule C – Personnel

Name	Position	Date started with	date started in	prior positions /
•		Organization	Construction	experience
Randy Greene	President	1980	1980	insulation, mechanical, estimator
•				Project manager, vice president
J.R. Greene	vice president	1980	1980	laborer, foreman, vice president
Bruce Kuntz	estimator	2002	2002	Pipe Wholesale, laborer, estimator
		THE PARTY OF THE P		Project manager
Jarrod Conn	estimator / project	2003	2003	Laborer, operator, estimator
	Manager			
Mike Roe	Field Superintendent 2008	ıt 2008	1987	Foreman, operator, superintendent
Gregg Profit	Foreman/operator	2008	1992	laborer, operator, foreman
Marshal Todd	Foreman/operator	2008	1993	Laborer, operator, foreman
Keith Jones	Foreman/operator	2006	1995	laborer, operator, foreman