

PART III

Invitation to Bid No. 104-2016

Concrete Apron Replacement for Fire Stations 12, 13, and 14

1. FORM OF PROPOSAL

Place: Lexington, Kentucky

Date: 9/7/16

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by ADAMS CONTRACTING, LLC

501 DARBY CREEK RD., STE. 18, LEXINGTON, KY 40509

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of KY, doing business as ADAMS CONTRACTING, LLC
"a corporation," ~~"a partnership," or an "individual,"~~ as applicable.

To: Lexington-Fayette Urban County Government
(Hereinafter called "OWNER")
Office of the Director of Purchasing
200 East Main Street, 3rd Floor
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Concrete Apron Replacement for Fire Stations 12, 13, and 14** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the

Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$200.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

- Addendum No. 1 Date 8/30/16
- Addendum No. Date
- Addendum No. Date
- Addendum No. Date
- Addendum No. Date
- Addendum No. Date
- Addendum No. Date
- Addendum No. Date

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder ADAMS CONTRACTING, LLC

Date 9/7/16

* 1. A corporation duly organized and doing business under the laws of the State of KY, for whom SCOTT ADAMS, P.E., bearing the official title of PRESIDENT, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

~~* 2. A Partnership, all of the members of which with addresses are (Designate general partners as such)~~

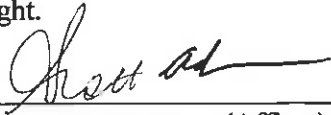
~~* 3. An individual whose signature is affixed to this Bid/Proposal (please print name)~~

*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, SCOTT ADAMS, P.E., and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is SCOTT ADAMS, P.E. and he/she is the individual submitting the bid or is the authorized representative of ADAMS CONTRACTING, LLC, the entity submitting the bid (hereinafter referred to as "Bidder").
 2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
 3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
 4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
 5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
 6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
 7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.
- Further, Affiant sayeth naught.



 SCOTT ADAMS, P.E. (Affiant) PRESIDENT

STATE OF KENTUCKY

COUNTY OF FAYETTE

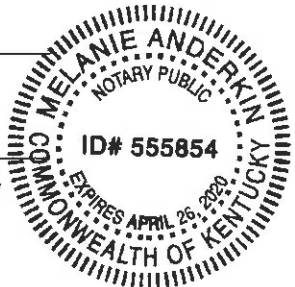
The foregoing instrument was subscribed, sworn to and acknowledged before me by

SCOTT ADAMS, P.E. on this the 7TH day of SEPTEMBER, 2016.

My Commission expires: 4/26/2020



 NOTARY PUBLIC, STATE AT LARGE



4. BID SCHEDULE – SCHEDULE OF VALUES

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG’s decision on the bid amount is final.

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
1.	Concrete apron replacement for Fire Station 12 as per specs for <div style="text-align: right; margin-right: 20px;"> forty two thousand Dollars no Cents </div>	LS	\$ 42,000.00
2.	Concrete apron replacement for Fire Station 13 as per specs for <div style="text-align: right; margin-right: 20px;"> thirty three thousand Dollars no Cents </div>	LS	\$ 33,000.00
3.	Concrete apron replacement for Fire Station 14 as per specs for <div style="text-align: right; margin-right: 20px;"> thirty two thousand Dollars no Cents </div>	LS	\$ 32,000.00

TOTAL OF ALL BID PRICES FOR Concrete Apron Replacement for Fire Stations 12, 13, and 14 Project (Items 1 through 3) in words and figures. In case of discrepancy, the amount shown in words will govern.

one hundred seven thousand dollars and no cents

(\$ 107,000.00).

Submitted by:

ADAMS CONTRACTING, LLC
Firm

501 DARBY CREEK RD., STE. 18
Address

LEXINGTON, KY 40509
City, State & Zip

***Bid must be signed:
(original signature)***


Signature of Authorized Company Representative – Title

SCOTT ADAMS, P.E., PRESIDENT
Representative/s Name (Typed or Printed)

859-629-4948
Area Code – Phone – Extension

859-629-4948
Fax #

SCOTT@ADAMSCONTRACTINGKY.COM
E-Mail Address

OFFICIAL ADDRESS:

ADAMS CONTRACTING, LLC

501 DARBY CREEK RD., STE. 18

LEXINGTON, KY 40509

_____ (Seal if Bid is by Corporation)

By signing this form you agree to ALL terms, conditions, and associated forms in this bid package

5. **STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Adams Contracting, LLC

2. Permanent Place of Business: 501 Darby Creek Road, Suite 18, Lexington, KY 40509

3. When Organized: 2015

4. Where Incorporated: KENTUCKY

5. Construction Plant and Equipment Available for this Project:

SEE ATTACHED, "ADAMS CONTRACTING, LLC EQUIPMENT LIST"

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

SureTec Insurance Company (Surety)

Signed:  (Representative of Surety)
Kenneth Albert, Attorney-in-Fact

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

ADAMS CONTRACTING, LLC
EQUIPMENT LIST

	EQUIP #	ITEM	DESCRIPTION	YEAR	SERIAL NUMBER
1	T1	20' Flat Trailer	North Shore	2014	545N1F28EB021258
2	T2	Box Trailer	Truck Trailer White	2011	48BTE1427BA119716
3	T3	Box Trailer	King Trailer	2008	5P1BE14288W073865
4	T4	Box Trailer 7x16	Gator Made Lark	2014	5RTBE162XED041128
5	A1	2015 SUV	Chevrolet Traverse	2015	1GNKRHKD5FJ311558
6	LT2	2012 Pickup	3/4 Ton Chevrolet	2012	1GC1KVCG8CF227295
7	LT3	2013 Pickup	1/2 Ton Chevrolet Silverado	2013	3GCPKSE77DG141420
8	LT4	2014 Pickup	2500 HD GMC Sierra	2014	1GT02ZCG3EF110021
9		Misc Construction Equipment			
		2" Electric Water Pump			
		2" Trash Pump	Multiquip		
		3/4" Impact Gun & Wreck	DeWalt		
		Demo Saw	14" CUT OFF CUTQUICK		
		Demo Saw			
		Demo Saw			
		Generator	Multiquip		
		Hammer Drill	1 9/16 SDS MAX COMBO 400RPM		
		Hammer Drill			
		Sawsall	DeWalt		
		Worx Chain Saw	Worx Electric Extendable Chain Saw		
		Vibrator 2HP & Motors	Wacker M 2000		10089386
		Vibrator 2HP & Motors	Wacker		
		Air Compressor	Smith		
		Impact Wrenches			
		Jumping Jack	Wacker Packer		
		Straight Edge	16'		
		Floats & Finishing Tools			
10A	G1	Generator	Honda EU2000W		
10B	G2	Generator	Wacker GP6600A		5827193
11		Misc Forming Accessories			
		Level w/ Rod			

ADAMS CONTRACTING, LLC
EQUIPMENT LIST

EQUIP #	ITEM	DESCRIPTION	YEAR	SERIAL NUMBER
	Transit Level			
	Eye Level			
	Nail Pins			
	Turnbuckles			
12	Office Furniture			
13	Plate Tamp	Wacker WP1550AW		6701860
14	VSI Vibratory Screed - Modified	Toro Stone VS 800		
15	W1 Welder	Miller		
16	PT2 Plate Compactor	Wacker Packer		
17	Gang Forms	Pre built		
18	TH1 Theodolite			
19	LT6 2006 Chevy 3/4 Ton Mechanics Truck	3/4 Ton Chevrolet	2006	1GCHK29U66E200035
20	LT5 2006 Chevy 3/4 Ton Pickup	3/4 Ton Chevrolet	2006	1GCEK19B26Z150545
21	T5 Box Trailer	East Tennessee Trallor BD714	2012	5KXBV18233M001781
22	EX1 2009 Case 36B Excavator	Case Excavator w/ 18" bucket	2009	N8TN62787
23	T6 21' Flat Pull Behind Trailer	Gator Made	2015	4Z1PB2120F5017623
24	Floating Work Platform w/ scaffolding	Accudcock		
25	TH2 Theodolite with Tripod	Precision Products		
26	1 LOT of Signs for RWRA	Saftico		
27	Concrete Chain Saw			
28	DT1 1995 Ford Dump Truck	1995 Ford LT9000 Dump Truck	1995	1FDYU90L0SVA37735
29	DT2 1998 Peterbuilt Dump Truck	1998 Peterbuilt Dump Truck #378	1998	1XPFD99X8WN461086
30	DT3 1998 Peterbuilt Dump Truck	1998 Peterbuilt Dump Truck #357	1998	1NPALUOX1WN443326
31	LT7 2012 Chevy 1/2 ton Pickup Truck	1/2 Ton Chevrolet Silverado	2012	1GCRKSE73CZ239089
32	LT8 2014 Chevy 3/4 ton Pickup Truck	3/4 Ton Chevrolet	2014	1GC1KXC83EF190292
33	A3 2015 Chevy Equinox	SUV Chevy Equinox	2015	2GNFLFEK7F6190417
34	TB1 Trench Box	Heckmann Equip Sales		
35	TB2 Trench Box	Heckmann Equip Sales		
36	T7 Box Trailor 7X16	Continental Cargo GANS716TA3	2016	5NHUN562XGU112022
37	T8 Box Trailer	Cove	2016	53FBE1425GF020683

ADAMS CONTRACTING, LLC
EQUIPMENT LIST

EQUIP #	ITEM	DESCRIPTION	YEAR	SERIAL NUMBER
38	T9 Dump Trailer	Texas Pride Dump	2016	1B9G2EBD8GB624916
39	LT9 2016 Chevy 3/4 Ton Pickup Truck	3/4 Ton Chevrolet	2016	1GC1KUEG0G170670
40	EX2 2014 Takeuchi TB235R Excavator	Takeuchi Mini Excavator w/ 18" bucket	2014	123504846
41	H1 Montabert SC-22 Hydraulic Hammer	Montabert SC-22	2015	A00X09174
42	PT3 Wacker Plate Tamp	Wacker Model WP 1550 AW	2013	30045066
43	LT10 2016 Chevy 3/4 Ton Pickup Truck	3/4 Ton Chevrolet	2016	1GC2KUEG8GZ335674
44	EX3 2012 John Deere 350G	John Deere Excavator w/ 54" bucket	2012	1FF350GXCCCE808616
45	EX4 2014 John Deere 290G	John Deere Excavator w/ 36" & 48" Bucket	2014	1FF290GXHCE705637
46	EX5 2014 Takeuchi TB235 Excavator	Takeuchi Mini Excavator w/ 12" & 24" bucket	2014	123504412
47	AL24M Auto Level	AL24M Auto Level		867458
48	Wacker 3" Trash Pump	Wacker 3" Trash Pump 2/ hoses	2016	24309517
49	TH3 Theodolite 2" w/ case	Theodolite 2" w/ case		841841
50	T10 18' Flat Trailer	North Shore	2016	545N1FE21GB005715

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>SEE ATTACHED, "ADAMS CONTRACTING, LLC PROJECT EXPERIENCE"</u>		
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>SEE ATTACHED, "ADAMS CONTRACTING, LLC CURRENT BONDED PROJECTS"</u>		
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
<u>SCOTT ADAMS, P.E.</u>	<u>PRESIDENT</u>	<u>1</u>
<u>GREG BURCH</u>	<u>SUPERINTENDENT</u>	<u>1</u>
<u>MELANIE ANDERKIN, P.E.</u>	<u>SR. PROJECT ENGINEER</u>	<u>0.5</u>
_____	_____	_____
_____	_____	_____

ADAMS CONTRACTING, LLC
PROJECT EXPERIENCE

Work Type	Location of Work	Contract Price
Southland Park Mega Splash Bucket Installation - Removal of splash pool mushroom spray and replace with mega splash bucket	Lexington, KY	\$25,000.00
Shillito Park Waterline and Fountain Installation - Install Waterline, Drinking Fountains, erosion control, traffic control, grade, drain, concrete sidewalks, concrete paving	Lexington, KY	\$30,000.00
Zandale Bridge Rehab - Rehab Structural Steel Pedestrian Bridge - demo existing bridge, install erosion control, set new bridge	Lexington, KY	\$36,000.00
Design/Build Fort Campbell 110' Span Saline Creek Bridge with Grade and Drain and Asphalt, Type 4 Modified Prestress Beams & 8" PIP Deck, H Piles, End Bents, Structure Removal, DGA, Traffic Control, Erosion Control, Class A Concrete, Class AA Concrete, Reinforcing Steel, Epoxy Reinforcing Steel, Cyclopean Rip Rap, Steel Intermediate Diaphragms, Guardrail	Fort Campbell, KY	\$703,000.00
Concrete Fishing Access - Rip Rap, Grade and Drain, Erosion Correction, Concrete flume repairs, Grouting, Concrete Patching	Barkley Dam, KY	\$8,000.00
Chickamauga Dam Repair - Structural Concrete Repairs, Full Depth Concrete Removal and Patching, Partial Depth Concrete Removal and Patching, Reinforcing Steel, Concrete Pavement Replacement	Chattanooga, TN	\$253,000.00
Bell County Replace Concrete Bridge - Grade, Drain, Asphalt Paving, DGA, Remove Structure, Construct New Bridge, Headwalls, Channel Lining, Erosion Control, Traffic Control	Bell County, KY	\$250,000.00
Legacy Trail Concrete Foundations, Traffic Control, Erosion Control, Rock Excavation, Reinforcing Steel, Structural Concrete, Seeding	Lexington, KY	\$119,600.00
Livingston Streetscapes - Concrete Sidewalk Demolition, DGA, Traffic Control, Erosion Control, New ADA Concrete Sidewalk, Steps, Handrail, Handicap Ramps, Storm Drain Repair, Detectable Warnings	Livingston, KY	\$330,000.00
Jessamine County Replace Roadway - Concrete Pavement Demolition, Concrete Pavement Placement, DGA, Traffic Control, Storm Drain, Clearing, Electrical, Asphalt Paving, curb	Jessamine County, KY	\$125,000.00

ADAMS CONTRACTING, LLC
PROJECT EXPERIENCE

Work Type	Location of Work	Contract Price
Pick Wick Design/Build Crane Pad - Labor and Equipment only to grade, drain, install footers, piers, dense graded aggregate, building slab, apron, concrete hardener, erosion control	Pick Wick, TN	\$70,000.00
Max Rhoades Concrete Paved Road - Grade, Drain, Stone, JPC Pavement, DGA, Traffic Control, Storm Drain, Clearing, Electrical, Fencing, Erosion Control	Owensboro, KY	\$350,000.00
Fire Station #3 - Metal Stair Replacement and Concrete Work; Demo stairs, remove pavement, excavation, install structural concrete, install pre-fabricated structural steel stairs	Lexington, KY	\$32,800.00
Ikebana Pathway - Georgetown, KY; Excavation, Waste, Erosion Control, Traffic Control, Undercut, Geotextile Fabric, DGA, Curb and Gutter, Asphalt Paving, Seeding, Storm Drain	Georgetown, KY	\$112,002.50
Georgetown Sidewalk and Street Paving - Place ADA sidewalk, detectable warnings, excavation, DGA, concrete sidewalks	Georgetown, KY	\$88,000.00
Bourbon County Limestone Crusher Foundations - Structural Excavation, Place structural concrete foundations, anchor bolts	Paris, Ky	\$185,400.00
Frankfort, KY Schenkel Lane 100' span Pedestrian Bridge (design/build of bridge) - Build new concrete abutments, excavate, erosion control, replace guardrail, traffic control, install pre-fabricated structural steel bridge	Frankfort, KY	\$181,400.00
Chickamauga Lock & Dam Repair - Structural Concrete Repairs, Partial Depth Concrete Removal and Patching, , Drill and Epoxy, Demolition of Concrete Stairs, Design and Installation of Structural Steel Stairs	Chattanooga, TN	\$160,000.00

ADAMS CONTRACTING, LLC
CURRENT BONDED PROJECTS

Work Type	Location of Work	Contract Price
Murfeesboro Greenway Trail - Clearing and Grubbing, Grade, Drain, DGA, Asphalt Paving, Concrete Paving, Concrete Sidewalks, Curb and Gutter, Concrete Abutments, Structural Excavation, Rock Excavation, Pre-Fabricated Structural Steel Bridges, Seeding, Erosion Control, Traffic Control, Construction Entrances, Temporary Haul Roads, Retaining Wall, Concrete Culvert Pipe and Headwalls, Erosion Matting, Channel Lining	Murfeesboro, TN	\$ 2,073,000.00
Pickett County, TN Box Slab Bridge - Demolition of Existing Bridge, Construct Creek Diversion, Construct Poured in Place Bridge, Backfill, Asphalt Paving, Guardrail, Erosion Control	Pickett County, TN	\$ 180,000.00
Grundy County, TN Box Slab Bridge - Demolition of Existing Bridge, Construct Creek Diversion, Construct Poured in Place Bridge, Backfill, Asphalt Paving, Guardrail, Erosion Control	Grundy County, TN	\$ 350,000.00
Citico Pump Station Handrail Along River Walk - Concrete Repairs, Install Handrail	Chattanooga, TN	\$ 60,600.00
TDOT Macon Co. - Concrete Slab Bridge, Grade and Drain, Paving	Macon Co., TN	\$ 697,868.54
Bledsoe County, TN Box Slab Bridge - Demolition of Existing Bridge, Construct Creek Diversion, Construct Poured in Place Bridge, Backfill, Asphalt Paving, Guardrail, Erosion Control	Bledsoe County, TN	\$ 350,000.00

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
INTERNATIONAL TRAFFIC SYSTEMS, INC	TDOT BRIDGE	YES	2.55
TKM, INC	TDOT BRIDGE	YES	4.25
TONI LEVY & ASSOC.	TDOT BRIDGE	YES	4.24
<u>TONI LEVY & ASSOC</u>	<u>RUSSELLVILLE STREETSCAPE</u>	<u>YES</u>	<u>8%</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

6. LIST OF PROPOSED SUBCONTRACTORS

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	<u>% of Work</u>
1. <u>NO SUBCONTRACTORS</u> <u>ALL SELF-PERFORM WORK</u>	Name: _____ Address: _____	_____	_____
2. _____	Name: _____ Address: _____	_____	_____
3. _____	Name: _____ Address: _____	_____	_____
4. _____	Name: _____ Address: _____	_____	_____
5. _____	Name: _____ Address: _____	_____	_____
6. _____	Name: _____ Address: _____	_____	_____
7. _____	Name: _____ Address: _____	_____	_____

(Attach additional sheet(s) if necessary.)

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) and Veteran-Owned Small Business (VOSB) Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned subcontractors or Veteran-Owned and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
 - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.

- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.
- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled “MWDBE Participation Form”. The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

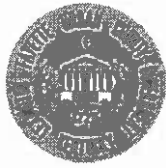
- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form.” The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the “MWDBE Participation Form”, the “Quote Summary Form” and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter “None” on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:

- a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- b. Included documentation of advertising in the above publications with the bidders good faith efforts package
- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned businesses with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a

thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.
- o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller
Minority Business Enterprise Liaison
Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street
Lexington, KY 40507
smiller@lexingtonky.gov
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

“A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises...”

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

In addition, to that end the city council also adopted and implemented resolution 167-91—Veteran-owned Businesses, 3% Goal Plan in July of 2015. The resolution states in part (a full copy is available in Central Purchasing):

“A resolution adopting a three percent (3%) minimum goal for certified veteran-owned small businesses and service disabled veteran-owned businesses for certain of those Lexington-Fayette Urban County contracts related to construction for

professional services, and authorizing the Division of Purchasing to adopt and implement guidelines and/or policies consistent with the provisions and intent of this resolution by no later than July 1, 2015.”

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs in Economic Engine (<https://lfucg.economicengine.com>)

Business	Contact	Email Address	Phone
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625
Tri-State Minority Supplier Diversity Council	Sonya Brown	sbrown@tsmsdc.com	502-625-0137
Small Business Development Council	Dee Dee Harbut UK SBDC	dharbut@uky.edu	
	Shiree Hawkins	smack@uky.edu	
Community Ventures Corporation	Phyllis Alcorn	palcorn@cvky.org	859-231-0054
KY Department of Transportation	Melvin Bynes	Melvin.bynes@ky.gov	502-564-3601
	Shella Eagle	Shella.Eagle@ky.gov	502-564-3601
Ohio River Valley Women’s Business Council (WBENC)	Rea Waldon	rwaldon@gcul.org	513-487-6534
Kentucky MWBE Certification Program	Yvette Smith, Kentucky Finance Cabinet	Yvette.Smith@ky.gov	502-564-8099
National Women Business Owner’s Council (NWBOC)	Janet Harris-Lange	janet@nwbo.org	800-675-5066
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971
LaVoz de Kentucky	Andres Cruz	lavozdeky@yahoo.com	859-621-2106
The Key News Journal	Patrice Muhammad	paatricem@keynewsjournal.com	859-373-9428



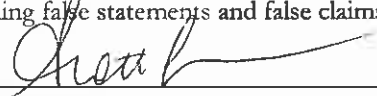
LFUCG MWDBE PARTICIPATION FORM
 Bid/RFP/Quote Reference # 104-2016

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.	NO SUBCONTRACTORS, ALL SELF-PERFORM WORK		
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

ADAMS CONTRACTING, LLC
 Company


 Company Representative

9/7/16
 Date

SCOTT ADAMS, P.E., PRESIDENT
 Title



LFUCG MWDBE SUBSTITUTION FORM
Bid/RFP/Quote Reference # 104-2016

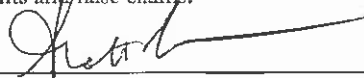
The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. Failure to submit this form may cause rejection of the bid.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/A					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

ADAMS CONTRACTING, LLC
 Company

9/7/16
 Date


 Company Representative

SCOTT ADAMS, P.E., PRESIDENT
 Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 104-2016

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project.

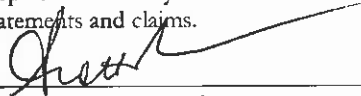
Company Name ADAMS CONTRACTING, LLC	Contact Person SCOTT ADAMS, P.E.
Address/Phone/Email 501 DARBY CREEK RD., STE 18 LEXINGTON, KY 40509	Bid Package / Bid Date 104-2016 / 9/7/16

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
NO SUBCONTRACTORS, ALL SELF-PERFORM WORK							

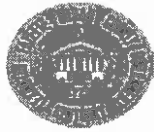
(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

ADAMS CONTRACTING, LLC
Company
9/7/16
Date



Company Representative
SCOTT ADAMS, P.E., PRESIDENT
Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # _____
Total Contract Amount Awarded to Prime Contractor for this Project _____

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company

Company Representative

Date

Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 104-2016

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

 Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

 Included documentation of advertising in the above publications with the bidders good faith efforts package

 X Attended LFUCG Central Purchasing Economic Inclusion Outreach event

 Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

 Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

 requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).

 Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

 Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

 Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

 Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

 Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work

items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

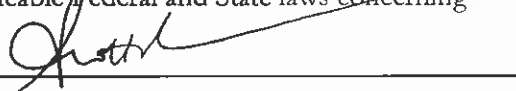
_____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

_____ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

ADAMS CONTRACTING, LLC



Company
9/7/16
Date

Company Representative
SCOTT ADAMS, P.E., PRESIDENT
Title

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State X or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky _____. Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: SEE ATTACHED RESUMES: SCOTT ADAMS, P.E., GREG BURCH, MELANIE ANDERKIN, P.E.

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

Scott Adams, P.E.
President of Adams Contracting, LLC

Mr. Adams has over 20 years of experience in the heavy/highway construction industry. He has managed highway and airfield projects, structural concrete projects, underground utility projects and steel fabrication projects. These projects include grade and drain, concrete structures, culverts and bridges, noise barrier walls, precast walls, concrete paving, concrete repairs, joint sealing, asphalt paving, lime and cement stabilized bases, P-209, DGA, CSB, traffic control, electrical work, erosion control, underground utilities and all other miscellaneous aspects typical of highway and airport projects. He has overseen all of the construction activities of Hinkle's Concrete Construction Division (Lexington office & Hopkinsville office) from 2003 thru 2011. He was the division's professional engineer of record during that period. Mr. Adams was the experienced person that qualified Hinkle to perform grade, drain, concrete and asphalt work for heavy/highway projects in North Carolina and West Virginia during his time with Hinkle.

Mr. Adams is currently the sole member and President of Adams Contracting, LLC.

PAST EXPERIENCE

Reconstruct KY 22/Grant County KYTC, Dry Ridge, Kentucky, 2003 -2004 – Division Manager – Reconstruct section of KY 22 with concrete paving under heavy traffic. Worked for a joint venture and KYTC to self perform the concrete paving on urban area of KY 22 and on the new bypass.

Expand Lexington Recycling Center Apron/LFUCG, Lexington Kentucky, 2003 -2004 Division Manager – Construct a concrete apron expansion for recycling center. Project included grade, drain, detention basins, stone and concrete paving. Completed project during winter weather conditions and expedited schedule.

UH-60 Helicopter Apron Construction/Corps of Engineers Mobile District, Fort Rucker, Alabama, 2004-2005 – Division Manager – Constructed combined asphalt and concrete paved parking apron for UH-60 Helicopters. Project was completed prior to contract completion date. Project included, grade and drain, stone base, asphalt paving, concrete paving, striping, and electrical services. Obtained a safety award for this project from the Corps of Engineers.

Reconstruct Runway 13-31 East/Nashville International Airport, Nashville, Tennessee, 2004- Division Manager – Reconstructed 2000' of runway from asphalt pavement to concrete pavement. Project included demolition, grade and drain, base stone, treated base, concrete paving, asphalt paving, electrical services, striping, and grooving.

Reconstruct Taxiways Lima and Juliet/Nashville International Airport, Nashville, Tennessee, 2004 – Division Manager – Reconstructed two taxiways under tight schedule. Completed the project early to open prior to Thanksgiving holiday. Project included demolition, grade and drain, base stone, asphalt paving, electrical services, and striping.

Position

President & Sole Member

Education:

B.S., Pre-engineering
Georgetown College 1994

B.S., Civil Engineering,
University of Kentucky, 1996
(Specialized in Construction
Management and Traffic
Engineering)

Registrations/Certifications:

Professional Engineer,
Kentucky 2000, No. 21026

KESPC Roadway Inspector
2015-2018

KSPE/NSPE Member 2012,
2013, 2014, 2015

KCPA Board Member 2004 thru
2011

ACPA Airport Committee 2006
thru 2011

KAHC Materials Subcommittee
2004 thru 2011

Office:

Lexington, Kentucky

Years of Experience:

Since 1994

Years with Hinkle Contr:

1995 – 1997

2002 – 2011

Years with Bottoms Eng:

1997 - 2002

Years with WME:

2011- 2014

Years with AMC, PLLC

2011- 2014 current

Years with Angel Contr:

2014- 2015

Years with Adams Contr:

2015- current

Reconstruct Runway 2R, Taxiways Whiskey and T4 at Lima/Nashville International Airport, Nashville, Tennessee, 2004 – 2005 – Division Manager – Reconstructed three areas of pavement and placed concrete pavement. Project included demolition, grade and drain, base stone, treated base, concrete paving, asphalt paving, electrical services, striping, and grooving.

Extend Runway Safety Areas/Sumner County Airport, Gallatin, Tennessee, 2004 – 2005 – Division Manager – Relocated the existing road and constructed safety area at end of the runway. This project included grade and drain, asphalt paving, and crack sealing.

Construct Bypass/Clark County KYTC, Winchester, Kentucky, 2004 - 2005 – Division Manager – Concrete paved two mile section of new bypass.

Construct Concrete Ramps for Jellico Mountain Exit/Campbell County TDOT, Jellico, Tennessee, 2005 -2006 – Division Manager – Constructed the treated permeable base and concrete pavement for the ramps and aprons at a new exit.

Reconstruct US 27/Campbell County KYTC, Alexandria, Kentucky, 2005 -2007 – Division Manager – Reconstruct five miles of section of US 27. Project included grade and drain, lime stabilization, stream mitigation, clearing and grubbing, intense traffic control, precast noise barrier wall, concrete paving, asphalt paving, stone base, cement treated permeable base, all related highway construction items. This project was constructed in several sections due to the existing traffic and the tight work areas. Was responsible engineer for \$195,000+ value engineering for new tie-in design.

Construct Oil Containment Pits/BGMU, Bowling Green, Kentucky -2005 – Division Manager – Construct concrete oil containment pits and concrete holding tanks at eleven substations.

Reconstruct Runway 13-31 West/Nashville International Airport, Nashville, Tennessee, 2005 – 2006 - Division Manager – Reconstructed 800' of runway from asphalt pavement to concrete pavement. Project included demolition, grade and drain, base stone, treated base, concrete paving, asphalt paving, electrical services, striping, and grooving.

Reconstruct Apron @ T4/Nashville International Airport, Nashville, Tennessee, 2005 – Division Manager – Reconstructed apron with concrete pavement. Project included demolition, grade and drain, base stone concrete paving, asphalt paving, electrical services, and striping.

Construct Mini Mall/Fort Campbell, Kentucky - 2005 – Division Manager – Placed all concrete foundations, building slabs, and exterior concrete and stained concrete.

Reconstruct Apron @ T6 and Taxiways Lima and Juliet @ T6/Nashville International Airport, Nashville, Tennessee, 2006- Division Manager – Reconstructed the intersection of T6 and Lima and Juliet. Project included demolition, grade and drain, base stone, concrete paving, asphalt paving, electrical services, and striping.

Construct College Street Streetscapes/City of Somerset, Somerset, Kentucky, 2006 – 2007 – Division Manager – Relocate all overhead utilities to underground and reconstruct all sidewalks, entrance drives, curbs and gutters, asphalt paving, water and sewer lines and landscaping for half mile urban area of Somerset.

Construct Expansion of Jennie Stuart Medical Center/Hopkinsville, Kentucky, 2006 – 2007 – Division Manager – Placed all concrete on the project including underground tunnel, concrete foundations, building slabs including all vertical concrete columns and slabs. This project included stained concrete, exposed aggregate columns, and post tensioning.

Construct New Terminal Apron/Charleston Executive Airport, Charleston, South Carolina, 2007 – 2008 – Division Manager – This project included grade and drain, box culvert, detention basis, base stone, and concrete paving.

Reconstruct Runway 13-31 East, Taxiway Tie-Ins and Reconstruct Runway 13-31 and Runway 2L-20R Intersection/Nashville International Airport, Nashville, Tennessee, 2007 - 2009 Division Manager – Reconstructed approximately 2200' of runway and taxiways tie-ins with new concrete pavement. This project includes demolition, grade and drain, base stone, treated base, concrete paving, asphalt paving, electrical services, striping, and grooving. Was able to suggest and execute a new phasing plan to help expedite the schedule and allow for additional work to be performed in the same time frame. Was also instrumental in proposing and executing a change in the treated base to save the MNAA substantial amount of money.

Reconstruct US 27 Pulaski County KYTC 2007 - 2008 Division Manager – Construct 6 poured in place box culverts for US 27 widening.

Bypass Construction Pulaski County KYTC 2009 - Division Manager – Construct 2 poured in place box culverts and precast noise barrier wall for construction of bypass

Construct North Myrtle Beach Connector SCDOT, Horry County, 2007 – 2009 - Division Manager – This project includes new concrete pavement for 3 miles of the North Myrtle Beach Connector.

Construct Expansion of Detention Center/Robertson County Detention Center, Springfield, Tennessee, 2007 – 2010 - Division Manager – This project includes all sitework for the project and all concrete on the project including concrete foundations, building slabs and all vertical concrete columns and slabs. This project also includes building demolition, asphalt and concrete demolition, sanitary sewer relocation, waterline relocation, and traffic control.

Reconstruct New Taxiway 'B2' and General Aviation Apron Slab Improvements (Phase 1) Project/Myrtle Beach International Airport, Myrtle Beach , 2009 – 2010 - Division Manager – Reconstructed 6,000 sy of apron and placed 13,000 sy of new taxiway. This project includes demolition, grade and drain, base stone, concrete paving, asphalt paving, electrical services, and striping.

Reconstruct Runway 2R Nashville International Airport – 2009 – Division Manager – Project consisted of resealing the joints, spall repair, full depth concrete repair, partial depth concrete repair, and restriping and regrooving the runway

Reconstruct Box Beam Bridge Kerrsville Lane in Bourbon County, KY 2009 – 2010 - Division Manager – Designed and Reconstructed 42' span Box Beam Bridge and raise 4.5' including all permits, etc. This project includes demolition, grade and drain for new approaches, cast in place abutments, box beams, guardrail, DGA, concrete paving.

Construct Steel Truss Bridge with Poured Deck Mt. Brilliant Farms, Lexington, KY 2010 - Division Manager – Design and Constructed 75' span Steel Truss Bridge over creek (HS25 Loading) with design details specifically for Equestrian. This project includes cast in place abutments, grade and drain for new approaches, cast in place, structural steel truss bridge, concrete deck, guardrail, DGA, concrete paved approaches.

Reconstruct Runway 6-24 Rehabilitation, Lancaster County Airport, South Carolina, 2010 - Division Manager – Reconstructed 6003' of runway via concrete overlay. This project includes milling existing asphalt to grade and concrete paving overlay during a 45 day runway shut down.

Construct I-59 Concrete Pavement Rehabilitation ALDOT, Etowah County, 2010 - 2011 - Division Manager – This project includes all clearing & grubbing, grade and drain concrete demolition, asphalt bond breaker, 11 miles divided highway concrete paving overlay, guardrail, traffic control, and all other work related to reconstructing I-59.

Construct US 52 (King Coal Highway) WVDOH Mingo County, 2010 - 2011 - Division Manager – This project includes all clearing & grubbing, grade and drain, subgrade stone, cement stabilized drainage blanket 13 miles highway concrete paving, guardrail, traffic control, and all other work related to reconstructing US52.

Construct Stanton Airport Taxiway, Stanton, KY, 2011 - 2012 – Senior Manager – Oversaw construction of new parallel taxiway at the Stanton airport. Scopes of work included grade and drain, lime stabilization, electrical, P-209, asphalt paving.

Scott Adams, P.E.
President of Adams Contracting, LLC

Construct Fishing Pier and Accessible Walkway Barkley Dam 2014 - 2015 – Senior Manager – Oversaw grade, drain and concrete construction of the new fishing pier and ADA walkway at the Barkley Dam.

Construct Numerous Water, Sewer, Gas Underground Utility Projects, Concrete Structures and Storm Drain Projects, Kentucky, Ohio, Indiana, Tennessee KY, 2011 - 2015 – Senior Manager – Oversaw bidding and new construction and relocation of water, sanitary, gas, storm and structural concrete projects as prime contractor and subcontractor.

Structural Concrete Repair of Chickamauga Dam, Chattanooga, TN 2014 - 2015 – President – Oversaw concrete demolition and replacement of defective concrete at the Chickamauga Dam for the Corps of Engineers.

Mega Splash Bucket, Lexington, KY 2015 – President – Remove existing play feature and install new Mega Splash Bucket for LFUCG.

Zandale Pedestrian Bridge, Lexington, KY 2015 – President – Remove existing pedestrian bridge and install new structural steel bridge for Mega Splash Bucket for LFUCG.

Shillito Fountains and Water Line, Lexington, KY 2015 – President – Install waterline and water fountains for LFUCG.

Legacy Trail Flagpole Foundations, Lexington, KY 2015 – President – Construct concrete foundations for flag poles for LFUCG.

Livingston Streetscapes, Livingston, KY 2015 – Construct new sidewalks, curbs and gutters downtown Livingston

Bell County Bridge, Bell County, KY 2015– Construct new bridge for KYTC in Bell County, KY.

Pick Wick, TN Crane Building Foundation, Pick Wick, TN 2015 – Construct grade, drain, concrete foundation and building slab for crane pad for the Corps of Engineers.

Fort Campbell Saline Creek Bridge, Fort Campbell, TN 2015 – *Construct 107' span bridge* and approaches on H-piling with grade, drain, concrete end bents, prestress beams, stone, asphalt and guardrail for the Department of Works.

Max Rhoades Concrete Paving, Owensboro, KY 2015 – Construct JPC Paving, DGA, Grade, Storm Drain, Utility Relocations, Sidewalks, Seeding, Fencing, Rip Rap, Asphalt Paving, Geotextile Fabric

Ikebana Pathway, Georgetown, KY 2015 – Construct curb and gutter, Asphalt Paving, DGA, Grade, Storm Drain, Utility Relocations, Sidewalks, Seeding, Fencing, Rip Rap, Geotextile Fabric

Gregory W. Burch – Concrete Superintendent Adams Contracting, LLC

Mr. Burch has worked in the construction industry for 28 years. He has worked as a laborer, finisher, carpenter and supervisor. He has constructed single story buildings multi-story buildings, airports, streetscapes, highway projects, culverts, retaining walls, PIP bridges, precast bridges, etc. Owners he has worked for are Corps of Engineers, Cities, Counties, DOT's, hospitals and other general contractors. He is responsible for safety, quality, production, scheduling and team building on each of his projects. He has certifications for CPR, OSHA 30, First Aid, CQM.

Adams Contracting: March 2015 - Present

Superintendent:

Barkley Dam: Grade, Drain and concrete and erosion repairs.

Bell County: Grade, Drain, DGA, Diversion, concrete footers and set precast bridge.

Livingston Streetscapes: Concrete removal, grade and drain, DGA, sidewalk, traffic control, curb and gutter

Max Rhoades Concrete Paving: JPC Pavement, DGA, sidewalk, geotextile fabric, erosion control, traffic control, asphalt patching, joint sealing, grading, storm drain

Winchester, TN Streetscapes: JPC Pavement, DGA, pavement demolition, storm drain, erosion control, sidewalk, curb and gutter, traffic control, electrical & lighting

Angel Contracting: June 2014 – March 2015

Superintendent:

Jackson Safe Routes to School: Reconstruct concrete retaining walls, sidewalk, asphalt patching, drainage, curb and gutter.

Versailles Streetscapes: Rebuild downtown Versailles; new concrete sidewalk, electric, light poles, ADA pavers, storm drain.

Barkley Dam: Grade, Drain and construct concrete access ramp and fishing pier.

Repair Chickamauga Dam: Demolition and replacement of structural concrete at the Chickamauga Dam for the Corps of Engineers.

Hemlock Semiconductor: Contract Superintendent/Safety - fix sink holes, change out piping, fix concrete, fix erosion areas, build roads, grade and drain

Hinkle Contracting April 2006 – June 2014

Warsaw, KY: Repair JPC Pavement, Superintendent - oversaw removal of concrete, replace concrete, diamond grind roadway, saw and reseal joints.

Toyota – Georgetown, KY: Parking Lots, Superintendent - build three parking areas for semis and cars, storm drains electric and landscaping

Hemlock Semiconductor: Manta Nice contract Foreman/Safety - fix sink holes, change out piping, fix concrete, fix erosion areas, build roads, snow removal, drywall repair and paint, roof repair

Jacobs Engineering: Containment ponds Foreman/safety

Multi. Structure with elevated walls and walks with 3200 yds. of concrete
Involved with day to day activities in safety and production.

Pier caps – Foreman/safety

Install 1200 caps with anchor bolts had multiple crews working on these projects
Safety and production job duties.

Robertson County Jail – Springfield, TN: Robertson County Jail Safety/Quality control
Multiple story Building 4 floors of concrete. Use of EFCO forms and fall protection were utilized Man lifts and cranes were need for this job as well.

Battle Command – Fort Campbell, KY: Battle Command Fort Campbell Ky.

Supervisor/safety

82,000 sq.ft. One story building I was responsible for safety and production on this job we had as many as 22 employees on this job.

Jennie Stewart Medical Center: Supervisor/safety

This was A 4 story hospital with multi concrete floors we used EFCO forms on elevator shafts and elevated floors fall protection, man lifts, cranes, all terrain lifts were used on this job.

Robins & Morton:

Jennie Stewart surgical center Supervisor/safety

This was one story building 16,000 sq. ft. I was responsible for safety as well as production as many as 12 employees were on this job

Phillips Construction Aug.2004-April 2006

WO&D Bridge Carpenter foreman

210 ft. bridge above a 4 lane highway install abutment, piers, piles, end bents, steel beams and deck. Fall protection was a must on this job man lifts were use as well as a crane. EFCO forms were used on walls and decks scaffolds and rail systems were used responsible for safety and production.

Wier walls carpenter foreman

Multi wall from 14 ft. to 8 ft. lifts and fall protection was used on this job responsible for safety and production

Hardaway Construction July 2002-Aug. 2004

Army Reserve training center Fort Knox Ky. Carpenter/foreman

Two Story building with concrete floor responsible for safety. Installed rails around 2 floor and safety lines on roof visual on pipe scaffolding. Fall protection and lifts were used on this job

Melanie R. Anderkin, P.E.
1008 Jouett Creek Drive
Lexington, KY 40509

EDUCATION

- BS, Civil Engineering; University of Kentucky

ENGINEERING/CONSTRUCTION EXPERIENCE

Adams Contracting, LLC; Lexington, KY; Mar 2016-present

Sr. Project Engineer

Responsibilities: estimating; submittals, take-offs; project specifications; pay item tracking; project liaison to owners, subcontractors and vendors; scheduling; job costing; other duties as needed

Hinkle Contracting Company, LLC; Paris, KY; Aug'09-Feb'16

Contract Administration Department Manager; Jan'13-Feb'16

Responsibilities: manage all Contract Administration for three asphalt paving divisions and one construction division; review all construction contracts, assess risk and ensure compliance; obtain/maintain bid prequalification and contractor licensing for public and private work; job costing; liaison between operations and accounting; other duties as needed

Concrete Division Project Engineer; Aug'09-Dec'12

Projects: Cleveland Jet Port, Owner: City of Cleveland, TN

Cumberland Gap Tunnel Pavement Repair, Owner: KYTC

King Coal Highway Paving, Owner: WVDOH

I-59 Concrete Pavement Rehabilitation, Owner: ALDOT

Lancaster County Airport, SC Runway Rehab

Responsibilities: estimating; submittals, take-offs; project specifications; pay item tracking; materials inventory tracking; project liaison to owners, subcontractors and vendors; scheduling; pavement ride analysis; job costing; other duties as needed

University of Kentucky; Jan'08-May'09

University of Memphis; May '06-May '07

Undergraduate Research Assistant

Projects: Critical State Parameters of Kentucky Clay; Loess Soil; Geofoam

Responsibilities: technical writing; laboratory soil testing/reporting; literature analysis; collaborative research; web design

Sayre & Associates Engineering; Lexington, KY; Aug '04-May '05

Forensic Traffic Accident Reconstruction

Responsibilities: survey using Total Station and manual measurements; site analysis; gather field evidence; analyze photographs; vehicle specifications and crash test results

OTHER EXPERIENCE

Grogan's Healthcare Supply Inc.; Lexington, KY; Dec'94-Aug'04

Hero of the Year '00

Employee of the Month: March '96 and June '98

COMPUTER SKILLS

Viewpoint
ProVAL
HardDollar

B2W Estimate
B2W Track
Primavera P6

Microsoft Project
Microsoft Word,
Excel, PowerPoint

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

NAME OF INDIVIDUAL: _____

POSITION/TITLE: _____

STATEMENT OF EXPERIENCE: _____

* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

10. EQUAL OPPORTUNITY AGREEMENT

The Law

- * Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- * Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- * Section 503 of the Rehabilitation Act of 1973 States:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- * Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- * Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.



ADAMS CONTRACTING, LLC

Signature SCOTT ADAMS, P.E., PRESIDENT

Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*

- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities – Information required

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of ADAMS CONTRACTING, LLC

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

12. WORKFORCE ANALYSIS FORM

Name of Organization: ADAMS CONTRACTING, LLC

Categories	Total		White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total		
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	
Administrators	1	1																	
Professionals	2	1																	
Superintendents	5																		
Supervisors	2																		
Foremen																			
Technicians																			
Protective Service																			
Para-Professionals																			
Office/Clerical		1																	
Skilled Craft	8																		
Service/Maintenance					6														
Total:	18	3	6	6	6	6													

Prepared by: *Scott Adams*
 (Name and Title) **SCOTT ADAMS, P.E.,
 PRESIDENT**

Date: 9 / 7 / 16
 Revised 2015-Dec-15

13. EVIDENCE OF INSURABILITY

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT
(Use separate form for each Agency or Brokerage agreeing to provide coverage)


Names Insured: Adams Contracting, LLC Employee ID: _____
 Address: 501 Darby Creek Road, Suite 18, Lexington, KY 40509 Phone: 859-629-4948

Project to be insured: CONCRETE ARMED REINFORCEMENT

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$1,000,000 Occurrence \$2,000,000 Aggregate	Liberty Mutual Ins. Co.	002283	A XV
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$1,000,000 Occurrence	Liberty Mutual Ins. Co.	02283	A XV
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$4,000,000 - ALL Cov. Limit	KY AGC- SIF	055002	A- VII

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage: Van Meter Insurance Group Name of Authorized Representative: Michael R. Hancock
505 Wellington Way, Suite 350 VP / Producer
 Street Address: _____
 City: Lexington KY 40503 State: _____ Zip: _____
 Telephone Number: 859-263-2771 Authorized Signature: 
 Date: 9/6/16

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.

14. DEBARRED FIRMS

PROJECT NAME: ADAMS CONTRACTING, LLC

BID NUMBER: 104-2016

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
LEXINGTON, KY**

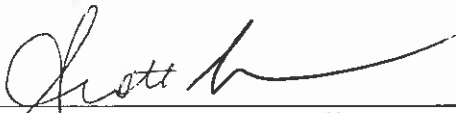
All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of ADAMS CONTRACTING, LLC has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

ADAMS CONTRACTING, LLC

Name of Firm Submitting Bid



Signature of Authorized Official

SCOTT ADAMS, P.E., PRESIDENT

Title

9/7/16

Date

15. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
 - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
 - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: ADAMS CONTRACTING, LLC

Project: CONCRETE APRON REPLACEMENT, STA. 12, 13 & 14

Printed Name and Title of Authorized Representative: SCOTT ADAMS, P.E., PRESIDENT

Signature: 

Date: 9/7/16

END OF SECTION



ADDENDUM #1

Bid Number: **#104-2016**

Date: August 30, 2016

Subject: **Concrete Apron Replacement at Fire
Fire Stations 12, 13, and 14**

Address inquiries to:
Kristie Thomas
(859) 258-3320

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced Bid:

Section 010000 Special Requirements, Item 1 Scope, Part A Project Descriptions 1, 2, and 3 have been revised to match the Plans. The reinforced heavy duty 24hr setting concrete paving is to be 6" thick.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: ADAMS CONTRACTING, LLC

ADDRESS: 501 DARBY CREEK RD., STE. 18, LEXINGTON, KY 40509

SIGNATURE OF BIDDER:

Scott Adams, P.E., President



 **AIA** Document A310™ – 2010

Bid Bond

CONTRACTOR:

(Name, legal status and address)

Adams Contracting, LLC

501 Darby Creek Road, Suite 18
Lexington, KY 40509

OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government
200 E. Main St., 3rd Fl
Lexington, KY 40507
BOND AMOUNT: 5% of Amount Bid

SURETY:

(Name, legal status and principal place of business)

SureTec Insurance Company
1330 Post Oak Blvd. Suite 1100
Houston, TX 77056

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

PROJECT:

(Name, location or address, and Project number, if any)


Concrete Apron Replacement for Fire Stations 12, 13 & 14 - Bid #104-2016


The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

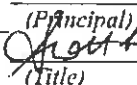
When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 7th day of September 2016

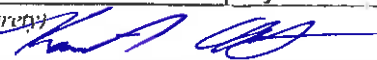

(Witness)


(Witness)

Adams Contracting, LLC

(Principal) Scott Adams, P.E., President *(Seal)*

(Title)

SureTec Insurance Company

(Surety)  *(Seal)*
(Title) Kenneth Albert, Attorney-in-Fact

CAUTION: You should sign an original AIA Contract Document, on which this text appears in RED. An original assures that changes will not be obscured.

Init.

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061110

SureTec Insurance Company

LIMITED POWER OF ATTORNEY

Know All Men by These Presents, That SURETEC INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Texas, and having its principal office in Houston, Harris County, Texas, does by these presents make, constitute and appoint

Steven M. Baas, Benjamin P. Dycus, Melissa Napier, Kenneth Albert

its true and lawful Attorney-in-fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings or other instruments or contracts of suretyship to include waivers to the conditions of contracts and consents of surety for:

Two Million, Five Hundred Thousand and 00/100 Dollars (\$2,500,000.00)

and to bind the Company thereby as fully and to the same extent as if such bond were signed by the President, sealed with the corporate seal of the Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney-in-Fact may do in the premises. Said appointment shall continue in force until 5/18/2017 and is made under and by authority of the following resolutions of the Board of Directors of the SureTec Insurance Company:

Be it Resolved, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and of behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

Be it Resolved, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached. (Adopted at a meeting held on 20th of April, 1999.)

In Witness Whereof, SURETEC INSURANCE COMPANY has caused these presents to be signed by its President, and its corporate seal to be hereto affixed this 26th day of February, A.D. 2015

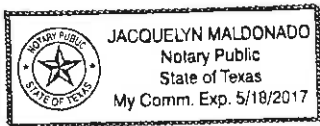
SURETEC INSURANCE COMPANY

By: [Signature]
John Knox Jr., President



State of Texas ss:
County of Harris

On this 26th day of February, A.D. 2015 before me personally came John Knox Jr., to me known, who, being by me duly sworn, did depose and say, that he resides in Houston, Texas, that he is President of SURETEC INSURANCE COMPANY, the company described in and which executed the above instrument; that he knows the seal of said Company; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said Company; and that he signed his name thereto by like order.



[Signature]
Jacquelyn Maldonado, Notary Public
My commission expires May 18, 2017

I, M. Brent Beaty, Assistant Secretary of SURETEC INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Company, which is still in full force and effect; and furthermore, the resolutions of the Board of Directors, set out in the Power of Attorney are in full force and effect.

Given under my hand and the seal of said Company at Houston, Texas this 7th day of September, 2016, A.D.

[Signature]
M. Brent Beaty, Assistant Secretary

Any instrument issued in excess of the penalty stated above is totally void and without any validity.
For verification of the authority of this power you may call (713) 812-0800 any business day between 8:00 am and 5:00 pm CST.