

**LEXINGTON-FAYETTE URBAN COUNTY  
GOVERNMENT CONTRACT CHANGE ORDER**  
Page 1 of 2

Date:	February 6, 2020	
Project:	West Hickman WWTP Scum Removal Addition and ASH Tank No. 3 Cover	
Location:	West Hickman WWTP	
To (Contactor): Herrick Company, Inc. 2176 Waddy Road Lawrenceburg, KY 40342	Contract No.	Res # 257-2018
	Original Contract Amt.	\$1,389,000.00
	Cumulative Amount of Previous Change Orders	\$44,202.00
	Percent Change - Previous Change Orders	3.18%
	Total Contract Amount Prior to this Change Order	\$1,433,202.00
	Change Order No.	2

You are hereby requested to comply with the following changes from the contract plans and specification;

**Current Change Order**

Item No.	Description of changes-quantities, unit prices, change in completion date, etc.	Decrease in contract price	Increase in contract price	
4	Add Seven Dry Upright Style Sprinkler Heads - Odor Control Building		\$ 1,899.60	
5	Cut BPR Weirs		\$ 6,890.00	
6	Gas and Water Line Relocation for Manhole		\$ 7,195.00	
7	Backflow Preventer Vault per KAW Requirements		\$ 19,500.00	
8	Delete Installation for Gates in	\$ 4,500.00		
9	Credit for SCADA Integration	\$ 15,000.00		
10	Credit for Soils Allowance	\$ 13,500.00		
11	Scum Line Tie-In for Clarifiers #1-#6		\$ 50,850.00	
	Total decrease	\$33,000.00		
	Total increase		\$86,334.60	
	Net Amount of this Change Order	\$53,334.60		
	New Contract Amount Including this Change Order	\$1,486,536.60		
	Percent Change - This Change Order			3.84%
	Percent Change - All Change Orders			7.02%

The time provided for the completion in the contract and all provisions of the contract will apply hereto.

Recommended by T. J. [Signature] (Proj. Engr.) Date 2/19/2020  
 Accepted by James S. Herrick (Contractor) Date 2-6-2020  
 Approved by [Signature] (Director) Date 2/2/20  
 Approved by T. J. Albright (Commissioner) Date 3/3/2020  
 Approved by Linda [Signature] (Mayor or CAO) Date 5/1/2020

**JUSTIFICATION FOR CHANGE**PROJECT: West Hickman WWTP Scum Removal Addition  
and ASH Tank No. 3 Cover

CONTRACT NO. Res # 257-2018

CHANGE ORDER: 2

1. Necessity for change: 4-Attic space in odor control building required additional sprinkler heads that were not included in sprinkler contractor original bid. 5-Water level in BPR tanks was higher based on current operating levels, requiring weirs to be lowered. 6-Conflict with existing utilities. 7-KAW required a backflow preventer for fire protection water service. 8-Removing gate installation from contract to be installed as part of clarifier repair project. 9-Integration to be done in house after operation is consistent. 10-Total not needed. 11-Existing lines so deep; job to big for inhouse staff.
2. Is proposed change an alternate bid? \_\_\_ Yes  X  No
3. Will proposed change alter the physical size of the project? \_\_\_ Yes  X  No  
If "Yes", explain.
4. Effect of this change on other prime contractors: N/A
5. Has consent of surety been obtained? \_\_\_ Yes  X  Not Necessary
6. Will this change affect expiration or extent of insurance coverage? \_\_\_ Yes  X  No  
If "Yes", will the policies be extended? \_\_\_ Yes \_\_\_ No
7. Effect on operation and maintenance costs: N/A
8. Effect on contract completion date: Completion date extended to June 30, 2019 Due to delivery date of Geodesic Dome Cover and Actuators for Scum Skimmer Pipes.

*Linda Gorton*\_\_\_\_\_  
Mayor*5/1/2020*  
\_\_\_\_\_  
Date



PLC # 4

# Herrick Company, Inc.

1385 Tracy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

October 24, 2018

Mr. Mike Davis, PE  
Strand Associates, Inc.  
1525 Bull Lea Road, Suite 100  
Lexington, KY 40511

RE: West Hickman WWTP Scum Removal

Mr. Davis,

Per your request Herrick Company, Inc. offers the following for your consideration. Add seven (7) dry upright style sprinkler heads to protect the attic space in the Odor Control Building.

Subcontractor	\$ 1,439.60
Bonds	\$ 175.00
O&P	\$ 285.00
<b>TOTAL</b>	<b>\$ 1,899.60</b>

Let me know if you have any questions or need additional information.

Sincerely,

Ryan P. Gabbard

PCO# 5

# HCI

Herrick Company, Inc.

2176 Waddy Rd, HWY 395  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939  
E-Mail: hci@dcr.net

January 17, 2019

Mike Davis, P.E.  
Strand Associates, Inc.  
1525 Bull Lea Road, Suite 100  
Lexington, KY 40511

RE: West Hickman WWTP Scum, Cost Proposal #1

Mr. Davis;

Per your request Herrick Company, Inc. offers the following for your consideration. Lower 16 weirs in BPR tanks 1 thru 7.

Option #1: Lower weirs per cost proposal #1.	Labor	\$7,300.00
	Equipment	\$ 700.00
	Material	\$2,400.00
	Bonds	\$ 150.00
	O&P	\$1,850.00
	<b>Total</b>	<b>\$12,400.00</b>



Option #2: Leave weirs bolted in current position, cut the FRP weirs down to new elevation, coat all raw FRP cuts with resin.

Labor	\$4,400.00
Equipment	\$ 700.00
Material	\$ 650.00
Bonds	\$ 100.00
O&P	\$1,040.00
<b>Total</b>	<b>\$6,890.00</b>

*Plant staff completed this task*

Add \$2,310.00 to either option #1 or #2 for removing remaining flap gates above the weirs. For option #1 we assume the FRP weirs were not caulked and will remove without damaging FRP, if they were caulked they will be stuck to concrete and removal will damage FRP and add labor. Extra labor, replacing or repairing FRP is not included. For option #1 and #2 we assume the concrete opening is low enough for new weir elevation.

Let me know if you have any questions or need additional information.

Sincerely,

H. Douglas Herrick

# Herrick Company, Inc.

2176 Waddy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

PCO# 4

March 22, 2019

Mr. Mike Davis, PE  
Strand Associates, Inc.  
1525 Bull Lea Road, Suite 100  
Lexington, KY 40511

RE: West Hickman WWTP Scum Removal

Mr. Davis,

Per your request Herrick Company, Inc. offers the following for your consideration. Relocate utility lines interfering with placement of scum manhole in drive by new scum decant well. Includes relocating 2" water and 2" gas line.

Labor	\$ 2,460.00
Equipment	\$ 700.00
Material	\$ 960.00
Subcontractor	\$ 1,895.00
Bonds	\$ 100.00
O/P	\$ 1,080.00
<b>TOTAL</b>	<b>\$ 7,195.00</b>

Let me know if you have any questions or need additional information.

Sincerely,

Ryan P. Gabbard

# Herrick Company, Inc.

2176 Waddy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939

PCO # 7

March 22, 2019

Mr. Mike Davis, PE  
Strand Associates, Inc.  
1525 Bull Lea Road, Suite 100  
Lexington, KY 40511

RE: West Hickman WWTP Scum Removal

Mr. Davis,

Per your request Herrick Company, Inc. offers the following for your consideration. Install backflow preventer in precast vault, per American Water Company requirements. This price reflects HCI giving LFUCG a \$400.00 credit for not doing the hot tap in the original project, and a credit of \$1,171.31 for Brown Sprinkler deleting the 3" back flow preventer in the original project.. This price does not include the cost of the tap itself.

American Water Company will be billing LFUCG/West Hickman directly for making the hot tap on their water line, and providing/installing the PIV. → Paid through separate P.O.

Labor	\$ 6,197.00
Equipment	\$ 2,350.00
Material	\$ 7,803.00
Bonds	\$ 225.00
O/P	\$ 2,925.00
<b>TOTAL</b>	<b>\$19,500.00</b>

Let me know if you have any questions or need additional information.

Sincerely,

Ryan P. Gabbard

Herrick Company, Inc.

2176 Waddy Road  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939  
hci@dcr.net

PCO # 8

March 22, 2019

Mr. Mike Davis, PE  
Strand Associates, Inc.  
1525 Bull Lea Rd, Ste. 100  
Lexington, KY 40511

RE: West Hickman WWTP Scum Removal

Dear Mr. Davis:

Per your request Herrick Company, Inc. offers the following quote for your consideration. Do not install gates that were part of original contract, only provide the material.

**Total Lump Sum Credit for Gate Installation: \$4,500.00**

Please let us know if you have any questions or need additional information.

Sincerely,

Ryan P. Gabbard



**HCI**  
Herrick Company, Inc.

2176 Waddy Rd, HWY 395  
Lawrenceburg, KY 40342

Phone: 502-839-3484  
Fax: 502-839-0939  
E-Mail: hci@dcrr.net

PCO # 11

October 16, 2019

Mike Davis, P.E.  
Strand Associates, Inc.  
1525 Bull Lea Road, Suite 100  
Lexington, KY 40511

RE: West Hickman WWTP Scum

Mr. Davis;

Per your request Herrick Company, Inc. offers the following for your consideration. Extend 6 clarifier scum lines from existing 3" flanges under bridges to existing 6" underground pipes. Per e mail, plans and pictures dated 10-7-19.

Existing above ground pipe is 3" galvanized steel and existing buried is assumed to be 6" DI pipe. We propose to use 3" DI flanged pipe and fittings above ground and 6" MJ pipe and fittings for buried pipe and fittings.

Labor	\$17,950.00
Equipment	\$ 4,950.00
Material	\$16,650.00
Subcontract	\$ 3,000.00
Bonds	\$ 500.00
O&P	\$ 7,750.00
<b>Total Lump Sum:</b>	<b>\$ 50,850.00</b>

Let me know if you have any questions or need additional information.

Sincerely,



H. Douglas Herrick

## Tiffany Rank

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**From:** Tiffany Rank  
**Sent:** Monday, October 7, 2019 6:09 PM  
**To:** 'Donna Herrick'  
**Cc:** Rick Bowman; Daniel Honeycutt; James Worten  
**Subject:** Quote for Connecting Clarifier Scum Lines to Existing Buried Scum Lines  
**Attachments:** Scum Line Drawings.pdf; Scum Line Photos.pdf

Doug,

Attached are some photos and very elementary drawings but I think there is enough detail for you to give us a price. I think the only thing that needs to be confirmed are the pipe sizes and material. I think the Clarifier Scum Lines are 3" Cast Iron (Jim can you confirm?) and the Existing buried Scum Lines are 6" Cast Iron (?).

If you look at the drawing you will see that 2 of the Clarifier Scum Lines are on the same side as the existing underground scum lines but 4 are on the opposite side of the bridge. You can reroute the pipe under the bridge before turning down.

When you look at the Splitter Box Drawing you can see that the Existing Scum Line Ties in at about 6' below grade. The tie in for the Clarifier Scum Lines to the Buried lines will be between 5 and 6 foot.

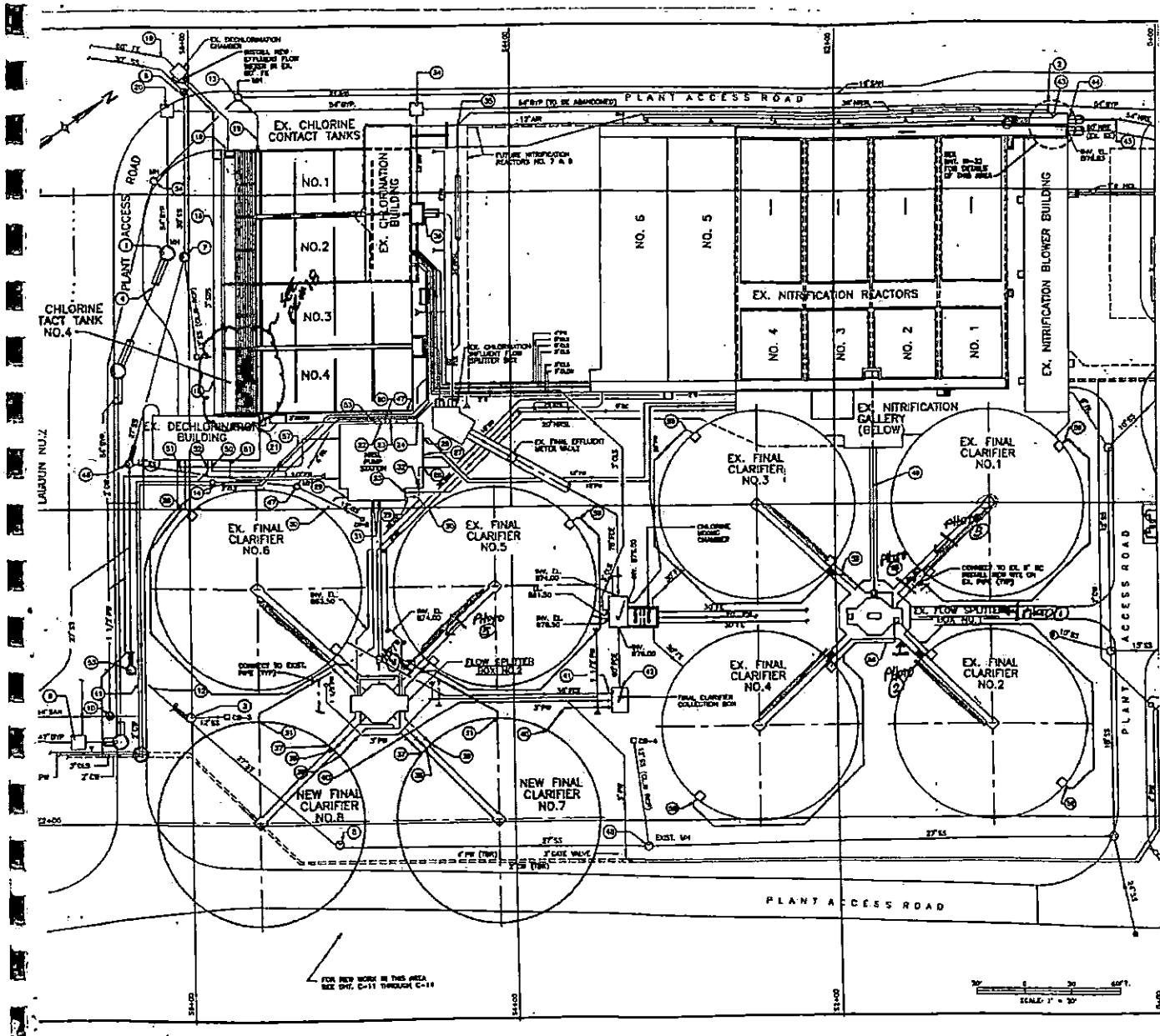
We would like a price for connecting the Clarifier Scum Lines to the Existing Buried Scum Lines. There are 6 ties to be made as clarifiers #7 and #8 do not need this work completed. This junction can be done where the Scum line from the old scum box (Black on the drawings) Ys into the yellow Scum Line. Jim said that some of them have cleanouts. Hopefully they will be easy to find.

We would like cleanouts installed at the point where you make the connection and a coupling before the pipe goes underground from the clarifier. The scum pipes are not used in the winter time so we would like to be able to flush the lines once the system is out of service.

If you have any questions Tuesday, I will be out of the office, please call Rick Bowman at 859-425-2475. Once I have the price; as long as it is reasonable I will add it to the current change order and get it to council.

Thank you for your hard work!

Tiffany Rank



Scum lines existing that need to be tied into existing buried scum lines (yellow)

Buried scum lines, existing.

Existing scum line that will need to be capped off.

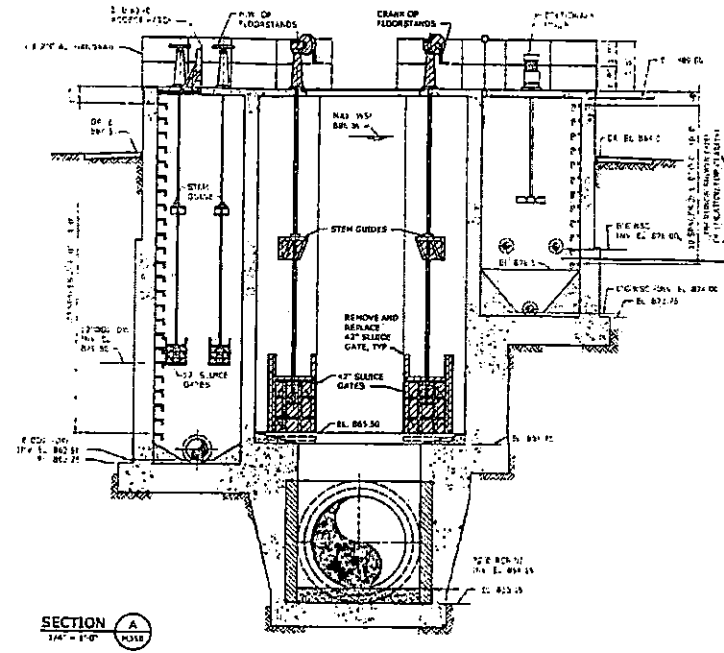
\* Scum lines 2, 4, 5 and 6 will need to be re-routed under the bridge and then turned down to meet existing buried scum line.

FOR NEW WORK IN THIS AREA, SEE SHET. C-11 THROUGH C-14

SCALE 1" = 30'

**NOTES:**

1. CONTRACTOR SHALL INSPECT AND VERIFY EXISTING GATES, STEM GUIDES AND FLOOR STANDS PRIOR TO CONSTRUCTION.
2. LFLUCD-OWG WILL ISOLATE AND DEWATER TO THE BEST OF THEIR ABILITIES THE SPLITTER BOX SOME TO THE TOWERS OF THE SLUDGE GATE. THE CONTRACTOR IS RESPONSIBLE FOR PROVIDING ANY ADDITIONAL REQUIRED DIMENSIONS NEEDED TO INSTALL THE GATES.
3. OWG HAS PURCHASED THE REPLACEMENT GATES FOR THE SPLITTER BOX AND ARE STORED AT THE WWTP SITE. THE CONTRACTOR SHALL REMOVE THE FOUR EXISTING 42" GATES AND GASKETS WITHIN THE SPLITTER BOX AND REPLACE/INSTALL THE FOUR NEW 42" GATES OWG HAS PURCHASED.
4. CONTRACTOR IS RESPONSIBLE FOR ALL CONFINED SPACE ENTRY WORK CONDITIONS AND PERMITTING ASSOCIATED WITH THIS PROJECT.
5. THE CONTRACTOR SHALL REMOVE/INSTALL AS NEEDED ALL EXISTING FLOOR STANDS, STEM GUIDES AND GROUT AS NEEDED FOR INSTALLATION/REPLACEMENT OF THE FOUR 42" GATES.



*Ground Level to pipe invert @ box is approx. 6'*

*Existing Buried Sewer Lines tied into existing Flow splitter box.*

**SECTION A**  
1/4" = 1'-0"

PROJECT ENGINEER	K. ZEHNDER
DRAWN BY	K. ZEHNDER
CHECKED BY	E. RYDES
DATE	8/17/18
BY	W. LEADBEITER

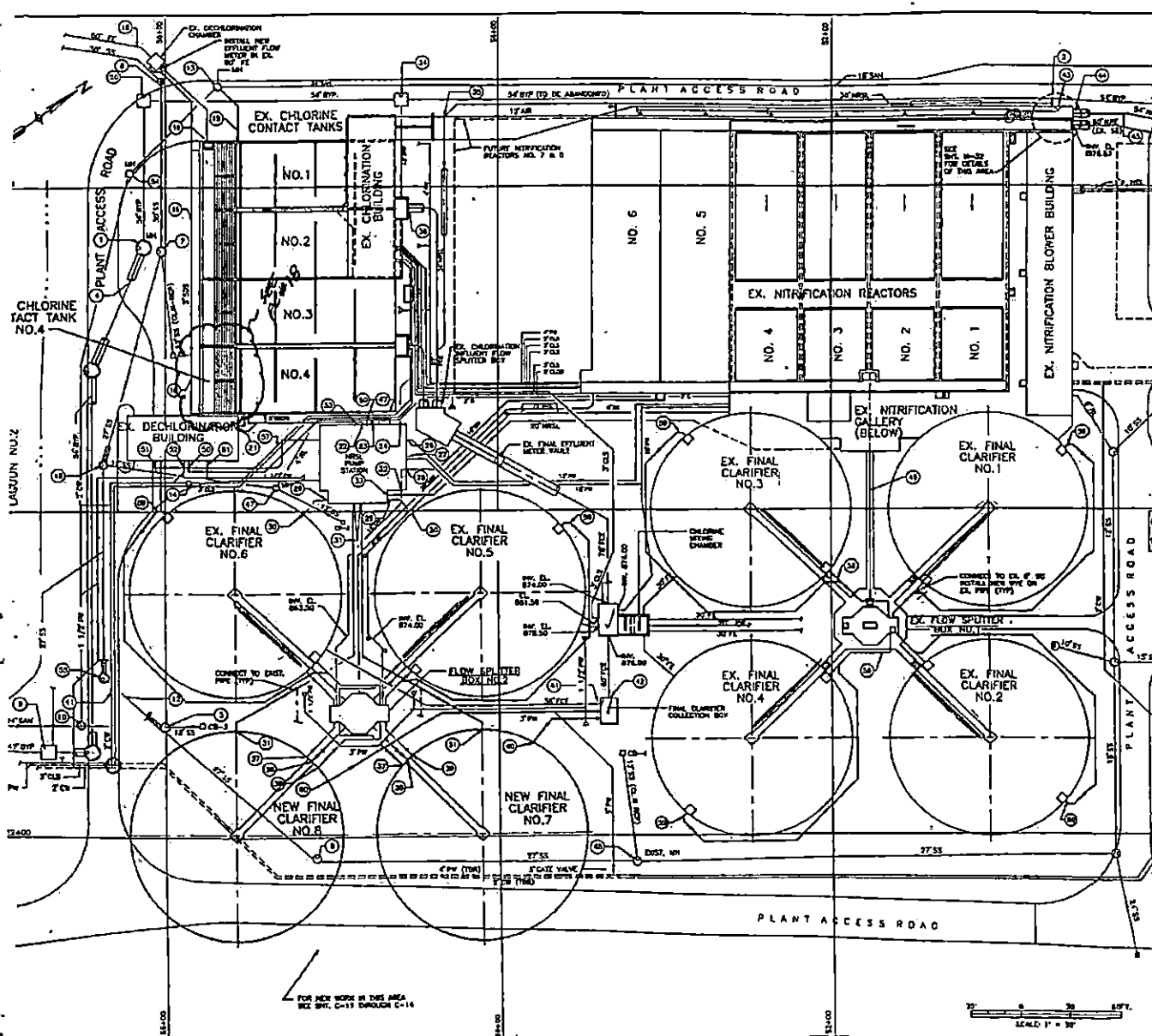
PRELIMINARY DRAWING  
DO NOT USE FOR  
CONSTRUCTION

**Hazen**  
HAZEN AND SAWYER  
230 LEXINGTON GREEN CIRCLE, SUITE 300  
LEXINGTON, KENTUCKY 40503

LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT  
KENTUCKY  
WEST HICKMAN WWTP FINAL CLARIFIERS NO. 7 AND  
NO. 8 STRUCTURAL REPAIRS

FINAL CLARIFIERS FLOW SPLITTER BOX NO. 1  
MECHANICAL  
SECTION

DATE	AUGUST 2018
PERM NO.	50028-006
LFLUCD NO.	XX-2018
DRAWING NUMBER	M351



- NOTES:
- 1 3/4" R.P. - 100' EL. 864.00
  - 2 3/4" R.P. - 100' EL. 872.33 (TO DE SUP LINED)
  - 3 EXIST. 25" W.V. - 27" R. - 878.20, 1 1/2" O.D. - 878.75, 1 1/2" O.D. - 878.50
  - 4 3/4" R.P. - 100' EL. 865.18 (54" DIA. - 100' EL. 870.00)
  - 5 EXIST. 25" W.V. - 27" R. - 878.20, 1 1/2" O.D. - 878.75, 1 1/2" O.D. - 878.50
  - 6 EXIST. 25" W.V. - 27" R. - 878.20, 1 1/2" O.D. - 878.75, 1 1/2" O.D. - 878.50
  - 7 3"-0" STORM MANHOLE 7'6" DIA. - 874.8, 3" O.D. - 874.8, 3" O.D. - 874.8, 3" O.D. - 874.8
  - 8 EXIST. 25" W.V. - 27" R. - 878.20, 1 1/2" O.D. - 878.75, 1 1/2" O.D. - 878.50
  - 9 EXIST. 25" W.V. - 27" R. - 878.20, 1 1/2" O.D. - 878.75, 1 1/2" O.D. - 878.50
  - 10 EX. 24" DIA.
  - 11 2" P. - 25" W.V. EL. 875.94, 3" O.D. - EL. 877.00, 3" O.D. - EL. 877.00
  - 12 2" P. - 25" W.V. EL. 876.04, 1 1/2" DIA.
  - 13 1 1/2" R.P. TO SAN. 1 1/2" R. - 884.18, 1 1/2" R. - 884.18, VALVE BOX TO DECONTAMINATION C.W.
  - 14 1 1/2" R.P. - EL. 880.50, 3" SC (SLOPE 1/2" SC PER 1'-0" DOWNS)
  - 15 2" R.P. - EL. 881.00
  - 16 2" O.D. LD. SOLID COLLECTION MANHOLE W/ SLATED
  - 17 2" SC - 100' EL. 881.75
  - 18 EXIST. 24" FE - 100' EL. 878.23
  - 19 EXIST. SPECIAL W.V. NO. 5 - 24" R.P. IN A CUR. - 100' EL. 887.00
  - 20 2" SOW - EL. 882.00, 1 1/2" SOW - EL. 881.00
  - 21 2" SOW - EL. 880.00
  - 22 12" AIR (FUTURE) - 100' EL. 879.50
  - 23 24" R.P. - 100' EL. 877.00
  - 24 12" AIR (FUTURE) - 100' EL. 881.00
  - 25 12" P. - 100' EL. 878.50
  - 26 12" P. - 100' EL. 878.50
  - 27 18" DW - 100' EL. 883.50
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  - 96 18" DW - 100' EL. 883.50
  - 97 18" DW - 100' EL. 883.50
  - 98 18" DW - 100' EL. 883.50
  - 99 18" DW - 100' EL. 883.50
  - 100 18" DW - 100' EL. 883.50
- BATCH LINE SEE SHEET C-3 FOR CONTINUATION
- SCALE: 1" = 30'
- FOR NEW WORK IN THIS AREA, SEE SHEET C-11 THROUGH C-14
- SCALE: 1" = 30'
- NOTES:
- 1 1 1/2" CW
  - 2 1 1/4" CW
  - 3 1 1/4" CW
  - 4 EX. 2" SC - 100' EL. 878.00
  - 5 NEW 2" SC - 100' EL. 880.50
  - 6 3"-0" LD. STORM MANHOLE - 27" DIA. - 875.50, 1 1/2" O.D. - 875.75, 1 1/2" O.D. - 875.50
  - 7 6"-0" LD. STORM MANHOLE - 12" DIA. - 874.50, 3" O.D. - 874.50, 3" O.D. - 874.50
  - 8 EXIST. 25" MANHOLE - 24" DIA. - 879.00, 1 1/2" O.D. - 879.50
  - 9 EX. 22" DIA.
  - 10 2" P. 15' DIA. - 100' EL. 875.00, 1 1/2" O.D. - 875.00
  - 11 4" R. - 100' EL. 883.17
  - 12 1 1/2" CW
  - 13 4" R. - 100' EL. 884.00
  - 14 EXIST. 24" DIA. TYP. EL. 882.00, 1 1/2" O.D. - 882.00
  - 15 3"-0" LD. STORM MANHOLE - 27" DIA. - 875.50, 1 1/2" O.D. - 875.75, 1 1/2" O.D. - 875.50
  - 16 1 1/4" CW
  - 17 1 1/4" CW
  - 18 EX. 2" SC - 100' EL. 878.00
  - 19 NEW 2" SC - 100' EL. 880.50

**PDR** Engineers, Inc.

Lexington-Fayette Urban County Government  
West Hickman WWP - CONTRACT NO. 2  
EXHIBIT - PIPING PLAN

SCALE: 1" = 30'

JOB NO. 89743  
DESIGNED BY: M.L.  
DETAILED BY: J.W.  
CHECKED BY: M.L.  
DATE: MAR. 2000  
CONTRACT NO. 2000  
FOR ENGINEERS, INC.

REVISIONS:

DATE OF REVISION

NO. DESCRIPTION

DATE

SHEET C-2 OF 22


MAYOR LINDA GORTON



LEXINGTON

CHARLES H. MARTIN, P.E.  
DIRECTOR  
WATER QUALITY

**TO:** Mayor Linda Gorton  
Urban County Council

**FROM:**   
Charles H. Martin, P.E., Director  
Division of Water Quality

**DATE:** February 24, 2020

**SUBJECT:** Change Order #2; West Hickman WWTP Scum Removal Addition and ASH Tank #3 Cover

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Request

The Division of Water Quality requests approval of Change Order #2 to the Agreement with Herrick Company, Inc., for the West Hickman WWTP Scum Removal Addition and ASH Tank #3 Cover Project, increasing the contract price by the sum of \$53,334.60, from \$1,433,202.00 to 1,486,536.60.

Purpose of Request

The increase to the contract includes additional cost for the following items:

- Additional Sprinkler Heads
- Weir Modifications
- Coordination with KAW for new fire service
- Credits for Allowances not used
- Scum Line Tie-in for Clarifiers #1-#6

Project Cost in FY20 Budget and Future Budget Years

FY20 – Approval of this change order results in an increase of \$ 53,334.60 to the contract. The revised contract amount including this change order will be \$1,486,536.60 representing a 3.84% increase of the original contract amount. The accumulative % increase total, for change orders to this project is 7.02%.

Future Budget Impact –This change order will not have an impact on future budget years.

Are Funds Budgeted

Funds are Budgeted in: 4003 303401 3401 92711 WHWWTP\_RMP/CONSENT\_DE

Bud Ref: 2019

Martin/Albright



**CONTRACT AMENDMENT**

**THIS CONTRACT AMENDMENT** is made and entered into this 25 day of March, 2020, by and between the **LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT** (hereinafter referred to as "Government"), an urban county government of the Commonwealth of Kentucky, on behalf of its Division of Grants and Special Programs, and **Harrods Hill Recreation Center** (hereinafter "Association"), a non-profit corporation created pursuant to KRS Chapter 273, with offices located in Lexington, Kentucky.

**WITNESSETH:**

**WHEREAS**, the parties hereto have previously entered into a contract dated December 4, 2018 under the terms of which the Government granted to the Association \$10,000 for carrying out various neighborhood activities and improvements; and

**WHEREAS**, the parties wish to modify the contract;

**NOW, THEREFORE**, for and in consideration of the foregoing premises, the parties hereto agree as follows:

The Government hereby amends the scope of work for this project to include repair and replacement of pool areas and extends the agreement through December 31, 2020.

LEXINGTON-FAYETTE URBAN

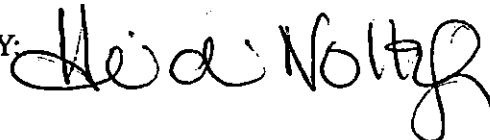
COUNTY GOVERNMENT

BY:

  
LINDA GORTON, MAYOR

HARRODS HILL RECREATION CENTER

BY:

  
TITLE: President