

March 28, 2014

Mr. Todd Slatin
Lexington-Fayette Urban County Government
Central Purchasing
200 East Main Street - Room 338
Lexington, KY 40507

**RE: Invitation to Bid #54-2014
Construction Materials Sampling, Testing and Special Inspections**

Dear Mr. Slatin,

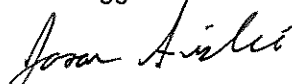
L.E. Gregg Associates is a name that has been associated with **reliability and experience for 57 years**. We have completed projects in North Dakota, Pennsylvania, Indiana, Ohio, West Virginia, and all across the State of Kentucky. We are pleased to submit our qualifications and unit rate pricing for the Construction Materials Sampling, Testing and Special Inspections Contract. We believe that our knowledge and experience working in the city of Lexington for the past 57 years will offer the Lexington-Fayette Urban County Government (LFUCG) the best and most cost effective service for this contract.

L.E. Gregg brings the following to your projects:

- **Significant experience with wastewater facilities including Blue Sky Pump Station & Force Main project for LFUCG,**
- **Highly qualified and accredited Laboratory operated by experienced geologist and staff,**
- **Project team members are familiar with LFCUG's engineering standards and specifications,**
- **Highly experienced field personnel,**
- **Responsive, cost effective solutions for testing and inspection needs,**
- **L.E. Gregg Associates is a registered Small Business with the SBA,**
- **100% Central Kentucky based staff to perform work,**
- **100% locally owned.**

On behalf of L.E. Gregg Associates, we thank you for the opportunity to display our experience and **outline the strengths that we can bring to your projects**. We look forward to the opportunity to provide superior engineering services to LFUCG through this and many future projects.

Sincerely,
L.E. Gregg Associates


Jason Ainslie, P.E.
President

**INVITATION TO BID #54-2014
LFUCG Construction Materials Sampling,
Testing and Special Inspections**

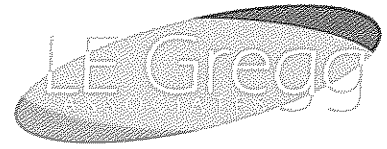


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INVITATION TO BID

Bid Invitation Number: #54-2014

Date of Issue: 3/11/14

Sealed bids will be received in the office of the Division of Central Purchasing, 200 East Main Street, Lexington, Kentucky, until **2:00 PM**, prevailing local time on **3/25/2014**. Bids must be received by the above-mentioned date and time. Mailed bids should be sent to :

**Division of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507, (859) 258-3320**

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.

All bids must have the company name and address, bid invitation number, and the commodity/service on the outside of the envelope.

Bids are to include all shipping costs to the point of delivery location at: West Hickman WWTP

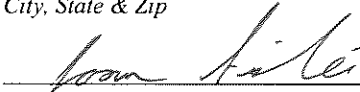
Bid Security Required: Yes No Performance Bond Required: Yes No
Cashier Check, Certified Check, Bid Bond (Personal checks and company checks will not be acceptable).

Quantity	Commodity/Service
Price Contract	Construction Materials Sampling, Testing, & Special Inspection

<p style="text-align: center;"><u>Check One:</u></p> <p><input type="checkbox"/> Bid Specifications Met</p> <p><input checked="" type="checkbox"/> Exceptions to Bid Specification. <i>Exceptions shall Be itemized and attached to bid proposal submitted.</i></p>	<p style="text-align: center;"><u>Proposed Delivery</u></p> <p>_____ days after acceptance of bid.</p>
<p style="text-align: center;"><u>Procurement Card Usage</u></p> <p><input checked="" type="checkbox"/> Yes The Lexington-Fayette Urban County Government will be using Procurement Cards to purchase goods and services and also to make payments. Will you accept Procurement Cards?</p> <p><input type="checkbox"/> No</p>	

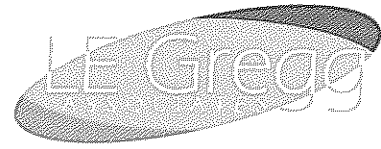
Submitted by: L.E. Gregg Associates
Firm
440 E. High Street, Suite 140
Address
Lexington, Kentucky 40507
City, State & Zip

**Bids must be signed:
(original signature)**


Signature of Authorized Company Representative-Title
Jason Ainslie, P.E.
Representative's Name (Typed or printed)
859-252-7558 859-255-0940
Area Code- Phone – Extension *Fax #*
jainslie@legregg.com
E-Mail Address

The Affidavit in this bid must be completed before your firm can be considered for award of this contract

**INVITATION TO BID #54-2014
LFUCG Construction Materials Sampling,
Testing and Special Inspections**



1.0 FIRM QUALIFICATIONS

1.1 CONTACT INFORMATION

L.E. Gregg Associates Corporate Headquarters

Jason Ainslie, PE, President

jainslie@legregg.com

446 East High Street, Suite 140

Lexington, KY 40507

859-252-7558 Office

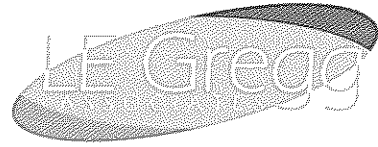
859-255-0940 Fax

1.2 FIRM QUALIFICATIONS

FIRM QUALIFICATIONS		
Requirement	Minimum Requirements Met	
	YES	NO
Local Office/Laboratory – The Firm’s local office and fully equipped laboratory shall be located in Fayette, Scott, Bourbon, Clark, Madison, Jessamine, or Woodford County.	YES	
Firm Laboratory – The Firm’s Laboratory shall have the following certifications and accreditations:		
• AASHTO R-18: Quality Management System for Construction Materials Testing Laboratories	YES	
• ASTM E329: Standard for Agencies Engaged in Construction Inspection Testing, and Special Inspections	PENDING*	
• ASTM D3740: Minimum Requirements for Agencies Engaged in Testing of Soils and Rock	YES	
• ASTM C1007: Standard for Agencies Engaged in Testing of Concrete and Concrete Aggregates	YES	
• CCRL: Inspected by the Cement and Concrete Reference Laboratory	YES	
• AMRL: Inspected by the AASHTO Materials Reference Laboratory	YES	
• AASHTO Accreditation or Equivalent Accreditation	YES	

*ASTM E329 accreditation is pending; see Section 4.0 – Exceptions for more information.

**INVITATION TO BID #54-2014
 LFUCG Construction Materials Sampling,
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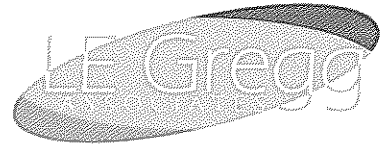
1.3 ACCREDITATIONS & CERTIFICATIONS

Relevant Laboratory Accreditations

Accrediting Source – CCRL/AMRL/AASHTO, inspected bi-annually

<i>Quality Systems</i>		
	R18 C1077	C1093 E329
<i>Soils</i>		
R58	T265	D2216
T88	T267	D2435
T89	T310	D2487
T90	D421	D2488
T99	D422	D2974
T100	D698	D3740
T180	D1140	D4318
T193	D1557	D4718
T208	D1883	D6938
T216	D2166	
<i>Concrete/Aggregate</i>		
T11	C78	C231
T21	C117	C470
T27	C127	C511
T84	C128	C535
T85	C131	C566
T96	C136	C617
T248	C138	C702
T255	C140	C1064
C16	C143	C1231
C31	C172	C1314
C39	C173	C1552
C40		

**INVITATION TO BID #54-2014
LFUCG Construction Materials Sampling,
Testing and Special Inspections**



2.0 PROJECT TEAM QUALIFICATIONS

2.1 PROJECT TEAM QUALIFICATIONS

PROJECT TEAM QUALIFICATIONS		
Requirement	Minimum Requirements Met	
	YES	NO
Project Manager		
• Engineer licensed to practice in the field of geotechnical engineering in the Commonwealth of Kentucky	YES	
• Minimum of seven (7) years of experience	YES	
• Assigned to Local Office (as defined above)	YES	
Project Engineers		
• Engineer(s) licensed to practice in the field of geotechnical or civil engineering in the Commonwealth of Kentucky	YES	
• Minimum of four (4) years of experience	YES	
• Assigned to Local Office (as defined above)	YES	
Field Technicians		
• American Concrete Institute (ACI) Level I Certification	YES	
• National Institute for Certification of Engineering Technologies (NICET) Soils Technician Level II	YES*	
• NICET Concrete Technician Level I	YES*	
• Assigned to Local Office (as defined above)	YES	

*NICET equivalent certification available; see Section 4.0 – Exceptions for more information.

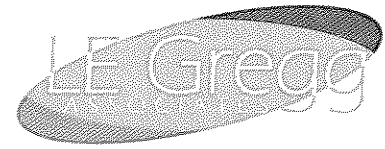
2.2 PROJECT TEAM SPREADSHEET

The following spreadsheet outlines each team member's role, certifications and qualifications along with office location and contact information.

Each team member is based out of **L.E. Gregg Associates Corporate Headquarters** located at:
446 East High Street, Suite 140
Lexington, KY 40507
859-252-7558 Office
859-255-0940 Fax

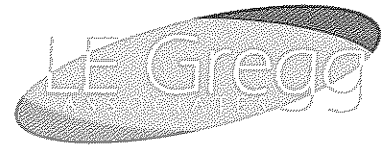
Geotechnical, Environmental & Materials Engineering Since 1957

**INVITATION TO BID #54-2014
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Name	Position	Location			Qualifications
				ISC Concrete	
Jason Ainslie, PE	Project Manager, Project Engineer	Lexington, KY	8		Professional Engineer with 13+ years' experience in the geotechnical and KBC special inspections field.
Steven Mortimer, EIT	Project Engineer	Lexington, KY	8		Engineering Intern with 3+ years' experience in the geotechnical and KBC special inspections field.
James Zimmer, PG	Professional Geologist, Soils Lab Supervisor	Lexington, KY	8		Professional Geologist with 38+ years' experience in the geotechnical/geology and KBC special inspections field.
Megan Rosenblatt	Project Geologist	Lexington, KY	8		Project Geologist with 5+ years' experience in the geology and KBC special inspections field.
Tim McClure	Project Manager, Senior Construction Engineering Field Technician	Lexington, KY	8	✓	Project Manager with 10+ years' experience in the KBC special inspections field.
Chris Sanders	Construction Engineering Field Inspector	Lexington, KY	8		Construction Engineering Field Inspector with 10+ years' experience in the KBC special inspections field.
Mike Pierce	Construction Engineering Field Inspector	Lexington, KY	8		Construction Engineering Field Inspector with 23+ years' experience in the KBC special inspections field.
Eric Storie	Project Geologist, Construction Engineering Field Inspector	Lexington, KY	8		Project Geologist and Construction Engineering Field Inspector with 3+ years' experience in the KBC special inspections field.

**INVITATION TO BID #54-2014
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3.0 FEE

Please see attached, sealed envelope for L.E. Gregg Associates completed Excel spreadsheet outlining fee.

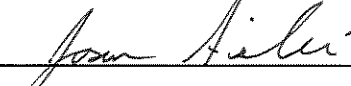
Concrete Materials Sampling and Testing Fee Proposal

No.	Description	Quantity	Unit	Unit Price	Total
1.	Field Technician Services to Include Concrete Air Tests* Concrete Slump Tests* Concrete Cylinders Made* Mortar Cubes Made*	4,160	Hourly Rate	\$40.00	\$166,400.00
2.	Concrete Cylinders Broken	1,000	Each	\$11.00	\$11,000.00
3.	Mortar Cubes Broken	50	Each	\$20.00	\$1,000.00
4.	Monthly Report by PE or PM	24	Each	\$250.00	\$6,000.00
				Total Base Bid	\$184,400.00

Other Prices for Tests	Quantity	Unit	Unit Price
Subgrade Moisture/Density (Soil)*	N/A		
Engineered Fill Moisture/Density (Aggregate)*	N/A		
Moisture Content (Soil)*	N/A		
Particle Size Distribution (Soil)	25	Each	\$125.00
Atterberg Limits	25	Each	\$20.00
Plasticity Index	25	Each	\$20.00
Soil Classification	25	Each	\$5.00
Density - Permeability	25	Each	\$240.00

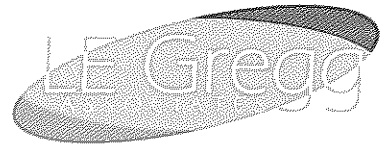
Hourly Rates	Unit Price
Project Manager	\$120.00
Professional Engineer (P.E.)	\$85.00
Field Technician	\$40.00
Laboratory Technician	\$40.00
Clerical	\$40.00

* Included in Field Technician Services

Signed: 
 Title: President
 Date: 3/28/2014

Printed: Jason Ainslie, PE
 Firm: L.E. Gregg Associates

**INVITATION TO BID #54-2014
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4.0 EXCEPTIONS

L.E. Gregg has the following exceptions to the bid specifications:

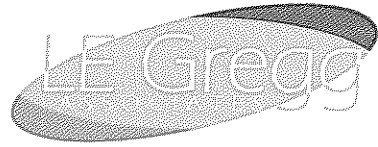
Exception 1 – Accreditation in ASTM E329

L.E. Gregg Associates had our bi-annual AASHTO laboratory inspection on March 13, 2014. As part of this process, we requested accreditation in ASTM E329. In order to meet the requirements of the bid, we have requested that this accreditation be expedited and expect to receive the ASTM E329 accreditation by April 4, 2014.

Exception 2 – NICET Soils Technician Level II, NICET Concrete Technician Level 1

L.E. Gregg Associates has one technician who has NICET certifications. However, the focus of our training programs has been on ICC Certification which has an emphasis on current ICC Building Codes and is the leading certification in the industry. It is L.E. Gregg's position that the ICC certification is equivalent or even superior to NICET certifications.

**INVITATION TO BID #54-2014
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APPENDIX A – TABLE OF CONTENTS

AFFIDAVIT

GENERAL PROVISIONS

EQUAL OPPORTUNITY AGREEMENT

WORKFORCE ANALYSIS FORM

MBE/WBE PARTICIPATION FORM

MBE/WBE GOOD FAITH EFFORT

MBE/WBE EXCEPTION LETTER

AFFIDAVIT

Comes the Affiant, Jason Ainslie, P.E., and after being first duly sworn under penalty of perjury as follows:

1. His/her name is Jason Ainslie, P.E. and he/she is the individual submitting the bid or is the authorized representative of

L.E. Gregg Associates,

the entity submitting the bid (hereinafter referred to as "Bidder").

2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Bidder will obtain a Lexington-Fayette County Government business license, if applicable, prior to award of the contract.

4. Bidder has authorized the Division of Central Purchasing to verify the above mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of the contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.

6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette County Government Code of Ordinances, known as "Ethics Act."

7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to Circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Jason Ainslie

STATE OF KENTUCKY

COUNTY OF FAYETTE

The foregoing instrument was subscribed, sworn to and acknowledged before me by Jason Ainslie on this the 28th day of March, 2014

My Commission expires: Oct. 14 2017

David Ball
NOTARY PUBLIC, STATE AT LARGE

Please refer to Section II. Bid Conditions, Item "U" prior to completing this form.

GENERAL PROVISIONS OF BID CONTRACT

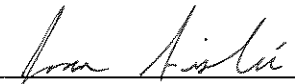
By signing the below, bidder acknowledges that it understands and agrees with the following provisions related to its bid response and the provision of any goods or services to LFUCG upon selection by LFUCG pursuant to the bid request.

1. Bidder shall comply with all Federal, State & Local regulations concerning this type of service or good.
2. Failure to submit ALL forms and information required by LFUCG may be grounds for disqualification.
3. Addenda: All addenda, if any, must be considered by the bidder in making its response, and such addenda shall be made a part of the bid contract. Before submitting a bid response, it is incumbent upon bidder to be informed as to whether any addenda have been issued, and the failure of the bidder to cover any such addenda may result in disqualification of that response.
4. Bid Reservations: LFUCG reserves the right to reject any or all bid responses, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by bidder in the preparation of response.
6. Changes/Alterations: Bidder may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the bid response, and received by LFUCG prior to the scheduled closing time for receipt of bids, will be accepted. The bid response, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of bid response".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from any bidder.
8. Bribery Clause: By his/her signature on its response, bidder certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.
9. Additional Information: While not necessary, the bidder may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the bid response. Additional documentation shall not serve as a substitute for other documentation which is required by the LFUCG to be submitted with the bid response.

10. Ambiguity, Conflict or other Errors: If a bidder discovers any ambiguity, conflict, discrepancy, omission or other error in the bid request of LFUCG, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. Agreement to Bid Terms: In submitting its bid response, the bidder agrees that it has carefully examined the specifications and all provisions relating to LFUCG's bid request, including but not limited to the bid contract. By submission of its bid response, bidder states that it understands the meaning, intent and requirements of LFUCG's bid request and agrees to the same. The successful bidder shall warrant that it is familiar with and understand all provisions herein and shall warrant services, expenses or goods reasonable covered under these provisions that the bidder omits from its response.
12. Cancellation: **see Section 6.4 in the attached Engineering Services Agreement.**
13. Assignment of Contract: The selected bidder(s) shall not assign or subcontract any portion of the Contract with LFUCG without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG - **see Section 6.4 in the attached Engineering Service Agreement.**
14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this bid proposal or bid contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. Authority to do Business: The bidder must be authorized to do business under the laws of the Commonwealth of Kentucky and must be in good standing and have full legal capacity to provide the goods or services specified in this bid proposal. Each bidder must have all necessary right and lawful authority to submit the bid response and enter into the bid contract for the full term hereof including any necessary corporate or other action authorizing the bidder to submit the bid response and enter into this bid contract. If requested, the bidder will provide LFUCG with a copy of a corporate resolution authorizing this action and/or a letter from an attorney confirming that the proposer is authorized to do business in the Commonwealth of Kentucky. All bid responses must be signed by a duly authorized officer, agent or employee of the bidder.
16. Governing Law: This bid request and bid contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this matter, the bidder agrees that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of

Kentucky, Lexington Division and that the bidder expressly consents to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to these matters or any rights or obligations arising thereunder.

17. Ability to Meet Obligations: Bidder affirmatively states that there are no actions, suits or proceedings of any kind pending against bidder or, to the knowledge of the bidder, threatened against the bidder before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of bidder to perform its obligations under this bid response or bid contract, or which question the legality, validity or enforceability hereof or thereof.
18. Bidder understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Bidder is an independent contractor at all times related to the bid response or bid contract.
19. If any term or provision of this bid contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.



Jason Ainslie, P.E.
President

March 25, 2014

Date

EQUAL OPPORTUNITY AGREEMENT

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

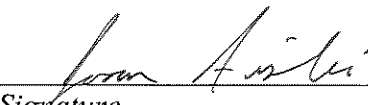
The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.



Signature

LE Gregg

Name of Business

WORKFORCE ANALYSIS FORM

Name of Organization: LE Gregg

Date: 03 / 10 / 2014

Categories	Total	White		Latino		Black		Other		Total	
		M	F	M	F	M	F	M	F	M	F
Administrators	1		1								1
Professionals	4	3	1							3	1
Superintendents											
Supervisors	4	4								4	
Foremen											
Technicians	9	8	1							8	1
Protective Service											
Para-Professionals											
Office/Clerical											
Skilled Craft											
Service/Maintenance											
Total:	18	15	3							15	3

Prepared By: Steven Mortimer, Project Engineer

Name & Title

LFUCG MBE/WBE PARTICIPATION FORM

Bid/RFP/Quote Reference # BID #54-2014 Construction Materials Sampling, Testing & Special Inspections

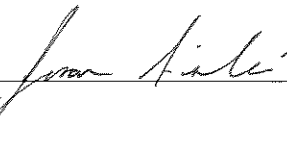
The MBE/WBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MBE/WBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value Of the Work	% Value of Total Contract
1. NONE			
2.			
3.			
4.			

The undersigned company representative submits the above list of MBE/WBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

LE Gregg Associates
Company

March 24, 2014
Date

By 
President
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS
Bid/RFP/Quote # Bid #54-2014

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package.

X Attended LFUCG Central Purchasing Economic Inclusion Outreach event.

X Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities.

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms.

X Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs to determine their level of interest.

_____ Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms in order to

increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce.

_____ Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.

_____ Made efforts to expand the search for MWDBE firms beyond the usual geographic boundaries.

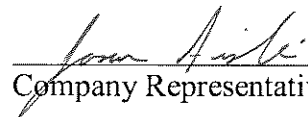
_____ Other – any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

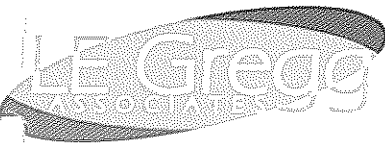
The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

LE Gregg
Company

3/25/2014
Date


Company Representative

President
Title



March 24, 2014

Mrs. Betty Landrum
Division of Central Purchasing
200 East Main St., Room 338
Lexington, KY 40507

RE: Bid Invitation Number #54-2014
MWDBE Participation Goals

Dear Mrs. Landrum:

In an effort to fulfill LFUCG's MWDBE participation goals, L.E. Gregg undertook a review of the Kentucky Transportation Cabinet Certified DBE Directory, and an internet search. We were unsuccessful in identifying any certified MWDBE's that met LFUCG's criteria for location and certification.

If a MWDBE company that is qualified to perform the work becomes known to us, we will utilize them to meet the LFUCG participation goals.

If there are any questions please contact me at 825-252-7558.

Respectfully Submitted

L.E. GREGG ASSOCIATES

A handwritten signature in cursive script that reads "Jason Ainslie".

Jason Ainslie, P.E.
President



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #1

Bid Number: **54-2014**

Date: March 24, 2014

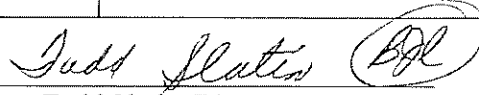
Subject: **Construction Materials Sampling, Testing,
and Special Inspections**

Please address inquiries to:
Betty Landrum @
bettyb@lexingtonky.gov

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced bid:


Questions	Answers
A question with regard to the pricing spreadsheet. A number of the tests that are listed as a per unit item are things we perform as part of our hourly fee without additional charges. I am referring to the concrete field tests, making of mortar cubes, and the moisture testing of soils and aggregate.	SEE ATTACHED REVISED SECTION II – BID MUST BE SUBMITTED USING THIS FORM OR BID WILL NOT BE ACCEPTED
Additionally the bid docs refer to paying technician overtime which implies that we will be invoicing on an hourly basis for field work rather than on a per test basis.	
A clarification is needed on how we will be invoicing for field work - per hour or per test - and if per hour how we need to itemize the tests that have no additional cost associated with them.	


Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: LE Gregg Associates

ADDRESS: 446 E. High Street, Suite 140, Lexington, KY 40507

SIGNATURE OF BIDDER: 



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #2

Bid Number: 54-2014

Date: March 24, 2014

Subject: Construction Materials Sampling, Testing,
and Special Inspections

Please address inquiries to:
Betty Landrum @
bettyb@lexingtonky.gov

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced bid:

**Bid Opening Date has been changed to Friday, March 28, 2014,
same time and location.**

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged. This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: LE Gregg Associates

ADDRESS: 446 E. High Street, Suite 140, Lexington, KY 40507

SIGNATURE OF BIDDER: 