#### ENGINEERING SERVICES AGREEMENT

THIS IS AN AGREEMENT made as of 2/27/2024, 2024 between the LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT (OWNER) and Stantec (CONSULTANT). OWNER intends to proceed with the Armstrong Mill Shared Use Path Project as described in the attached "Letter Agreement No. 80-CRS" document (Attachment 1). The services are to perform a Phase I Archaeological Survey for the Armstrong Mill Shared Use Path Project. The services are hereinafter referred to as the Project.

**OWNER** and **CONSULTANT** in consideration of their mutual covenants herein agree in respect of the performance of services by **CONSULTANT** and the payment for those services by **OWNER** as set forth below.

## **SECTION 1 - BASIC SERVICES OF CONSULTANT**

#### 1.1. General

**CONSULTANT** shall perform the Phase I Archeological Survey per the "Letter Agreement No. 80-CRS" between the **CONSULTANT** and the Kentucky Transportation Cabinet.

## **SECTION 2 - EXTRA WORK BY CONSULTANT**

- 2.1. The OWNER may desire to have the CONSULTANT perform work or render services in connection with this Project other than provided by the expressed intent of this Agreement. Such work shall be considered as "Extra Work", subject to a change order, supplemental to this Agreement, setting forth the character and scope thereof and the compensation therefore. Work under such change order shall not proceed until the OWNER gives written authorization. Should the OWNER find it desirable to have previously satisfactorily completed and accepted plans or parts thereof revised, the CONSULTANT shall make such revisions as directed, in writing, by the OWNER. This work shall be considered as "Extra Work" and shall be paid as such.
- **2.2.** All "Extra Work" is subject to prior written authorization of **OWNER** and necessary appropriations made by the Urban County Council.

## **SECTION 3 - OWNER'S RESPONSIBILITIES**

#### **OWNER shall:**

- **3.1.** Provide criteria and information as to **OWNER'S** requirements for the Project, including design objectives and constraints, space, capacity and performance requirements, flexibility and expandability, and any budgetary limitations.
- **3.2.** Assist **CONSULTANT** by placing at his disposal available information pertinent to the Project.

- **3.3.** Examine all studies, reports, sketches, drawings, specifications, proposals and other documents presented by **CONSULTANT**, and render in writing decisions pertaining thereto within a reasonable time so as not to delay the services of **CONSULTANT**.
- 3.4. Designate in writing a person to act as **OWNER'S** representative with respect to the services to be rendered under this Agreement. Such person shall have complete authority to transmit instructions, receive information, interpret and define **OWNER'S** policies and decisions with respect to materials, equipment, elements and systems pertinent to **CONSULTANT'S** services.
- **3.5.** Give written notice to **CONSULTANT** whenever **OWNER** observes or otherwise becomes aware of any development that affects the scope or timing of **CONSULTANT'S** services, or any defect in the work of Contractor(s).
- **3.6.** Furnish, or direct **CONSULTANT** to provide, necessary Extra Work as stipulated in Section Two (2) of this Agreement or other services as required.

## **SECTION 4 - PERIOD OF SERVICES**

- **4.1.** Consultant shalt complete the services within **forty-five (45) calendar days** from receipt of a Notice to Proceed.
- **4.2.** The provisions of this Section Four (4) and the various rates of compensation for **CONSULTANT'S** services provided for elsewhere in this Agreement have been agreed to in anticipation of the orderly and continuous progress of the Project through completion.

If delays result by reason of acts of the **OWNER** or approving agencies, which are beyond the control of the **CONSULTANT**, an extension of time for such delay will be considered. If delays occur, the **CONSULTANT** shall within 30 days from the date of the delay apply in writing to the **OWNER** for an extension of time for such reasonable period as may be mutually agreed upon between the parties, and if approved, the Project schedule shall be revised to reflect the extension. Such extension of time to the completion date shall in no way be construed to operate as a waiver on the part of the **OWNER** of any of its rights in the Agreement. Section 6.5, under DISPUTES, of this Agreement, shall apply in the event the parties cannot mutually agree upon an extension of time.

In the event that the overall delay resulting from the above described causes is sufficient to prevent complete performance of the Agreement within six (6) months of the time specified therein, the Agreement fee or fees shall be subject to reconsideration and possible adjustment. Section 6.5 of this Agreement shall apply in the event the parties cannot mutually agree upon an adjustment of fee.

#### **SECTION 5 - PAYMENTS TO CONSULTANT**

5.1 Methods of Payment for Services of CONSULTANT

#### **5.1.1** For Basic Services.

**OWNER** shall pay **CONSULTANT** for Basic Services rendered a fee not exceeding **sixteen thousand and fifty-nine dollars (\$16,059.00)**.

#### 5.1.2. For Extra Work.

"Extra Work" shall be paid for by the **OWNER** on the basis of a fixed fee, the amount of which shall be determined by negotiation. The **OWNER** shall have the right to negotiate alternate methods of payment for "Extra Work" if the **OWNER** determines that the fixed fee basis is not feasible. In the event the **OWNER** and the **CONSULTANT** are unable to agree upon the amount of payment for "Extra Work", then the amount of such payment shall be determined as set forth in Section 6.5, "DISPUTES" of this Agreement.

## 5.2 Times of Payment.

**5.2.1. CONSULTANT** shall submit monthly statements for Basic Services and Extra Work rendered. The Statements will be based upon **CONSULTANT'S** estimate of the proportion of the total services actually completed at the time of billing. **OWNER** shall respond to **CONSULTANT'S** monthly statements within thirty (30) days, either denying payment or making payment.

## 5.3 Other Provisions Concerning Payments.

- **5.3.1.** In the event the Agreement is terminated by the **OWNER** without fault on the part of the **CONSULTANT**, the **CONSULTANT** shall be paid for the work performed or services rendered an amount bearing the same ratio to the total Agreement fee; as the amount of work completed or partially completed and delivered to the **OWNER** is to the total amount of work provided for herein, as determined by mutual agreement between the **OWNER** and the **CONSULTANT**.
- **5.3.2.** In the event the services of the **CONSULTANT** are terminated by the **OWNER** for fault on the part of the **CONSULTANT**, the **CONSULTANT** shall be paid reasonable value of the work performed or services rendered and delivered, and the amount to be paid shall be determined by the **OWNER**.
- **5.3.3.** In the event the **CONSULTANT** shall terminate the Agreement because of gross delays caused by the **OWNER**, the **CONSULTANT** shall be paid as set forth in Section 5.3.1 above.

#### **SECTION 6 - GENERAL CONSIDERATIONS**

#### 6.1. Termination

**6.1.1.** The obligation to provide further services under this Agreement may be terminated by either party upon seven (7) days written notice in the event of substantial failure by the other party to perform in accordance with the terms hereof through no fault of the terminating party.

**6.1.2.** The **OWNER** reserves the right to terminate the Agreement at any time upon seven (7) days written notice to the **CONSULTANT**.

## 6.2. Ownership and Reuse of Documents.

All documents, including Drawings and Specifications, prepared by the **CONSULTANT** pursuant to this Agreement shall be delivered to and become the property of the **OWNER**. The **OWNER** shall have the right to reuse same without restriction or limitation, but without liability or legal exposure to **CONSULTANT**.

# 6.3. Legal Responsibilities and Legal Relations.

- **6.3.1.** The **CONSULTANT** shall familiarize himself with and shall at all times comply with all federal, state and local laws, ordinances, and regulations which in any manner affect the services of this Agreement.
- **6.3.2.** In performing the services hereunder, the **CONSULTANT** and its sub-**CONSULTANTS**, employees, agents and representatives shall not be deemed or construed to be employees of **OWNER** in any manner whatsoever. Except as otherwise provided in this Agreement, the **CONSULTANT** shall be acting as an independent contractor. The **CONSULTANT** shall not hold itself out as, nor claim to be, an officer or employee of **OWNER** by reason hereof and shall not make any claim, demand or application to or for any right or privilege applicable to an officer or employee of **OWNER**. The **CONSULTANT** shall be solely responsible for any claims for wages or compensation by **CONSULTANT'S** employees, agents and representatives, including sub-**CONSULTANTS**, and shall save and hold **OWNER** harmless therefrom.
- **6.3.3.** The parties hereto agree that causes of actions between the parties shall be governed by applicable provisions of the Kentucky Revised Statues.

#### 6.4. Successors and Assigns.

- **6.4.1. CONSULTANT** binds itself and his partners, successors, executors, administrators, assigns and legal representatives to this Agreement in respect to all covenants, agreements and obligations of this Agreement. **CONSULTANT** shall not assign any interest, obligation or benefit in this Agreement, nor transfer any interest in the same, whether by assignment or novation, without prior written consent of **OWNER**.
- **6.4.2.** The **CONSULTANT** shall not subcontract more than fifty percent (50%) of the work, based upon dollar value, to be provided under this Agreement. The **CONSULTANT** shall obtain written approval prior to subletting or assigning any services contained in this Agreement, and consent to sublet or assign any part of this Agreement shall not be construed to relieve the **CONSULTANT** of any responsibility for compliance with the provisions of this Agreement.
- **6.4.3.** Nothing herein shall be construed to give any rights or benefits hereunder to anyone other than **OWNER** and **CONSULTANT**.

## 6.5. Disputes.

Except as otherwise provided in this Agreement, any dispute concerning the amount of payment due the **CONSULTANT** or any dispute concerning any question of fact of any act to be performed under this Agreement, which is not disposed of by agreement between the Urban County Engineer's Office and the **CONSULTANT**, shall be submitted to the Commissioner, Department of Planning, Preservation and Development, Lexington-Fayette Urban County Government for review. The decision of the Commissioner as to the determination of such dispute shall be final and conclusive unless determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary or so grossly erroneous as necessarily to imply bad faith. Pending a final decision of a dispute hereunder, the **CONSULTANT** shall proceed diligently with the performance of the Agreement in accordance with the directions of the **OWNER**.

## 6.6. Accuracy of CONSULTANT'S Work.

The **CONSULTANT** shall be required to perform this Agreement in accordance with the degree of ordinary and reasonable skill and care usually exercised by professional engineers prevailing at the time, place and under similar conditions as the services hereunder are rendered.

The CONSULTANT shall be responsible for the accuracy of all work, even though Drawings and Specifications have been accepted by the OWNER, and shall make any necessary revisions or corrections resulting from errors and/or omissions on the part of the CONSULTANT, without additional compensation. By submission of reports, soils and subsurface information, quantities estimates, calculations and Drawings and Specifications to the OWNER, the CONSULTANT has made an incontrovertible representation that the information is accurate. Failure on the part of CONSULTANT to provide the expected level of accuracy may be grounds for the OWNER to disqualify CONSULTANT from consideration for future CONSULTANT engineering contracts.

## 6.7. Security Clause.

The **CONSULTANT** certifies that he shall not at any time release or divulge any information concerning the services covered by this Agreement to any person or any public or private organization except the **OWNER** without prior approval of the **OWNER**.

#### 6.8. Access to Records.

The CONSULTANTS and his sub-CONSULTANTS shall maintain all books, documents, papers, and accounting records, and make such materials available at their respective offices at all reasonable times during the contract period and for three (3) years from the date of final payment under the contract for inspection by the OWNER, and copies thereof shall be furnished if requested. Failure to maintain such records for three (3) years after the date of final payment may be grounds for the OWNER to disqualify the CONSULTANT from consideration for future CONSULTANT engineering contracts.

## 6.9. Risk Management Provisions, Insurance and Indemnification

#### 6.9.1. Definitions

The CONSULTANT understands and agrees that the Risk Management Provisions of this Agreement define the responsibilities of the CONSULTANT to the OWNER.

As used in these Risk Management Provisions, the terms "CONSULTANT" and "OWNER" shall be defined as follows:

- **a. CONSULTANT** means the consultant and its employees, agents, servants, owners, principals, licensees, assigns and subcontractors or sub-consultants of any tier.
- **b. OWNER** means the Lexington-Fayette Urban County Government and its elected and appointed officials, employees, agents, boards, assigns, volunteers, and successors in interest.

#### 6.9.2. Indemnification and Hold Harmless Provision

- a. It is understood and agreed by the parties that CONSULTANT hereby assumes the entire responsibility and liability for any and all damages to persons or property caused by or resulting from or arising out of any act or omission on the part of CONSULTANT under or in connection with this agreement and/or the provision of goods or services and the performance or failure to perform any work required thereby.
- b. CONSULTANT shall indemnify, save, hold harmless and defend OWNER from and against all liability, damages, and losses, including but not limited to, demands, claims, obligations, causes of action, judgments, penalties, fines, liens, costs, expenses, interest, defense costs and reasonable attorney's fees that are in any way incidental to or connected with, or that arise or are alleged to have arisen, directly or indirectly, from or by CONSULTANT's performance or breach of the agreement and/or the provision of goods or services provided that: (a) it is attributable to personal injury, bodily injury, sickness, or death, or to injury to or destruction of property (including the loss of use resulting therefrom), or to or from the negligent acts, errors or omissions or willful misconduct of the CONSULTANT; and (b) not caused solely by the active negligence or willful misconduct of OWNER.
- c. Notwithstanding, the foregoing, with respect to any professional services performed by CONSULTANT hereunder (and to the fullest extent permitted by law), CONSULTANT shall indemnify, save, hold harmless and defend OWNER from and against any and all liability, damages and losses, including but not limited to, demands, claims, obligations, causes of action, judgments, penalties, fines, liens, costs, expenses, interest, defense costs and reasonable attorney's fees, for any damage due to death or injury to any person or injury to any property (including the loss of use resulting therefrom) to the extent arising out of, pertaining to or relating to the negligence, recklessness or willful misconduct of CONSULTANT in the performance of this agreement.
- d. In the event OWNER is alleged to be liable based upon the above, CONSULTANT shall defend such allegations and shall bear all costs, fees and expenses of such defense, including but not limited to, all reasonable

- attorneys' fees and expenses, court costs, and expert witness fees and expenses, using attorneys approved in writing by **OWNER**, which approval shall not be unreasonably withheld.
- e. These provisions shall in no way be limited by any financial responsibility or insurance requirements, and shall survive the termination of this agreement.

## 6.9.3. Financial Responsibility

The CONSULTANT understands and agrees that the CONSULTANT shall, prior to final acceptance of the CONSULTANT'S proposal and the commencement of any work; demonstrate the ability to assure compliance with the Indemnity Agreement and other provisions of Section 6.9 of this Agreement.

## **6.9.4.** Insurance Requirements

Coverage

### 6.9.4.1 Required Insurance Coverage

**CONSULTANT** shall procure and maintain for the duration of this Agreement at its cost and expense the following or equivalent insurance policies at no less than the limits shown below and cause its subcontractors to maintain similar insurance with limits acceptable to **OWNER** in order to protect **OWNER** against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by **CONSULTANT**.

Limite

Coverage	Limits
General Liability (Insurance Services Office Form CG 00 01)	\$1 million per occurrence, \$2 million aggregate or \$2 million combined single limit
Excess/Umbrella Liability	\$2 million per occurrence
Commercial Automobile Liability (Insurance Services Office Form CA 0001)	combined single, \$1 million per occurrence
Professional Liability	\$1 million per claim, \$3 million aggregate
Worker's Compensation	Statutory
Employer's Liability	\$1 million

The policies above shall contain the following conditions:

- **a.** Policy shall be obtained unless it is deemed not to apply by **OWNER**.
- **b.** The Professional Liability policy shall be maintained for a minimum of three years beyond the completion date of the contract, to the extent commercially available. If not commercially available, **CONSULTANT** shall notify **OWNER** and obtain similar insurance that is commercially available and acceptable to

OWNER, unless OWNER waives requirement.

- **c. OWNER** shall be provided at least 30 days advance written notice via certified mail, return receipt requested, in the event any of the required policies are canceled or non-renewed.
- **d.** Said coverage shall be written by insurers acceptable to **OWNER** and shall be in a form acceptable to **OWNER**. Insurance placed with insurers with a rating classification of no less than Excellent (A or A-) and a financial size category of no less than VIII, as defined by the most current Best's Key Rating Guide shall be deemed automatically acceptable.

#### **6.9.4.2. Renewals**

After insurance has been approved by **OWNER**, evidence of renewal of an expiring policy must be submitted to **OWNER**, and may be submitted on a manually signed renewal endorsement form. If the policy or carrier has changed, however, new evidence of coverage must be submitted in accordance with these Insurance Requirements.

## 6.9.4.3. Deductibles and Self-Insured Programs

Self-insurance programs, deductibles, and self-insured retentions in insurance policies are subject to separate approval by Lexington-Fayette Urban County Government's Division of Risk Management, upon review of evidence of CONSULTANT'S financial capacity to respond to claims. Any such programs or retentions must provide OWNER with at least the same protection from liability and defense of suits as would be afforded by first-dollar insurance coverage. If CONSULTANT satisfies any portion of the insurance requirements through deductibles, self-insurance programs, or self-insured retentions, CONSULTANT agrees to provide Lexington-Fayette Urban County Government, Division of Risk Management, the following data prior to the final acceptance of bid and the commencement of any work:

- a. Latest audited financial statement, including auditor's notes.
- **b.** Any records of any self-insured trust fund plan or policy and related accounting statements.
- c. Actuarial funding reports or retained losses.
- **d.** Risk Management Manual or a description of the self-insurance and risk management program.
- e. A claim loss run summary for the previous five (5) years.
- **f.** Self-Insured Associations will be considered.

#### 6.9.4.4. Verification of Coverage

**CONSULTANT** agrees to furnish **OWNER** with all applicable Certificates of Insurance signed by a person authorized by the insurer to bind coverage on its behalf prior to final award, and if requested, shall provide **OWNER** copies of all insurance policies, including all endorsements.

## 6.9.4.5. Right to Review, Audit and Inspect

**CONSULTANT** understands and agrees that **OWNER** may review, audit and inspect any and all of its records and operations to insure compliance with these Insurance Requirements.

### 6.9.5 Safety and Loss Control

CONSULTANT understands and agrees that OWNER is in no way responsible for the safety and property of CONSULTANT or its personnel, CONSULTANT shall comply with all applicable federal, state and local safety standards related to the performance of its work or services under this Agreement and take reasonably necessary action to protect the life, health and safety and property of its personnel, the public and OWNER in the locations and areas in which CONSULTANT is performing services under the Agreement.

### 6.9.6 Default

**CONSULTANT** understands and agrees that the failure to comply with any of these insurance, safety, or loss control provisions shall constitute default and that **OWNER** may elect at its option any single remedy or penalty or any combination of remedies and penalties, as available, including but not limited to purchasing insurance and charging **CONSULTANT** for any such insurance premiums purchased, or suspending or terminating the work.

## SECTION 7 - EQUAL EMPLOYMENT OPPORTUNITY

During the performance of this Agreement, the **CONSULTANT** agrees as follows:

- 7.1 The CONSULTANT will not discriminate against any employee or application for employment because of race, color, religion, national origin, sex, age, or handicap. The CONSULTANT will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, national origin, sex, age, or handicap. Such action shall include, but not be limited to the following: employment upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeships. The CONSULTANT agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this non-discrimination clause.
- 7.2 The CONSULTANT will, in all solicitations or advertisements for employees placed by or on behalf of the CONSULTANT, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, national origin, sex, age (between forty and seventy), or handicap.

## **SECTION 8 - SPECIAL PROVISIONS, EXHIBITS AND SCHEDULES**

8.1. Pursuant to subparagraph 3.4 of this Agreement, **OWNER** has assigned <u>Patrick Jorgensen</u>, <u>PE, Municipal Engineer Sr., of the Division of Engineering</u>, (the "**OWNER**'S Agent"), as the authorized agent of **OWNER**, to monitor, direct and review the performance of work of the **CONSULTANT**. Documents, data, reports and all matters associated with carrying out this Agreement shall be addressed to the **OWNER'S** Agent or his designee. Questions by the **CONSULTANT** regarding

interpretations of the terms, provisions and requirements under this Agreement shall be addressed to the **OWNER'S** Agent or his designee. The **CONSULTANT** shall look only to the **OWNER'S** Agent or his designee for direction in its performance under this Agreement; no other direction shall be binding upon **OWNER**. **OWNER** shall respond to written requests by **CONSULTANT** within thirty (30) days.

- **8.2** The following Exhibits are attached to and made a part of this Agreement:
  - 1. Attachment 1 "Letter Agreement No. 80-CRS" consisting of two (2) pages
  - 2. Attachment 2 Certificate of Insurance
- **8.3.** This Agreement (consisting of pages 1 to 11 inclusive), together with the Exhibits and schedules identified above constitutes the entire Agreement between **OWNER** and **CONSULTANT** and supersedes all prior written or oral understandings. This Agreement and said Exhibits and schedules may only be amended, supplemented, modified or canceled by a duly executed written instrument.
- **8.3. NO THIRD PARTY RIGHTS.** This agreement does not create a contractual relationship with or right of action in favor of a third party against either **OWNER** or **CONSULTANT.**
- **8.4 UNENFORCEABLE TERMS/SURVIVABILITY**. If any term or provision of this Agreement shall be found to be illegal or unenforceable, this Agreement shall remain in full force and such term or provision shall be deemed stricken. The provisions of Section 6 of this Agreement shall survive its termination.
- **8.5. NON-WAIVER.** The failure of either party to enforce any right reserved to it in this Agreement shall not be a waiver of any such right to which the party is entitled.

**IN WITNESS WHEREOF**, the parties hereto have made and executed this Agreement as of the day and year first above written.

OWNER:		CONSULTANT:
LEXINGTON-FAYE COUNTY GOVERN 200 East Main St. Lexington, KY 40507	MENT	STANTEC 10420 Bluegrass Parkway Louisville, KY 40299
BY: LINDA GORTO	N, MAYOR	BY:
ATTEST:		
URBAN COUNTY CO COMMONWEALTH COUNTY OF FAYET	OF KENTUCKY	
		sworn to and acknowledged before me by s the duly authorized representative for and or
		lay of, 2024.
My commission	n expires:	·
	NOTAR	Y PUBLIC

# **ATTACHMENT 1**

LETTER AGREEMENT NO. 80-CRS



Andy Beshear Governor Jim Gray Secretary

January 22, 2024

### **LETTER AGREEMENT NO. 80-CRS**

Mr. Duane Simpson Stantec 10420 Bluegrass Parkway Louisville, KY 40299

SUBJECT: Phase I Archaeological Survey

Fayette County: Route: CS 3037 Item # 7-448

Dear Mr. Simpson:

WHEREAS, on July 1, 2022, the Transportation Cabinet, Department of Highways (hereinafter referred to as the "Department") and Stantec, Inc. (hereinafter referred to as the "Consultant"), entered in a Master Agreement whereby the Consultant would provide various Statewide Archaeological and Cultural Historic Services at the request of the Department.

WHEREAS, under the terms of Agreement No. PON2 2200004769-1 Agreement 2022-8-4 the Department now desires that your firm perform the following item(s) of work on the subject project.

#### STATEMENT OF WORK

This project provides for Phase I Archaeological Survey on CS 3037 in Fayette County, Kentucky.

NOW, THEREFORE, in consideration of the aforesaid premises, the Department and Stantec agree as follows:

1. Comply with the terms, conditions, and provisions as set out in the above referenced agreement. (No. 2022-8-4).

- 2. The Departments Project Manager issued the Consultant with Notice to Proceed on this Letter Agreement No. 80-CRS on December 19, 2023
- 3. Consultant's proposal and scope of work are made a part of this Letter Agreement NO. 80-CRS.
- 4. It is expected that the Consultant will complete all work by March 8 2024.
- 5. Funding: No Funding

Federal none

State # none Item #: 7-448 Mile Points: 0.0 – 1.41

County / Route: Fayette / CS 3037

- 6. The total amount of production hours negotiated under this Letter Agreement No. 80-CRS is 138
- 7. The maximum payable under this Letter agreement No. 80-CRS is \$16,059.00. Letter agreement No. 80-CRS increases the total amount for Master agreement (No. 2022-8-4) to \$566,952.00.

Sincerely,

Daniel R Peake

Danny R. Peake, Director Division of Environmental Analysis

ACCEPTED:	
Stantec	
Ву:	
 Date:	

Please sign and return this letter to the Division of Professional Services and D.E.A. Funding will not be encumbered for reimbursement without return of a signed Letter Agreement.

CC:

Carl Shields w/a Tyler Reynolds w/a Hunter Pace (D-7) w /a

# **ATTACHMENT 2**

# **CERTIFICATE OF INSURANCE**



# CERTIFICATE OF LIABILITY INSURANCE

5/1/2024

DATE (MM/DD/YYYY) 2/2/2024

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

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PRODUCER	Lockton Companies	CONTACT NAME:		
	Kansas City MO 64112-1906 (816) 960-9000 kcasu@lockton.com	PHONE (A/C, No. Ext):	FAX (A/C, No):	
		E-MAIL ADDRESS:		
		INSURER(S) AFFORDING COVERAGE		NAIC #
		INSURER A: Travelers Property Casualty Company of America		25674
INSURED	STANTEC CONSULTING	INSURER B: Berkshire Hathaway Specialty Insuran	nce Company	22276
1415077	SERVICES INC. 410 17TH STREET SUITE 1400 DENVER CO 80202-4427	INSURER C:		
		INSURER D :		
		INSURER E :		
		INSURER F:		

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR		TYPE OF INSURANCE		SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
В	X	CLAIMS-MADE X OCCUR	N	N	47 - GLO-307584	5/1/2023	5/1/2024	EACH OCCURRENCE \$ 2,000,000  DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000
	X	CONTRACTUAL/CROSS						MED EXP (Any one person) \$ 25,000
	X	_XCU COVERED						PERSONAL & ADV INJURY \$ 2,000,000
	GEN	N'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$ 4,000,000
		POLICY X PRO- JECT X LOC						PRODUCTS - COMP/OP AGG \$ 2,000,000
		OTHER:						\$
A	AUT	OMOBILE LIABILITY	N	N	TC2J - CAP - 8E086819 (AOS) TJ - BAP - 8E086820	5/1/2023 5/1/2023	5/1/2024 5/1/2024	COMBINED SINGLE LIMIT \$ 1,000,000
^	Χ	ANY AUTO			1J - BAF - 8E080820	3/1/2023	3/1/2024	BODILY INJURY (Per person) \$ XXXXXXX
		OWNED SCHEDULED AUTOS						BODILY INJURY (Per accident) \$ XXXXXXX
		HIRED NON-OWNED AUTOS ONLY						PROPERTY DAMAGE (Per accident) \$ XXXXXX
								\$ XXXXXX
В	X	UMBRELLA LIAB X OCCUR	N	N	47 - UMO-307585	5/1/2023	5/1/2024	EACH OCCURRENCE \$ 5,000,000
		EXCESS LIAB CLAIMS-MADE						AGGREGATE \$ 5,000,000
		DED RETENTION\$						\$ XXXXXX
A		RKERS COMPENSATION EMPLOYERS' LIABILITY		N	UB - 3P635310 (AOS)	5/1/2023	5/1/2024	X PER OTH-
A A	ANY	PROPRIETOR/PARTNER/EXECUTIVE CER/MEMBER EXCLUDED?	N/A		UB - 3P533004 (MA, WI) EXCEPT FOR OH ND WA WY	5/1/2023	5/1/2024	E.L. EACH ACCIDENT \$ 1,000,000
'	(Mar	ndatory in NH)						E.L. DISEASE - EA EMPLOYEE \$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below							E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) RE: PROJECT #239000694. KY 32 PATHWAY RECONSTRUCTION PHASE I/ ARCHEOLOGY.

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CERTIFICATE HOLDER	CANCELLATION See Attachment
<b>20266804</b> LEXINGTON-FAYETTE URBAN COUNTRY GOVERNMEN	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

LEXINGTON-FAYETTE URBAN COUNTRY GOVERNMENT 200 EAST MAIN ST. LEXINGTON KY 40507

AUTHORIZED REPRESENTATIVE

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STANTEC CONSULTING.; 1415077



#### 20266804

LEXINGTON-FAYETTE URBAN COUNTRY GOVERNMENT 200 EAST MAIN ST. , LEXINGTON, KY 40507

#### **Dear Valued Client:**

In our continuing effort to provide timely certificate delivery, Lockton Companies is utilizing paperless delivery of Certificates of Insurance. To ensure electronic delivery for future renewals of this certificate, we need your email address. Please contact us via the email below and reference Certificate ID: 20266804. You must reference this Certificate ID number in order for us to complete this process.

Ø Certificate ID: 20266804
 Ø Email: kcasu@Lockton.com
 Ø Subject Line: ASU E-Delivery

#### **NOTES:**

- •Signing up for this will **NOT** sign you up for any solicitation emails your email will only be used to forward updated or renewal certificates direct from Lockton.
- •Your certificates will come via a **secure link** to our database. If you do need a pdf of a certificate, please email kcasu@lockton.com to request one.
- •If you received this letter with a certificate via email, no further action on your part is necessary.
- •If you no longer need this certificate, please contact us at kcasu@lockton.com, reference the Holder ID number and use this subject line: "Certificate Removal"

Thank you for your cooperation.

Lockton Companies
Account Services Unit



# CERTIFICATE OF LIABILITY INSURANCE

10/1/2024

DATE (MM/DD/YYYY) 2/2/2024

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

this cer	tificate does not confer righ	ts to the certificate holder in lieu of su	ich endorsement(s).	
PRODUCER Lockton Companies 444 W. 47th Street, Suite 900 Kansas City MO 64112-1906 (816) 960-9000			CONTACT NAME: PHONE (A/C, No, Ext): E-MAIL ADDRESS:	
	kcasu@lockton.com		INSURER(S) AFFORDING COVERAGE	NAIC #
	Reasa (@ rockton.com		INSURER A: Berkshire Hathaway Specialty Insurance Company	22276
INSURED	STANTEC CONSULTING		INSURER B: AIG Specialty Insurance Company	26883
1414100	SERVICES INC.		INSURER C:	
	410 17TH STREET		INSURER D:	
	SUITE 1400 DENVER CO 80202-4427		INSURER E :	
	DENVER CO 80202-4427		INSURER F:	
COVERA	GES C	<b>EERTIFICATE NUMBER:</b> 2026681	0 REVISION NUMBER: $XX$	XXXXX
INDICAT CERTIFI	ED. NOTWITHSTANDING ANY CATE MAY BE ISSUED OR M.	REQUIREMENT, TERM OR CONDITION	/E BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POL OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO NED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL TO	WHICH THIS

EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. ADDL SUBR POLICY EFF POLICY EXP (MM/DD/YYYY) TYPE OF INSURANCE POLICY NUMBER LIMITS INSD WVD COMMERCIAL GENERAL LIABILITY \$ XXXXXXX **FACH OCCURRENCE** NOT APPLICABLE CLAIMS-MADE OCCUR \$ XXXXXXX PREMISES (Ea occurrence) \$ XXXXXXX MED EXP (Any one person) \$ XXXXXXX PERSONAL & ADV INJURY GEN'L AGGREGATE LIMIT APPLIES PER: GENERAL AGGREGATE \$ XXXXXXX PRO-JECT PRODUCTS - COMP/OP AGG \$ XXXXXXX OTHER COMBINED SINGLE LIMIT (Ea accident) AUTOMOBILE LIABILITY NOT APPLICABLE XXXXXXX ANY AUTO BODILY INJURY (Per person) \$ XXXXXXX OWNED SCHEDULED BODILY INJURY (Per accident) \$ XXXXXXX AUTOS ONLY HIRED AUTOS NON-OWNED PROPERTY DAMAGE \$ XXXXXXX AUTOS ONLY AUTOS ONLY (Per accident) \$ XXXXXXX UMBRELLA LIAB NOT APPLICABLE OCCUR EACH OCCURRENCE \$ XXXXXXX **EXCESS LIAB** CLAIMS-MADE AGGREGATE \$ XXXXXXX DED RETENTION \$ \$ XXXXXXX WORKERS COMPENSATION NOT APPLICABLE STATUTE AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? E.L. EACH ACCIDENT \$ XXXXXXX N/A (Mandatory in NH)
If yes, describe under
DESCRIPTION OF OPERATIONS below E.L. DISEASE - EA EMPLOYEE \$ XXXXXXX E.L. DISEASE - POLICY LIMIT | \$ XXXXXXX Professional Liab \$3,000,000 PER CLAIM/AGG N 47-EPP-308810 10/1/2023 10/1/2024 N INCLÚSIVE OF COSTS NO RETROACTIVE DATE Contractors Pollution Liab \$3,000,000 PER LOSS/AGG CPO8085428 10/1/2023 10/1/2025

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
RE: PROJECT #239000694. KY 32 PATHWAY RECONSTRUCTION PHASE I/ ARCHEOLOGY.

CERTIFICATE HOLDER CANCELLATION	CERTIFICATE HOLDER	CANCELLATION
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LEXINGTON-FAYETTE URBAN COUNTRY GOVERNMENT 200 EAST MAIN ST. LEXINGTON KY 40507 SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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