

Internal Revenue Service
District Director

Department of the Treasury

P. O. Box 2508
Cincinnati, OH 45201

Date: MAR 26 1999

NAMI Lexington (KY), Inc.
P. O. Box 55243
Lexington, KY 40555

Person to Contact:
Andrea Switser 31-00972
Customer Service Representative
Telephone Number:
877-829-5500
Fax Number:
513-684-5936
Federal Identification Number:
31-1154645

Dear Sir or Madam:

This is in response to Amended Articles of Incorporation received in our office March 3, 1999, advising us of the name change to you organization. We have updated our records to reflect the above mentioned name.

Our records indicate that a determination letter issued in March 1998 granted your organization exemption from federal income tax under section 501(c)(3) of the Internal Revenue Code. That letter is still in effect.

Based on information subsequently submitted, we classified your organization as one that is not a private foundation within the meaning of section 509(a) of the Code because it is an organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

This classification was based on the assumption that your organization's operations would continue as stated in the application. If your organization's sources of support, or its character, method of operations, or purposes have changed, please let us know so we can consider the effect of the change on the exempt status and foundation status of your organization.

Your organization is required to file Form 990, Return of Organization Exempt from Income Tax, only if its gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of the organization's annual accounting period. The law imposes a penalty of \$20 a day, up to a maximum of \$10,000, when a return is filed late, unless there is reasonable cause for the delay.

All exempt organizations (unless specifically excluded) are liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more paid to each employee during a calendar year. Your organization is not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, these organizations are not automatically exempt from other federal excise taxes.

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NAMI lexington (KY), Inc.
31-1154645

Donors may deduct contributions to your organization as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to your organization or for its use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

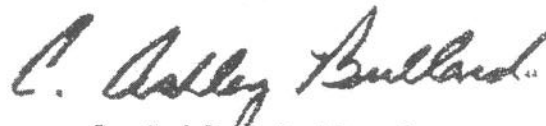
Your organization is not required to file federal income tax returns unless it is subject to the tax on unrelated business income under section 511 of the Code. If your organization is subject to this tax, it must file an income tax return on the Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your organization's present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

Because this letter could help resolve any questions about your organization's exempt status and foundation status, you should keep it with the organization's permanent records.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

This letter affirms your organization's exempt status.

Sincerely,



C. Ashley Bullard
District Director

Commonwealth of Kentucky

OFFICE OF
SECRETARY OF STATE

DREXELL R. DAVIS
Secretary



FRANKFORT,
KENTUCKY

CERTIFICATE OF INCORPORATION OF NON-STOCK, NON-PROFIT CORPORATION

I, DREXELL R. DAVIS, Secretary of State of the Commonwealth of Kentucky, certify that there has been delivered to my office articles of incorporation of

Bluegrass Alliance for the Mentally Ill, Inc.

The name and address of the registered agent of this corporation is

Calvert T. Roszell

NAME

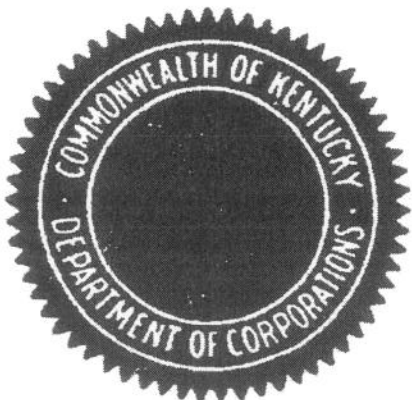
101 East Vine Street

STREET ADDRESS

Lexington, Kentucky.

CITY, STATE

NOW, THEREFORE, finding that these articles of incorporation conform to law and that all fees therefore having been paid as prescribed by law, I, DREXELL R. DAVIS, Secretary of State, issue this Certificate of Incorporation.



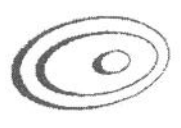
SECRETARY OF STATE

Issued this 4th day of March, 19 85,
at Frankfort, Kentucky.

Drexell R. Davis

SECRETARY OF STATE

ASSISTANT SECRETARY OF STATE



BAMI

Bluegrass Alliance
for the Mentally Ill

P.O. Box 55243 • Lexington, Kentucky 40555-5243

RECEIVED & FILED
A. J. G.
SEP 23 8 57 AM '98
Shirley Perry

Article of Amendment

of

Bluegrass Alliance for the Mentally Ill, Inc.

The above corporation existing pursuant to the Kentucky Corporation Laws, desiring to give notice to corporate action effectuating amendment of certain provisions of its Articles of Incorporation, sets forth the following amendments:

The current name of the corporation is Bluegrass Alliance for the Mentally Ill, Inc.

The exact text of Article 1 of the Articles of Incorporation is amended to read as follows:

NAMI Lexington (KY), Inc.

The amended was adopted on April 13, 1998, with the members casting a vote of unanimous.

Angie O'Malley
Angie O'Malley
President

The Lexington Affiliate of the National Alliance on Mental Illness (NAMI Lexington) was founded in 1985 and recently celebrated 25 years of education, support and advocacy for person's whose lives are impacted by chronic mental health challenges. NAMI Lexington has been a front-runner in the state and nationally in areas of inclusiveness, diversity, cultural competence and scope of services; notably in the area of consumer and peer-empowerment.

NAMI Lexington enables individuals to reach their fullest potential through these **NAMI signature programs:**

- In Our Own Voice – Living with a Mental Illness,
- NAMI Connections Consumer Support Groups,
- Sharing Hope – African American and Hispanic Faith-based community outreach
- Family-to-Family and Familia-de-Familia
- Family member Support Groups
- Hearts and Minds (Whole Health Training)
- Parents and Teachers as Allies
- NAMWalks

A sampling of **other significant programs and trainings offered** by NAMI Lexington:

- Kentucky Advance Psychiatric Directive Training
- QPR Suicide Prevention Training
- Gatekeeper Suicide Prevention Training
- BRIDGES 10 week Education Course
- WRAP (Wellness Recovery Action Plan) Trainings and Workshops
- Leadership Academy
- Participation Station, a Peer operated, peer support center sponsored by Bluegrass Regional MH-MR Board Inc., and NAMI Lexington
- The Warm Line, a Peer operated non-emergency phone line sponsored by Bluegrass Regional MH-MR Board Inc., and NAMI Lexington for anyone who "just needs someone to talk to"
- Double Trouble in Recovery (DTR) Support Groups for individuals with co-occurring addiction and mental health disorders
- Anxiety Support Groups
- Family Fridays – NAMI programs orientation and family support
- KYSTARS Recovery Support Groups
- KYSTARS Recovery Oriented Training and Technical Assistance
- Partnering and collaborating in the community
- Provide guest speakers for local Psychology and Social Work classes at UK, EKV, Midway College, Asbury, Georgetown College, and Kentucky State University
- Partner with UK College of Nursing, College of Psychology, College of Psychiatry, and UK College of Social Work providing students the opportunity to present numerous workshops and trainings to consumers that prepares them to present similar workshops to their peers
- NAMI Lexington serves as a training site for the Senior Community Service Employment Program (SCSEP) - a community service and work based training program for older workers
- NAMI Lexington and Migrant Farm-workers with Disabilities Employment Partnership (MDEP) collaborated to provide the Familia-de-Familia Course, graduating 10 individuals from the first Spanish Family to Family class offered in Kentucky
- Multicultural Action Committee (MAC) provides culturally competent advocacy, education, outreach and support to the African American and Hispanic communities

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

NARP
0198825
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
2/9/2012 10:23:08 AM
Fee receipt: \$15.00

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: NAMI LEXINGTON (KY), INC.
Company ID: 0198825
State of origin: Kentucky
Formation date: 3/4/1985 12:00:00 AM
Date filed: 2/9/2012 10:23:08 AM
Fee: \$15.00

Principal Office

C/O Joy Henderson
NAMI LEXINGTON, (KY) INC.
498 Georgetown St, Suite 201
LEXINGTON, KY 40508

Registered Agent Name/Address

PHILL GUNNING
3407 WINTHROP DR.
LEXINGTON, KY 40503

Current Officers

President	Theresa Walton	522 Powhatan Trail, Frankfort, KY 40601
Secretary	Karen Ward	15 Boone Ave., Winchester, KY 40391
Secretary	Gretchen Copley	3157 Cherry Meadow Path, Lexington, KY 40509
Treasurer	Bill Hainsworth	262 Leawood Dr., Lexington, KY 40502
Vice President	Jennifer Dishman	1214 Texaco Rd, Lexington, KY 40508
Vice President	George Burns	4101 Bridgemont Lane, Lexington, KY 40515

Directors

Director	Harold Jarboe	703 Spring Meadows Dr., Lexington, KY 40504
Director	Ginny Vicini	461 Newberry Way, Lexington, KY 40514
Director	Karen Cinnamond	1491 Thames Drive, Lexington KY 40517

Signatures

Signature	Phill Gunning
Title	Executive Director

Phill Gunning
- Executive Director

Kelly Gunning
- Operations Director

Board of Directors

Theresa Walton
-President

Jennifer Dishman
-President Elect

George Burns
-Vice President

Bill Hainsworth
-Treasurer

Karen Ward
- Recording Secretary

Gretchen Copley
-Corresponding Secretary

Members-at-Large

Karen Cinnamond

Bonnie Cook

Harold Jarboe

Vincent Rivera

Steve Shannon

Marcie Timmerman

Ginny Vicini

Jessica Wehle

Advisory Council

Judge Karen Caldwell

Dr. Todd Cheever

Don Colliver

Hon. Cheryl Lalonde

Patty Mason

Lisa Ball Sharp

Destin Strauss

Becky Taylor

Sheriff Kathy Witt

~ Our Mission ~

To assist Families, Caregivers and Individuals whose life experience includes living with a persistent mental illness. To help them acquire and use available supports and skills necessary to manage their symptoms and return to the community and find success, hope and meaning in the role and environment of their choice.

100 Georgetown Street, Lexington 40508
 1009 Sparta Court, Lexington 40504

NAMI Lexington BOD

Theresa Walton, President

**Executive Director,
 Phill Gunning**

**Creative and Operations Director,
 Kelly Gunning, MA**

★★★★★★★★★★

~ Our Mission ~

To assist Families, Caregivers and Individuals whose life experience includes living with a persistent mental illness. To help them acquire and use available supports and skills necessary to manage their symptoms and return to the community and find success, hope and meaning in the role and environment of their choice.

★★★★★★★★★★

Tracy Jacobson, MSW, CSW Family Programs Director and Outreach Coordinator Family to Family Teacher	Valerie Mudd, KPS Consumer Programs Coordinator IOOV Co-Coordinator (along with Jennifer Dishman) Participation Station and KYSTARS Leadership Team IOOV Presenter Trainer DBHDID – Leadership Academy Instructor	Yolonda Clay, MS, KPS Outreach Coordinator Multicultural Action Committee Chair Director – Sharing Hope Program Participation Station and KYSTARS Leadership Team DBHDID – Leadership Academy Instructor	Sarah Brumfield, KPS Training Coordinator PS / KSTARS Training Coordinator Participation Station and KYSTARS Leadership Team Warm Line Coordinator WSH Family Member / Caregiver Surveys	Susan Montgomery, MSW Office and Family Programs Coordinator Office Staff Support Coordination of Office events, Family Programs Marketing and Communicating Services to the Community	Tami Brucato, MSSW, CSW Recovery Support Coordinator DBHDID – Training and Technical Assistance – Recovery Support Groups KYSTARS Leadership Team	Jackie Frazier Receptionist Participation Station and KYSTARS Technical Assistance Center Participation Station and KYSTARS Leadership Team	David Riggsby, MS Recovery Enthusiast KYSTARS Technical Assistance Center KYSTARS Leadership Team Resource Development Training and Technical Assistance Coordinator – CMHCs and Psychiatric Hospitals	Chris Whittington, KPS Recovery Programs Director DBHDID – Leadership Academy Instructor Kentucky Peer Specialist Instructor KYSTARS and Participation Station Leadership Team
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NAMI LEXINGTON (KY), INC.

Donors/Grants Report

January through December 2011

	<u>TOTAL</u>	
4010 · Ind/small bus contrbns	106,960.00	Private - 100,000 of 2011 total is restricted and non-recurring
4012 · Walkers-NAMIWalks	9,711.23	Annual Fundraiser
4020 · Sponsors-NAMIWalks	26,800.00	Annual Fundraiser
4210 · Unrestricted Grants	3,000.00	Private - annual requests - amount varies
4250 · Restricted Grants	109,789.00	(\$100,000 quasi-governmental annual since 2005, current expires 12-31-2012)
4410 · United Way or Emp Giving	50.00	(unsolicited)
4430 · Kroger Contributions	2,170.27	Private - annual amount varies
4999 · Uncategorized Income	25.00	
5030 · State contracts/fees	155,302.10	State - Annual contract for services - \$150,892
		Contract extended - 7/1/2012 thru 6/30/2013)
5180 · Prgm Serv Fees	50,954.54	Quasi-governmental contract for services expires 12-31-2012
5210 · Membership dues-indiv & fan	2,845.00	Private individuals and families
5490 · Misc Revenue	500.00	(T-shirts, NARSAD cards, bracelets, etc.)
Total Income	<u>468,107.14</u>	

**NAMI LEXINGTON (KY), INC.
2012 Expense Budget Overview**

	<u>Budget</u>	<u>Actual</u>	
	<u>2012</u>	<u>2011</u>	
Expense			
7010 · Contracts-program related	39,150	28,650	37 % increase - primarily stipends
7090 · Awards, plaques, gifts	8,099	6,476	25% increase
7200 · Sal&exp	269,801	238,200	13% increase - program staff
7510 · Fundraising fees	180	180	
7520 · Accounting Fees	6,749	6,749	
7530 · Legal Fees	3,274	978	235% increase - PS Inc. , KYSTARS Inc.
7540 · Professional fees-other	11	11	
7550 · Temporary Help - Contract	19,055	12,628	+ 25% general; + 40% part year to full year
8110 · Supplies	22,276	16,756	+ 33% - (same as 2011 budget amount)
8130 · Telephone, internet	6,408	5,790	11% increase
8140 · Postage and Shipping	2,986	2,506	19% increase
8170 · Printing & Copying	3,857	3,857	No - partnering on printing whenever possible
8180 · Books, subscriptions, reference	1,042	840	24% increase
8210 · Rent	37,400	33,000	10% increase - LFUCG begins phasing in rent in July 33,000 rent projected in-kind from BG and LFUCG
8220 · Utilities	906	906	
8260 · Equipment rental & maintenance	5,643	5,643	
8310 · Travel	21,675	15,102	+ 44% NAMIWalks; KYSTARS; NAMI Convention
8320 · Conferences, Mtgs Attended	761	7,846	Hotel, mileage, per diem moved to Travel
8325 · Conferences, Mtgs Hosted	10,845	13,268	
8515 - Reconciliation Discrepancies	-271	-271	
8520 · Bus Ins	3,558	2,033	75% increase - Participation Station, Inc.
8530 · Other Org. Dues, Donations	1,488	1,488	
8540 · Staff development	2,195	1,450	51% increase
8560 · Outside computer services	1,585	1,585	
8570 · Advertising expenses	23,441	19,471	+ 20%, 7500 inkind 2010, 2011
8595 · Misc Expenses	754	854	
9300 · Minor Equipment	7,747	7,747	
9910 · Affiliate Revenue Sharing	6,185	6,185	
9911 · National Dues Assessment	1,028	1,028	
9912 · KY Dues Assessment	323	323	
Total Expense	508,420	441,278	15% total expense increase
Net Income	9,354	94,745	

NAMI LEXINGTON (KY), INC.
Statement of Cash Flows
 January through December 2011

	Jan - Dec 11
OPERATING ACTIVITIES	
Net Income	94,241.36
Adjustments to reconcile Net Income to net cash provided by operations:	
1120 · Contracts Receivable	11,505.97
1210 · Pledges Receivable	250.00
2000 · Accounts Payable	-2,682.10
2100 · Accr Liab:2130 · Accr Payr Tax:2131 · Fed W/Ho...	644.00
2100 · Accr Liab:2130 · Accr Payr Tax:2132 · FICA & M...	-76.81
2100 · Accr Liab:2130 · Accr Payr Tax:2133 · Ky W/Hol...	161.47
2100 · Accr Liab:2130 · Accr Payr Tax:2134 · Fay Co. ...	10.24
2100 · Accr Liab:2130 · Accr Payr Tax:2135 · Lex W/Ho...	102.89
2100 · Accr Liab:2130 · Accr Payr Tax:2136 · Match Fl...	349.83
2100 · Accr Liab:2130 · Accr Payr Tax:2137 · Ky Unem...	348.34
2100 · Accr Liab:2130 · Accr Payr Tax:2139 · Fed Une...	-13.47
2100 · Accr Liab:2150 · Accrued Expenses-Other:2151 ...	-83.58
Net cash provided by Operating Activities	104,758.14
FINANCING ACTIVITIES	
3010 · Net Assets	8,042.86
32000 · Retained Earnings	-8,042.86
Net cash provided by Financing Activities	0.00
Net cash increase for period	104,758.14
Cash at beginning of period	15,461.88
Cash at end of period	120,220.02