

**PART III**  
**FORM OF PROPOSAL**

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**PART III**  
**FORM OF PROPOSAL**

**TOWN BRANCH WASTEWATER TREATMENT PLANT  
AERATION IMPROVEMENTS  
BID NO. 32-2020**

Place: Lexington, Kentucky

Date: 4/30/2020

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Dugan & Meyers LLC

2700 River Green Circle, Louisville, KY 40206

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Ohio Dugan & Meyers LLC, doing business as Dugan & Meyers LLC, a Limited Liability Company "a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for the **TOWN BRANCH WASTEWATER TREATMENT PLANT AERATION IMPROVEMENTS** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of **\$1,500.00** for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 3/17/2020

Addendum No. 2 Date 3/19/2020

Addendum No. 3 Date 4/6/2020

Addendum No. 4 Date 4/15/2020

Addendum No. 5 Date 4/21/2020

Addendum No. 6 Date 4/23/2020

Addendum No. 7 Date 4/24/2020

Addendum No.      Date                     

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

**ADDENDUM #5**

Bid Number: #32-2020

Date: April 21, 2020

Subject: Town Branch Aeration Improvements

Address inquiries to:  
Brian Marcum  
(859) 258-3320

**TO ALL PROSPECTIVE SUBMITTERS:**

**Please be advised of the following clarifications to the above referenced bid:**

- The LFUCG Government Center at 200 East Main Street is temporarily closed due to the COVID-19 outbreak. **Submissions for this bid will only be accepted online.**
- Complete and sign (where appropriate) the bid documents (Form of Proposal Bid 32-2020.pdf) and upload them to the Ion Wave system on the Response Attachments tab of the bid page.
- Enter your name and email address which indicates your acceptance of the bid terms and conditions on the Response Submission tab.
- You may click on the help link in the top right corner of the Ion Wave bid page then select the "Sourcing Supplier Quick Tutorial" link under the Bid Response section for detailed instructions on how to submit your online response.






Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

COMPANY NAME: Dugan & Meyers LLC

ADDRESS: 2700 River Green Circle, Louisville, KY 40206

SIGNATURE OF BIDDER:   
Martin J. Schirmer



2. **LEGAL STATUS OF BIDDER**

Bidder Dugan & Meyers LLC

Date 4/30/2020

\* 1. A <sup>Limited Liability Company</sup> ~~corporation~~ duly organized and doing business under the laws of the State of Ohio \_\_\_\_\_, for whom Martin J. Schirmer, bearing the official title of Senior Vice President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

\* 2. ~~A Partnership~~, all of the members of which, with addresses are: (Designate general partners as such)

N/A

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\* 3. ~~An individual~~, whose signature is affixed to this Bid/Proposal (please print name)

N/A

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\*(The Bidder shall fill out the appropriate form and strike out the other two.)

**3. BIDDERS AFFIDAVIT**

Comes the Affiant, Martin J. Schirmer, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Martin J. Schirmer and he/she is the individual submitting the bid or is the authorized representative of Dugan & Meyers LLC, the entity submitting the bid (hereinafter referred to as "Bidder").

2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.

6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."

7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

*Martin J. Schirmer*  
(Affiant) Martin J. Schirmer

STATE OF Ohio

COUNTY OF Hamilton

The foregoing instrument was subscribed, sworn to and acknowledged before me by Martin J. Schirmer on this the 30th day of April, 2020.

My Commission expires: March 6, 2021

*Beth A. Dever*  
Beth A. Dever NOTARY PUBLIC, STATE AT LARGE



BETH A. DEVER  
Notary Public, State of Ohio  
My Commission Expires 03-06-2021

4. **LUMP SUM BID**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following lump sum and/or unit prices which shall include the furnishing of all labor, materials, supplies, services, equipment and/or vehicle usage, all items of cost, overhead, taxes (federal, state, local), and profit for the CONTRACTOR and any Subcontractor involved. The Bidder must make the extensions and additions showing the total amount of bid. The contract, if awarded, will be on the basis of material and equipment specified in the specification without consideration of possible substitute or "Or equal" items. (Per article 18 of the Information for Bidders). The LFUCG reserves the right to award the bid in its best interest and within fiscal constraints.

Amount shall be shown in both words and figures. In case of discrepancy, the amount shown in words will govern.

LUMP SUM BID:

NINE MILLION TWO HUNDRED SIXTY  
SEVEN THOUSAND SIX HUNDRED \_\_\_\_\_ Dollars \$ 9,267,641  
FORTY-ONE DOLLARS (Words) (Numbers)

See Section 01 29 00-Contract Considerations for discussion of cash allowances to include in the Bid.

Contract award will be made based on the Lump Sum Base Bid. The price for all Base Bid Equipment items shall be included in the Lump Sum Base Bid.

OWNER reserves the right to accept or reject any Equipment Alternatives to the Lump Sum Base Bid. Consideration of Equipment Alternatives of the selected Bidder will be made by OWNER within 60 days after the Effective Date of the Agreement.

If a Bid Alternative is selected by OWNER, the awarded Contract price will include the selected Alternative(s). The priority of selection for Alternatives shall be:



CASH ALLOWANCES

The following Cash Allowances shall be included in the Lump Sum Base Bid. The Cash Allowances for non-Lump Sum items shall be equal to the product of the quantity included in the Lump Sum Base Bid and the Unit Price. The Cash Allowances will be adjusted in the event that estimated quantities to be included in the Lump Sum Base Bid are different from final measured quantities. A single Unit Price shall be bid for each item. Failure to include one or more of the following Unit Price items may result in rejection of the entire Bid as nonconforming. For items with a quantity of 1, the Cash Allowance shall be adjusted based on actual final costs.

Item Number	Description	Estimated Quantity Included in the Lump Sum Base Bid	Unit	Bid Unit Price	Total Bid Price Included in the Lump Sum Base Bid
1. <input type="checkbox"/>	Systems Integration Section 26 09 00	1	LS	\$100,000	\$100,000
2. <input type="checkbox"/>	Gate Repair Work–Inspection Section 40 05 59.21	1	LS	\$20,000	\$20,000
3. <input type="checkbox"/>	Gate Repair Work–Parts Kit for Tanks 1-12 Influent Gates Section 40 05 59.21	4	EA	\$ 1,802	\$ 7,208
4. <input type="checkbox"/>	Gate Repair Work–Labor for Installation of One Parts Kit for Tanks 1-12 Influent Gates Section 40 05 59.21	8	EA	\$ 991.38	\$ 7,931
5. <input type="checkbox"/>	Gate Repair Work–Parts Kit for Tanks 13-19 Influent Gates Section 40 05 59.21	1	EA	\$ 1,155	\$ 1,155
6. <input type="checkbox"/>	Gate Repair Work–Labor for Installation of One Parts Kit for Tanks 13-19 Influent Gates Section 40 05 59.21	1	EA	\$ 991	\$ 991
7. <input type="checkbox"/>	Gate Repair Work–Parts Kit for Tank 20 Influent Gate Section 40 05 59.21	1	EA	\$ 1,972	\$ 1,972
8. <input type="checkbox"/>	Gate Repair Work–Labor for Installation of One Parts Kit for Tank 20 Influent Gate Section 40 05 59.21	1	EA	\$ 1,322	\$ 1,322
9. <input type="checkbox"/>	Gate Repair Work–Parts Kit for Influent Channels North and South Gates Section 40 05 59.21	1	EA	\$ 1,484	\$ 1,484
10. <input type="checkbox"/>	Gate Repair Work–Labor for Installation of One Parts Kit for Influent Channels North and South Gates Section 40 05 59.21	1	EA	\$ 1,322	\$ 1,322
11. <input type="checkbox"/>	Gate Repair Work–Parts Kit for Effluent Channels North and South Gates Section 40 05 59.21	1	EA	\$ 2,014	\$ 2,014
12. <input type="checkbox"/>	Gate Repair Work–Labor for Installation of One Parts Kit for Effluent Channels North and South Gates Section 40 05 59.21	1	EA	\$ 1,322	\$ 1,322
13. <input type="checkbox"/>	Short Circuit, Coordination and Arc Flash Study Section 26 05 73	1	EA	\$6,000	\$6,000

Item Number	Description	Estimated Quantity Included in the Lump Sum Base Bid	Unit	Bid Unit Price	Total Bid Price Included in the Lump Sum Base Bid
14.□	6-Inch Temporary Diesel Pump Section 40 05 59.21	15	DAY	\$ 959.80	\$ 14,397

## EQUIPMENT ALTERNATIVES

TOWN BRANCH WASTEWATER TREATMENT PLANT  
AERATION IMPROVEMENTS  
BID NO. 32-2020  
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT,  
KENTUCKY

The name of the Base Bid equipment manufacturer which Bidder shall include in the Lump Sum Base Bid is listed for each equipment item. Where an alternative manufacturer is listed, Bidder shall include an add or deduct to the price of the equipment that Bidder included in the Lump Sum Base Bid. Where spaces are provided, Bidder may also bid other alternative manufacturers by writing in the alternative manufacturer's name. Equipment Alternative Bid prices shall include the entire cost of the Alternative, including cost for engineering changes to accommodate the Alternative, and additional work to be performed which is not shown on the Drawings, but which is required as a result of selection of the equipment Alternative.

Whether or not an alternative manufacturer is offered to one or more of the Base Bid equipment manufacturers provided for in the Form of Proposal, Bidder shall write (in numbers) the price for providing the Base Bid equipment manufacturer, as included in the Lump Sum Base Bid.

When more than one Base Bid equipment manufacturer name is listed for an item, Bidder shall circle the name of the equipment manufacturer selected for the Lump Sum Base Bid and write in the price for the selected equipment.

EQUIPMENT ALTERNATIVES

TOWN BRANCH WASTEWATER TREATMENT PLANT  
AERATION IMPROVEMENTS

BID NO. 32-2020

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT, KENTUCKY

Item	Equipment Base Bid Manufacturer Included in the Lump Sum Base Bid (Circle One)	Cost of Equipment Included in the Lump Sum Base Bid	Alternative Manufacturer	Add to Base Bid for Alternative Manufacturer	Deduct From Base Bid for Alternative Manufacturer
A. <input type="checkbox"/> Turbo Blowers (Section 43 11 50)	<u>Sulzer</u>	\$ 2,480,400	NO BID	(+) N/A	(-) N/A
B. <input type="checkbox"/> Low Pressure Rotary Lobe Blowers (Section 43 11 33)	<u>Aerzen</u>	\$ 253,340	NO BID	(+) N/A	(-) N/A
C. <input type="checkbox"/> Fine Bubble Diffusers (Section 46 51 46)	<u>Sanitaire</u> <u>EDI</u> <i>mfj</i>	\$ 477,640	NO BID	(+) N/A	(-) N/A
D. <input type="checkbox"/> Odor Control System- Activated Carbon (Section 44 31 16)	<u>ECS</u>	\$ 316,940	NO BID	(+) N/A	(-) N/A
E. <input type="checkbox"/> Motor Control (Section 26 24 19)	<u>Square D</u>	\$ 106,415	Allen-Bradley <u>Eaton</u>	(+) 2,000	(-) _____

Item	Equipment Base Bid Manufacturer Included in the Lump Sum Base Bid (Circle One)	Cost of Equipment Included in the Lump Sum Base Bid	Alternative Manufacturer	Add to Base Bid for Alternative Manufacturer	Deduct From Base Bid for Alternative Manufacturer
F. Low Voltage Metal Enclosed Draw-Out Switchgear (Section 26 13 29)	Square D	\$ 435,535	Eaton	(+) _____	(-) 60,000
				(+) _____	(-) _____

Submitted by: Dugan & Meyers LLC  
*Firm*

2700 River Green Circle,  
*Address*

Louisville, KY 40206  
*City, State & Zip*

***Bid must be signed:***   
***(original signature)*** *Signature of Authorized Company Representative–Title*

Martin J. Schirmer, Senior Vice President  
*Representative/s Name (Typed or Printed)*

513.891.4300 513.891.0704  
*Area Code–Phone–Extension* *Fax #*

mschirmer@dugan-meyers.com  
*E-Mail Address*

OFFICIAL ADDRESS: HOME OFFICE

11110 Kenwood Road, Cincinnati, OH 45242



(Seal if Bid is by Corporation)

by signing this form you agree to ALL of the terms and associated forms.

**5. STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Dugan & Meyers LLC
- 2. Permanent Place of Business: 11110 Kenwood Road, Cincinnati, OH 45242
- 3. When Organized: 2017 DMLLC 1935 DMCC
- 4. Where Incorporated: Ohio
- 5. Construction Plant and Equipment Available for this Project:

See attached equipment list.

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(Attach Separate Sheet If Necessary)

- 6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

- 7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Liberty Mutual Insurance Company (Surety)

Signed: Colette R. Chisholm (Representative of Surety)  
Colette R. Chisholm, Attorney-In-Fact, KY License No. 773385

Shellee Marie Wagner  
Countersigned by: Shellee Marie Wagner, KY Resident Agent

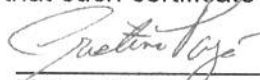


ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF New York }

COUNTY OF Nassau }

On this April 21, 2020, before me personally came Colette R. Chisholm to me known, who, being by me duly sworn, did depose and say; that he/she resides in New York County, State of New York that he/she is the Attorney-In-Fact of the Liberty Mutual Insurance Company the corporation described in which executed the above instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that is was so affixed by the Board of Directors of said corporation; and that he/she signed his/her name thereto by like order; and the affiant did further depose and say that the Superintendent of Insurance of the State of New York, has pursuant to Section 1111 of the Insurance Law of the State of New York, issued to Liberty Mutual Insurance Company (Surety) his/her certificate of qualification evidencing the qualification of said Company and its sufficiency under any law of the State of New York as surety and guarantor, and the propriety of accepting and approving is as such; and that such certificate has not been revoked.

  
\_\_\_\_\_  
Notary Public

NY acknowledgement

CRISTINA PAGAN  
Notary Public-State of New York  
No. 01PA6389428  
Qualified in Suffolk County  
Commission Expires 3/25/2023



This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated. Not valid for mortgage, note, loan, letter of credit, bank deposit, currency rate, interest rate or residual value guarantees. To confirm the validity of this Power of Attorney call 610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



**Liberty Mutual.**  
SURETY

Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

**POWER OF ATTORNEY**

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, **Susan Lupski** of the city of Uniondale, state of NY its true and lawful attorney-in-fact, with full power and authority hereby conferred to sign, execute and acknowledge the following surety bond:

Principal Name: Dugan & Meyers LLC

Obligee Name: Lexington- Fayette Urban County Government

Surety Bond Number: N/A

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 12<sup>th</sup> day of December, 2018.



The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss  
COUNTY OF MONTGOMERY

On this 12<sup>th</sup> day of December, 2018, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Upper Merion Twp., Montgomery County  
My Commission Expires March 28, 2021  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV – OFFICERS** – Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII – Execution of Contracts – SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation** – The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization** – By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, of Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company do hereby certify that this power of attorney executed by said Companies is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 21st day of April, 2020.



By: Renee C. Llewellyn  
Renee C. Llewellyn, Assistant Secretary



LIBERTY MUTUAL INSURANCE COMPANY  
FINANCIAL STATEMENT — DECEMBER 31, 2019

<b>Assets</b>		<b>Liabilities</b>	
Cash and Bank Deposits .....	\$778,754,989	Unearned Premiums .....	\$8,007,146,482
*Bonds — U.S Government .....	2,780,808,610	Reserve for Claims and Claims Expense .....	21,532,853,787
*Other Bonds .....	12,645,608,792	Funds Held Under Reinsurance Treaties .....	507,868,920
*Stocks .....	16,385,435,431	Reserve for Dividends to Policyholders .....	1,143,826
Real Estate .....	235,608,378	Additional Statutory Reserve .....	125,722,000
Agents' Balances or Uncollected Premiums .....	6,217,983,641	Reserve for Commissions, Taxes and	
Accrued Interest and Rents .....	102,273,390	Other Liabilities .....	4,117,460,075
Other Admitted Assets .....	11,957,106,292	<b>Total .....</b>	<b>\$34,292,195,090</b>
		Special Surplus Funds .....	\$32,768,443
		Capital Stock .....	10,000,075
		Paid in Surplus .....	10,044,978,933
		Unassigned Surplus .....	6,723,636,983
<b>Total Admitted Assets .....</b>	<b><u>\$51,103,579,523</u></b>	<b>Surplus to Policyholders .....</b>	<b>16,811,384,434</b>
		<b>Total Liabilities and Surplus .....</b>	<b><u>\$51,103,579,524</u></b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2019, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 27<sup>th</sup> day of March, 2020.

*T. Mikolajewski*

\_\_\_\_\_  
Assistant Secretary



8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
SEE ATTACHED FIVE YEAR TREATMENT LIST		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
SEE ATTACHED CURRENT PROJECTS LIST		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
Andy Zalla	Vice President	7 years
Dan Breetz	Project Manager	1 year
Keith Hall	Superintendent	6 years

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>MAJORITY</u>
SEE ATTACHED			

(USE ADDITIONAL SHEETS IF NECESSARY)

12.  We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

**11. DBE Participation on current bonded projects under contract:**

<b>SUBCONTRACTORS</b>	<b>PROJECT</b>	<b>DBE</b>	<b>MAJORITY</b>
Contract - Dewatering Services	Washington Court House	WBE	
Baumgartner Metal Buildings	Hite Creek	WBE	
Lykins - Reinforcing Supply and Install Reinforcing Steel	Hite Creek/Jeffersonville	WBE	
M&M Manufacturing - Detail, fabricate & delivery misc metals	Hite Creek	WBE	
McKinney Painting - Paint	Hite Creek/Town Branch	WBE	
Merrick Company - Plumbing	Hite Creek/Jeffersonville	WBE	
Terry Gollar Trucking Inc. - Tri-Axle Trucking Services	Hite Creek	WBE	
TEM - Electrical Subcontractor	Hite Creek/Town Branch	MBE	
Bison - HVAC subcontractor	Town Branch	MBE	

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK—LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u>	
		<u>Yes</u> ( <u>No</u> )	<u>% of Work</u>
1. <u>ELECTRICAL</u>	Name: <u>GLENWOOD ELECTRIC</u> Address: <u>12250 CHANDLER DR</u> <u>WATSON KY 41094</u>	<u>NO</u>	<u>15.1%</u>
2. <u>HVAC</u>	Name: <u>RICHMOND</u> Address: <u>PO BOX 45556</u> <u>LEXINGTON KY 40555</u>	<u>NO</u>	<u>1.9%</u>
3. <u>PLUMBING</u>	Name: <u>RICHMOND</u> Address: <u>NO BOX 54556</u> <u>LEXINGTON KY 40555</u>	<u>NO</u>	<u>.01%</u>
4. <u>PAINTING</u>	Name: <u>McKinney Painting</u> Address: <u>104 Kuhlman Drive</u> <u>Versailles, KY 40383</u>	<u>YES</u>	<u>.6%</u>
5. <u>ROOFING</u>	Name: <u>KALICREUTH ROOFING</u> Address: <u>2131 CAPSTONE DR</u> <u>LEXINGTON KY 40511</u>	<u>NO</u>	<u>2.6%</u>
6. <u>MASONRY</u>	Name: <u>HB MASONRY</u> Address: <u>1024 FORTNER DR</u> <u>RICHMOND KY 40475</u>	<u>YES</u>	<u>.3%</u>

(Attach additional sheet(s) if necessary.)

7. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State Kentucky or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.

READ CAREFULLY—SIGN IN SPACE BELOW—FAILURE TO SIGN INVALIDATES BID.

Signed by Martin J. Schirmer

Firm Martin J. Schirmer, Senior Vice President, Dugan & Meyers LLC

Address 2700 River Green Circle, Louisville, KY 40206

Telephone 513.891.4300

Date 4/30/2020

**8. STATEMENT OF EXPERIENCE**

*(this section must be completed or your bid will be considered as non-responsive)*

NAME OF INDIVIDUAL: Andy Zalla

POSITION/TITLE: Vice President

STATEMENT OF EXPERIENCE: See resume attached

NAME OF INDIVIDUAL: Dan Breetz

POSITION/TITLE: Project Manager

STATEMENT OF EXPERIENCE: See resume attached

NAME OF INDIVIDUAL: Keith Hall

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: See resume attached



NAME OF INDIVIDUAL: Marty Schirmer

POSITION/TITLE: Senior Vice President

STATEMENT OF EXPERIENCE: See resume attached

NAME OF INDIVIDUAL: Ted Wagner

POSITION/TITLE: Senior Estimator

STATEMENT OF EXPERIENCE: See resume attached

BY: Dugan & Meyers LLC  
Name of Firm

DATE: 4/30/2020

BY:   
Martin J. Schirmer

TITLE: Senior Vice President

\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

Officers: Steven J. Klinker, General Manager; Lincoln Ketterer, Senior Vice President; Andrew Zalla, Vice President; Justin Koenes, Vice President; Martin Schirmer, Senior Vice President

Affirmative Action Official: Marcy Weaver, HR Director

Field Management: Giles Galbraith, Operations Manager

Resumes included

## 9. EQUAL OPPORTUNITY AGREEMENT

### The Law

- \*  Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \*  Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \*  Section 503 of the Rehabilitation Act of 1973 States:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*

- \*  Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \*  Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

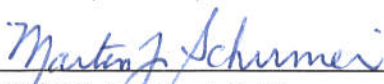
*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

  
\_\_\_\_\_  
Signature Martin J. Schirmer

Dugan & Meyers LLC  
\_\_\_\_\_  
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

KRS 45.610. Hiring minorities—Information required

- a. *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- b. *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

KRS 45.620. Action against contractor–Hiring of minority contractor or subcontractor

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560–45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

KRS 45.630 Termination of existing employee not required, when

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

KRS 45.640 Minimum skills

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

10. **EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Dugan & Meyers LLC  
to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

Signature:   
(Bidding Contractor) Martin J. Schirmer

Title: Senior Vice President

Date: 4/30/2020

**11. WORKFORCE ANALYSIS FORM**

Name of Organization: Dugan & Meyers LLC

Date: 4 / 9 / 2020

Categories	Total	White		Black		Other		Total	
		M	F	M	F	M	F	M	F
Administrators	11	9	2					9	2
Professionals	40	37	2	1				38	2
Superintendents	18	18						18	
Supervisors									
Foremen									
Technicians									
Protective Service									
Para-Professionals	5		5						5
Office/Clerical	131	105		8	1	17		130	1
Skilled Craft									
Service/Maintenance	205	169	9	9	1	17		195	10
Total:									

Prepared By: Sharon Stahley, Administrative Generalist

**12. EVIDENCE OF INSURABILITY**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
 (Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Dugan & Meyers LLC Employee ID: N/A  
 Address: 11110 Kenwood Road, Cincinnati, OH 45242 Phone: 513.891.4300


Project to be insured: LFUGG Town Branch WWTP Aeration Improvements

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC 1.3.D.1—see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000 per occ. 2,000,000 gen agg	Arch Insurance	058459	A
SC 1.3.D.1—see provisions	AUTO	\$2,000,000/per occ.	\$ 2,000,000 CSL	Arch Insurance	058459	A+
SC 1.3.D.1—see provisions	WC	Statutory w/endorsement as noted	\$Statutory EL 1,000,000/ 1,000,000/ 1,000,000	Arch Insurance	058459	A+

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

**Alliant Insurance Services**  
 Agency or Brokerage  
9891 Bro en Land Par way #205  
 Street Address  
**Columbia** MD 21046  
 City State Zip  
**(443) 283-7881**  
 Telephone Number

**Mary Beth Mats o**  
 Name of Authorized Representative  
**SVP**  
 Title  
  
 Authorized Signature  
**4/17/2020**  
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**13. DEBARRED FIRMS**

**PROJECT NAME:** Town Branch Wastewater Treatment Plant Aeration Improvements

**BID NUMBER:** 32-2020

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Dugan & Meyers LLC has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Dugan & Meyers LLC

Name of Firm Submitting Bid

  
Signature of Authorized Official     Martin J. Schirmer

Senior Vice President

Title

4/30/2020

Date



#### 14. DEBARMENT CERTIFICATION

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

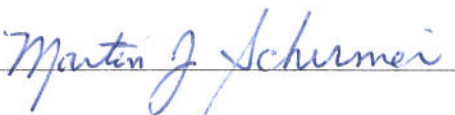
- 1)  Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
- a)  Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- b)  Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
- c)  Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.

2)  Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Dugan & Meyers LLC

Project: LFUCG Town Branch WWTP Aeration Improvements

Printed Name and Title of Authorized Representative: Martin J. Schirmer, Senior Vice President

Signature: 

Date: 4/30/2020

**14. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1)  Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a)  Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b)  Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c)  Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
  
- 2)  Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Dugan & Meyers LLC

Project: LFUCG Town Branch WWTP Aeration Improvements

Printed Name and Title of Authorized Representative: Martin J. Schirmer, Senior Vice President

Signature: 

Date: 4/30/2020

**15. Lexington-Fayette Urban County Government  
MWDBE PARTICIPATION GOALS**

**A. GENERAL**

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) **It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.**

**B. PROCEDURES**

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

**C. DEFINITIONS**

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:
  - a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
  - b. Included documentation of advertising in the above publications with the bidders good faith efforts package

- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h.  Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i.  Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.
- j. Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.
- m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
- n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

- o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal
- p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.
- q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**Note: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**



# LEXINGTON

## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA  
Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

**OUR MISSION:** The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Central Purchasing):

***Certified Disadvantaged Business Enterprise (DBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as defined by 49 CFR subpart 26.

***Certified Minority Business Enterprise (MBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

***Certified Women Business Enterprise (WBE)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

***Certified Veteran-Owned Small Business (VOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

***Certified Service Disabled Veteran Owned Small Business (SDVOSB)*** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term “Certified” shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

To comply with Resolution 484-2017, prime contractors and minority, women and veteran owned businesses must enroll in the new Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/> We have compiled the list below to help you locate certified DBE, MBE, WBE and VOSB certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

<b>Business</b>	<b>Contact</b>	<b>Email Address</b>	<b>Phone</b>
<b>LFUCG</b>	Sherita Miller	<a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>	859-258-3323
<b>Commerce Lexington – Minority Business Development</b>	Tyrone Tyra	<a href="mailto:ttyra@commercelexington.com">ttyra@commercelexington.com</a>	859-226-1625
<b>Tri-State Minority Supplier Diversity Council</b>	Susan Marston	<a href="mailto:smarston@tsmsdc.com">smarston@tsmsdc.com</a>	502-365-9762
<b>Small Business Development Council</b>	Shawn Rogers UK SBDC	<a href="mailto:shawn.rogers@uky.edu">shawn.rogers@uky.edu</a>	859-257-7666
<b>Community Ventures Corporation</b>	Phyllis Alcorn	<a href="mailto:palcorn@cvky.org">palcorn@cvky.org</a>	859-231-0054
<b>KY Transportation Cabinet (KYTC)</b>	Melvin Bynes	<a href="mailto:Melvin.bynes2@ky.gov">Melvin.bynes2@ky.gov</a>	502-564-3601
<b>KYTC Pre-Qualification</b>	Shella Eagle	<a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>	502-782-4815
<b>Ohio River Valley Women’s Business Council (WBENC)</b>	Sheila Mixon	<a href="mailto:smixon@orwbc.org">smixon@orwbc.org</a>	513-487-6537
<b>Kentucky MWBE Certification Program</b>	Yvette Smith, Kentucky Finance Cabinet	<a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>	502-564-8099
<b>National Women Business Owner’s Council (NWBOC)</b>	Janet Harris-Lange	<a href="mailto:janet@nwbec.org">janet@nwbec.org</a>	800-675-5066
<b>Small Business Administration</b>	Robert Coffey	<a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>	502-582-5971
<b>LaVoz de Kentucky</b>	Andres Cruz	<a href="mailto:lavozdeky@yahoo.com">lavozdeky@yahoo.com</a>	859-621-2106
<b>The Key News Journal</b>	Patrice Muhammad	<a href="mailto:production@keynewsjournal.com">production@keynewsjournal.com</a>	859-685-8488





# LEXINGTON

## LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 32-2020

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. McKinney Painting 104 Kuhlman Dr Versailles, KY 859-873-6424 matt@mcKinneyPainting.com	WBE	Painting	\$54,900	.6%
2. HB Masonry 1024 Fortune Dr Richmond, KY 859-624-0741 sheryalexander@hbmasonry.com	WBE	Masonry	\$30,203	.3%
3. G+G Paving 3136 Bracktown Rd. Lexington, KY 859-1255-6029 ggpaving@mw.twcba.com	MBE	Asphalt	\$5,500	.01%
4. Nocwood Hardware 2906 Glendale Milford Rd Cincinnati, OH 513-733-1175 blawson@nocwoodhardware.com	WBE	Tools + Hdw Supply	\$14,867	0.01%

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

DUGAN & MEYERS LLC  
Company

4/30/2020  
Date

Martina J. Schurmer  
Company Representative

SENIOR VICE-PRESIDENT  
Title



# LEXINGTON

## LFUCG MWDBE SUBSTITUTION FORM

Bid/RFP/Quote Reference # 32-2020

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
Company

\_\_\_\_\_  
Company Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title



# LEXINGTON

## MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 32-2020

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name <u>DUGAN &amp; MEYERS LLC</u>	Contact Person <u>ANDY ZALLA</u>
Address/Phone/Email <u>2700 RIVER GREEN CIRCLE LOUISVILLE, KY 40206 502-894-4481 azalla@dugan-meyers.com</u>	Bid Package / Bid Date <u>32-2020 4/30/2020</u>

MWDBE	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
<u>GELO</u> PO Box 463 London KY 40743	<u>Gigi Bacon</u>	<u>gigibacon@windstream.net</u>	<u>3/17/20</u>	<u>Pump &amp; Diffuser Supply</u>	<u>Email &amp; Phone</u>	<u>\$1,318,270.98</u>	<u>MBE</u>	
<u>HB Masonry</u> 1024 Fortme Dr Richmond KY 40475	<u>Sherry Alexander</u>	<u>sherry.alexander@hbmasonry.com</u>	<u>3/13/20</u>	<u>Masonry</u>	<u>Email &amp; Phone</u>	<u>\$30,203.00</u>	<u>Female</u> <u>MBE</u>	
<u>MLM Manufacture</u> 709 Sportsman Lake Rd Franklin KY 42134	<u>Daniel Hodges</u>	<u>daniel@m-m-manufacturers.com</u>	<u>3/17/20</u>	<u>Metal &amp; Handrail Supply</u>	<u>Email &amp; Phone</u>	<u>\$38,110.18</u>	<u>Female</u>	
<u>L Watson Trucking</u> 211 N Buckley Station Rd Louisville, KY 40245	<u>Larry Watson</u>	<u>lwatson-trucking@icloud.com</u>	<u>3/16/20</u>	<u>Trucking/Hauling</u>	<u>Email &amp; Phone</u>	<u>\$88.00/hr</u>	<u>MBE</u>	
<u>Mckinney Painting</u> 104 Khulman Dr Versailles KY 40383	<u>Matt Gamlin</u>	<u>matt@mckinneypainting.com</u>	<u>3/17/20</u>	<u>Painting</u>	<u>Email &amp; Phone</u>	<u>\$54,900.00</u>	<u>Female</u>	
<u>Nonwood Hardware</u> 2906 Glendale-Milford Rd Cincinnati, OH 45241	<u>Buddy Lawson</u>	<u>502-439-8547</u>	<u>3/17/20</u>	<u>Door &amp; Hardware Supply</u>	<u>Email &amp; Phone</u>	<u>\$14,866.50</u>	<u>Female</u>	

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

DUGAN & MEYERS LLC  
Company  
4/30/2020  
Date

Marty Schumer  
Company Representative  
SENIOR VICE-PRESIDENT  
Title



# LEXINGTON

## MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 32-2020

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

<b>Company Name</b> DUGAN & MEYERS LLC	<b>Contact Person</b> ANDY ZALLA
<b>Address/Phone/Email</b> 2700 RIVER GREEN CIRCLE LOUISVILLE, KY 40206 502-894-4481 azalla@dugan-meyers.com	<b>Bid Package / Bid Date</b> 32-2020 4/30/2020

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
GLG Paving 3136 Brucktown Rd Lexington KY	Marvin Gateskill	ggpaving@ mw.turbo.com	3/24/20	Asphalt Paving	Email & Phone	\$ 5500.00	MBE	
Rosa Mosate 4006 S. Brook St Louisville Ky 40214	Ryan Dodson	502-368-5898	3/17/20	Quarry Tiling	Email & Phone	\$ 20,785.00	Female	
Capitol Drilling 1532- <del>Blvd</del> 4700 Bardotown Rd Elizabethtown KY 4031	John Jim <del>Miller</del>	jmillmex@ capitoldrilling.com	4/23/20	concrete cutting/drilling	Email & Phone	\$ 7,725.00		VOSB
Bison 7152 North AA Highway Foster KY 41043	Jason Meluche	bison.service @yahoo.com	3/17/20	HVAC	Email & Phone	\$ 189,103	MBE	
Blau 1532 Russell St Covington KY 41011	Maureen Rabe	mrabe@ blau-mech.com	3/17/20	HVAC/ plumbing	Email & Phone	\$ 346,000.00	MBE	
TEM 3660 Bashford Ave Louisville KY 40218	Tom Clark	tclark@tom electric.com	3/17/20	Electric	Email & Phone	\$ 2,177,000.00	MBE	

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

DUGAN & MEYERS LLC  
Company

Marty J. Schumer  
Company Representative

4/30/2020  
Date

SENIOR VICE-PRESIDENT  
Title



# LEXINGTON

## MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 32-2020

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name <u>DUGAN &amp; MEYERS LLC</u>	Contact Person <u>ANDY ZALLA</u>
Address/Phone/Email <u>2700 RIVER GREENCIRCLE LOUISVILLE, KY 40206 502-894-4481, azalla@dugan-meyers.com</u>	Bid Package / Bid Date <u>32-2020 4/30/2020</u>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
<i>North Const</i> <u>6437 Mercantile Dr Mentor OH 44060</u>	<u>Tom Fisteck</u>	<u>440-392-9910</u>	<u>4/23/20</u>	<u>Slide Gate Supply</u>	<u>Email &amp; Phone</u>	<u>\$92,364.16</u>	<u>Female</u>	
<i>Merrick Mechanical</i> <u>2109 Schaffner Ave Louisville Ky 40216</u>	<u>Ken Merrick</u>	<u>kmerrick@merrikkco.com</u>	<u>3/17/20</u>	<u>HVAC Plumbing</u>	<u>Email &amp; Phone</u>	<u>\$316,833.00</u>	<u>Female</u>	

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

DUGAN & MEYERS LLC  
Company  
4/30/2020  
Date

Marty Schumer  
Company Representative  
SENIOR VICE-PRESIDENT  
Title



Gigi Bacon, President

**GECO Enterprise, Inc.**  
MBE Certified Contractor & Material Supplier  
P O Box 463  
London, KY 40743-0463  
Phone(606)864-7550, Fax(606)864-6390  
Mobile(606)682-2210  
Email: gigibacon@windstream.net

NOT LOW

QUOTE 2020-096

TOWN BRANCH WASTERWATER TREATMENT PLANT

APRIL 29, 2020

Gentlemen/Gentleladies:

We are pleased to quote the following prices for the above referenced project as a Certified DBE/MBE Material Supplier/Subcontractor/Minority Business.

Spec Section	Description	Total Price
43 25 10	Submersible Pumps	\$ 67,774.00
44 31 16	Odor Control System(Pure Air)	\$297,144.70
46 51 46	Membrane Diffusers	\$787,055.96
***Adder for all supports if needed***		
46 51 46	Diffusers Supports	\$ 91,677.21
<b>TOTAL QUOTE</b>		<b>\$1,243.651.87</b>

**PRICING NOTES:**

6% Tax

\$ 74619.11

Total

\$ 1,318,270.98

- (1) Prices quoted do not include taxes
- (2) Prices quoted are valid for 60 days.
- (3) Terms of payment: Net 30 days; F.O.B. factory with freight prepaid and not included.
- (4) An interest charge of 1 ½% per month will be added to past due accounts.
- (5) Installation **NOT** included.

This service is included in the pricing herein.

WE REQUIRE A MINIMUM 90% PAYMENT AND A MINIMUM TEN(10) DAYS ADVANCE NOTICE PRIOR TO THE SCHEDULING OF ON-SITE START-UP SERVICE.

**DELIVERY IS INCLUDED IN DELIVERED PRICES FULL-LOAD SALES. TAX NOT INCLUDED.**

THANK YOU AND GOOD LUCK

**"DO YOU KNOW THAT BY USING GECO ENTERPRISES AS YOUR MATERIAL SUPPLIER YOU CAN SATISFY YOUR MINORITY PARTICIPATION GOAL!!!!!!!"**

MBE CERTIFIED

AN EQUAL OPPORTUNITY EMPLOYER



1024 Fortune Drive  
Richmond, Kentucky 40475  
859-624-0734 PH  
859-624-0744 Fax  
info@hbmasonryky.com  
[www.HBMasonryky.com](http://www.HBMasonryky.com)

APPARENT  
LOW

Date: April 30th, 2020  
To: General Contractor  
Project: Town Branch WWTP Aeration Improvements- Lexington KY  
RE: Masonry Proposal

We are pleased to submit the following masonry proposal for the above referenced project, per architectural and structural plans. Closing in an open area by a loading area and replacing 2 openings at what appears to be old roll up doors that were closed in with wood framing and plywood with a door put in the new enclosure. 7 locations of patch/repair at new pipe penetrations in an existing wall.

INCLUDED:

**WBE/WOSB/MWBE Status**

Brick (500/1000 used)  
Mortar  
Equipment  
Sales Tax  
CMU  
Grout  
Peel n Stick Flashing  
Ladur Wire  
Truss Wire  
Mortar Break  
Vents

EXCLUDED:

Bituminous setting bed  
Winter Protection  
Fluid applied air barriers/caulking/sealers  
Water and Electric  
Fire stop at top of walls  
Insulation of any kind metal panels/backer rods  
Purchase/Setting of door frames  
Steel Lintels/Embeds/anchors  
Dumpsters for Masonry Debris  
Rebar/Rebar Caps  
layout/sitework/demo  
Fireproofing/dampproofing  
De-watering  
P&P Bond  
Masonry Testing

<b>Base Bid:</b>	<b>\$ 30,203.00</b>
------------------	---------------------

<b>Quote is valid for 60 days</b>
-----------------------------------

Please do not hesitate to call with any questions.

*M & M Manufacturing, Inc.*

709 Sportsman Lake Road

Franklin, Kentucky 42134

Phone: (270) 586-8208

Fax: (270) 586-6424

[www.m-mmanufacturing.com](http://www.m-mmanufacturing.com)

April 29, 2020

**Town Branch WWTP  
Aeration Improvements  
Lexington, KY  
-Quote-**

NOT LOW

**North Aeration Tanks**

1. Approximately 528 LF of 1 ½" aluminum handrail

**Blower Building**

2. (9) 6" Schedule 40 galvanized steel bollards at 8'
3. (7) C8 x 11.5 Steel pipe support frames
4. (4) 1.5 Galvanized steel deck sheets at 6' x 6'  
\*Field welding by others
5. (28) 2" x 2" x ¼" Steel angle at 4' long for field cutting and welding  
\*Field cutting and field welding by others

**\*Due to current market conditions price is subject to review prior to shipping**

**TOTAL: \$35,953.00**

+ 6% tax of \$2,157.18

*This quote is subject to the attached terms. Please call with any additions and/or deletions. Plus tax if applicable.*

\$ 38,110.18

Thanks,  
Daniel Hodges

**DBE Certified**

by the: **State of Kentucky Transportation Cabinet**

Certified as a **WBE** by the:



Approved by the:

**Louisville MSD**

Louisville / Jefferson County Metropolitan Sewer District



**L WATSON TRUCKING**

211 N Beckley Station RD

Louisville, Kentucky 40245

PG+H 502-727-1713

National Minority Supplier Development Council Certified (Tri State)

MSD; Louisville Metro & Lexington Metro Gov Certified

Ky State Department of Transportation Including Indiana Cert DBE

Trucking (Haul); Dumpster; Demolition

*ADDITIONAL  
LOW*

TRUCKING BID

DATE: 4-28-20

DUGAN & MEYERS

**PROJECT: LFUCG TOWN BRANCH WWTP AERATION IMPROVEMENTS**

**LEXINGTON, KY**

ATT: Project Manager

**Details: TO PROVIDE TRUCKING: \$88.00/HR**

**ADDITIONAL CHARGE FOR BRIDGE TOLLS**

**Equipment to be used: TRI Axle Dump Trucks**

**90% of Laborers live in the surrounding counties (Jefferson, Bullitt, Spencer, Shelby, Hardin, and Clarksville, New Albany, Ind)**

Thank you for considering Watson Trucking for you future projects. We strive to be your number

One contact for all your trucking needs.

PLEASE LET US KNOW IF YOU WILL BE USING OUR BID

Contacts: Larry Watson      Owner      502-387-9211 [|watsontrucking@icloud.com](mailto:watsontrucking@icloud.com)

Jane Watson      Office Project Manager      502-727-1713 [jwatsontrucking30@yahoo.com](mailto:jwatsontrucking30@yahoo.com)

*Larry Watson*

# McKinney Painting, Inc.

104 Kuhlman Drive  
Versailles KY 40383  
(859) 873-6424 Phone  
(859) 873-1312 Fax

APPARENT  
LOW

## Woman's Business Enterprise

Email: [matt@mckinneypainting.com](mailto:matt@mckinneypainting.com)  
[www.mckinneypainting.com](http://www.mckinneypainting.com)

## Scope Of Work and Pricing

*Please Review Scope Thoroughly, Call With Any Questions/Concerns*

**Project:** Town Branch WWTP Aeration Improvements

**Date:** April 30, 2020

**No. Of Addendums Acknowledged:** 7

**Division of Work Section** : 09 91 00

**Brand of Materials** : Tnemec or Carboline

### Area and Included Surfaces List:

#### **North Aeration Tanks Sheet 25**

New Mud-valves and Handwheel Operators- Note 2  
New Valves - Notes 4 & 5 & 9

(Shop Primed High Temp)

Bathroom Renovation Repainting - Sheet 38

#### **South Aeration Tanks Sheet 26**

SS Mud-valves - No Work  
4" Butterfly Valves - If Required Note 5

#### **North Primary Clarifiers Odor Control - Sheet 39**

No Work Shown - FRP Piping

#### **Foam Spray Pump Sheet 29**

Touch up from Pump Removal and Install

#### **HVAC**

Touch up Louver Wall from Construction Sheet 50

Misc. Gas Piping and Plumbing

#### **Aeration Gallery West Sheet 30**

Touch up Painting due to Valve Replacement

#### **Blower Building Basement Sheet 33**

Repaint New and Old Piping where needed Due to Construction

48" Piping

30" Piping

Connections

12" & 16" Piping

Connections

#### **Upper Level Sheet 34**

Bollards

Blowers Prefinished

Blower Piping

Blower Intake Piping

Interior CMU Work Notes 16 & 17

(Repaint Door and Frame)

Exhaust Piping - Sheet 37

**Labor, Equipment and Materials - \$54,900.00**

**Add Alternates: Blast and Prime 60' x 16" Steel Pipe if Not Shop Primed - \$1,200.00**

**Exclusions: Removal of Surface Concrete or Mud from other trades, dewatering of any structures and any items not listed on detailed scope above.**

### **Clarifications:**

**Vice President:** Matt Gamlin, ext. 129.

Please feel free to call with any questions or concerns. My cellular number is (859) 983-4515.



**QUOTATION**

**NORWOOD HARDWARE AND SUPPLY CO.**  
 2906 Glendale-Milford Road, Cincinnati, Ohio 45241  
 Phone: (513) 733-1175 / Fax: (513) 733-8667

*APPARENT LOW*

DATE: 4/30/2020  
 PROPOSAL: BL20-27  
 GOOD THRU: 6/1/2020

TO: G.C. BIDDING  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

PROJECT: TOWN BRANCH WWTP AERATION IMPROVEMENTS

LEXINGTON, KY.

OWNER: LEXINGTON-FAYETTE URBAN CO. GOVT.

The materials to be furnished under this proposal are limited to those described below and as specified in specifications and architectural drawings by STRAND ASSOCIATES

Addenda (s) 1,2,3,4,5,6,7. is acknowledged.

ITEM	QUANTITY	DESCRIPTION	\$
		<b>WE ARE PLEASED TO QUOTE THE FOLLOWING MATERIALS:</b>	
		<b><u>METAL DOORS AND FRAMES - SECTION 081100</u></b>	
	1 EA.	HOLLOW METAL FRAME.	
	1 EA.	HOLLOW METAL DOOR. GLASS BY OTHERS.	
		<b><u>FIBERGLASS DOORS AND ALUMINUM FRAMES - SECTION 081743</u></b>	
	2 EA.	ALUMINUM FRAMES.	
	2 EA.	FIBERGLASS DOORS. GLASS BY OTHERS.	
		<b><u>DOOR HARDWARE - SECTION 087100</u></b>	
	1 LOT	FINISH HARDWARE.	
		<b><u>COMBINED HOLLOW METAL, FIBERGLASS DOORS W/ALUMINUM FRAMES AND DOOR HARDWARE PACKAGE:</u></b>	<b>\$14,025.00</b>
		<i>Tax</i>	<i>+ \$ 841.50</i>
			<i>14866.50</i>
		<b>MATERIALS ONLY, TAX &amp; INSTALLATION NOT INCLUDED.</b>	
		<b>FOB NHS (or mfr's plant) with freight allowed to jobsite/tailgate delivery.</b>	

QUOTATION BASED ON **COMPLETE MATERIAL RELEASE** WITHIN 90 CALENDAR DAYS FROM DATE OF BID.

MATERIAL RELEASE AFTER 90 DAYS FROM DATE OF BID WILL BE SUBJECT TO POTENTIAL MANUFACTURER SURCHARGES.

**TERMS: NET 30 DAYS - NO RETENTION**

All terms and conditions of this quotation are printed on the reverse side and form an integral part of this quotation. PLEASE READ THOROUGHLY.

ACCEPTED BY: \_\_\_\_\_  
 TITLE: \_\_\_\_\_  
 DATE: \_\_\_\_\_

Date (s) materials required: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Respectfully submitted:  
**NORWOOD HARDWARE & SUPPLY CO. INC.**  
 BY: BUDDY LAWSON 502-439-8547

Accepted:  
**NORWOOD HARDWARE & SUPPLY CO. INC.**  
 BY: \_\_\_\_\_



TERMS AND CONDITIONS

NORWOOD HARDWARE AND SUPPLY CO.
2906 Glendale-Milford Road, Cincinnati, Ohio 45241
Phone: (513) 733-1175 / Fax: (513) 733-8667

DATE: 4/30/2020
PROPOSAL: BL20-27
GOOD THRU: 6/1/2020

A WBE FIRM

TO: G.C. BIDDING

PROJECT: TOWN BRANCH WWTP AERATION IMPROVEMENTS
0
LEXINGTON, KY.
OWNER: LEXINGTON-FAYETTE URBAN CO. GOVT.

VALIDITY:

THIS PROPOSAL IS VALID FOR ACCEPTANCE WITHIN 30 DAYS FROM DATE OF ORIGINAL PRESENTATION AND THE PRICES CONTAINED HEREIN ARE PREDICATED UPON COMPLETION OF FABRICATION NO LATER THAN 90 DAYS FROM ORIGINAL PRESENTATION. PRICES ARE SUBJECT TO ESCALATION BEYOND 90 DAYS.

SHOP DRAWINGS/RELEASE:(CONTRACTOR TO PROVIDE CURRENT ARCHITECTURAL DOCUMENTS)

FOLLOWING APPROVAL OF SHOP DRAWINGS RELEASE IS TO BE MADE IN WHOLE ONLY. ENGINEERING SERVICES WILL BE BILLED UPON SUBMISSION OF SHOP DRAWINGS.

DELIVERIES:

DELIVERIES ARE SPECIFICALLY LIMITED TO ONE FOR FRAMES AND ONE FOR DOORS/HARDWARE. REQUESTED ADDITIONAL DELIVERIES WILL BE EXTRA.

UNLESS OTHERWISE NOTED BY NHS WE SPECIFICALLY EXCLUDE THE FOLLOWING:

- ANY / ALL TAXES
ALL GLASS & GLAZING (AND PERMANENT ANCHORAGE OF BEAD)
ALL BORROWED LITE / WINDOW / CLERESTORY UNITS.
ALL MANUFACTURING METHODS BEYOND MANUFACTURERS STANDARD
ALL INTERIOR COATING: BITUMINOUS,ETC. OF DOOR FRAMES
ALL FILLED FINISH REQUIREMENTS FOR WOOD DOORS
ALL FIELD VERIFICATION OF EXISTING OR NEW CONDITIONS/MATERIAL
ALL EXPEDITING BEYOND MANUFACTURERS STANDARD LEAD TIMES
ALL REVIEW OF FLOOR COVERING MATERIALS

QUOTATION BASED ON COMPLETE MATERIAL RELEASE WITHIN 90 CALENDAR DAYS FROM DATE OF BID. MATERIAL RELEASE AFTER 90 DAYS FROM DATE OF BID WILL BE SUBJECT TO POTENTIAL MANUFACTURER SURCHARGES.

TERMS: NET 30 DAYS - NO RETENTION

All terms and conditions of this quotation are printed on the reverse side and form an integral part of this quotation. PLEASE READ THOROUGHLY.

ACCEPTED BY:
TITLE:
DATE:

Date (s) materials required:

Respectfully submitted:
NORWOOD HARDWARE & SUPPLY CO. INC.
BY: BUDDY LAWSON 502-439-8547

Accepted:
NORWOOD HARDWARE & SUPPLY CO. INC.
BY:

## Zach Amend

---

**From:** Terrone <tgameskill@gmail.com>  
**Sent:** Thursday, April 30, 2020 12:11 PM  
**To:** Zach Amend  
**Subject:** Re: Town Branch WWTP - Attn Jerome

APPARENT  
LOW

Town branch paving repair \$5,500.00

G & G PAVING

Sent from my iPhone

On Apr 28, 2020, at 11:34 AM, Zach Amend <ZAmend@dugan-meyers.com> wrote:

Jerome,

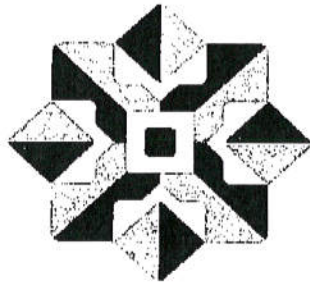
We are needing a price for 70 SY of full depth patch work over at the Town Branch WWTP based on the attached specification. Let me know if you have any questions.

Thanks,

### Zach Amend

Estimating Co-Op  
11110 Kenwood Road, Cincinnati, OH 45242  
p: 513.891.4300 m: 513-322-9777  
[www.dugan-meyers.com](http://www.dugan-meyers.com)  
<image001.jpg>

<Division 32-Exterior Improvements.pdf>



**ROSA**  
MOSAIC

*NOT  
COV*

4006 South Brook Street.  
Phone: 502-368-5893

Louisville, Kentucky 40214  
Fax: 502-368-5894

**Tile, Terrazzo, Marble and Granite Contractors**

*Established in 1937*

Member of Marble Institute of America, National Tile Contractors Association and the  
National Terrazzo and Mosaic Association

RE: Town Branch WWTP Aeration Improvements, Lexington, Kentucky

**QUOTATION**

WE ARE PLEASED TO QUOTE ON YOUR INQUIRY AS FOLLOWS:

<u>DESCRIPTION</u>	<u>AMOUNT</u>
<p><b><u>Section 093000 – Tiles</u></b> Materials and labor to thinset install 6" x 6" quarry floor tile and base at 2 single restrooms. Materials and labor to patch existing quarry floor tile at blower room at 4 blower area curbs. This quote does not include any new tile underneath blowers. This quote does not include any demolition or preparation of existing surfaces to receive new tile. This quote does not include any cement backer board for tile substrates. This quote assumes normal working hours Monday through Friday.</p>	
	<p><u>\$20,785.00</u></p>
<p>Patch Quarry Tile: \$36.85/SF</p>	
<p><i>Note: If a performance bond is required, please add 1% to the above-quoted price.</i></p>	
<p>Signed: <i>Ryan Dodson</i>, Chief Estimator</p>	<p>Date: April 30, 2020</p>
<p><i>A Woman-owned Business Proudly Serving the Construction Community</i></p>	

## Zach Amend

---

**From:** Ted Wagner  
**Sent:** Thursday, April 30, 2020 11:51 AM  
**To:** Zach Amend  
**Subject:** FW: town square

Capital Drilling – INCOMPLETE SCOPE

Not Low

### Ted Wagner

SENIOR ESTIMATOR | PROJECT MANAGER  
2700 River Green Circle, Louisville, KY 40206  
p: 502.894.4481 m: 502.779.0483  
[www.dugan-meyers.com](http://www.dugan-meyers.com)



---

**From:** Chris Zalla <[czalla@dugan-meyers.com](mailto:czalla@dugan-meyers.com)>  
**Sent:** Thursday, April 30, 2020 11:50 AM  
**To:** Ted Wagner <[twagner@dugan-meyers.com](mailto:twagner@dugan-meyers.com)>  
**Subject:** FW: town square

---

**From:** Jim Milliner <[jmilliner@capitoldrilling.com](mailto:jmilliner@capitoldrilling.com)>  
**Sent:** Thursday, April 30, 2020 11:47 AM  
**To:** Chris Zalla <[czalla@dugan-meyers.com](mailto:czalla@dugan-meyers.com)>  
**Cc:** [P.RIENER@CAPITOLDRILLING.COM](mailto:P.RIENER@CAPITOLDRILLING.COM)  
**Subject:** RE: town square

Figure multiple trips on this just to be safe and my number will be 7725.00 thank you sir.

---

**From:** Chris Zalla [<mailto:czalla@dugan-meyers.com>]  
**Sent:** Thursday, April 30, 2020 10:26 AM  
**To:** Jim Milliner  
**Subject:** RE: town square

There are 7 28" holes that will need to be cut.

Thanks  
Chris Zalla

---

**From:** Jim Milliner <[jmilliner@capitoldrilling.com](mailto:jmilliner@capitoldrilling.com)>  
**Sent:** Thursday, April 30, 2020 9:08 AM  
**To:** Chris Zalla <[czalla@dugan-meyers.com](mailto:czalla@dugan-meyers.com)>  
**Subject:** town square

# Bison Services LLC



Not Low

7152 N. AA Hwy  
Foster, KY 41043  
Phone 606-747-0322 / Fax 606-747-0324

## **Proposal MBE/SBE**

DATE: April 30, 2020

TO: All Bidders

RE: TownBranch Aeration Improvements

Description; Bison proposes to furnish the necessary, labor, materials, tools, equipment, and supervision to self-perform and/or sub-contract the following: HVAC Demo, Duct, Gas Unit Heater, Gas Piping, Grills, Combination Louver, RTU, Fans, Insulation, Blower Exhaust, Per Plans and spec

Excluded: Cutting and Patching, Painting, Concrete, Block Toothing, Roofing, painting, steel lintel, Any Abatement of Hazards Material, Dumpster for Demo, Structural Steel of any kind, After-hours work, Any Temporary fencing, Ceiling Removal Or install, Painting, Duct Cleaning, Temporary Heating Or cooling, fire alarms or fire alarm interface, Any Lead Paint removal.

### Project Notes

Price based off drawings H-1, H-2, H-3, H-4, H-5

Does not include Oder Control Equipment

conduit and 120 volt wiring not included

To Add the Oder control FRP duct \$ 66,862.00

We proposed to furnish material and labor complete in accordance with above specifications for the sum of

ONE HUNDRED EIGHTY NINE THOUSAND ONE HUNDRED AND THREE DOLLARS (\$189,103.00)

Payment to be made as follows: Net 30 Days

This proposal may be withdrawn if not accepted within 30 days.

Authorized Signature: \_\_\_\_\_ Date \_\_\_\_\_

Acceptance Signature: \_\_\_\_\_ Date: \_\_\_\_\_

All material is guaranteed to be as specified. All work to be completed in a workman-like manner according to specifications submitted per standard practices. Any alteration or deviation from above specifications involving extra cost will be executed only upon written orders and will become an extra charge over and above the estimate. Payment is to be received within terms of contract.



1532 Russell St.  
Covington, KY 41011

Phone: 859.291.3159  
Fax: 859.581.3022  
Mrabe@blaumech.com

## BLAU MECHANICAL INC

April 30, 2020

Revised

Not Low

TO: Dugan & Meyer  
Attn Ted Wagner

RE: Lexington Fayette Urban County Government  
Town Branch WWTP Aeration Improvements

**Scope of Work: HVAC & Plumbing** according to the plans and specifications prepared by Strand Associates, Inc.

- General Conditions
- Division 22 00 00 - **PLBG**
- Division 23 00 00 - **HVAC**
- Demolition as shown on H & P drawings only
- Exhaust duct from (7) blowers as shown on Dwgs 34, 35 & 37 to be run in 16" B-vent with termination kits
- Addenda: 1, 2, 3, 4, 5, 6, 7

• Base Bid \$346,000.00

***This price does not include:***

- Fire Protection, Electric
- Insulation of the B-vent exhaust duct
- Site work
- Water, waste and drainage lines beyond that shown on Dwg #P-1
- Excavation, pipe bedding and backfill for underground plumbing lines (none anticipated)
- Allowances
- Patching of openings left after mechanical demolition
- Roof Patching
- Performance or Payment Bond: can be provided for 1.5% of the total bid.

Sincerely,  
*Joseph Salazar*  
Vice President



TEM Group, Inc.  
3560 Bashford Avenue  
Louisville, KY 40218  
Ph: 502-454-0101  
Fax: 502-454-0110

April 30, 2020 - **REVISED**

## Dugan & Meyers LLC

Not Low

Re: LFUCG Town Branch WWTP  
Aerations Improvements  
Electrical Scope of Work Proposal

Our pricing to furnish the labor and materials to perform electrical work per the scope of work/clarifications listed below is **\$ 2,177,000.00.** (*See Alternate Equipment Sheets attached*)

### Our price is based on the following scope of work/clarifications:

1. We acknowledge receipt of Addendums #1, #2, #3, #4, #5, #6, #7 & Memo #1.
2. Straight time non prevailing wages are included based on a forty hour work week.
3. KY. Sale tax is included.
4. Permits and inspections are included for T.E.M. furnished equipment only.
5. A performance and payment bond is **not included**. Add 1% if required.
6. Temporary electric for office trailer setup is **not included**.
7. **Allowances** - Systems Integration (\$100,000.00), Gate Repair Work Inspection (\$20,000.00) and Short Circuit, Coordination and Arc Flash Study Allowances (\$6,000.00) are **not included**. If needed a unit price to disconnect/re-hook up Power and Control Circuits to Actuator Gates use **\$ 250.00** for each gate shown on P7.
8. **Site Work (C-3)** - Trenching, backfilling, asphalt/side walk removal and restoration for the underground power/control conduits required shown on Drawings C-3 and E-11 will be provided by others. Approximately 760 ft. is required on C-3 and 80 ft. on E-11. TEM will furnish and install underground conduits/wiring along with rebar and concrete encasement of underground conduits. Please note that the Switchgear "BB" feeder circuit from Substation "A" was rerouted per Addendum #4 Sheet 9R.
9. **Primary Sludge Pump Station #2 (C-3 & E-3)** – TEM will terminate new power and control conduit/wiring coming from the North and South Primary Clarifier Odor Control Systems to the existing Nema Size 1 starters in Motor Control Center "D".
10. **North & South Primary Clarifier Odor Control Systems (C-3 & E-10)** – TEM will furnish and install new Disconnects and Start-Stop Control Stations mounted on new support. New Power and Control conduit and wiring will be provided to the new disconnects and control stations with final power connections made at each of the Odor Control Fans.

*We are Certified MBE, DBE  
Equal Opportunity Employer*



TEM Group, Inc.  
3560 Bashford Avenue  
Louisville, KY 40218  
Ph: 502-454-0101  
Fax: 502-454-0110

11. **North & South Aeration Tanks (E-1 & E-2)** – TEM will remove Light Fixtures from existing poles and replace with new Light Fixture per the Lighting Schedule. All of the existing lighting branch around the tanks will be removed and replaced with new conduit and wiring. Per addendum #4 Sheets 55R & 56R new Duplex GFI/WP Receptacles will be added and new power circuit conduit and wire will be installed back to Panel “LP-A” located in Blower Building Motor Control Center. New Probes and PH Meter added per Addendum #4 Sheet 56R with mounting hardware will be supplied by others. TEM will mount the Probes and PH Meter and extend/reconnect existing power circuits to the Probes. New control conduit and wire for the probes will be furnished and installed per the Scada Riser Diagram.
12. **Foam Spray Pump located in Chlorine Contact Basin (E-3)** – TEM will furnish and install new Disconnect and Stop-Start Control Station mounted on a new support. The existing power and control circuits originating from Motor Control Center “B” located in the Non-Potable Pump Station will be reconnected to the new equipment. New conduit/wiring will be used from the new equipment to the Foam Spray Pump.
13. **East, West & Middle Galleries (E-4, E-5 & E-6)** – TEM will furnish and install new disconnects for exhaust fans and reconnect existing power circuit to them and will disconnect/reconnect power circuits to the actuators as noted on the drawings and will provide new control conduit/wiring to the per the Scada Diagram E-15. Magnetic Flowmeter with Cable, Differential Pressure Transducers, Thermal Mass and Air Flowmeters will be supplied by others. TEM will provide new control conduit/wiring to these devices per the Scada Diagram on E-15.
14. **Substation “A” (E-11)** – TEM will furnish and install the new Pad Mounted Transformers, Circuit Breakers, Precast Concrete Manholes, and will remove existing Transformers, Switches and Cable from site as noted on the drawings. Some of the existing conduits will be abandoned in place except for the conduits between Switchboard “A” and existing Transformers which will be reused for the new Medium Voltage Cables. TEM will provide new Medium Voltage Cable Terminators and will furnish and install the New 480 Volt Power Cables from Transformers to the Circuit Breakers. New Concrete Pads for Transformers and Breakers and removal of Existing Pads for Transformers and Switches will be by others.

*We are Certified MBE, DBE  
Equal Opportunity Employer*



TEM Group, Inc.  
3560 Bashford Avenue  
Louisville, KY 40218  
Ph: 502-454-0101  
Fax: 502-454-0110

**15. Blower Building (E-7, E-8 & E-9)** – TEM will furnish and install all new Electrical Equipment as shown on the drawings. Please note that the location of Switchgear “BB” and Panelboards “PP-A” & “PP-B” were relocated per Addendum #4 Sheet 62R. Modification of concrete equipment pad for Motor Control Center “MCC-A” and new concrete equipment pads for Switchgear “BB” and Blower Passive Filters (7 each) added per Addendum #4 Sheet 62R will be provided by others. New Light Fixtures will replace existing fixtures as noted on the drawings and will be reconnected to existing branch circuits. New conduit, wiring, fittings, boxes and supports will be provided for new power circuits as shown on the drawings. Existing power circuits will be reconnected to Motor Control Center “A” as noted per the drawings. The following equipment will be furnished by others: Aeration Control Panel, Blower Passive Filters, Gas Monitor Control Panel, Pressure Transducers, Thermostats and Card Readers. TEM will furnish and install new control wiring to new and existing equipment per the Scada Riser Diagram shown on E-15. Reconnecting existing Gas Sensors and Alarm Signals to Gas Monitor Control Panel is included. Demolition of equipment as shown on the drawings is included. Testing and Assistance with Startup of equipment is included. Existing Lightning Protection system will be removed and replaced with new system. HVAC Dampers will be replaced by others. TEM will disconnect/reconnect existing power circuits to the Dampers. Raceways for HVAC control wiring will be furnished and installed with a pull wire for control wiring to be furnished/installed by others as shown on Drawings “H1” & “H2”. ***Please note that there will be about twelve locations around the Blower Building that will require either asphalt or concrete sidewalk to be saw cut and then replaced for new ground cables for new electrical equipment and new lightning protection down leads to be connected to the existing ground grid.***

Please call if you have any questions (502) 498-1981(direct office phone) or (502) 639-4201(cell phone).

Best regards,  
TEM Group, Inc.

A handwritten signature in black ink, appearing to read "Thomas J. Clark, Sr.", written over a white background.

Thomas J. Clark, Sr.  
Senior Estimator  
[tclark@temgroupinc.com](mailto:tclark@temgroupinc.com)

*We are Certified MBE, DBE  
Equal Opportunity Employer*



**WATER AND WASTEWATER SOLUTIONS**

---

*NOT LOW*

April 30, 2020

All Bidders

Ref: **Town Branch WWTP**

Quotation #: **NVG-6217**

Slide Gate Replacement Parts

Location: Tanks 1-12

Quantity = 24

36" x 18" Existing slide gates. Replacement J-Bulb seals, cover bars, rubber bottom seals, stainless steel fasteners.

Total Price: \$ 44,744.00

Location: Tanks 13-19

Quantity = 14

48" x 48" Existing slide gates. Replacement J-Bulb seals, cover bars, rubber bottom seals, stainless steel fasteners.

Total Price: \$30,440.00

Location: Aeration Tanks

Quantity = 1

48" x 78" Existing slide gates. Replacement J-Bulb seals, cover bars, rubber bottom seals, stainless steel fasteners.

Total Price: \$1,570.00

Location: North/South Channels

Quantity: 2

60" x 60" Existing slide gates. Replacement J-Bulb seals, cover bars, rubber bottom seals, stainless steel fasteners.

Total Price: \$ 4,770.00

Location: South Effluent Channel

Quantity: 1

84" x 72" Existing slide gate. Replacement J-Bulb seals, cover bars, rubber bottom seals, stainless steel fasteners.

Total Price: \$ 3,202.00



**WATER AND WASTEWATER SOLUTIONS**

---

Location: West Effluent Channel

Quantity: 1

60" x 60" Existing slide gate. Replacement J-Bulb seals, cover bars, rubber bottom seals, stainless steel fasteners.

Total Price: \$ 2,410.00

- o
- o **Price includes only the products referenced above and may vary subject to verification of quantity, product description, materials and dimensions.**
- o *Due to extreme market instability and pending U.S. Government tariffs, all prices and availability on aluminum, steel and stainless products are subject to change per our suppliers. All quotes to be confirmed at time of production.*
- o Price includes full freight allowed to non-residential jobsite.
- o Payment Net 30 days, zero percent (0%) retainage.
- o Federal, state, local and other taxes and fees are not included in quoted price.
- o Design Certification not available. Shop leakage testing not available.
- o Installation, startup, field testing, training and other on-site services are not included in quoted price.
- o Anchors and spare parts are not included in quoted price.
- o Submittal(s) 1-2 weeks after purchase order is received.
- o Estimated staggered shipments scheduled 10-12 weeks after approval and release for fabrication is received.
- o **Northcoast Valve & Gate, Inc. Standard Terms & Conditions apply to all purchase orders.**

Best regards,  
Northcoast Valve & Gate, Inc.

*Tony Pistek*  
Tony Pistek

Sub-Total = \$87136.00  
 6% Tax = \$5228.16  
Total = \$92364.16



April 24<sup>th</sup>, 2020

Not Low

Dugan & Meyers  
2700 River Green Circle  
Louisville, Kentucky 40206

ATTN: Ted Wagner

RE: Plumbing/HVAC for Town Branch W.W.T.P.

Ted;

Merrick Company LLC proposes to furnish the necessary Labor, Materials and Equipment for the above-mentioned Project per the following:

Plumbing: Supply and replace plumbing fixtures and equipment as detailed in Drawing P1

Plumbing Quote: \$12,855.00

Exhaust duct: Supply and install 16" vent duct as detailed for 4 blower fans vents.  
Cut and patch roof by others. Sheet 37  
Sheet 34 Note 13

Natural Gas Heater: Supply and Install. Roof patch by others

Restrooms: Supply diffusers and grille, supply RTU and install. Roof patch by others.

Louver: Supply and Install L-1 L-2

Ventilating Fans: Supply and install EF1 to EF7  
SF1 and SF2  
Replace damper motors as required

HVAC Quote: \$305,978.00

Excluded:

- Paint
- Wall and floor patch

- Overtime
- Roof Patch
- Electrical
- Covid19 Sight Preparations
- Roof Structure for Curbs
- Unforeseen Circumstances

If there are any questions or additional information needed, please let me know.  
Thank you again for the opportunity to Quote on this Project.

Sincerely Yours,  
MERRICK COMPANY LLC  
Patrick Merrick  
Estimator/Project Manager





**LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT**

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

**Bid/RFP/Quote #** \_\_\_\_\_

**Total Contract Amount Awarded to Prime Contractor for this Project** \_\_\_\_\_

<b>Project Name/ Contract #</b>	<b>Work Period/ From:</b> _____ <b>To:</b> _____
<b>Company Name:</b>	<b>Address:</b>
<b>Federal Tax ID:</b>	<b>Contact Person:</b>

<b>Subcontractor Vendor ID (name, address, phone, email)</b>	<b>Description of Work</b>	<b>Total Subcontract Amount</b>	<b>% of Total Contract Awarded to Prime for this Project</b>	<b>Total Amount Paid for this Period</b>	<b>Purchase Order number for subcontractor work (please attach PO)</b>	<b>Scheduled Project Start Date</b>	<b>Scheduled Project End Date</b>

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
**Company**

\_\_\_\_\_  
**Company Representative**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**

**LFUCG STATEMENT OF GOOD FAITH EFFORTS**

Bid/RFP/Quote # 32-2020

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

       Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

       Included documentation of advertising in the above publications with the bidders good faith efforts package

       Attended LFUCG Central Purchasing Economic Inclusion Outreach event

       Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

       Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

       Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

       Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

       Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

       Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

       Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

       Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

DUGAN & MEYERS LLC  
Company

4/30/2020  
Date

Martin J. Schumert  
Company Representative

SENIOR VICE PRESIDENT  
Title

**16. SUPPLEMENTAL BLOWER DATA**

Blower manufacturers shall provide the following information for their exact blower offering as bid. OWNER will consider the 20-year net present worth of power consumption in evaluating blowers considered “or equal.” Total input power is the electrical energy measured at the input to the blower starter on variable frequency drive.

The following data applies to Sulzer Blowers:

Month	Average Temperature °F	Average Relative Humidity %	Current Demand (Year1)			Future Demand (Year 20)		
			Existing Average Air Demand scfm	Number of Blowers Operating Number	Total Input Power Draw KW	Design Average Air Demand scfm	Number of Blowers Operating Number	Total Input Power Draw KW
January	33	69	11,800			22,400		
February	34	67	12,000			23,200		
March	48	64	11,600			22,800		
April	55	65	10,900			21,300		
May	65	66	11,800			23,300		
June	74	67	11,000			22,500		
July	74	69	10,800			21,800		
August	76	67	11,900			25,000		
September	71	66	11,900			23,700		
October	58	66	11,500			24,100		
November	46	66	11,300			22,500		
December	37	74	11,300			21,400		

SEE ATTACHED SHEETS



**Sulzer Quote 2018-Q172, Rev. 2**

**Sulzer Supplemental Blower Data**

Conditions: 910 ft ASL Elevation (14.22 psia), 0.60 psi inlet losses, 480VAC, 8.0 psig Discharge Pressure

Sulzer Model HST 40-U500-1-L-48

Please note that the Input Power accounts for all losses in the blower package.

**Addendum No. 4**

**16. SUPPLEMENTAL BLOWER DATA**

Blower manufacturers shall provide the following information for their exact blower offering as bid. OWNER will consider the 20-year net present worth of power consumption in evaluating blowers considered "or equal." Total input power is the electrical energy measured at the input to the blower starter on variable frequency drive.

The following data applies to Sulzer Blowers:

Month	Average Temperature Deg F	Average Relative Humidity %	Current Demand (Year 1)			Future Demand (Year 20)		
			Existing Average Air Demand SCFM	Number of Blowers Operating Number	Total Input Power Draw kW	Design Average Air Demand SCFM	Number of Blowers Operating Number	Total Input Power Draw kW
January	33	69	11,800	2	956.7	22,400	4	2435.2
February	34	67	12,000	2	974.4	23,200	4	2516.4
March	48	64	11,600	2	969.9	22,800	4	2546.0
April	55	65	10,900	2	930.6	21,300	4	2431.6
May	65	66	11,800	2	1022.7	23,300	4	2691.6
June	74	67	11,000	2	974.1	22,500	4	2650.0
July	74	69	10,800	2	958.5	21,800	4	2577.2
August	76	67	11,900	2	1056.3	25,000	4	2962.4
September	71	66	11,900	2	1045.2	23,700	4	2775.2
October	58	66	11,500	2	982.2	24,100	4	2746.4
November	46	66	11,300	2	944.1	22,500	4	2506.8
December	37	74	11,300	2	927.6	21,400	3	1765.8



**Sulzer Quote 2018-Q172, Rev. 2**

**Sulzer Power Guarantee for the Input "Wire to Air" kW**

Conditions: 910 ft ASL Elevation (14.22 psia), 0.60 psi inlet losses, 480VAC

**Table 1-Aeration Blowers**

**Sulzer Model HST 40-U500-1-L-48**

Design Point	Operating Time %	Flow SCFM	Discharge Pressure psig	Inlet Temp Deg F	RH %	Guaranteed Wire Power kW	Evaluated Factor	Factored Wire Power kW
1	8%	6,650	8.00	33	69	183.7	0.08	14.7
2	8%	6,534	8.00	34	67	180.9	0.08	14.5
3	8%	9,156	8.00	48	64	286.3	0.08	22.9
4	8%	9,334	8.00	55	65	302.1	0.08	24.2
5	8%	8,751	8.00	65	66	280.0	0.08	22.4
6	9%	7,992	8.00	74	67	251.2	0.09	22.6
7	9%	7,992	8.00	74	69	251.3	0.09	22.6
8	9%	7,351	8.00	76	67	226.2	0.09	20.4
9	9%	7,584	8.00	71	66	232.6	0.09	20.9
10	8%	8,167	8.00	58	66	248.6	0.08	19.9
11	8%	8,809	8.00	46	66	269.2	0.08	21.5
12	8%	6,849	8.00	37	74	191.0	0.08	15.3
<b>Total Guaranteed and Factored Wire Power Draw (kW)</b>								<b>241.9</b>

**Notes:**

Sulzer's supplied inlet filters are typically 0.06 psi losses when dirty.

All Power values are guaranteed to ISO 5389 test specifications with 0 to -4% Input Power tolerances.

The power guarantee does not include power losses for the external passive harmonic filters included in quote.

Passive Harmonic Filters are not tested with the blower packages as they are 3rd party US-sourced items.

**Town Branch WWTP Aeration Improvements, LFUCG, KY**

SULZER CONFIDENTIAL

The following data applies to blowers bid as “or equal”: Name: NO BID

Month	Average Temperature °F	Average Relative Humidity %	Current Demand (Year1)			Future Demand (Year 20)		
			Existing Average Air Demand scfm	Number of Blowers Operating Number	Total Input Power Draw KW	Design Average Air Demand scfm	Number of Blowers Operating Number	Total Input Power Draw KW
January	33	69	11,800			22,400		
February	34	67	12,000			23,200		
March	48	64	11,600			22,800		
April	55	65	10,900			21,300		
May	65	66	11,800			23,300		
June	74	67	11,000			22,500		
July	74	69	10,800			21,800		
August	76	67	11,900			25,000		
September	71	66	11,900			23,700		
October	58	66	11,500			24,100		
November	46	66	11,300			22,500		
December	37	74	11,300			21,400		

END OF SECTION

# Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

## Bid Bond

### CONTRACTOR:

(Name, legal status and address)

Dugan & Meyers LLC  
11110 Kenwood Road  
Cincinnati, OH 45242

### OWNER:

(Name, legal status and address)

Lexington- Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507

### SURETY:

(Name, legal status and principal place of business)

Liberty Mutual Insurance Company  
175 Berkeley St.  
Boston, MA 02116  
**Mailing Address for Notices**  
175 Berkeley St.  
Boston, MA 02116

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**BOND AMOUNT:** 5% Five Percent of Amount Bid

### PROJECT:

(Name, location or address, and Project number, if any)

Town Branch Wastewater Treatment Plant Aeration Improvements, Bid No. 32-2020

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.


Signed and sealed this 21st day of April, 2020.

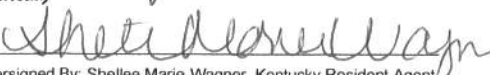
  
\_\_\_\_\_  
(Witness)

Dugan & Meyers LLC  
\_\_\_\_\_  
(Principal) (Seal)

By:   
\_\_\_\_\_  
(Title)

Liberty Mutual Insurance Company  
\_\_\_\_\_  
(Surety) (Seal)

  
\_\_\_\_\_  
(Witness)

BY:   
Countersigned By: Shellee Marie Wagner, Kentucky Resident Agent

By:   
\_\_\_\_\_  
(Title) Colette R. Chisholm, Attorney-in-Fact  
Kentucky License No. 773385



ACKNOWLEDGEMENT OF PRINCIPAL  
IF LIMITED LIABILITY COMPANY

STATE OF Ohio }  
COUNTY OF Warren }

On this 22nd day of April, 2020 before me personally  
appeared Robert M. Baker to me known and known to me to be the  
Treasurer of Dugan+Meyers, LLC  
a Limited Liability Company, described in and who executed the foregoing insurance instrument  
and acknowledged to me that (s)he executed the foregoing insurance instrument and  
acknowledged to me that (s)he executed the same as and for the act and deed of said Limited  
Liability Company.

Mandy Peters  
Notary Public



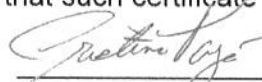
**MANDY PETERS**  
Notary Public, State of Ohio  
My Commission Expires  
June 23, 2020  
2015-RE-535599

ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF New York }

COUNTY OF Nassau }

On this April 21, 2020, before me personally came Colette R. Chisholm to me known, who, being by me duly sworn, did depose and say; that he/she resides in New York County, State of New York that he/she is the Attorney-In-Fact of the Liberty Mutual Insurance Company the corporation described in which executed the above instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the Board of Directors of said corporation; and that he/she signed his/her name thereto by like order; and the affiant did further depose and say that the Superintendent of Insurance of the State of New York, has pursuant to Section 1111 of the Insurance Law of the State of New York, issued to Liberty Mutual Insurance Company (Surety) his/her certificate of qualification evidencing the qualification of said Company and its sufficiency under any law of the State of New York as surety and guarantor, and the propriety of accepting and approving is as such; and that such certificate has not been revoked.

  
\_\_\_\_\_  
Notary Public

NY acknowledgement

CRISTINA PAGAN  
Notary Public-State of New York  
No. 01PA6389428  
Qualified in Suffolk County  
Commission Expires 3/25/2023

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated. Not valid for mortgage, note, loan, letter of credit, bank deposit, currency rate, interest rate or residual value guarantees. To confirm the validity of this Power of Attorney call 610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



**Liberty Mutual.**  
SURETY

Liberty Mutual Insurance Company  
The Ohio Casualty Insurance Company  
West American Insurance Company

**POWER OF ATTORNEY**

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Susan Lupski of the city of Uniondale, state of NY its true and lawful attorney-in-fact, with full power and authority hereby conferred to sign, execute and acknowledge the following surety bond:

Principal Name: Dugan & Meyers LLC

Obligee Name: Lexington- Fayette Urban County Government

Surety Bond Number: N/A

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 12<sup>th</sup> day of December, 2018.



The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: \_\_\_\_\_

David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA                      ss  
COUNTY OF MONTGOMERY

On this 12<sup>th</sup> day of December, 2018, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Upper Merion Twp., Montgomery County  
My Commission Expires March 28, 2021  
Member, Pennsylvania Association of Notaries

By: \_\_\_\_\_

Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV – OFFICERS** – Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII – Execution of Contracts – SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation** – The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization** – By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, of Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company do hereby certify that this power of attorney executed by said Companies is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 21st day of April, 2020.



By: \_\_\_\_\_

Renee C. Llewellyn, Assistant Secretary



LIBERTY MUTUAL INSURANCE COMPANY  
 FINANCIAL STATEMENT — DECEMBER 31, 2019

Assets		Liabilities	
Cash and Bank Deposits.....	\$778,754,989	Unearned Premiums.....	\$8,007,146,482
*Bonds — U.S Government.....	2,780,808,610	Reserve for Claims and Claims Expense .....	21,532,853,787
*Other Bonds.....	12,645,608,792	Funds Held Under Reinsurance Treaties.....	507,868,920
*Stocks .....	16,385,435,431	Reserve for Dividends to Policyholders.....	1,143,826
Real Estate.....	235,608,378	Additional Statutory Reserve.....	125,722,000
Agents' Balances or Uncollected Premiums.....	6,217,983,641	Reserve for Commissions, Taxes and	
Accrued Interest and Rents.....	102,273,390	Other Liabilities .....	4,117,460,075
Other Admitted Assets.....	11,957,106,292	<b>Total .....</b>	<b>\$34,292,195,090</b>
<b>Total Admitted Assets .....</b>	<b><u>\$51,103,579,523</u></b>	Special Surplus Funds.....	\$32,768,443
		Capital Stock.....	10,000,075
		Paid in Surplus .....	10,044,978,933
		Unassigned Surplus.....	6,723,636,983
		<b>Surplus to Policyholders.....</b>	<b>16,811,384,434</b>
		<b>Total Liabilities and Surplus.....</b>	<b><u>\$51,103,579,524</u></b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
 The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2019, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 27<sup>th</sup> day of March, 2020.

*T. Mikolajewski*

Assistant Secretary





**DUGAN & MEYERS MAJOR EQUIPMENT SUMMARY - 2020**

<b>Equipment Type</b>	<b>Quantity</b>
	23
Air Hammers, Air Breakers	58
Backhoes/Trackhoes/Minis/Forks/Buckets	12
Blanket Racks	16
Blankets	2389
Blower Fans	35
Bobcats/Forks/Sweepers/Buckets	19
Compactor/Compactor Equipment/Roller	11
Compressors	9
Concrete Buckets	25
Concrete Trowels	5
Cranes	4
Trucks/Gators/Water Vehicles	2
Dozers	2
Drills/Sanders/Screwguns	48
Dumpsters/Trash Chutes/Etc.	96
Forklift/Skytrack/Lull/Stackers	26
Fuel Tanks	60
Generators	136
Grinders	68
Hammerdrills/Rotodrills/Rotohammers	81
Heaters	102
Lasers/Survey Equipment	30
Nailers/Fasteners	14
Pallet Jacks	6
Pressure Washers	51
Pumps - Electric/Water/Gas	199
Radios	261
Safety Equipment	312
Saws	22
Scissorlifts	98
Tool Boxes/Gangboxes/Knack Box	36
Trailers/Office/Semi/U-Haul/Misc.	78
Vibrators	8
Welding Equipment	6
Winches/Chain Hoists	80
Wrenches/Impact, Etc.	
<b>Forms Systems</b>	
Peri Forms	
Peri-Positioners	
Symons Forms	
Titan Drop Shore Forms	
Cunningham Garage System	

# Dugan and Meyers LLC

## Current Project List

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Washington Court House WWTP Washington Court House, OH	City of Washington Court House Washington Court House, OH Joe Denen Jdenen@CityOWch.com	Jacobs Columbus, OH Michael Flickinger	\$51,581,000	General Contractor	2022
Hite Creek WQTC Expansion Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Tony Harover	Strand Associates, Inc. Louisville, KY 40202	\$23,869,685	General Contractor	2021
AC Marriott Columbus, OH	Continental Building Company Columbus, OH Brian Barringer 614.883.1129	Meyers & Associates Architects Columbus, OH	\$2,157,300	General Contractor	2020

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
BSMH Home Office Garage Cincinnati, OH	Bon Secours Mercy Health Cincinnati, OH Molly Iromonger 513.925.5892	Chaplain Architecture Cincinnati, OH Kru Jain 513.884.1259 THP Cincinnati, OH Dave Marshall 513.241.3222	\$10,240,000	Construction Manager	2020
CONRAC QTA John Glenn International Airport Columbus, OH	Columbus Regional Airport Authority Columbus, OH 614.239.4000 Turner Construction Jason Rhodebeck Jrodebeck@tcco.co	Tran Systems Columbus, OH 1-800-800-5261	\$37,200,000	Subcontractor	2020
Cover My Meds Columbus, OH	Cambridge Holding, Inc. Dallas, TX Francis Sapienza, Jr. 214.871.7337	Perkins + Will Dallas, TX Matthew Crumme 214.624.5790	\$13,876,121	Subcontractor	2020
Danbury on 5th Columbus, OH	Lemmon Development North Canton, OH Tony Perez 330.479.8686 Daimler Group Justin Bates	GPD Group Akron, OH Dwight Young 330.572.2100	\$2,820,000	Subcontractor	2020

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Dry Creek Blower Improvements Cincinnati, OH	Sanitation District No. 1 Fort Wright, KY Mike Glowacki 859.578.1646	Hazen & Sawyer Cincinnati, OH 513.469.2750	\$2,986,324	General Contractor	2020
Easton East Columbus, OH	Kaufman Development Tysons, VA 614.299.9200 The Daimler Group Chris Cerar	KTGY Group, Inc. Tysons, VA 703.992.6116 Ellinwood + Machado Structural Arlington, VA Brian Fraser 703.835.9989	\$10,650,822	Subcontractor	2020
Eden & University Cincinnati, OH	UAS LLC Cincinnati, OH	M+A Architects Cincinnati, OH	\$6,700,000	Subcontractor	2020
Founders Park Garage Columbus, OH	The Daimler Group Columbus, OH Thomas Jones 614.488.4424	New Avenue Upper Arlington, OH 614.884.8888 Schaefer Columbus, OH	\$5,750,000	Subcontractor	2020



Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Gulf Coast Growth Ventures Infrastructure Project (SABIC) Gregory, TX	Gulf Coast Growth Ventures Portland, TX	AMEC Foster Wheeler USA Corp Houston, TX	\$1,598,084	Subcontractor	2020
Kilbourne Street Parking Garage Springfield, OH	NWD HP LLC Columbus, OH Steve Lark 614.221.5312	Columbus Architectural Studio Columbus, OH Danial Hanes 614.541.2801	\$11,136,167	Design / Build	2020
Lincoln & Pearl Columbus, OH	Wood Companies Columbus, OH Marc Wood 614.298.9663	Schooley Caldwell Associates Columbus, OH 614.628.0300	\$5,487,730	Subcontractor	2020
Little Miami WWTP Preliminary Improvements PH A Cincinnati, OH	City of Cincinnati Cincinnati, OH	Arcadis Cincinnati, OH 513.860.8700	\$14,000,000	General Contractor	2020

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Newark WWTP Digester Improvements	City of Newark Newark, OH Roger Loomis 740.349.6768	Hazen & Sawyer Columbus, OH Mark Strahota & Scott Phipps 614.781.9655	\$8,674,606	General Contractor	2020
O'Bannon WWTP Filter & Clarifier Improvements Loveland, OH	Clermont County Sanitary Engineering Loveland, OH	Hazen & Sawyer Cincinnati, OH	\$4,616,000	General Contractor	2020
Springfield Garage Springfield, OH	City of Springfield Springfield, OH Bryan Heck 937.324.7305	Desman Design Management Chicago, IL Steve Rebora 312.263.8400	\$6,900,000	Design / Build	2020
SSO 700 Reading, OH	Metropolitan Sewer District Cincinnati, OH Erwin Mamacos 513.244.5137	Black & Veatch Cincinnati, OH Jared Htuchins 513.936.5137	\$6,483,996	General Contractor	2020

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Town Branch WWTP Thickening Lexington, KY	Lexington Fayette Urban County Gov Lexington, KY Brian Marcum 859.425.2400	GRW Engineers Lexington, KY 859.223.3999	\$3,222,000	General Contractor	2020
			\$0		2005

# Dugan Meyers LLC

## Treatment Plant Experience

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Washington Court House WWTP Washington Court House, OH	City of Washington Court House Washington Court House, OH Joe Denen Jdenen@CityOHwch.com	Jacobs Columbus, OH Michael Flickinger	\$51,581,000	2022
Hite Creek WQTC Expansion Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Tony Harover	Strand Associates, Inc. Louisville, KY 40202	\$23,869,685	2021
SSO 700 Reading, OH	Metropolitan Sewer District Cincinnati, OH Erwin Mamacos 513.244.5137	Black & Veatch Cincinnati, OH Jared Htuchins 513.936.5137	\$6,483,996	2020
Newark WWTP Digester Improvements	City of Newark Newark, OH Roger Loomis 740.349.6768	Hazen & Sawyer Columbus, OH Mark Strahota & Scott Phipps 614.781.9655	\$8,674,606	2020
Dry Creek Blower Improvements Cincinnati, OH	Sanitation District No. 1 Fort Wright, KY Mike Glowacki 859.578.1646	Hazen & Sawyer Cincinnati, OH 513.469.2750	\$2,986,324	2020

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Town Branch WWTP Thickening Lexington, KY	Lexington Fayette Urban County Gov Lexington, KY Brian Marcum 859.425.2400	GRW Engineers Lexington, KY 859.223.3999	\$3,222,000	2020
Little Miami WWTP Preliminary Improvements PH A Cincinnati, OH	City of Cincinnati Cincinnati, OH	Arcadis Cincinnati, OH 513.860.8700	\$14,000,000	2020
Gulf Coast Growth Ventures Infrastructure Project (SABIC) Gregory, TX	Gulf Coast Growth Ventures Portland, TX	AMEC Foster Wheeler USA Corp Houston, TX	\$1,598,084	2020
O'Bannon WWTP Filter & Clarifier Improvements Loveland, OH	Clermont County Sanitary Engineering Loveland, OH	Hazen & Sawyer Cincinnati, OH	\$4,616,000	2020
Combined Sewer Overflow Phase 2 Maysville, KY	City of Maysville Maysville, KY Ray Young 606.564.9419	Can-Tech LLC Lawrenceburg, KY Matthew Baker 502.859.0907	\$2,912,200	2019
Ashland RTC Facility Upgrade Louisville, KY	Louisville & Jefferson County MSD Louisville, KY David Coe 502.540.6000		\$328,000	2019

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
L'Oreal Water Reclamation Project Florence, KY	L'Oreal USA Florence, KY Josh Norris 859.342.4322	McGill Smith Punshon Cincinnati, OH Steve Stidham 513.759.0004	\$3,840,500	2019
Portland CSO Basin Louisville, KY 40212	Louisville & Jefferson Co. MSD Louisville, KY Jacob Mathis jacob.mathis@louisvillemisd.org	Heritage Engineering, LLC Louisville, KY 40202 502.562.1413	\$28,952,627	2019
Miamisburg Eastside Pump Station Miamisburg, OH	City of Miamisburg Miamisburg, OH Dave Reinker 937.866.3303	Hazen & Sawyer Cincinnati, OH Ed Brown 513.469.2750	\$9,795,000	2019
Dayton IPS Dayton, OH	City of Dayton	RA	\$2,272,600	2019
Oak Hill Booster Pump Station Louisville, KY	Louisville Water Company Louisville, KY 40202 Renee Fromme 502-596-3600		\$348,000	2019
CSO 181 Real Time Control Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Steve Moss 513.244.1300	CDM Smith Cincinnati, OH Brandon Raybourne 513.583.9800	\$2,884,997	2019

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Beargrass Creek Flood Pump Station Electrical Improvements Louisville, KY	Louisville & Jefferson Co. MSD Louisville, KY Larry Boone 502-396-7989	Jacobs Cincinnati, OH	\$3,204,000	2018
Muddy Creek Belt Press Replace Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Anthony Yee 513-244-1300	Jacobs Cincinnati Debbie Schafer 513-595-7500	\$6,285,264	2018
Werk & Westbourne EHRT Facility Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Anthony Yee 513-244-1300	Black & Veatch Cincinnati, OH 513-984-6630	\$26,024,502	2018
Shelbyville WTP Shelbyville, KY	Shelbyville Municipal Water & Sewer Commission Mike Stearman 502.633.4562		\$140,175	2018
Wilmore WWTP Sludge Handling Improvements Wilmore, KY	City of Wilmore Wilmore, KY Harold Rainwater 859-858-4411	GRW Engineers Lexington, KY 40503	\$3,342,000	2018
Barton 1792 Distillery Pretreatment Improvements	Barton Brands of Kentucky Bardstown, KY Eric Ringo 502.331.4811	QK4 Louisville, KY Angi Johnston 502.585.2222	\$2,397,900	2018

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Georgetown WWTP #2 Aerators Replacement	Georgetown Municipal Water/Sewer Georgetown, KY		\$1,065,000	2018
Rivershore Farms WWTP Rehab Hebron, KY	Sanitation District No. 1 Fl. Wright, KY Daniel Doss	Jac obs Cincinnati, OH Dinesh Palaniswamy 513.595.7500	\$196,000	2018
Glenview Pump Station Upgrade Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Sara Cramer 513.244.1300	Jacobs Cincinnati, OH Dinesh Palaniswamy 513.595.7500	\$480,380	2018
Shelbyville WTP Shelbyville, KY	Shelbyville Municipal Water & Sewer Shelbyville, KY Mike Stearman 502.633.4562	NA	\$39,000	2018
Taylor Mill Treatment Plant Belt Filter Press Replacement Taylor Mill, KY	Northern Kentucky Water District Erlanger, KY		\$686,800	2018
Western Regional Plant Drainage West Carrollton, OH	Montgomery County Dayton, OH Saa Shemsu 937-781-2623	NA	\$1,000,000	2017



Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Mt. Orab WWTP Improvements Mt. Orab, OH	The Village of Mt. Orab Mt. Orab, OH Jeff Stine 937-444-4141	CDM Smith, Inc. Cincinnati, OH Andrew Bohlen, PE 513-583-9800	\$2,834,000	2017
Contract 26 - Colesburg Pump St Lebanon Junction, KY	Hardin County Water District #3 Elizabethtown, KY 270.737.1056	Kenvirons Frankfort, KY 502.695.4357	\$1,574,264	2017
Derek R Guthrie WQTC Secondary Clarifier Louisville, KY	Louisville & Jefferson County Metropolitan Sewer District Louisville, KY Joseph Bentley	Hazen Sawyer Louisville, KY	\$1,689,993	2017
Logan Street CSO Louisville, KY	Garney Construction Kansas City, MO Jordan Carrier	N/A	\$1,113,044	2017
Springfield T & M Work Springfield, KY	Springfield Water & Sewer Comm Springfield, KY Dr. John Isaacs 859.336.5454	HDR Engineering Lexington, KY 859.336.5454	\$5,778	2017
DM-1 Pump Station Dayton, OH 45422	Montgomery County Board Of Comm Kettering, OH Renee Miller 937-781-2626	CDM Smith Inc. Cincinnati, Ohn Jeff Macomber 513-716-6533	\$1,787,000	2017

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Western Regional WRF Activated Sludge Improvements West Carrollton, OH	Montgomery County Dayton, OH Saa Shemsu 937-781-2623	Malcolm Pimie - Arcadis Columbus, OH Derek Dalton 614-985-9100	\$3,600,000	2016
Shelbyville WWTP Shelbyville, KY	Shelbyville Municipal Water Sewer Shelbyville, KY William Bryant 520.633.4548	N/A	\$90,000	2016
New Regional WTP Louisville, KY	Oldham County Environmental Authority LaGrange, KY 502.225.9477	Hagerty Consulting, LLC Prospect, KY James Hagerty 502.548.0598	\$8,965,653	2016
Mill Creek Blower Upgrade Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Jeff Dean 513-244-1300	Brown & Caldwell Cincinnati, OH Tim Koch 513-719-6021	\$9,500,000	2016
Patton & 8th St PS Rehab Covington, KY	N. KY Sanitation District No. 1 Ft. Wright, KY Daniel Doss 859-547-1668	Hazen & Sawyer Cincinnati, OH Will Leadbitter 513-469-2750	\$2,100,000	2016
City of Urbana WPCF Improvements Urbana, OH	City of Urbana, OH Urbana, OH Chad Hall 937.652.4302	CDM SMITH Columbus, OH Dave Stewart 614.847.8340	\$18,500,000	2016

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
KSR1 High Service Pump #15 Lexington, KY	Kentucky American Water Lexington, KY Zachery Dukes 859.268.6325	HDR Lexington, KY Benton Harris 859.629.4800	\$248,787	2016
Raw Water Intake Improvements Winchester, KY	Winchester Municipal Utilities Winchester, KY	Bell Engineering Lexington, KY 859.278.5412	\$1,213,123	2016
Salt River Booster Pumping Station Shepherdsville, OH	Louisville Water Company Louisville, KY Renee Fromme 502.596.3600	N/A	\$828,704	2016
Muddy Creek Grit Replacement Cincinnati, OH	Metropolitan Sewer District Cincinnati, OH Anthony Yee 513.244.1300	Jacobs Cincinnati, OH Debbie Schafer 513.595.7500	\$3,492,000	2016
Wards Corner WWTP Rehabilitation Loveland, OH	Clermont County Water Resources Batavia, OH Bill Beyer 513.831.8124	CH2M Hill Gainsville, FL Mike Fox 407-650-2120	\$2,640,000	2016
City of Madison Water Pollution Control Facility Impr. Madison, IN	City of Madison, IN Madison, IN 812-265-8300	Donohue & Associates Indianapolis, IN Emily Wehmeyer 317.267.8200	\$3,597,894	2016

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
INEOS C-4 Replacement Addyston, OH	INEOS ABS Addyston, OH Jessica Reece 513.467.2321	N/A	\$338,577	2016
B.E. Payne WTP Mixer Renovation Prospect, KY	Louisville Water Company Louisville, KY Renee Fromme 502.596.3600	N/A	\$2,087,832	2016
Raw Water Pump Station Reno Lebanon, KY	Lebanon Water Works Company Lebanon, KY Dick Moraja	O'Brien & Gere Floyds Knobs, IN Ray Ihlenburg 502.693.0718	\$444,676	2016
Crescent Hill Filter Plant 480 Volt Louisville, KY	Louisville Water Company Louisville, KY	Glenwood Electric Walton, KY Steve Thaman 859.485.3700	\$210,872	2015
INEOS Sludge Pit Steel Replacement	INEOS ABS Addyston, OH Jessica Reece 513-467-2321	N/A	\$202,000	2015
Crescent Hill Amonia Feed Louisville, KY	Louisville Water Plant Louisville, KY	Glenwood Electric Walton, KY Steve Thaman 859.485.3700	\$2,873,372	2015

Project	Owner	Architect / Engineer	Contract Amount	Year Completed
Broadway Pump Station Dayton, OH	City of Dayton Dayton, OH Paul MacCallum 937-423-2934	Hazen and Sawyer Cincinnati, OH Steven Reese 513.469.2750	\$4,757,876	2015
Hamilton WRF Phase 2 Improv Hamilton, OH	City of Hamilton Hamilton, OH Billy Slaven 513.868.5971	Arcadis US Inc. Cincinnati, OH David Vicarel 513.860.8700	\$4,300,000	2015
Happy Hollow Lift Station Miami Twp., OH	Clermont County Water Resources Batavia, OH Kevin Kappers 513-732-8864	NA	\$600,000	2015
Y-Bridge Pump Station Zanesville, OH	The City of Zanesville Zanesville, OH Michael Sims 740.455.0650	CH2M Hill Columbus, OH Gary Long 614.888.3100	\$2,765,234	2014
17th Street Flood Pump Station Louisville, KY	Louisville & Jefferson Co Metropolitan Sewer District 502-540-6000	HDR Engineering Louisville, KY 502.584.4118	\$478,463	2014
INEOS EQ Tank Slab Repair Addyston, OH	INEOS ABS Addyston, OH Jessica Reece 513-467-2321	N/A	\$37,057	2014

# Dugan and Meyers LLC

## Current Project List

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Washington Court House WWTP Washington Court House, OH	City of Washington Court House Washington Court House, OH Joe Denen Jdenen@CityOHwch.com	Jacobs Columbus, OH Michael Flickinger	\$51,581,000	General Contractor	2022
Hite Creek WQTC Expansion Louisville, KY	Louisville & Jefferson County MSD Louisville, KY Tony Harover	Strand Associates, Inc. Louisville, KY 40202	\$23,869,685	General Contractor	2021
AC Marriott Columbus, OH	Continental Building Company Columbus, OH Brian Barringer 614.883.1129	Meyers & Associates Architects Columbus, OH	\$2,157,300	General Contractor	2020

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
BSMH Home Office Garage Cincinnati, OH	Bon Secours Mercy Health Cincinnati, OH Molly Fromonger 513.925.5892	Champlain Architecture Cincinnati, OH Kru Jain 513.884.1259 THP Cincinnati, OH Dave Marshall 513.241.3222	\$10,240,000	Construction Manager	2020
CONRAC QTA John Glenn International Airport Columbus, OH	Columbus Regional Airport Authority Columbus, OH 614.239.4000 Turner Construction Jason Rhodebeck Jrodebeck@lcco.co	Tran Systems Columbus, OH 1-800-800-5261	\$37,200,000	Subcontractor	2020
Cover My Meds Columbus, OH	Cambridge Holding, Inc. Dallas, TX Francis Sapienza, Jr. 214.871.7337	Perkins + Will Dallas, TX Matthew Crummey 214.624.5790	\$13,876,121	Subcontractor	2020
Danbury on 5th Columbus, OH	Lemmon Development North Canton, OH Tony Perez 330.479.8686 Dairmler Group Justin Bates	GPD Group Akron, OH Dwight Young 330.572.2100	\$2,820,000	Subcontractor	2020

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Dry Creek Blower Improvements Cincinnati, OH	Sanitation District No. 1 Fort Wright, KY Mike Glowacki 859.578.1646	Hazen & Sawyer Cincinnati, OH 513.469.2750	\$2,986,324	General Contractor	2020
Easton East Columbus, OH	Kaufman Development Tysons, VA 614.299.9200 The Daimler Group Chris Cerar	KTGY Group, Inc. Tysons, VA 703.992.6116 Ellinwood + Machado Structural Arlington, VA Brian Fraser 703.835.9989	\$10,650,822	Subcontractor	2020
Eden & University Cincinnati, OH	UAS LLC Cincinnati, OH	M+A Architects Cincinnati, OH	\$6,700,000	Subcontractor	2020
Founders Park Garage Columbus, OH	The Daimler Group Columbus, OH Thomas Jones 614.488.4424	New Avenue Upper Arlington, OH 614.884.8888  Schaefer Columbus, OH	\$5,750,000	Subcontractor	2020



Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Gulf Coast Growth Ventures Infrastructure Project (SABIC) Gregory, TX	Gulf Coast Growth Ventures Portland, TX	AMEC Foster Wheeler USA Corp Houston, TX	\$1,598,084	Subcontractor	2020
Kilbourne Street Parking Garage Springfield, OH	NWD HP LLC Columbus, OH Steve Lark 614.221.5312	Columbus Architectural Studio Columbus, OH Danial Hanes 614.541.2801	\$11,136,167	Design / Build	2020
Lincoln & Pearl Columbus, OH	Wood Companies Columbus, OH Marc Wood 614.298.9663	Schooley Caldwell Associates Columbus, OH 614.628.0300	\$5,487,730	Subcontractor	2020
Little Miami WWTP Preliminary Improvements PH A Cincinnati, OH	City of Cincinnati Cincinnati, OH	Arcadis Cincinnati, OH 513.860.8700	\$14,000,000	General Contractor	2020

Project	Owner	Architect / Engineer	Contract Amount	Delivery Method	Year Complete
Newark WWTP Digester Improvements	City of Newark Newark, OH Roger Loomis 740.349.6768	Hazen & Sawyer Columbus, OH Mark Strahota & Scott Phipps 614.781.9655	\$8,674,606	General Contractor	2020
O'Bannon WWTP Filter & Clarifier Improvements Loveland, OH	Clermont County Sanitary Engineering Loveland, OH	Hazen & Sawyer Cincinnati, OH	\$4,616,000	General Contractor	2020
Springfield Garage Springfield, OH	City of Springfield Springfield, OH Bryan Heck 937.324.7305	Desman Design Management Chicago, IL Steve Rebora 312.263.8400	\$6,900,000	Design / Build	2020
SSO 700 Reading, OH	Metropolitan Sewer District Cincinnati, OH Erwin Mamacos 513.244.5137	Black & Veatch Cincinnati, OH Jared Htuchins 513.936.5137	\$6,483,996	General Contractor	2020

**Project**      **Owner**      **Architect / Engineer**      **Contract Amount**      **Delivery Method**      **Year Complete**

Town Branch WWTP Thickening  
Lexington, KY

Lexington Fayette Urban County Gov  
Lexington, KY  
Brian Marcum  
859.425.2400

GRW Engineers  
Lexington, KY  
859.223.3999

\$3,222,000

General Contractor

2020

\$0

2005



## **ANDY ZALLA**

### **Vice President**

As the Lead Officer of the Dugan & Meyers Louisville office, Andy represents Dugan & Meyers and manages the project's preconstruction and construction phases. With over thirty years' experience in the construction industry, Andy has extensive knowledge in both water and waste water plants in field operations, estimating and executive management. Andy's involvement ensures Dugan & Meyers' commitment during all phases of the project.



#### **VICE PRESIDENT - Current Projects**

SABIC GCGV Infrastructure Project, Gregory, TX

Hite Creek WWTP Louisville MSD

Jeffersonville IN WWTP

Louisville FC Stadium Foundation Package

Maysville KY WWTP

Town Branch WWTP LFUCG

Washington Courthouse OH WWTP

#### **VICE PRESIDENT - Completed Projects**

Winchester KY Raw Water Pump Station

Springfield KY WWTP

Shelbyville KY Recycling Center

Salt River Booster Pump Station. LWC0

Oldham County WWTP

Madison, IN WWTP

LWC0 Ammonia Upgrades @ CHFP & BE Payne Plants

Logan Street CSO

Lebanon KY Raw Water Pump Station Upgrades

#### **Education**

- BS in Civil & Environmental Engineering - University of Cincinnati

#### **Training/Certifications**

- American Institute of Constructors - Certified Professional Constructor

#### **Organizations**

- Design Build Institute of America

#### **Experience**

- Industry: 37 years
- Dugan & Meyers: 7 years



DUGAN & MEYERS

## MARTIN SCHIRMER

### Senior Vice President - Director of Estimating and Preconstruction

Through 35 years of construction experience, Martin (Marty) amassed high-level skills for predicting cost, production, and unit-rates for civil, architectural, structural and process mechanical work. He leverages this capability and a disciplined approach to provide leadership for our Estimating and Preconstruction Team. As Director, Marty oversees project estimating and bidding for over \$1 Billion per year, manages our database of historical cost data, and monitors the construction marketplace to maintain current knowledge of factors which influence estimation and bidding. Marty also reviews all final estimate packages to verify accuracy and completeness prior to formal quotation.

During construction, Mr. Schirmer first hands off to the operations team. At close out, Marty conducts project "post mortem" meetings for all projects.

#### FIELD PROJECT EXPERIENCE

**L'Oreal**, Florence, KY - Preconstruction Manager on this new \$4 Million Design/Build water reclamation project

**Edgewood Arsenal WWTP**, Edgewood, MD - Project Executive for a \$26 Million army base WWTP with USACE and HAZWOPER Procedures.

**Keyser WWTP**, Keyser, WV - Project Executive on a \$28.5 Million Greenfield WWTP project

**Baltimore Backriver**, Baltimore, MD - Preconstruction Director for a \$430 Million WWTP upgrades project

**Lakeview Pump Station Surge Improvements**, Ft. Wright, KY - Project Executive for a \$6.3 Million project

**Jeffersonville North WWTP**, Jeffersonville, IN - Project Executive for a \$17.7 Million Greenfield WWTP project

**Rumpke Recycling Center**, St. Bernard, OH - Project Executive on a new \$11.3 Million recycling center

**Hamilton WRF Ph. 2 Improvements**, Hamilton, OH - Project Executive on a \$4.7 Million WWTP upgrades project



#### Education

- BS in Civil & Environmental Engineering - University of Cincinnati

#### Training/Certifications

- American Institute of Constructors - Certified Professional Constructor
- Design Build Institute of America Assoc.

#### Experience

- Industry: 35 years
- Dugan & Meyers: 15 years



## **DANIEL R. BREETZ, P.E.**

### **Senior Project Manager**

As the Senior Project Manager, Dan would lead and direct the construction team members and channel the information flow from the project team. He would maintain the continuity between all phases of construction to final close-out and commissioning of the project. Dan will initiate and manage all procedures necessary including documentation, change management, quality assurance, procurement, communication systems and safety. Dan will also coordinate the civil and architectural aspects of the project.

#### **PROJECT EXPERIENCE**

**Springfield Parking Garage (Design/Build),** Springfield, OH – Project Manager, \$6 Million

**O'Bannon WWTP Tertiary Filter Replacement,** Loveland, OH – Project Manager, \$4.6 Million

**SABIC GCGV Infrastructure Project,** Gregory, TX – Project Manager, \$1.6 Million

**Mine Industry Project,** Missouri – Senior Project Manager KY, \$1 Million

**Railroad Project,** Richmond, VA – Senior Project Manager KY, \$2 Million

**Food Services Project,** New Orleans, LA – Senior Project Manager KY, \$4 million

**Food Services Project,** Dallas, TX – Senior Project Manager KY, \$5 Million

**Town Branch WWTP Centrifuge Project,** Lexington, KY – Project Manager, \$2 Million

**Mill Creek Waste Water Treatment Plant Secondary Improvements,** Cincinnati, OH – Assistant Project Manager, \$25 million

**Carthage WWTP System Upgrade,** Carthage, IN – Project Manager, \$3 Million



#### **Education**

- BS in Civil and Environmental Engineering – University of Cincinnati

#### **Training / Certification**

- Licensed Professional Engineer, Kentucky and Ohio Boards of Engineers & Land Surveyors

#### **Experience**

- Industry: 12 years
- Dugan & Meyers: 1 year



## KEITH HALL

### Superintendent

As your Superintendent, Keith is responsible for the coordination of all self-perform work, subcontractors and vendors. Keith also facilitates the field management of purchasing and inventory, personnel management and other on-site construction operations.

Keith is on-site full-time during construction

#### PROJECT EXPERIENCE

SABIC GCGV Infrastructure Project, Gregory, TX – Superintendent, \$1.6 Million

Town Branch LFUCG WWTP Upgrades, Lexington, KY – Superintendent, \$3.2 Million

FC Louisville Stadium, Louisville, KY – Superintendent, \$5.6 Million

Maysville CSO, Maysville, KY – Superintendent, \$15 Million

Beargrass Creek, Louisville, KY – Superintendent, \$3.2 Million

Georgetown WWTP, Georgetown, KY – Superintendent, \$1.1 Million

Barton 1792 Distillery, Bardstown, KY – Superintendent, \$2.4 Million

Springfield WWTP, Springfield, KY – Superintendent, \$2.5 Million

Derek Guthrie Secondary Clarifier Replacement, Louisville, KY – Superintendent, \$1.7 Million

Shelbyville Water Treatment Plant, Shelbyville, KY – Superintendent, \$100,000

Raw Water Pump Station, Somerset, KY – Superintendent

Stones River WTP, Murfreesboro, TN – Superintendent

#### Training / Certification

- Apprenticeship training with the Ohio College of Applied Science
- Trenching & Excavation
- Fall Protection
- Scaffolding
- Confined Space
- Operations training on friction and large hydraulic cranes
- OSHA training in operation of skid steers, rough terrain forklifts, powered industrial forklift and scissorlifts
- OSHA 30-Hour

#### Experience

Industry: 41 years  
Dugan & Meyers: 6 years



DUGAN & MEYERS

## TED WAGNER

### Senior Estimator

As Senior Estimator, Ted's industry experience and knowledge provides valuable input to the early budgeting and estimating process; most critically to the civil, process, electrical and instrumentation dynamics of the project estimate. Ted is our treatment plant estimating leader, and a proven resource in the area.

#### PROJECT EXPERIENCE

**Jeffersonville, IN WWTP, Jeffersonville, IN – Senior Estimator, \$13.4 Million**

**Washington Court House, Washington Court House, OH – Senior Estimator, \$52 Million**

**Dry Creek WWTP Blower Improvements, Villa Hills, KY – Senior Estimator, \$3 Million**

**Town Branch LFUCG WWTP Upgrades, Lexington, KY – Senior Estimator, \$3.2 Million**

**Hite Creek, Louisville, KY – Senior Estimator, \$24 Million**

**SSO 700 WTP, Cincinnati, OH – Senior Estimator, \$6.5 Million**

**Newark WWTP Digester Improvements, Newark, OH – Senior Estimator, \$8.7 Million**

**O'Bannon WWTP Filter & Clarifier Improvements, Loveland, OH – Senior Estimator, \$4.7 Million**

**SABIC GCGV Infrastructure Project, Gregory, TX – Senior Estimator, \$1.6 Million**

**Little Miami WWTP, Cincinnati, OH – Senior Estimator, \$15 Million**

**L'Oreal Design Build Treatment Plant, Florence, KY – \$3.8 Million**

**Portland CSO Basin Louisville MSD – Senior Estimator, \$28.2 Million**

**Beargrass Creek Pump Station, Louisville MSD – Senior Estimator, 3.2 Million**

**Barton 1792 Distillery, Barton, KY – Senior Estimator, \$2.4 Million**



#### Education

- BS in Building Construction & Management – Purdue University

#### Training / Certification

- OSHA 8 Hour Competent Person Trenching & Excavation
- OSHA 30 Hour Construction Safety & Health

#### Experience

- Industry: 30 years
- Dugan & Meyers: 5 years





DUGAN & MEYERS

## STEVE KLINKER

### General Manager

Steve will ensure that our company is responsive in meeting the Owner's expectations. Steve has extensive experience in field operations, estimating, pre-construction, executive management and overall company management.

#### PROJECT FIELD EXPERIENCE

Mason Wastewater Treatment Plant, \$32.6 Million

Western Regional WRF, \$70 Million

U Square at the Loop, Parking Garages (2), \$10 Million

Rumpke Recycling Center, \$12 Million

Mercy West Cincinnati, \$16.0 Million

Xenia City Schools, \$12.9 Million

Morton Middle School, \$8 Million

Morehead State University, LEED Project, \$19.2 Million

Great Oaks/Diamond Oaks Expansion, \$21 Million

Little Miami Schools, \$45 Million

Indian Hill Rangers, \$5 Million

University of Cincinnati Student Life Center, \$9.6 Million

Mason Municipal Center, \$20 Million

Little Miami High School, \$17.6 Million

Good Samaritan Hospital Garage, \$15.8 Million

Adams County School District, \$24.8 Million



#### Education

- BS in Building and Construction Management - Purdue University

#### Training / Certification

- OSHA 30-Hour

#### Experience

- Industry: 37 years
- Dugan & Meyers: 35 years
- Past President of Allied Construction Industries (ACI)
- State of Ohio Associated General Contractors (AGC) 2020 President



DUGAN & MEYERS

## LINC KETTERER

### Senior Vice President

As Senior Vice President, Linc has overall responsibility for our Central Ohio region with a focus on business development and sales, pre-construction and client satisfaction. Linc has a passion for partnering with you through the pre-construction phase and developing creative solutions to project challenges while maximizing your return on investment. Linc excels in the Design/Build delivery method where the best interests of the project are the focus behind every decision.

In his 36 years with Dugan & Meyers, Linc has been responsible for the successful delivery of numerous project types including: high rise buildings, parking structures, structural concrete, municipal buildings, health care, renovations, and tenant buildout.

#### SELECT PROJECT EXPERIENCE – PARKING GARAGES

Danbury on 5<sup>th</sup>, Columbus, OH - \$2.8 Million  
Kilbourne Parking Garage, Columbus, OH - \$11.1 Million  
Easton East, Columbus, OH - \$10.6 Million  
Cover My Meds, Columbus, OH - \$13.9 Million  
Founders Park, Columbus, OH - \$5.4 Million  
CONRAC, Columbus, OH - \$37.2 Million  
Springfield Parking Garage, Springfield, OH - \$6.8 Million  
AC Marriott, Structural Concrete, Columbus, OH - \$2 Million  
Forty West 1<sup>st</sup> Street, Columbus, OH - \$3.4 Million  
OU Clippinger Hall, Concrete Frame, Athens, OH - \$3 Million  
The Alexander, Columbus, OH - \$4 Million  
Ramcrete Pump Office and Maintenance, Cincinnati, OH - \$3.9 Million  
Lincoln Street Garage, Mixed Use and Podium, Columbus, OH - \$5.4 Million  
Nationwide Children's Hospital Conference Center and Data Center Structural Concrete, Columbus, OH - \$2.9 Million  
OhioHealth Grant Hospital Garage, Columbus, OH - \$16.6 Million  
OhioHealth Corporate HQ Garage, Columbus, OH - \$17.6 Million  
Arena Grand Marconi Garage, Columbus, OH - \$6.2 Million



#### Education

- BS in Building Construction Management - Purdue University

#### Training / Certification

- OSHA 30-Hour

#### Experience

- Industry: 36 years
- Dugan & Meyers: 36 years



DUGAN & MEYERS

## JUSTIN KOENES

### VP of Operations

In his role as VP of operations, Justin oversees all Columbus and Cincinnati projects' processes, systems and controls. He participates in the hiring, and coordination for all field personnel, and provides leadership and direction to all project managers and supervisory personnel for construction projects

As your project executive, Justin serves as your advocate and works with your team and our managers to establish objectives, policies and performance standards for this project, consistent with our quality and safety standards, and tailored to meet your project requirements. At the close-out, Justin leads a post-project evaluation to document and carry "best practices" and "lessons learned" to future projects.

#### PROJECT EXPERIENCE

Danbury on 5<sup>th</sup>, Columbus, OH - \$2.8 Million

Kilbourne Parking Garage, Columbus, OH - \$11.1 Million

Easton East, Columbus, OH - \$10.6 Million

Cover My Meds, Columbus, OH - \$13.9 Million

Founders Park, Columbus, OH - \$5.4 Million

Nationwide Children's Hospital Conference Center and Data Center Structural Concrete, Columbus, OH - \$2.9 Million

Lincoln Street Garage, Mixed Use and Podium, Columbus, OH - \$5.4 Million

Ramcrete Design Build Concrete Pump Office and Maintenance, Cincinnati, OH - \$3.9 Million

CONRAC, Columbus, OH - \$35.7 Million

L'Oreal Design Build Treatment Plant, Florence, KY - \$3.8 Million

The Alexander, Columbus, OH - \$4 Million



#### Education

- BS in Building Construction Management - Purdue University

#### Organizations

- Board of Directors - Allied Construction Industries (ACI)

#### Experience

- Industry: 22 years
- Dugan & Meyers: 6 years



# GILES GALBRAITH

## Operations Manager

Giles is a detail-oriented and highly experienced construction professional who provides leadership and operations expertise from over 35 years of field experience. As Operations Manager, Giles provides administration, control and coordination for your project. He also assesses manpower needs and adjusts staffing as required throughout the life of the project.



### REPRESENTATIVE PROJECT EXPERIENCE – OPERATIONS

Amazon KCVG Hub, Erlanger, KY – Operations Manager, \$16 Million

Court and Walnut Garage and Structure, Cincinnati, OH – Operations

8<sup>th</sup> & Main, Cincinnati, OH – Operations Manager, \$4.5 Million Manager, \$19 Million

Fifth & Race/dunhumby, Cincinnati, OH – Operations Manager, \$27 Million

The Ascent @ Roebling Bridge, Cincinnati, OH – Operations Manager, \$44 Million

Mercy Hospital West Superstructure, Cincinnati, OH - Operations Manager, \$11.5 Million

Bon Secours Mercy Health Home Office, CMAR Cincinnati, OH – Operations Manager, \$9.8 Million

Little Miami WWTP, Cincinnati, OH – Operations Manager, \$14 Million

Eden & University, Structural Concrete, Cincinnati, OH – Operations Manager, \$6.7 Million

Washington Court House WWTP, Washington Court House, OH – Operations Manager, \$51.6 Million

Vintage Club, Cincinnati, OH – Operations Manager, \$1.5 Million

St. Elizabeth Foundations and Retaining Walls, Cincinnati, OH – Operations Manager, \$719,472

### Training / Certification

- Cost Awareness and Production Control
- Accident Prevention and Cost Control
- OSHA 30-hour

### Experience

Industry:  
36 years  
Dugan & Meyers:  
34 years



DUGAN & MEYERS

## MARCY WEAVER, PHR

### Director of Human Resources

As Director of Human Resources, Marcy is responsible for managing the volume of work for all field and salary coworkers. She actively recruits workers for all staffing needs and ensures accurate compensation and training occur which drive engagement and company retention.

Marcy leads and manages our co-op and internship program, and has built relationships with high schools, colleges, industry associations, and career centers in order to grow a pipeline of qualified and diverse coworkers.

Marcy keeps policies and training current and consistent in all areas of the Company. She works closely with Corporate HR, Risk Partners and our Safety Department to ensure compliance and safety procedures are properly in place.



#### Education

- Bachelor of Science Degree in Business Administration - Wright State University

#### Training / Certification

- Professional in Human Resources (PHR) Certification (2001 - current)
- CPR/First Aid/Bloodborne Pathogen Training Certified
- Drug & Alcohol Awareness Trainer
- Construction Career Days (ACI) Chair
- Diamond Oaks and Grant Career Center Advisory Board
- Board Member for Kettering Schools Foundation

#### Experience

- Industry: 22 years
- Dugan & Meyers: 15 years

## Zach Amend

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**From:** Zach Amend  
**Sent:** Monday, March 16, 2020 2:36 PM  
**To:** smarston@tsmsdc.com - Susan Marston  
**Cc:** Martin Schirmer  
**Subject:** Minority & Veteran Owned Businesses

Good Afternoon,

We are a treatment plant general contractor bidding a wastewater plant at Town Branch. It is located at 301 Jimmie Campbell Drive in Lexington. Do you know of any MWBDE firms or Veteran owned companies that would be interested in bidding on a project we are currently bidding.

The scopes needed are the following:

- General Trades
- Asphalt
- Selective Demolition
- Site Concrete (Sidewalks, Concrete Paving)
- Masonry
- Built up Asphalt Roofing
- Doors and Hardware
- Glazing
- Tile
- Painting
- HVAC
- Electrical
- Plumbing
- Piping/Piping Insulation

Let me know if you have any questions.

Thanks,  
Zach

## Zach Amend

Estimating Co-Op

11110 Kenwood Road, Cincinnati, OH 45242

p: 513.891.4300 m: 513-322-9777

[www.dugan-meyers.com](http://www.dugan-meyers.com)



## Zach Amend

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**From:** Zach Amend  
**Sent:** Monday, March 16, 2020 2:35 PM  
**To:** ttyra@commercelexington.com - Tyrone Tyra  
**Cc:** Martin Schirmer  
**Subject:** Minority & Veteran Owned Businesses

Good Afternoon,

We are a treatment plant general contractor bidding a wastewater plant at Town Branch. It is located at 301 Jimmie Campbell Drive in Lexington. Do you know of any MWBDE firms or Veteran owned companies that would be interested in bidding on a project we are currently bidding.

The scopes needed are the following:

- General Trades
- Asphalt
- Selective Demolition
- Site Concrete (Sidewalks, Concrete Paving)
- Masonry
- Built up Asphalt Roofing
- Doors and Hardware
- Glazing
- Tile
- Painting
- HVAC
- Electrical
- Plumbing
- Piping/Piping Insulation

Let me know if you have any questions.

Thanks,  
Zach

## Zach Amend

Estimating Co-Op

11110 Kenwood Road, Cincinnati, OH 45242

p: 513.891.4300 m: 513-322-9777

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## Zach Amend

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**From:** Zach Amend  
**Sent:** Monday, March 16, 2020 1:16 PM  
**To:** 'smiller@lexingtonky.gov'  
**Cc:** Martin Schirmer  
**Subject:** MWDBE & Veteran Subcontractors

Hi Sherita,

I was wondering if you could send me a list of MWDBE & Veteran subcontractors and suppliers so I could reach out to them regarding a project we are bidding. Let me know if you have any questions.

Thanks,  
Zach

### Zach Amend

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