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**Request for Proposal** 

## LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

RFP #41-2024 INDEFINITE SERVICES CONTRACT FOR DIVISION OF ENGINEERING:

DESIGN SERVICES RIGHT OF WAY SERVICES CONSTRUCTION INSPECTION SERVICES





JULY 16, 2024



Sondra Stone Senior Procurement Officer Lexington-Fayette Urban County Government 200 East Main Street Lexington, KY 40507

### SUBJECT: RFP #41-2024 Indefinite Services Contract for Division of Engineering DESIGN SERVICES RIGHT OF WAY SERVICES CONSTRUCTION INSPECTION SERVICES

Dear Ms. Stone,

PRIME AE Group, Inc. (PRIME AE) is pleased to submit our proposal for the Indefinite Services Contract for Division of Engineering – Design, Right of Way, and Construction Inspection Services. We are prequalified with the Kentucky Transportation Cabinet (KYTC) in surveying, urban roadway design, pedestrian and bicycle planning and design, and advanced drainage analysis and design. Should the need arise on any project, we are also prequalified with KYTC in rural roadway design, structure design under 500 feet, right of way relocation and acquisition, construction project supervision, transportation planning, traffic engineering, traffic data collection, and traffic signal design. We have teamed up with Third Rock Consultants LLC (Third Rock) and Cultural Resource Analysts, Inc. (CRA) to provide any necessary environmental work, Resource International Inc (RII) to provide geotechnical and construction inspection assistance, and EPR PC to provide any lighting design. Third Rock, EPR PC, and RII are very experienced DBE firms that we have been fortunate to work with and mentor the past couple of years to help them reach their goal of graduating from the DBE program. In addition to having a tremendous amount of experience providing design, right of way, and construction inspection services for municipalities and completing Local Public Agency (LPA) projects, we also have a great deal of experience working with Lexington-Fayette Urban County Government (LFUCG). This will allow our team to hit the ground running.

Our team's experience and expertise starts at the top with our principal in charge, Steve Garland, PE, LSIT. Steve has more than 24 years of experience working with LFUCG. He will ensure each project runs smoothly, provide QA/QC on all the deliverables, and make sure all LFUCG standards are adhered to.

Most of the work will be done out of our Lexington office on Perimeter Drive. This is within a ten minute drive of your offices and the majority of potential job locations. Thank you for your consideration of our proposal. We appreciate the opportunity and we look forward to working with LFUCG to implement many successful projects.

Sincerely,

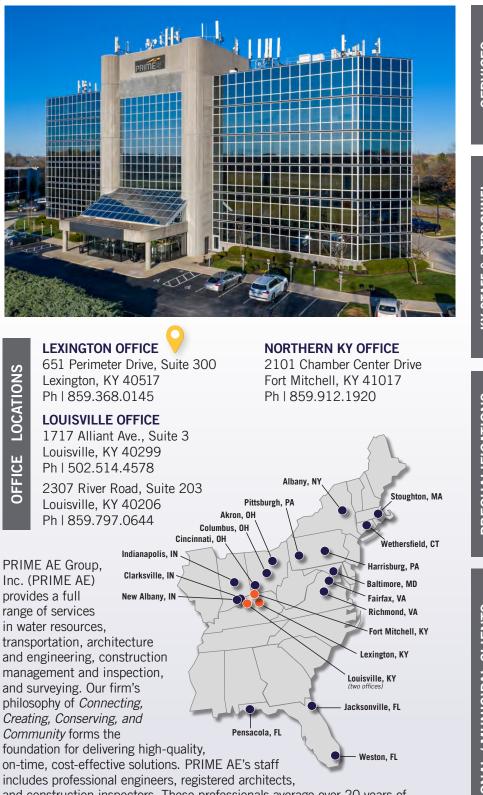
Testa Galas

Stephen Garland, PE, LSIT Principal in Charge PRIME AE Group, Inc.



FIRM INFORMATION Lexington-Fayette Urban County Government RFP #RFP-41-2024 Indefinite Services Contract for Division of Engineering





and construction inspectors. These professionals average over 20 years of experience in their respective disciplines. PRIME AE currently has 21 office locations in ten states throughout the mid-west, southeast, northeast, and mid-Atlantic regions. Headquartered in Baltimore, Maryland (primary office), PRIME AE has four Kentucky locations (branch offices).

PRIME

## Land Surveying SERVICES **Easement Acquisition** Construction Administration **Construction Inspection** (+++) www.primeeng.com TOTAL STAFF SIZE 93 Employees STAFF & PERSONNEL **IN-HOUSE PERSONNEL 35** Professional Engineers 8 Professional Land Surveyors **3** Structural Engineers 2 Land Surveyors in Training 10 Engineers in Training 1 Engineering Technician X 10 Construction Inspectors 4 Right of Way Professionals Pedestrian and Bicycle Facility Planning and Design **PREQUALIFICATIONS** Rural and Urban Roadway Design Structures Design Spans Under 500 ft Surveying **Traffic Engineering Services** Traffic Data Collection **Construction Project Supervision** Water and Sewer Design **Right of Way Services** Lexington-Fayette Urban **County Government** REGIONAL / MUNICIPAL CLIENTS Northern Kentucky Sanitation District No. 1 Louisville Metropolitan Sewer District Kentucky American Water Company City of Pikeville (On-call City Consulting Engineer) City of Henderson City of Newport City of Bellevue City of Covington City of Georgetown City of Shelbyville City of Danville

Civil Engineering

City of Winchester

# DESIGN SERVICES





## **RELEVANT EXPERIENCE AND QUALIFICATIONS**

PRIME AE has a tremendous amount of experience designing transportation projects for KYTC, multiple federal agencies, and various municipalities throughout the state. We have been fortunate to design over 20 LPA grant projects over the past four years and we currently provide on-call engineering design services for Boone and Kenton counties and the cities of Bellevue, Dayton, Villa Hills, Crestview Hills, Edgewood, Union, and Elsmere. We are pregualified with KYTC in surveying, urban roadway design, pedestrian and bicycle planning and design, and advanced drainage analysis and design. Should the need arise on any project we are assigned, we are also prequalified with KYTC in rural roadway design, structure design for bridges under 500 feet, right of way services, construction inspection services, transportation planning, traffic engineering, traffic data collection, and traffic signal design.

PRIME AE's experience working with LFUCG and providing design services for municipalities begins at the top with our principal in charge, Steve Garland, PE, LSIT, our project manager Brett Malloy, PE, PTOE, and our deputy project manager Nikki Hill, PE. Steve has more than 24 years of experience working with LFUCG. He will ensure this project runs smoothly, provide QA/QC on all the deliverables, and make sure all LFUCG standards are adhered to. Brett has over ten years of transportation design experience and has lived and worked in Lexington for the past 15 years. He is very familiar with Lexington and the surrounding area and will serve as your primary point of contact on this project. Before joining PRIME AE. Nikki served as LPA Coordinator for KYTC's District 6. In that role, she learned the contents of the LPA Guide inside and out so that she could relay the information to the communities she worked with. Since joining PRIME AE, Nikki's primary responsibility has been working with local communities on LPA projects from the grant application stage all the way through construction. Mike Yeager, PE, MPA also has experience with LPA projects on three levels: city, state, and private. Mike brings a unique set of skills to this opportunity as he has been exposed to the full spectrum of the LPA process, from owner to designer. During his employment as City Engineer for the City of Covington. Mike was tasked with the planning, grant writing, coordination, project management, construction inspections, and public outreach associated with the LPA process. During his tenure with KYTC District 6, he served as a transportation engineering specialist on numerous LPA projects throughout the state. After his retirement from the government, he has been the project manager on various LPA projects at PRIME AE. Having experience on both the public and private sides will be a benefit to LFUCG for any LPA work that is planned.

We have surrounded Steve, Brett, and Nikki with very talented staff at PRIME AE that all have experience working on design projects for municipalities. We are also very excited to partner with Third Rock Consultants LLC (Third Rock) and Cultural Resource Analysts Inc (CRA) to provide any necessary environmental work, Resource International



PRIME AE's Lexington, Kentucky Office

Inc (RII) to provide geotechnical assistance, and EPR PC (EPR) to provide any lighting design. The project specific teams will be determined based on the scope of the projects we are assigned.

### PAST RECORD OF PERFORMANCE ON SIMILAR PROJECTS

PRIME AE has a great deal of experience serving the engineering needs of local municipalities and acting as City Engineer. Over the last four years, we have helped secure grant funding for more than 22 projects. Several of these project initiatives have been constructed (South Ward Slide Remediation, Lincoln Road Stormwater Improvements, Grandview S. Foote Sidewalk, FEMA Hillside Stabilization) and the others are in various stages of design or construction.

Providing on-call engineering services, we help municipalities identify project needs, find grant opportunities to help fund those needs, write grant applications, and administer the awarded grant projects from design through construction when asked to do so. We also have great working relationships with FHWA and FEMA that have developed through working with their staff over the past 20 years. We have also successfully designed over 20 transportation projects for municipalities that include new sidewalks, sidewalk replacements, utility and stormwater improvements, full depth roadway reconstruction, intersection improvements, bridge replacements, multi-use paths, streetscape improvements, four lane to three lane conversions, and slide mitigation projects.

Our team is well equipped to coordinate with KYTC on any projects or issues that occur on state-maintained right of way within city limits. Both Mike and Nikki worked for KYTC before joining PRIME AE and have strong existing relationships with staff at District 7 and in Central Office. They know who to talk to and can help get results in an efficient manner.





## EXPERIENCE WORKING WITH FEDERAL-AID PROJECTS

Our team has served as project manager for over 20 LPA designs. We have ample experience writing grant applications, managing the design and construction phases, and adhering to all LPA guidelines for countless LPA projects. Some of the more recent LPA projects that we have managed and designed are shown in the spreadhsheet on 18 of this proposal. Navigating the LPA process has become much easier with all the experience we have gained through these projects. Coordination and communication with KYTC and FHWA are critical to the success of these projects.

Deputy Project Manager, Nikki Hill, PE also has ample LPA coordination experience. She previously held the KYTC District 6 LPA Coordinator position for over two years and has a good working relationship with the staff at KYTC. Her time at PRIME AE has been dedicated to ensuring LPA submittals are completed in a timely manner and are up to KYTC standards. The most recent projects that she has assisted with include: DeRoode Street Manhole Project – Lexington, Bellevue SNK Resurfacing Project – Bellevue, and Dayton Riverfront Commons Phase 3 – Dayton. Due to her experience, she has intimate knowledge of the various federal and state requirements.

## FAMILIARITY WITH LEXINGTON

PRIME AE's Kentucky operations are headquartered in Lexington, KY. It should be noted that prior to being acquired by PRIME AE, Integrated Engineering was established over 20 years ago in Lexington. A key to the company's growth and success was working on projects for LFUCG's Divisions of Engineering and Water Quality including sidewalks on Armstrong Mill, Tates Creek, Loudon Avenue, and major sanitary trunk sewer improvements within the Wolf Run, Cane Run, and West Hickman Sewersheds. Our locally based staff has always appreciated LFUCG's confidence in choosing us as a design consultant. Many of the staff in Lexington also live in Lexington and have done so their entire lives. In addition to being familiar with LFUCG's engineering team, policies, staff, and processes, our team is very familiar with the City itself as we spend much of our free time visiting the shops, restaurants, and various other activities in the City.

Thank you very much for your consideration. We would really appreciate the opportunity to work with LUFUCG on this project.

## **DBE PARTICIPATION PLAN**

The DBE program is very special to our team. Graduating from the DBE designation for PRIME AE was a wonderful sense of accomplishment and pride. Now it is our turn to return the favor. Exposure to clients and working on different project types is imperative to building a firm and continuing to grow. These experiences can propel DBE firms from the subconsultant role to having the ability and knowledge to lead or prime a project. The PRIME AE team is excited to have Third Rock Consultants LLC (Third Rock). Resource International Inc (RII), and EPR PC (EPR). Each of these firms are very experienced DBE firms that we have been fortunate to work with and mentor the past couple of years to help them reach their goal of graduating from the DBE program. While Third Rock is well established in Kentucky, RII and EPR are well established in neighboring states and we are helping them get their feet in the door in Kentucky. They bring unique knowledge from outside of Kentucky that help us come up with new ways of doing things. We have been able to work with them and mentor them on other projects and look forward to working with them again and helping them gain exposure with LFUCG.

## WHY PRIME AE?

- + Local Knowledge/Strong Local Presence: Our KY operations have been headquartered in Lexington since 2003. We have worked on many projects in Lexington for LFUCG throughout the years and are extremely familiar with the LFUCG staff, standards, and processes. Having the project manager and core team within Lexington will be a huge benefit to LFUCG.
- + **Experience Providing Design Services to Municipalities:** We have a great deal of experience providing engineering and design services to municipalities and understand the importance of being efficient and being mindful of budget constraints.
- + Federal Aid/LPA Grant Experience: We have been extremely successful in helping municipalities obtain grant funding and in managing the processes and paperwork that are required throughout the grant process.





# **Project Services & Staff Summary** PREQUALIFICATION REQUIREMENTS

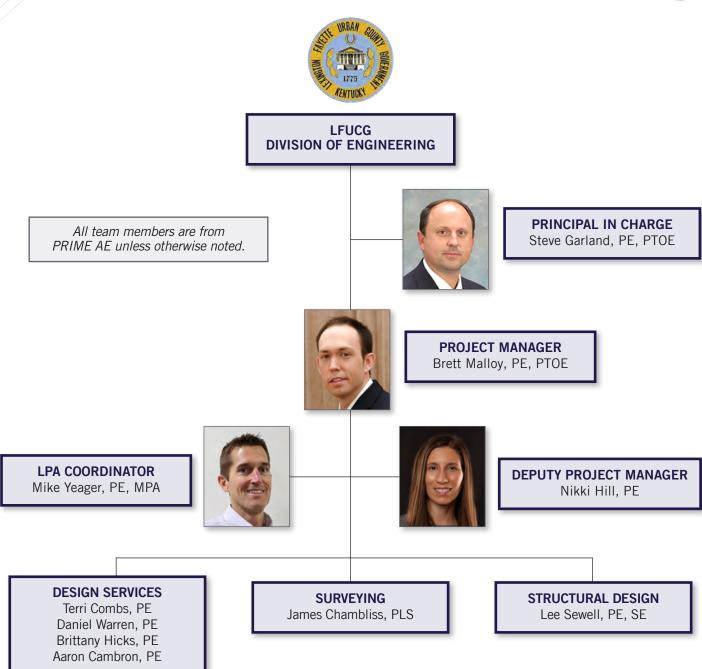
PREQUALIFICATION CATEGORY	PREQUALIFIED FIRM	RESPONSIBLE STAFF				
DESIGN SERVICES						
URBAN ROADWAY DESIGN PEDESTRIAN AND BICYCLE PLANNING AND DESIGN	PRIME	Nikki HIII, PE Brett Malloy, PE, PTOE Mike Yeager, PE, MPA Terri Combs, PS Daniel Warren, PE Brittany Hicks,PE Aaron Cambron, PE				
ADVANCED DRAINAGE ANALYSIS AND DESIGN		Steve Garland, PE, LSIT				
SURVEYING						
SURVEYING	PRIME	James Chambliss, PLS				



ORGANIZATION CHART

Lexington-Fayette Urban County Government RFP #RFP-41-2024 Indefinite Services Contract for Division of Engineering – DESIGN SERVICES











### **EDUCATION**

BS/Civil Engineering/1998/ University of Kentucky

### REGISTRATIONS

Professional Engineer: KY, IN, OH

LEED AP Accredited Professional (Legacy)

CFM Certified Floodplain Manager (former)

NCEES Model Law Engineer

### **CERTIFICATIONS/TRAINING**

KYTC Traffic Impact Study Training

Detention Ponds and Urban Hydrology (Featuring XPSWMM and Storm Net Design Software)

Hydraulic Modeling Training (Featuring HEC-RAS Design Software)

StormNet Hydraulic / Hydrologic Training

Pump Station Design (Gorman-Rupp Hydraulic Training)

Winter Dendrology Tree Identification Bernheim Forest

## Steve Garland, PE, LSIT



## Principal in Charge - PRIME AE Group, Inc.

Steve is responsible for management and project coordination for municipal infrastructure and private development projects. His major responsibilities include design for transportation, traffic, wastewater collection (gravity and force mains), stormwater drainage, and major site development projects. Additionally, Steve is responsible for quality control/quality assurance and providing communication both internally and externally on major projects.

## EXPERIENCE

Meadows-Northland-Arlington Neighborhood (MNA) Improvements Project, Phases 5D, 5E, 5F, 6A, 6B, and 6C, Lexington-Fayette Urban County Government (LFUCG) - Fayette County, KY: Project Engineer. This project involved a storm, sanitary, and roadway improvements project that includes an existing residential development bounded by an arterial collector, Bryan Avenue, which is fronted by both residential and commercial entrances. The scope of the project includes the installation of a storm sewer to facilitate drainage for the streets and roads as well as the residences, to widen the streets where necessary to create a uniform cross section, to install box curb and gutter, to install sidewalks, to regrade the streets to increase stop sight distance where necessary, and to correct the badly aged sanitary sewer system.

**Clays Mill Road Improvements, Section 1, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve assisted as a subconsultant for the proposed widening of Clays Mill Road from Waco Drive to Harrodsburg Road. His primary responsibilities included drainage design review and FEMA permitting assistance.

**Cardinal Lane Storm and Sanitary Improvements Project, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve was responsible for the design of approximately 140 lineal feet of 4' x 4' reinforced box culvert and an 18" storm sewer system. His responsibilities also included helping LFUCG in the easement plat preparation and acquisition of two parcels within the project corridor.

**Pine Meadow Road Bank Stabilization Project, LFUCG - Fayette County, KY:** Project Engineer. This project involved the survey and design of a Rosetta Stone Segmental Wall system along Vaughn's Branch near Pine Meadow Road. His responsibilities also included the application for and approval of the United States Army Corps of Engineers (USACE) and Kentucky Division of Water (KDOW) stream permits.

**Town Branch Trail Project, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve assisted with acquiring Kentucky Division of Water and US Army Corps of Engineers permits associated with the Phase 3 Section of Town Branch Trail between Alexandria Drive and New Circle Road.

**Tates Creek Road Sidewalk Project, LFUCG - Fayette County, KY:** Project Engineer. This streetscape project involved surveying and civil engineering design and coordination of over 16,000 linear feet sidewalks on both sides of Tates Creek Road from inside of Alumni Drive outbound to the Lansdowne Shoppes area. The design followed state and federal Local Public Agency (LPA) guidelines. Significant coordination was made with utility companies for the numerous relocations that needed to retrofit the sidewalk into the existing infrastructure







### **EDUCATION**

MS/Civil Engineering/2013/ University of Kentucky

BS/Civil Engineering/2012/ University of Kentucky

### REGISTRATIONS

Professional Engineer: KY

Professional Traffic Operations Engineer (PTOE)

### **CERTIFICATIONS/TRAINING**

Designing Pedestrian Facilities for Accessibility

**KYOPS** Training

KYTC ORD Training

ODOT Traffic Academy

## Brett Malloy, PE, PTOE



## Project Manager - PRIME AE Group, Inc.

Brett is responsible for project management as well as design and project coordination for roadway and traffic engineering projects. His responsibilities include development of alignments, drainage analysis, erosion control, maintenance of traffic, right of way plan development, and all other aspects of plan production for transportation projects, including development of final construction plans.

## EXPERIENCE

**Cardinal Drive Improvements, KYTC – Georgetown, KY:** Lead Design Engineer. This project aimed to mitigate traffic congestion and improve safety along Cardinal Drive and KY 32 adjacent to Scott County High School. Brett's responsibilities included a traffic impact evaluation and proposed alternative analysis using PTV VISSIM traffic modeling software. As lead design engineer, Brett was responsible for developing the preliminary and final design and final construction plans. He also managed the construction inspection and coordination during construction of the project. This project widened Cardinal Drive and adds turn lanes into the Scott County High School entrance.

**Armstrong Mill Sidewalks, LFUCG - Lexington, KY:** Project Engineer. This project proposed to add sidewalks to each side of the Armstrong Mill corridor stretching from the intersection at Tates Creek Road to just beyond Greentree Road to the east. As project engineer, Brett's primary responsibilities included the development of a preliminary sidewalk design, storm-sewer and drainage design and analysis, and assistance in the development of final construction plans.

**Vernon Drive, City of Crestview Hills - Kenton County, KY:** Project Engineer. Brett served as project engineer for the Vernon Drive reconstruction project in Crestview Hills. This project proposed to fully reconstruct a segment of the residential Vernon Drive corridor to alleviate drainage problems and pavement deterioration. As project engineer, Brett was responsible for the drainage design and analysis, and assisted in developing the roadway plans and completing final construction plans.

**Garvey Avenue Drive, City of Elsmere - Kenton County, KY:** Lead Design Engineer. Brett served as lead design engineer for the Garvey Avenue reconstruction project in Elsmere. This project proposed spot drainage improvements and the reconstruction of an existing multi-use path along the Garvey Road corridor stretching from New Buffington Road to Florence Court. This section of the corridor is plagued by consistent drainage problems which cause pavement deterioration and hazardous driving conditions. As lead design engineer, Brett was responsible for the proposed drainage spot improvement design and analysis and the design of the proposed reconstruction of the the multi-use path.

**Longbranch Road, Boone County Fiscal Court - Boone County, KY:** Project Engineer. Brett served as project engineer for the preliminary and final drainage design and analysis on the Longbranch Road widening project in Union. This project proposed to widen Longbranch Road to include a two-way left-turn lane and construct turn lanes into the Randal K. Cooper High School entrance. The purpose of the project was to improve safety and accessibility to the high school and Hawk's Landing subdivision. A multi-use path connecting the high school to the adjacent sports facilities along Longbranch Road was also proposed and constructed as part of the project. In addition to performing the drainage design, Brett also assisted in the completion of final construction plans.







### **EDUCATION**

BS/Civil Engineering/2016/ University of Kentucky

**REGISTRATIONS** Professional Engineer: KY

**CERTIFICATIONS/TRAINING** Utility Coordination

### **MEMBERSHIPS**

American Society of Highway Engineers

Women In Transportation National Society of Professional Engineers

# Nikki Hill, pe



## Deputy Project Manager - PRIME AE Group, Inc.

Nikki spent over five years with the Kentucky Trasnportation Cabinet (KYTC) before joining PRIME AE. She has transportation design experience, served as Northern Kentucky's Local Public Agency (LPA) Coordinator, completed duties as KYTC D6's Planning Engineer, and served as deputy project manager for two design-build projects in Northern Kentucky.

## EXPERIENCE

**LPA Project #06-00128: Bellevue SNK Resurfacing and Drainage, City of Bellevue -Campbell, KY:** Project Engineer. The City of Bellevue received federal funding from the Ohio-Kenutcky-Indiana Regional Council of Governments (OKI). The scope of the project included developing plans for resurfacing the roadway and reconstructing damaged sidewalk. Drainage issues were identified during a site visit and PRIME AE coordinated with Sanitation District 1 to secure additional funding and upgrade the drainage structures at two intersections.

**LPA Project #06-00462: Dayton Riverfront Commons Phase 3, City of Dayton - Kenton, KY:** Project Engineer. Nikki is developing the plans and contract documents and providing LPA coordination on the third phase of Dayton's Riverfront Commons Project. The Riverfront Commons Project, once complete, will be a multi-use trail constructed along the Ohio River through seven Northern Kentucky river cities. The completion of Dayton's third phase will span from the northwest limits of Dayton to the intersection of Manhattan Boulevard and 4th Avenue until Bellevue completes the second phase of their Riverfront Commons project. For the design, she has coordinated with the current road and site development occurring within the project limits and local utility companies.

**\*LPA Coordinator - KYTC District 6:** LPA Coordinator. Nikki previously served as the LPA Coordinator for KYTC's District 6 (Northern Kentucky). In this role, she was responsible for reviewing transportation project applications for federal funding, ensuring that projects receiving federal funding were adminstrated in accodarance with federal law, reviewing project plans to ensure compliance with all applicable guidance manuals, coordinating necessary reviews by KYTC Divisions as needed, and authorizing funding for project phases.

**LPA Project #06-03227 Grandview Elementary Safe Routes to School South Foote Sidewalk - Bellevue, KY:** Project Engineer. The City of Bellevue received federal funding from OKI to complete the sidewalk along South Foote from the existing sidewalk to Grandview Elementary's parking lot. Nikki was responsible for drafting and submitting all necessary documentation to KYTC for approval and developing contract documents.

**LPA Project #07-00593.2 DeRoode Manhole - Lexington, KY:** Project Engineer. The City of Lexington received federal funding as part of KYTC's Newtown Extension project to install a manhole connection to an existing box culvert. Nikki was responsible for drafting and submitting all necessary documentation to KYTC for approval. She drafted the project specifications for bidding.

**LPA Project #06-00451 US 27 Streetscape - Newport, KY:** Project Engineer. The City of Newport received federal funding from OKI to construct a multi-use path along US 27 from the city limits to 11th Street. Nikki has been drafting and submitting all necessary documentation to KYTC for approval and developing project contract documents.

**Bellevue and Dayton Annual Resurfacing 2022 - Campbell, KY:** Project Engineer. As project engineer, Nikki compiled the cost estimate for roadway resurfacing in Bellevue and Dayton and coordinated the cities' priorities. She also drafted the project bid specifications for project advertisement.

\*Performed prior to PRIME AE







## **EDUCATION**

Masters of Public Administration/2005/Northern Kentucky University

BS/Civil Engineering/2000/ University of Kentucky

**REGISTRATIONS** Professional Engineer: KY, OH

### **CERTIFICATIONS/TRAINING**

Advanced Leadership Academy Graduate Certified Public Management Graduate KYTC Project Manager's Boot Camp

NHI-Pedestrian Facility Design

### **MEMBERSHIPS**

Northern Kentucky Society of Professional Engineers

American Society of Highway Engineers University of Cincinnati Real Estate Roundtable

Traffic Engineering Workshop Committee Fort Mitchell Safety Committee

# Mike Yeager, PE, MPA



## LPA Coordination - PRIME AE Group, Inc.

Mike provides a wide variety of project management and government experience at both the state and local level. He has continued his project management experience during his five years with PRIME AE. Mr. Yeager is also very experienced in the Local Public Agency (LPA) process on the local, state, and private levels and has acted as the city engineer for multiple cities in Northern Kentucky since 2019.

## EXPERIENCE

**City of Bellevue - Campbell County, KY:** City Engineer. Mike has served as the city engineer since June of 2019. In this role, he is responsible for management and reporting for FEMA projects, project design, permitting, Sanitation District No. 1 project coordination, Division of Water consultation, residential retaining wall permitting, zoning, attending resident/staff/ council meetings, design management and coordination, compiling and managing street and sidewalk maintenance programs, prioritizing street and sidewalk maintenance, retaining wall design, drainage system design, storm sewer design, review/surveying/easement preparation, managing bids, construction administration, proposals and projects, assistance with geotechnical issues, grant writing, and other engineering services as needed. Mike and the City have been very succuessful in acquiring grant funding for important projects.

**City of Dayton - Campbell County, KY:** City Engineer. Mike has served as the city engineer since May of 2021. In this role, he is responsible for project design, permitting, SD1 project coordination, Division of Water consultation, residential retaining wall permitting, attending resident/staff/council meetings, design management and coordination, compiling and managing street and sidewalk maintenance programs, retaining wall design, drainage system design, review/surveying/easement preparation, managing bids, construction administration, proposals and projects, assistance with geotechnical issues, and grant writing.

\*City of Covington - Kenton County, KY: City Engineer. Mike served as the assistant city engineer from 2008-2013 and as the city engineer from 2013-2017 for the City of Covington. During his time in this position, he was responsible for the City's capital improvement program, project management, utility coordination, grant writing and administration (LPA and other), ADA compliance, flood levee management, coordination with the Kentucky Transportation Cabinet, permitting, and all traffic engineering associated with signals, signs, and pavement markings. He served as project manager for multiple LPA projects from the design phase through the construction phase.

**Vernon Drive Reconstruction, City of Crestview Hills - Kenton County, KY**: Project Manager. This project involved the complete reconstruction of Vernon Drive, full depth pavement design, utility coordination, and stormwater improvements. Half of the stormwater costs were funded by SD1 through the Public Cost Share Program. This project also included coordination with the other underground utility companies and the acquisition of right of way and easements.

**US 27 (Monmouth Street) Streetscape, City of Newport - Newport, KY:** Project Manager. This project involved the US 27 Streetscape that was awarded funding through the LPA program. The preliminary design included: a detailed traffic study to determine if the typical section could be modified from four lanes to three lanes, structural analysis of the railroad underpass to determine what types of alterations could be made, coordination with utility companies to get overhead utilities underground, SMART technology research and review, and coordination on pedestrian lighting. Final design included narrowing the pavement width and modifying the typical section to allow for a wider/safer sidewalk, stormwater improvements, placing utilities underground, access management, intersection improvements, public outreach, and SMART City technologies.

\*Performed prior to PRIME AE







## EDUCATION

BS/Civil Engineering/2006/ University of Kentucky

**REGISTRATIONS** Professional Engineer: KY Qualified Team Leader

# Terri Combs, PE



## Design Engineer - PRIME AE Group, Inc.

Terri provides a wide variety of experience working for both state and local agencies, serving as project manager and project engineer on both urban and rural projects. Her roles include geometric highway design, pedestrian facility design, drainage design analysis, right of way plan development, construction cost estimation, and production of plans and contract documents.

## EXPERIENCE

**Adam Shepherd Parkway (ASP) - Shepherdsville, KY:** Project Manager. Terri served as project manager for the first intersection off of KY 44 at the I-65 SB interchange. The KY 44 exit off of I-65 is heavily used by travelers along I-65. This exit is just outside of the Louisville Metro area making it a desirable stop for travelers for food and rest. ASP also has a large amount of truck traffic moving freight from warehouses to the UPS World Port in Louisville. ASP frequently has traffic back up and congestion that is exacerbated by no access control. This project introduced full access control along ASP as well as the roundabout at Conestoga Parkway, eliminating the existing signal.

**Dayton Pike Sidewalk, City Of Dayton - Dayton, KY:** Lead Designer. Terri is leading design efforts for a new sidewalk along Dayton Pike in Dayton, KY. The project will fill in the sidewalk gap that exists today between the existing sidewalk network in Dayton and where it picks back up at the city boundary with Fort Thomas.



## YEARS OF EXPERIENCE: 9

### **EDUCATION**

MS/Civil Engineering/2013/ University of Louisville BS/Civil Engineering/2012/

University of Louisville

## REGISTRATIONS

Professional Engineer: KY

## Daniel Warren, PE



## Design Engineer - PRIME AE Group, Inc.

Dan has over nine years of engineering experience. His experience includes geometric design, right of way plan development, drainage design and analysis, surveying, roadway corridor modeling, and construction cost estimation. He has worked on local, rural, and urban projects throughout the state including multi-use paths, roadway widening, intersection realignments, bridge replacements, and interchange design.

## EXPERIENCE

\*Batesville Community Trail Phase 2 - Batesville, IN: Project Engineer. Dan was the primary project engineer on the design of an LPA Project that included approximately 1.2 miles of pedestrian trail and 250 feet of sidewalk within the city limits of Batesville, Indiana. He submitted all applicable paperwork, included permits, quantity calculations, right of way, and utility certifications as well as extensive communication and collaboration with the railroad company in the area. This project is currently under construction.

**LPA Project 06-03228, Dayton Pike Sidewalk - Dayton, KY:** Design Engineer. Dan served as design engineer for the new sidewalk along Dayton Pike. This project connects two existing sections of sidewalk and provides safety benefits for the pedestrians and cyclists that utilize the corridor. Curb and gutter was included in the design to help improve safety and stormwater collection. Coordination with utility companies helped get the utility poles relocated to the other side of the street to make room for the path. The design also addressed major stormwater and flooding concerns and included a 50-50 cost share with SD1.

\*Performed prior to joining PRIME AE.







### **EDUCATION**

MS/Civil Engineering/2013/ University of Kentucky BS/Civil Engineering/2011/ University of Kentucky

### REGISTRATIONS

Professional Engineer: KY

# Brittany Hicks, PE



## Design Engineer - PRIME AE Group, Inc.

Brittany is responsible for management and project coordination for roadway projects. Her responsibilities include preliminary and final design, drainage analysis, maintenance of traffic, right of way plan development, and cost estimating. She serves as Deputy Project Manager on our Statewide Highway Improvement Program (HSIP) contract.

## EXPERIENCE

**Longbranch Road, KYTC - Boone County, KY:** Project Engineer. This LPA project involved the widening of Longbranch Road. Brittany was responsible for analyzing existing conditions, assisting with roadway alignments, refining the alignments for maintenance of traffic, performing the models for various alignments, and cost estimates.

**Green River Road Sidewalks, City of Henderson - Henderson County, KY:** Project Engineer. This project proposed to construct a new sidewalk connecting Bend Gate Elementary School to the existing multi-use path at the Green River Road intersection with Osage Drive. Brittany's responsibilities included assisting in the design of the sidewalk, development of proposed right of way, and completion of the preliminary plans.

**Mini-Roundabouts Highway Safety Improvement Program, KYTC - Laurel, Bell, and Harlan Counties, KY:** Project Engineer. This project involved safety improvements for various intersections. Analysis of collisions at these intersections indicated a high number of angle collisions. A mini-roundabout alternative was analyzed at each intersection to improve safety while minimizing right of way and utility impacts.



### YEARS OF EXPERIENCE: 9

## **EDUCATION**

MS/Civil Engineering/2018/ University of Kentucky BS/Civil Engineering/2017/ University of Kentucky

### REGISTRATIONS

Professional Engineer: KY

# Aaron Cambron, PE



## Design Engineer - PRIME AE Group, Inc.

Aaron is a transportation and water resources engineer with over four years of experience including highway design, roadway safety analysis, GIS analysis, plan production, and one-dimensional steady-flow modeling for watershed / floodplain management. He also has a tremendous amount of experience with traffic modeling, traffic impact studies, and traffic design.

## EXPERIENCE

**KY 18 Superstreet, KYTC District 6 - Boone County, KY:** Project Engineer. The KY 18 Superstreet Project was designed to improve operations and safety along the corridor through the use of Restricted U-turn Crossings (RCUTs) and signal cooordination. This was a creative approach to reducing traffic congestion without impacting the right of way within the popular business corridor. As project engineer, Aaron assisted in the development of a microsimulation traffic model for a series of these RCUTs. His responsibilities included traffic count processing, drawing roadway segments, data entry, model calibration, and analysis of results.

**Louisville Loop, Medora Section, City of Louisville - Jefferson County, KY:** Hydraulics Engineer. Aaron served as hydraulics engineer for the construction of a multi-use path bridge over Crane Run in Jefferson County. For this project, Aaron performed floodplain modeling to ensure compliance with FEMA and the City of Louisville. His responsibilities also included performing a scour analysis, coordination with KY Department of Water (KDOW) and Louisville for floodplain construction permits and assisting in the preparation of a drainage folder.







## EDUCATION

ACSM/NSPS Survey Technician, Level I & II

General Studies/1975-1976/ University of Kentucky Forestry Major/1978/University of Kentucky

## REGISTRATIONS

Professional Land Surveyor: KY, TN

# James Chambliss, PLS



## Survey Manager - PRIME AE Group, Inc.

James has over 42 years of experience in land surveying and construction industries. He is experienced in route reconnaissance, establishment of project control networks, road and stream crossing profiles, identifying high consequence areas, and construction staking. He is familiar in all aspects of surveying methods and equipment.

## EXPERIENCE

**Boundary Survey:** James' experience includes preparing numerous surveys that have been performed to the standards of ALTA/ACSM throughout the Commonwealth of Kentucky. Previously completed boundary surveys ranging in size up to 1,000 acres and included numerous Class "A" surveys for urban infill and redevelopment projects. His experience also includes indeterminate boundary line resolution and facilitation in assisting with property line disputes.

**Professional Land Surveyor for PEH & Strand Associates Engineers:** James has 20+ years of oversight and training of all survey personnel and all company surveying activities in the Lexington and Louisville offices. He also has over 20 years of experience producing subdivision plats, ALTA/ACSM Land Title Surveys, easement plats, planning field activities, scheduling field activities around multiple projects, producing field staking data for survey personnel and review/processing of all field data. James' land surveying experience progressed from being an instrument technician, resident project representative, and survey crew chief eventually becoming a licensed Professional Land Surveyor with an emphasis on laying out streets, sewers, water lines, and building foundations in construction.



## YEARS OF EXPERIENCE: 21

### **EDUCATION**

MS/Civil Engineering/2003/ University of Kentucky

BS/Civil Engineering/2002/ University of Kentucky

## REGISTRATIONS

Professional Engineer: KY, OH, IN

## Lee Sewell, PE, SE



## Structural Engineer - PRIME AE Group, Inc.

Lee has vast experience in structures including bridge inspection and design for highway structures, preparation of plans, working with the Kentucky Bridge Design Manual, various AASHTO codes, and other design software programs. He has provided support to the KYTCs Division of Traffic Operations over past 12 years with contract work for traffic operations structural and geotechnical review services.

## EXPERIENCE

**Bridging Kentucky Program, KYTC - Statewide, KY:** Design Team Leader and Structural Engineer. As design team leader and structural engineer, Lee is responsible for developing and reviewing plans and estimates, coordinating with various different disciplines including right of way, utilities, environmental, hydraulics, geotechnical, roadway, and structures to facilitate the rehabilitation, replacement, and repair of 1,000 bridges across the state over the next six years. This will involve primarily working with structures in need of replacement. The program's directive entails replacing existing bridges to new structures without load restrictions and a design life of at least 75 years. This project replaces the bridge in the same location with generally the same current geometrics (bridge width, length, hydraulic opening, etc.) to avoid environmental impacts, utility impacts, and minimize the need for new right of way. Approach roadway pavement is replaced but limited to the direct vicinity of the bridge. Lee has been the design team leader and structural lead for 16 structures in the program. Bridge types have included box beams and concrete slabs supported on breastwall abutments on rock and pile end bents.





## Armstrong Mill Sidewalks

Lexington, KY

PRIME AE was selected through the Statewide LPA contract to perform engineering services for the Armstrong Mill Sidewalk project. The scope of services for this project

included topographic and boundary surveying, sidewalk, curb and gutter, and stormwater improvements on Armstrong Mill from the intersection with Tates Creek Road to the intersection with Armstrong Mill Side Road. Additional services included preparing easement and right of way drawings, regulatory paperwork, and funding requirements.

Lexington-Fayette Urban County Government's Division of Engineering oversaw the project that was primarily funded by TAP funds through KYTC. The existing conditions of Armstrong Mill contained several vertical curves along the road and no sidewalk. The new project provided 2400' of sidewalk, curb and gutter, a new traffic signal at the Armstrong Mill/Greentree Road Intersection,



stormwater improvements, and three new pedestrian crossings over tributaries to West Hickman Creek. The pedestrian crossings were developed using different methodologies for each crossing:

- 1) restriping an existing bridge and providing structural modifications to attach a barrier;
- 2) widening another existing bridge to provide additional width for a sidewalk; and,
- 3) providing a whole new pedestrian bridge.

The project also involved extensive utility coordination with electric, gas, fiber, water, and sewer all located in the project limits. This project included both preliminary and final design.



### **Owner/Client:**

Lexington-Fayette Urban County Government (LFUCG) Thomas Clements, P.E. Senior Municipal Engineer Division of Engineering Ph | 859.258.3441

Date(s): 2018-Current

## **Common Team Members:**

Steve Garland, PE, LSIT Brett Malloy, PE, PTOE James Chambliss, PLS Lee Sewell, PE, SE





South Ward Slide Repair After

## City of Bellevue

## Bellevue, KY

PRIME AE has served as the City Engineer for the City of Bellevue since June of 2019, and Mike Yeager has been the primary point-of-contact for the City. In this role we have:

- + Implemented a capital improvement plan
- + Assisted in developing the annual budget
- + Developed a new pavement management program
- + Designed roadway, sidewalk, slide mitigation, and stormwater improvement projects
- + Made traffic improvements including removal of a traffic signal, modifying one way/two way traffic patterns, and making safety improvements
- + Reviewed private site development plans for compliance
- + Provided long-range planning recommendations
- + Completed boundary and topographic surveys
- + Managed the GIS system with PDS
- + Coordinated with the SD1 on stormwater and flooding issues, including regular meetings, completing 50-50 match projects like Lincoln Road, working on private stormwater issues that would be eligible for the 1/3, 1/3, 1/3 program, and including storm and sanitary improvements in the roadway projects
- + Coordinated with the local utility companies on permitting and updated restoration requirements
- Coordinated with government agencies regularly, including KYTC, USACE, Southbank, and Campbell County
- + Found grant opportunities and wrote grant applications. To date, seven grant applications that we put together have been awarded funding
- + Developed bid documents and specifications for construction projects
- + Inspected construction projects for compliance
- + Ran public meetings and met with various citizen groups
- + Provided regular updates to the Mayor, Council, and City Staff via reports and presentations
- + Attended weekly staff meetings



Bellevue Kentucky

Preserving the Past Preparing for the Future

## **Owner/Client:**

Frank Warnock City of Bellevue City Administrator <u>frank.warnock@bellevueky.org</u> Ph | 859.431.8888

Date(s): 2019-Present

## **Common Team Members:**

Brett Malloy, PE, PTOE Nikki Hill, PE Mike Yeager, PE Terri Combs, PE Daniel Warren, PE James Chambliss, PLS Lee Sewell, PE, SE







## Meadows Northland Arlington (MNA) Neighborhood Improvements (Phases 5 & 6) Lexington, KY







#### In 2014, PRIME AE completed the engineering design for MNA phase 5D. Design improvements included many different infrastructure upgrades starting with sanitary, storm, and roadway with new sidewalks. In 2015-2016, PRIME AE completed the design for LFUCG's Division of Engineering with the engineering design for MNA phases 5E and 5F. Design improvements included raising and lengthening the vertical curve of Bryan Avenue to improve sight distances at the intersections of Carlisle Avenue, Locust Avenue, and Jordan Avenue. Other infrastructure improvements will include sanitary, storm, and sidewalk upgrades along Bryan Avenue as well as new sidewalks, driveway aprons, and roadway resurfacing along Park View Avenue. Phase 6A-1 of the project completed construction. PRIME AE has continued to provide engineering design services for MNA 6A-2, 6B, & 6C to assist LFUCG's Division of Engineering in the coordination and design for storm, sanitary, roadway, and pedestrian facility improvements for this **CDBG** funded project.



## **Client/Contact:**

Andrew Grunwald, PE Lexington-Fayette Urban County Government Division of Engineering 101 East Vine Street 4th Floor Lexington, KY 40507 Ph I 859.258.3410

Date(s): Ongoing

**Common Team Members:** Steve Garland, PE, LSIT James Chambliss, PLS





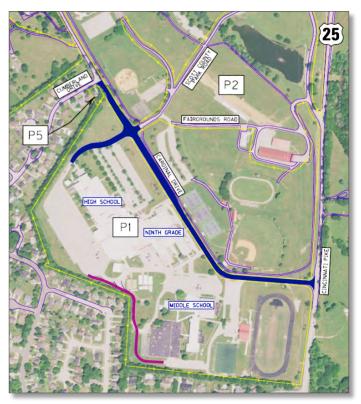




# Cardinal Drive Roadway Improvements

Georgetown, KY

Cardinal Drive connects Long Lick Pike (KY 32) and US 25 and serves the Scott County Charles Brooking Park, Scott County Middle and High Schools, an existing neighborhood, and several other residential and County properties. The existing two-lane roadway (with limited to no shoulders) did not have sufficient capacity to handle the existing traffic demand during the morning and afternoon school periods. To help alleviate the congestion problems on the roadway, the City of Georgetown temporarily converted Cardinal Drive to a southbound, one-way roadway during school starting and closing hours. Even with the temporary conversion to one-way traffic, motorists experienced daily traffic congestion where through vehicles waited in traffic, often for periods exceeding 30 minutes. In order to understand the problem, PRIME AE developed a comprehensive VISSIM traffic model that included KY 32, US 25, Cardinal Drive, and the internal circulation patterns of the



school complex. Using this model, PRIME AE proposed improvements to the KY 32/ Cardinal Drive intersection. Cardinal Drive and the high school entrance. Additionally, changes to the school's traffic circulation patterns, and an innovative bypass concept, connecting parking lots behind the school complex with small internal roadways was proposed. This provided a queuing area for middle school pick up traffic that backed up onto Cardinal Drive. PRIME AE advanced the project past preliminary line and grade and completed the final design, provided utility coordination, and right of way acquisition. This project is currently under construction and PRIME AE is providing full time construction inspection services.



Owner/Client: City of Georgetown Edward Hightower, PE Ph | 502.570.8272

Date(s): Ongoing

**Common Team Members:** Brett Malloy, PE, PTOE Nikki Hill, PE James Chambliss, PLS





## **US 27 Sidewalk and Streetscape Improvements**

Newport, KY

PRIME AE is finishing up the design services associated with US 27 from 11th Street to the shared city boundary with Southgate. The project includes the installation of a new, 8' minimum sidewalk/multi-use path (MUP) along the west side of US 27, access management, placement of overhead utilities underground, public outreach and engagement, stormwater improvements, structural analysis of the existing underpass, and SMART technologies. Traffic modeling was used to determine the typical section could be reduced from four lanes to three lanes without impacting the level of service and to make room for a wider sidewalk within the existing right of way. Decorative street and pedestrian lighting will also be installed throughout the project corridor and in the underpass. Detailed structural analysis and utility coordination was performed through the underpass to make sure bicycle and pedestrian safety could be improved without having a negative impact on the vehicular safety. The City also wanted an elevated MUP through the underpass to provide additional separation between the vehicles and bicyclists/pedestrians. Our structural engineers developed creative solutions to design a new retaining wall for the sidewalk without impacting the existing retaining walls and bridge abutments, leaving



room for all of the underground utilities. The wall was designed to not only retain the proposed elevated sidewalk but also to function as a traffic barrier.

This is an LPA project that required constant coordination with KYTC and the local utility companies. Public engagement changed from the originally proposed public meeting approach to a more individualized one-on-one approach and a series of virtual meetings. Final design is complete and it is anticipated that the project will be put out to bid for construction this winter along with Carothers Road.



**Owner/Client:** 

City of Newport Surface Transportation of Northern Kentucky (SNK) Brian Steffen Planning and Development Director Ph | 859.292.3664

Date(s): 2022-2024

#### **Common Team Members:**

Brett Malloy, PE, PTOE Nikki Hill, PE Mike Yeager, PE, MPA Aaron Cambron, PE James Chambliss, PLS Lee Sewell, PE, SE





# **Riverfront Commons**

Kenton and Campbell Counties, KY

Our project team has been involved in some capacity on every phase of Riverfront Commons to date. Once fully complete, Riverfront Commons will be a continuous 11.5-mile multi-use trail along the Ohio River that will connect the cities of Ludlow, Covington, Newport, Bellevue, Dayton, and Fort Thomas. As City Engineer for Covington, Mike Yeager served as project manager for several phases of Riverfront Commons in Covington and for smaller phases in Ludlow and Newport. With PRIME AE, Mike and Nikki Hill have managed and/or designed the following Riverfront Commons MUP projects: Newport Festival Park, Highway Avenue Connection in Covington, Dayton Riverfront Commons Phases II and III, and Bellevue Riverfront Commons. Mike and Nikki made sure all of these projects complied with LPA guidelines and led coordination efforts between multiple jurisdictions. Each of these projects faced unique challenges that required creative solutions to overcome. Some of these challenges included complying with Federal United States Army Corps of Engineer's regulations, meeting ADA standards while

dealing with steep terrain, avoiding right of way impacts, minimizing utility impacts, and making sure the path was safe and fully protected from conflicts with vehicular traffic. Public outreach and keeping stakeholders informed on key decision points and timelines were critical to the overall success of the projects. Several phases have been constructed and a few others are ready to go out to bid for construction.

PRIME





### Client(s)/Contact(s):

Ohio-Kentucky-Indiana Regional Council of Governments (OKI) Bob Koehler Ph I 513.619.7676

rkoehler@oki.org

City of Bellevue Frank Warnock frank.warnock@bellevueky.org

папк.warnock@bellevueky.org

Ph | 859.663.6301 City of Dayton Jay Fossett jfossett@daytonky.com Ph | 859.653.1609

Date(s): 2013-2022

### **Common Team Members:**

Nikki Hill, PE Mike Yeager, PE, MPA Terri Combs, PE Daniel Warren, PE James Chambliss, PLS Lee Sewell, PE, SE



LPA PROJECT EXPERIENCE	PROJECT DESCRIPTION	OWNER	STAFF MEMBER INVOLVED	
Riverfront Commons: Bellevue	Bellevue Riverfront Commons Phase 1: Multi-Use Path along the Ohio River from Patchen to Lafayette	Bellevue	Nikki Hill, Mike Yeager, James Chambliss	
Riverfront Commons: Dayton	Dayton Riverfront Commons Phase 3: Multi-Use Path along the Ohio River from Main Street to Mary Ingles Highway		Nikki Hill Mike Yeager	
Riverfront Commons: Festival Park	Construct a new multi-use path and develop park space along the Ohio River between the Taylor Southgate and Purple People Bridges		Mike Yeager, Lee Sewell, James Chambliss	
Riverfront Commons: KY 8 Highway Avenue	Construct a new multi-use path along KY 8 and the Ohio River to connect previous phases of Riverfront Commons to the existing sidewalk network	Covington	Nikki Hill, Mike Yeager, James Chambliss, Lee Sewell	
Louisville Loop: Medora	Construct a two mile section of the Louisville Loop between Pendleton Road and Blevins Gap Road. The project includes a pedestrian crossing of Cane Run Creek, profile grade challenges, landscaping, and trailheads. The trail was designed through wooded areas and open fields.	Louisville Metro	Terri Combs Daniel Warren	
Louisville Loop: Pond Creek	Construct a three mile section of the Louisville Loop between I-265 and Pond Creek Road. The project includes a pedestrian crossing of Cane Run Creek, profile grade challenges, landscaping, and trailheads. The trail was constructed through wooded areas with chellenging topography as well as a small urban/industrial section at the end of the project.		Terri Combs Daniel Warren	
Louisville Loop: Middletown Eastwood Connector	Construct a 1.5 mile section of the Louisville Loop between Old US 60 and Urton Lane in the Middletown area of Louisville. The project was constructde along one of the busiest highways in District 5, US 60 (Shelbyville Road). The location of the trail provided asscess to several businesses and trip generators, however it also came with several utility and ROW challenges. This project was constructed in 2018 and is in full use today.	Louisville Metro	Terri Combs Daniel Warren	
US 27 Streetscape New multi-use path along US 27 from 11th Street to the Newport/Southgate border. Includes underground utilities, stormwater improvements, and decorative street lighting.		Newport	Nikki Hill, Mike Yeager, James Chambliss, Lee Sewell	
Independence Station Multi-Use Path	New multi-use path along Independence Station Road to connect Fox Run Park with Lincoln Ridge Park.	Kenton County	Mike Yeager James Chambliss	
Donnermeyer Multi-Use Path New multi-use path along Donnermeyer from Riviera to Berry. Includes access control, stormwater improvements, and new lighting.		Bellevue	Mike Yeager James Chambliss	





UN-CALL ENGINEERING DESIGN SERVICES							
MUNICIPALITY	TERM	CONTACT	EMAIL	PHONE			
City of Bellevue	2019-Present	Frank Warnock City Administrator	frank.warnock@bellevueky.org	859.431.8888			
City of Dayton	2021-Present	Jay Fossett City Administrator	jfossett@daytonky.com	859.491.1600			
Crestview Hills	2022-Present	John Scheben PW Director	jscheben@crestviewhills.com	859.341.7373			
Villa Hills	2022-Present	Craig Bohman City Administrator	<u>cbohman@villahillsky.org</u>	859.341.1515			
City of Union	2023-Present	Paul Kremer PW Director	pkremer@cityofunionky.org	859.384.1511			
City of Edgewood	2023-Present	Brian Dehner City Administrator	<u>bdehner@edgewoodky.gov</u>	859.331.5910			
City of Elsmere	2024-Present	Chris Zerhusen PW Director	zerhusen@cityofelsmere.com	859.342.7911			
Boone County	2019-Present	Daniel Menetrey County Engineer	dmenetrey@boonecountyky.org	859.334.3600			
Kenton County	2020-Present	Spencer Stork County Engineer	Spencer.Stork@kentoncounty.org	859.392.1920			

## ON-CALL ENGINEERING DESIGN SERVICES



# RIGHT OF WAY SERVICES





## **PROJECT APPROACH**

PRIME AE is excited to propose on this Indefinite Services Contract for Lexington-Fayette Urban County Government's (LFUCG) Division of Engineering. Our commitment to LFUCG is eminent in our longstanding presence and our understanding of the potential issues and challenges that vary greatly throughout Lexington and Fayette County. The PRIME AE Team will lean on our local knowledge, our understanding of the importance of the acquisition process, and our proven ability to meet letting dates

#### THE PRIME AE TEAM

Our team consists of seasoned right of way professionals with local experience, KYTC experience, and federal-aid LPA experience across the Commonwealth. Our excellent record of performance, attention to detail, and the care and kindliness we exhibit with property owners sets us apart and is why we're well known and respected by our peers.

**Project Management:** The key to PRIME AE's right of way acquisition approach is our focus on project management. Project management is critical to the delivery of successful projects. PRIME AE appreciates the significance of good project management, which is especially needed during the challenges that arise throughout the right of way acquisition process. Jonathan McCracken, PE will serve as project managed a wide variety of projects throughout the Commonwealth while maintaining an impressive level of service.

As with most things, communication is the key to success. LFUCG's project manager will have one point of contact with PRIME AE, Jonathan McCracken. This will allow LFUCG to have an obvious point person to address or convey any issue that may arise. Constant, concise, deliberate, and meaningful communication will be exhibited throughout each assignment. Jonathan works in our Lexington office and can attend meetings at LFUCG or on any assigned job locations in just a few moments notice. He is extremely familiar with the area professionally and socially.

**Right of Way Project Management: Dawn Fox** will serve as right of way project manager. Dawn has over 29 years of experience in right of way acquisition and previously worked as right of way unit leader for the Kentucky Transportation Cabinet (KYTC) District 2. She has dedicated her career to the profession and will be responsible for our team's adherence to The Uniform Act, KYTC Policies and Procedures, and Federal Highway Administration Rules.

**Acquisition:** Right of way acquisition is an integral part of successfully completing a project. Acquisition agents become the face of LFUCG. Our care and kindliness demonstrate our professionalism, while our attention to detail and knowledge of construction plans establishes trust with impacted property owners. **Zach Choate** will serve as our primary Acquisition Agent. Zach has over eight years of right of way acquisition experience and previously worked at KYTC District 1.

**Relocation: Kevin Hamm** will provide relocation assistance. Kevin has a tremendous amount of experience in relocation and acquisition.

Legal Services: Alissa Graf-Schad, Esq. of Legacy Title Company,

LLC can provide title reports and closing services as needed. Alissa has an extensive record providing quality legal services while meeting project schedules. Furthermore, Alissa has been part of PRIME AE's teams on several previous projects.

**Appraisals/Appraisal Reviews:** When needed, appraising parcels becomes the critical path in the right of way acquisition process since they must be completed prior to negotiating with a property owner. When time is of the essence, we focus on two key actions necessary to expedite the appraisal process:

- 1) Working with local experienced appraisers
- The right of way project manager must be engaged in the appraisal process

We have worked with numerous prequalified firms that provide appraisal services and appraisal review services. We will capitalize on our appraisal team's local knowledge of the Fayette County real estate market, which will reduce the time needed to complete appraisals.

**Principal in Charge: Steve Garland, PE, LSIT** will serve as Principal in Charge. Steve has more than 24 years of experience working with LFUCG. He will ensure each project runs smoothly, communication is prioritized, and that all LFUCG standards are adhered to. Steve's local knowledge and experience will provide additional guidance to support the right of way process.

**LPA Technical Advisor: Nikki Hill, PE** will serve as the LPA Technical Advisor for our team. Nikki will be available to help make sure all the appropriate LPA documentation and paperwork is completed for any LPA projects that are assigned. Before joining PRIME AE, Nikki served as LPA Coordinator for KYTC's District 6. Since joining PRIME AE, Nikki's primary responsibility has been working with local communities on LPA projects from the grant application stage all the way through construction.

#### RELATIVE EXPERIENCE WITH FEDERAL-AID PROJECTS AND KYTC PROCESSES

The PRIME AE team has provided right of way services for countless federal-aid projects within recent years. We have recent relative experience working on federal-aid projects for Louisville Metro, Georgetown, Newport, Berea, Webster County, and KYTC to name a few. Navigating the federal-aid process has become much easier with all the experience that has been gained through these projects. We have worked on projects that include small sidewalk extensions, large multi-use trails, and roadway realignments in both urban and rural settings. All of the projects have been done in accordance with the KYTC Right of Way Guidance Manual and adhere to the Local Public Agency (LPA) Guide.

#### DEMONSTRATED EXPERIENCE TO PROVIDE RIGHT OF WAY SERVICES

Our team's experience spans almost three decades providing acquisition services on projects within the Commonwealth. We're intimately familiar with The Uniform Act, KYTC policies and procedures, and Federal Highway Administration rules. Our knowledge of the aforementioned items assures LFUCG that all federal-aid projects will successfully adhere to the strict requirements. Our broad local knowledge and experience provides a deeper understanding of LFUCG's standards





(including planning, zoning, design, codes, regulations, and ordinances). Our ability to deliver the proposed scope of work is outlined below:

- Title reports, closings, and title insurance (as needed) will be provided by Alissa Graf-Schad of Legacy Title Company, LLC. Alissa has provided legal services on countless municipal government projects.
- 2. Appraisals and appraisal reviews will be prepared by a team of prequalified appraisers.
- 3. PRIME AE will prepare Minor Acquisition Reviews in accordance with LFUCG's approved format.
- 4. PRIME AE will work with LFUCG's legal team or Alissa to prepare documents that provide clear title to the necessary fee simple right of way or easement that will meet local and state requirements. We will also coordinate with the various design firms to assure accuracy of the legal descriptions and purpose of acquisition.
- 5. Offers will be made in a timely fashion and presented to property owners thoroughly and clearly. Our high success rate is attributed to the respect we show towards property owners and the trust we're able to garner through our empathetic approach.
- 6. Our organization and file retention policies allow us to securely maintain sensitive property owner information. Maintaining thorough documentation is imperative, especially if the Federal Highway Administration (FHWA) requests documents as part of an audit.
- Communication is the key to success. PRIME AE will provide a project status report to LFUCG weekly. This reinforces our constant and deliberate communication that will allow LFUCG's Project Manager to provide updates to other departments without delay.
- 8. When negotiations aren't successful, all required paperwork will be submitted so that condemnation may be initiated.
- 9. Closings will be facilitated by LFUCG's legal team or Alissa Graf-Schad of Legacy Title Company, LLC. Our acquisition team will maintain a constant line of communication with

legal to assure each parcel is positioned to close smoothly upon receipt of executed documents.

- 10. After a parcel is closed, our team will promptly file all deeds and easements with the Fayette County Clerk for recordation. Recorded documents will be filed and documented in the status report.
- 11. PRIME AE provides a level of service that makes the clients' job easier. Our ability to be flexible and pivot will allow us assist LFUCG with tasks and duties that arise unexpectedly. We pride ourselves on how well we react to our client's needs and routinely complete assignments on schedule.

## PAST RECORD OF PERFORMANCE/QUALITY OF WORK

We pride ourselves on how well we react to our client's needs and routinely complete assignments on schedule. Our right of way acquisition team is built with that mindset and is committed to dedicating the resources needed to meet LFUCG's expectations. The sooner the right of way acquisition is completed, the sooner the benefit of the improvements is realized by the citizens of Lexington and Fayette County.

Thank you very much for your consideration, and we are hopeful to get the opportunity to work with LFUCG on future projects that are assigned.

## **DBE PARTICIPATION PLAN**

PRIME AE, formerly Integrated Engineering in Kentucky, is an excellent example of the success of the DBE program in Kentucky. Integrated Engineering grew from a staff of one person in 2006, to a staff of forty people, prior to acquisition. PRIME AE itself also started as a DBE. The DBE program is special to us; we are ready to pass our knowledge and lessons learned to others and help them grow. Legacy Title Company, LLC will serve as our DBE (WBE) partners for legal services. We have an established working relationship with Legacy Title Company, LLC and have successfully collaborated on several projects together.

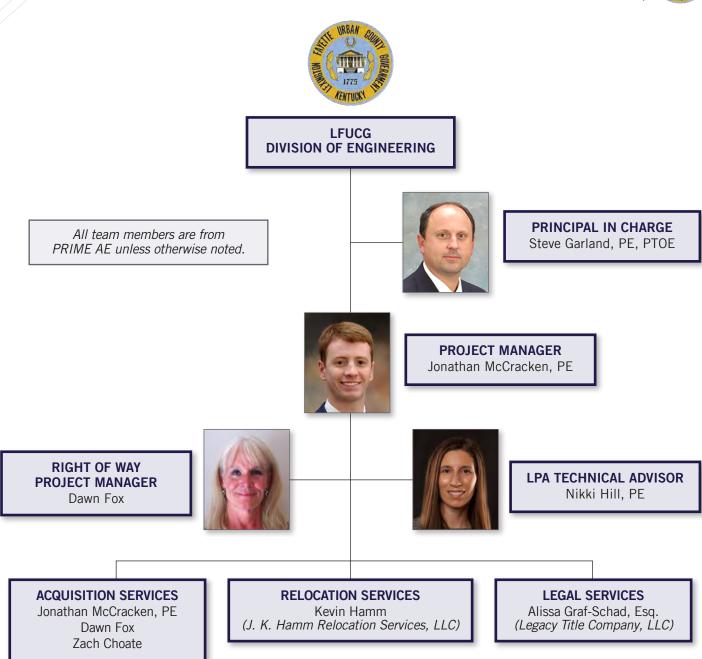
## WHY PRIME AE?

- + Familiarity with the Region/Strong Local Presence: The PRIME AE team has the majority of its members living and working right here in Lexington. Lexington is our home, we are proud to be included in projects that make the city a better place to live. We bring local knowledge, familiarity and pride in the work we do.
- + **The Strength of our Team:** Our team has a successful track record on similar projects with KYTC and municipalities across the Commonwealth. We offer a flexible approach to quickly adapt to ever-changing priorities.
- + Federal Aid/LPA Grant Experience: Having worked on many LPA projects, our team understands the importance of following the guidelines and anticipating potential roadblocks. In addition to past experience with LFUCG, our entire team is familiar with KYTC and LPA policies and procedures and this helps keep projects on schedule and within budget.
- + **Communication:** Our project manager will work hard to keep open communication and dialogue between the PRIME AE team and LFUCG. We know the importance of having everyone on the same page and will continue to make communication within our team internally and with LFUCG a priority.
- + Capacity/Room for Growth: The PRIME AE team has immediate capacity to work on projects as soon as they are assigned. LFUCG can be confident their schedule will be met when a project is assigned to our team.



ORGANIZATION CHART Lexington-Fayette Urban County Government RFP #41-2024 Indefinite Services Contract for Division of Engineering – RIGHT OF WAY SERVICES











### **EDUCATION**

BS/Civil Engineering/1998/ University of Kentucky

### REGISTRATIONS

Professional Engineer: KY, IN, OH

LSIT (Land Surveyor-in-Training)

LEED AP Accredited Professional (Legacy)

CFM Certified Floodplain Manager (former)

NCEES Model Law Engineer

#### **CERTIFICATIONS/TRAINING**

KYTC Traffic Impact Study Training

Detention Ponds and Urban Hydrology (Featuring XPSWMM and Storm Net Design Software)

Hydraulic Modeling Training (Featuring HEC-RAS Design Software)

StormNet Hydraulic / Hydrologic Training

Pump Station Design (Gorman-Rupp Hydraulic Training)

Winter Dendrology Tree Identification Bernheim Forest

## Steve Garland, PE, LSIT



## Principal in Charge - PRIME AE

Steve is responsible for management and project coordination for municipal infrastructure and private development projects. His major responsibilities include design for transportation, traffic, wastewater collection (gravity and force mains), stormwater drainage, and major site development projects. Steve is also responsible for quality control/quality assurance and providing communication both internally and externally on major projects.

## EXPERIENCE

Meadows-Northland-Arlington Neighborhood (MNA) Improvements Project, Phases 5D, 5E, 5F, 6A, 6B, and 6C, Lexington-Fayette Urban County Government (LFUCG) - Fayette County, KY: Project Engineer. This project involved a storm, sanitary, and roadway improvements that includes an existing residential development bounded by an arterial collector, Bryan Avenue, which is fronted by both residential and commercial entrances. The scope of the project includes the installation of a storm sewer to facilitate drainage for the streets and roads as well as the residences, to widen the streets where necessary to create a uniform cross section, to install box curb and gutter, to install sidewalks, to regrade the streets to increase stop sight distance where necessary, and to correct the badly aged sanitary sewer system.

**Clays Mill Road Improvements, Section 1, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve assisted as a subconsultant for the proposed widening of Clays Mill Road from Waco Drive to Harrodsburg Road. His primary responsibilities included drainage design review and FEMA permitting assistance.

## Cardinal Lane Storm and Sanitary Improvements Project, LFUCG - Fayette County,

**KY:** Project Engineer. As project engineer, Steve was responsible for the design of approximately 140 lineal feet of 4' x 4' reinforced box culvert and an 18" storm sewer system. His responsibilities also included helping LFUCG in the easement plat preparation and acquisition of two parcels within the project corridor.

**Pine Meadow Road Bank Stabilization Project, LFUCG - Fayette County, KY:** Project Engineer. This project involved the survey and design of a Rosetta Stone Segmental Wall system along Vaughn's Branch near Pine Meadow Road. His responsibilities also included the application for and approval of the United States Army Corps of Engineers (USACE) and Kentucky Division of Water (KDOW) stream permits.

**Town Branch Trail Project, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve assisted with acquiring Kentucky Division of Water and US Army Corps of Engineers permits associated with the Phase 3 Section of Town Branch Trail between Alexandria Drive and New Circle Road.

**Tates Creek Road Sidewalk Project, LFUCG - Fayette County, KY:** Project Engineer. This streetscape project involved surveying and civil engineering design and coordination of over 16,000 linear feet sidewalks on both sides of Tates Creek Road from inside of Alumni Drive outbound to the Lansdowne Shoppes area. The design followed state and federal Local Public Agency (LPA) guidelines. Significant coordination was made with utility companies for the numerous relocations that needed to retrofit the sidewalk into the existing infrastructure







### **EDUCATION**

BS/Civil Engineering/2011/ Michigan Technological University

REGISTRATIONS

Professional Engineer: KY

### **MEMBERSHIPS**

International Right of Way Association (IRWA)

Kentucky Society of Professional Engineers

# Jonathan McCracken, PE PRIN

## Project Manager - PRIME AE

Jonathan is responsible for management of right of way acquisition projects and design of municipal infrastructure projects. His experience includes over ten years of acquisition experience and over 12 years of engineering experience that inlcudes design and construction services for water and wastewater projects, pedestrian facilities, stormwater drainage, and residential and commercial site development projects. He has also prepared legal descriptions and right of plans for KYTC and other municpal clients.

## EXPERIENCE

**A.B. Sawyer Multi-Use Path, Louisville Metro** – **Louisville, KY:** Acquisition Agent. Jonathan served as the main point of contact and acquisition agent on this shared use trail project along Hurstborne Parkway (KY 1747). The project included 6 parcels.

**Bridge Project Program Delivery, Kentucky Transportation Cabinet – Statewide, KY:** Right of Lead and Acquisition Agent. Jonathan served as the right of way lead and acquisition agent responsible for clearing 30 bridges for lettings. While the goal of the project was to avoid the need for right of way acquisition by reconstructing the bridges back in their same location, it is not always possible to avoid the need to purchase fee simple right of way or easements. The fast-paced nature of program required accelerated acquisition services.

**Bridging Kentucky, KYTC - Statewide, KY:** Acquisition Agent and Right of Way Coordinator. Jonathan served as acquisition agent and right of way coordinator responsible for negotiating with 36 residential and commercial property owners and CSX Railroad. While the goal of the project was to avoid the need for right of way acquisition by reconstructing the bridges back in their same location, it is not always possible to avoid the need to purchase fee simple right of way or easements. The fast-paced nature of program required accelerated acquisition services.

\*US 31E, Item No. 04-287.50, Kentucky Transportation Cabinet, Nelson, Bullitt, and Spencer Counties, KY: Acquisition Agent. As acquisition agent, Jonathan was responsible for negotiating with several residential property owners.

**\*KY 146, Item No. 05-8300.10, Kentucky Transportation Cabinet, Henry County, KY:** Acquisition Agent. As acquisition agent, responsible for negotiating several residential property owners.

**KY 53, Item No. 05-9012.00, Kentucky Transportation Cabinet, Shelby County, KY:** Acquisition Agent. As acquisition agent, responsible for negotiating with two residential property owners.

**\*US 460, Item No. 07-212.00, Kentucky Transportation Cabinet, Scott County, KY:** Acquisition Agent. As acquisition agent, responsible for negotiating with several commercial and residential property owners.

**\*KY 4, Item No. 07-366.00, Kentucky Transportation Cabinet, Fayette County, KY:** Acquisition Agent. As acquisition agent, responsible for negotiating with several commercial and residential property owners.

**\*KY 15, Item No. 10-269.10, Kentucky Transportation Cabinet, Perry County, KY:** Acquisition Agent. As acquisition agent, responsible for negotiating with several commercial and residential property owners.

\*US 460/KY 7, Item No. 10-293.00, Kentucky Transportation Cabinet, Morgan County, KY: Acquisition Agent. As acquisition agent, responsible for negotiating with several commercial and residential property owners.

\*Performed prior to PRIME AE







### **EDUCATION**

AA/Business/1986/Madisonville Community College

### **MEMBERSHIPS**

International Right of Way Association (IRWA)

## **CERTIFICATIONS / TRAINING**

Statewide ROW Project Managers Training (2017) KYTC Relocation Training (2016) Project Development Academy Essential Requirements of the Uniform Act & Negotiations Workshop-FHWA

# Dawn Fox



## Right of Way Project Manager - PRIME AE

Dawn has over 29 years of experience in right of way. In her former capacity as Right of Way Unit Leader for KYTC District 2, she was responsible for acquiring right of way on projects 11 experience in courthouse research related to establishing title and research of comparable sales data.

## EXPERIENCE

**Smallhouse Road Phase II, City of Bowling Green - Bowling Green, KY:** Acquisition Agent. As acquisition agent, Dawn coordinated and performed negotiations on 31 parcels, 20 of which were minor acquisition reviews.

**Providence Safe Routes to School - Webster County, KY:** Acquisition Agent. As acquisition agent, Dawn coordinated and performed negotiations on 27 parcels, all of which were minor acquisition reviews.

**Property Negotiations, Paducah Joint Sewer Agency - Paducah, KY:** Acquisition Agent. As acquisition agent, Dawn coordinated and performed negotiations on two major properties. One was property being purchased for a new tank and the other was purchasing property for the location of a new lift station.

**Bridging Kentucky, KYTC - Statewide, KY:** Project Manager and Acquisition Agent. This project involves the replacement/repair of 1,000 bridges throughout Kentucky. Dawn served as project manager overseeing two acquisition agents buying over 100 parcels in 15 counties covering five districts. She also served as acquisition agent in District 2 for six parcels.

**1-115.00, Ballard County, KY:** Acquisition Agent. As acquisition agent, Dawn acquired 19 parcels, residential/commercial properties, and served as acquisition agent for all consisting of several relocation of total properties.

Shive Lane Widening, City of Bowling Green, KY: Acquisition Agent. As acquisition agent, Dawn coordinated and performed negotiations on 13 commercial properties.

**Marion Branch Industrial Park Access Road, City of Pikeville, Pikeville, KY:** Project Manager. Dawn served as project manager for the acquisition of 13 parcels. Eight were minor acquisition reviews and five were appraisals.

\*Kentucky Transportation Cabinet - Acquisition Agent District 2 (2007-2014), All Counties District 2, KY: Unit Leader and Agent III. As unit leader and Agent III, Dawn managed various functions of the Right of Way Department for District 2, which included eleven counties. She completed right of way certifications, supervised staff in negotiations associated with multiple projects, and managed the work of right of way agents and consultants on various projects. Her work led to the closing of the project either by settlement or condemnation. Dawn's other tasks included reviewing plans and working with the engineering and design team when issues arose on project, as well as training new employees. She was also responsible for acquiring several complex takings including a large national corporation. Dawn was responsible for the development of minor acquisition reviews for agricultural, residential, commercial, and industrial properties, and she did extensive courthouse research to establish title and research of comparable sales data relative to multiple types of property. She also worked with the District legal staff in regard to condemnation.







### **EDUCATION**

BS/Sport Management/2013/ Eastern Kentucky University

### **CERTIFICATIONS / TRAINING**

Negotiations Workshop: Success in Right of Way and Essential Requirements of the Uniform Act Workshop

The International Right of Way Association - 100 - Principles of Land Acquisition

Relocation Assistance Boot Camp Training

The International Right of Way Association - 403 - Easement Valuation

The International Right of Way Association - 431 - Problems in the Valuation of Partial Acquisitions

Uniform Appraisal Standards for Federal Land Acquisitions

The International Right of Way Association - 502 - Non-Residential Relocation Assistance

The International Right of Way Association - 504 - Computing Replacement Housing Payments

The International Right of Way Association 505 Advanced Residential Relocation Assistance

The International Right of Way Association - 506 - Advanced Business Relocation Assistance

# Zach Choate



## **Right of Way Acquisition - PRIME AE**

Zach has eight years of experience as a right of way agent with buying over 200 parcels, writing appraisals, relocation, and project management. He has been involved in every phase of the highway right of way process including complex right of way acquisition, handling minor relocation files and noncomplex issues, and a general knowledge and understanding of appraising under the laws of eminent domain, with demonstrated management skills.

## EXPERIENCE

**KY-181, KYTC - Muhlenberg County, KY:** ROW Acquisition Agent. The purpose of the project is to improve safety along KY 181. This project is needed to address safety deficiencies along the roadway including narrow lanes, a dangerous slope failure, and deficient sight distances along curves and at intersections. Three deficient vertical curves need to be corrected due to deficient stopping sight distance and unsuitable material on site. This project invovles buying ten complex parcels on this project with six appraisals and four MARs.

**Bridging Kentucky, KYTC - Statewide, KY:** ROW Acquisition Agent. This project involves the replacement/repair of 1,000 bridges throughout Kentucky. Zach served as acquisition agent buying over 100 parcels in 15 counties covering three districts.

\*Kentucky Transportation Cabinet - District 1, KY: Acquisition Agent III. As acquisition agent III, Zach managed various functions of the Right of Way Department for District 1, which included twelve counties. He was mainly responsible for acquiring several complex acquisitions including large national corporations. Zach was also responsible for the development of minor acquisition reviews for agricultural, residential, commercial, and industrial properties. He did extensive courthouse research to establish title and research of comparable sales data relative to multiple types of property. Zach worked on appraisals on multiple projects in the District. He has experience with demolition of buildings to clear the right of way before construction and capable of handling minor relocation files and noncomplex issues. For ths project, Zach also worked with the District legal staff in regard to condemnation.

**\*US 641 Widening and Reconstruction, KYTC - Calloway County, KY:** ROW Acquisition Agent. The purpose of the project is to improve mobility and safety for the US 641 corridor in southern Calloway County. US 641 is a major north-south principal arterial link in Kentucky. Listed on the NHS and a state-designated truck route, its narrow lanes, limited passing opportunities, closely spaced access points through Hazel, and substandard curves make the route challenging for freight carriers. As row acquisition agent, Zach made estimates of right of way costs for project. He also prepared ASRR/PMR Report, bought ten parcels with two complex appraisals and eight MARs.

\*Performed prior to PRIME AE







### **EDUCATION**

BS/Civil Engineering/2016/ University of Kentucky

**REGISTRATIONS** Professional Engineer: KY

**CERTIFICATIONS/TRAINING** Utility Coordination

### **MEMBERSHIPS**

American Society of Highway Engineers

Women In Transportation National Society of Professional Engineers

# Nikki Hill, pe



## LPA Technical Advisor - PRIME AE

Nikki spent over five years with the Kentucky Trasnportation Cabinet (KYTC) before joining PRIME AE. She has transportation design experience, served as Northern Kentucky's Local Public Agency (LPA) Coordinator, completed duties as KYTC D6's Planning Engineer, and served as deputy project manager for two design-build projects in Northern Kentucky.

## EXPERIENCE

\*LPA Coordinator - KYTC District 6: LPA Coordinator. Nikki previously served as the LPA Coordinator for KYTC's District 6 (Northern Kentucky). In this role, she was responsible for reviewing transportation project applications for federal funding, ensuring that projects receiving federal funding were adminstrated in accodarance with federal law, reviewing project plans to ensure compliance with all applicable guidance manuals, coordinating necessary reviews by KYTC Divisions as needed, and authorizing funding for project phases.

**LPA Project #06-00128: Bellevue SNK Resurfacing and Drainage, City of Bellevue -Campbell, KY:** Project Engineer. The City of Bellevue received federal funding from the Ohio-Kenutcky-Indiana Regional Council of Governments (OKI). The scope of the project included developing plans for resurfacing the roadway and reconstructing damaged sidewalk. Drainage issues were identified during a site visit and PRIME AE coordinated with Sanitation District 1 to secure additional funding and upgrade the drainage structures at two intersections.

**LPA Project #06-00462: Dayton Riverfront Commons Phase 3, City of Dayton - Kenton, KY:** Project Engineer. Nikki is developing the plans and contract documents and providing LPA coordination on the third phase of Dayton's Riverfront Commons Project. The Riverfront Commons Project, once complete, will be a multi-use trail constructed along the Ohio River through seven Northern Kentucky river cities. The completion of Dayton's third phase will span from the northwest limits of Dayton to the intersection of Manhattan Boulevard and 4th Avenue until Bellevue completes the second phase of their Riverfront Commons project. For the design, she has coordinated with the current road and site development occurring within the project limits and local utility companies.

**LPA Project #06-03227 Grandview Elementary Safe Routes to School South Foote Sidewalk - Bellevue, KY:** Project Engineer. The City of Bellevue received federal funding from OKI to complete the sidewalk along South Foote from the existing sidewalk to Grandview Elementary's parking lot. Nikki was responsible for drafting and submitting all necessary documentation to KYTC for approval and developing contract documents.

**LPA Project #07-00593.2 DeRoode Manhole - Lexington, KY:** Project Engineer. The City of Lexington received federal funding as part of KYTC's Newtown Extension project to install a manhole connection to an existing box culvert. Nikki was responsible for drafting and submitting all necessary documentation to KYTC for approval. She drafted the project specifications for bidding.

**LPA Project #06-00451 US 27 Streetscape - Newport, KY:** Project Engineer. The City of Newport received federal funding from OKI to construct a multi-use path along US 27 from the city limits to 11th Street. Nikki has been drafting and submitting all necessary documentation to KYTC for approval and developing project contract documents.

**Bellevue and Dayton Annual Resurfacing 2022 - Campbell, KY:** Project Engineer. As project engineer, Nikki compiled the cost estimate for roadway resurfacing in Bellevue and Dayton and coordinated the cities' priorities. She also drafted the project bid specifications for project advertisement.

\*Performed prior to joining PRIME AE.







## Louisville Loop: Jefferson Memorial Forest (JMF) - Medora

Jefferson County, KY

The Louisville Loop is a proposed 100mile trail system that will encircle the city of Louisville connecting parks, neighborhoods, and local attractions. The Medora section of the Louisville Loop is a 1.3 mile multi-use trail extending through Jefferson Memorial Forest in southwest Jefferson County. The project begins at Pendleton Road and ends at Blevins Gap Road. The project includes trail heads as





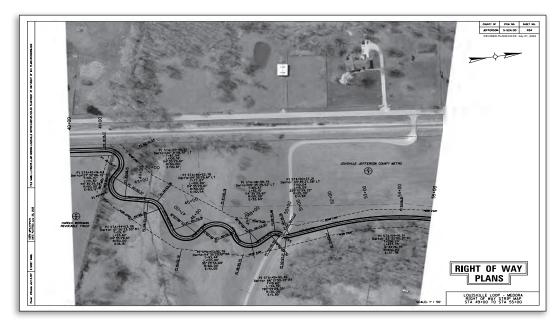
Owner/Client: Louisville Metro John Swintosky, RLA Ph | 502-574-6435

Date(s): 2017-Current

## Common Team Members:

Jonathan McCracken, PE Dawn Fox Zach Choate

well as a parking area, landscaping, and site amenities and is currently in the final design phase. PRIME AE is responsible for the right of way acquisition required for eight parcels; five of which are owned by private individuals and the remaining three are owned by Louisville Metro. The PRIME AE right of way acquisition team includes Legacy Title Company, LLC.









## **Bridging Kentucky Program**

With the Bridging Kentucky program, KYTC is leading a transformational commitment to improve the safety and soundness of bridges across the Commonwealth. KYTC will rehabilitate, repair, or replace more than 1.000 critical structures in all 120 Kentucky counties over the next six years. The program seeks to reopen closed bridges, remove weight restrictions that prevent use by school buses, emergency vehicles and businesses, and improve access and mobility for all Kentuckians.

## PRIME AE was one of three consultants that were responsible

Statewide, KY



for the acquisition of right of way for this project, and were responsible for acquisition services for 39 bridges. While the goal of the project was to avoid the need for right of way acquisition by reconstructing the bridges back in their same location, it was not always possible to avoid the need to purchase fee simple right of way or easements. With the fast paced nature of this program, the acquisition process was often not given adequate time, requiring our agents to be very responsive and react quickly when right of way acquisition was necessary.

In order to deal with these time constraints, Jonathan McCracken, PE served as coordinator for these projects. Jonathan tracked the progress of the plan development for each project and worked with the design teams to determine the need for acquisitions as early as possible. Tracking the progress of the design for each bridge which was a challenging task as there were six different firms serving as design leads for these projects. Once he determined the need for right of way, he then acquired the plans and coordinated with Dawn Fox to get the process started. Based on the geographic location of the project, Dawn served as project manager for each project with the other one performing the acquisitions. Jonathan filled in for acquisition services as needed.

PRIME AE purchased 100 parcels and served as the project manager on five projects in which the district was responsible for acquisition.



## **Owner/Client:**

KYTC Royce Meredith, PE State Highway Engineer's Office Frankfort, KY 41501 Ph | 502.782.4968

Date(s): 2018-Current

**Common Team Members:** Jonathan McCracken, PE Dawn Fox





## US 60 Bypass Extension Daviess County, KY

Dawn Fox served as the lead acquisition agent on this major project in Owensboro, KY. The project is the extension of US 60 Bypass east of Owensboro from the KY 54 interchange to US 60 East. The typical section consisted of a four-lane divided highway with a grass median.

This project involved 63 parcels of residential, commercial, business, special purpose and agriculture property. All of the 63 parcels had complex takings. This project crossed a divided major property and businesses leaving several severed properties. Included in these acquisitions/ relocations were utility companies, real estate companies, the City of Owensboro, a medical group, the school district, a mobile home park, storage building relocation, a major orchard, a junk yard relocation, CSX Railroad, several businesses, and a truck stop.





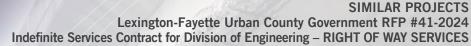
## **Owner/Client:**

KYTC District 2 Kelly Divine 1840 North Main Street Madisonville, KY 42431 Ph | 270.824.7080

Date(s): 2017

Common Team Members: Dawn Fox









## Statewide Bridge Delivery Services District 1, District 2, and District 6, KY

PRIME AE is responsible for right of way acquisition for 30 bridges as part of the Statewide Bridge Delivery Program. Our team has assignments in Districts 1 and 2 as well as one structure in District 6. Each bridge has a very tight delivery schedule, requiring our agents to be responsive and react quickly when right of way acquisition is necessary.

Jonathan McCracken serves as the right of way lead, providing the experience in delivering projects in a condensed schedule. Jonathan, a licensed professional engineer, tracks design progress and provides a unique perspective as part of the design team. Coordinating with the design team throughout project development allows our acquisition agents to begin negotiations much sooner. Dawn Fox serves as project manger with Zach Choate handling most acquisitions. We also manage acquisitions being done by KYTC District 1 staff.

PRIME AE has met or exceeded letting schedules on a regular basis, which we attribute to our unique approach.



Owner/Client: KYTC

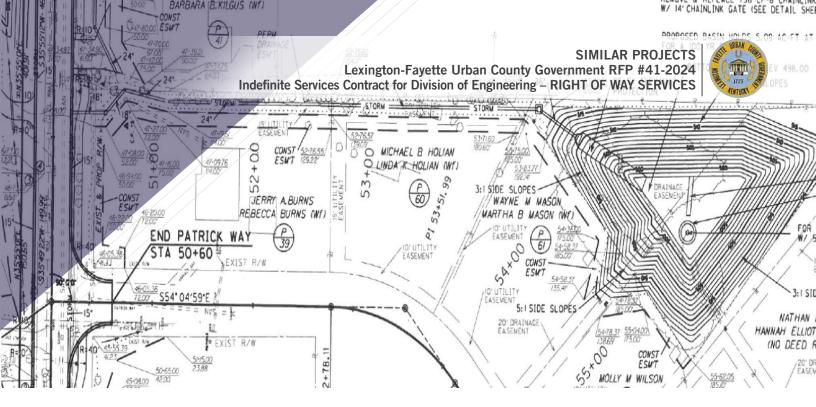
Royce Meredith, PE KYTC Central Office 200 Mero Street Frankfort, KY 40622 Ph | 502.564.3280

Date(s): 2022- Current

Key Personnel: Jonathan McCracken, PE Dawn Fox Zach Choate







# Smallhouse Road

Bowling Green, KY

Dawn Fox served as the lead acquisition agent and project manager on this 31 parcel urban widening project in Bowling Green, KY. This project involved widening Smallhouse Road to three lanes and included the construction of storm sewer, curb and gutter, and an 8' wide shared-use path.



The project corridor consisted of mainly high end residential properties and a very engaged and educated group of land owners. The property owners were well versed in the right of way process and very particular in their needs. The city of Bowling Green had previously attempted to purchase the right of way for an adjacent section of this roadway, and because of the many complications involved, decided

to award this section of the project PRIME AE. This project included two large detention basins and several storm water easements that required large tracts of right of way. Even

with all of the challenges surrounding the project, Dawn was able to sign 30 of the 31 parcels within three months of receiving the appraisal report. The lone hold out was due to the fact that the project involved constructing a detention basin in the front yard of one of the property owners.

"We were extremely pleased with the performance on the Smallhouse Road Phase II property acquisition contract. Dawn was prompt, courteous and extremely diligent in all aspects of the negotiation phase. Her past KYTC experience provided us a consistent and documented process which allowed us to complete the property phase quickly and move to construction while keeping the owner's best interests in mind. We especially appreciated her patience, as did the owners, with some of the more complicated acquisitions." —Melissa Cansler, City Engineer, Bowling Green



Owner/Client: City of Bowling Green Melissa Cansler, PE City Engineer Bowling Green Public Works PO Box 430 Bowling Green, KY 42102 Ph | 270.393.3212

Date(s): 2017-2018

Common Team Members: Dawn Fox





SIMILAR PROJECTS Lexington-Fayette Urban County Government RFP #41-2024 Indefinite Services Contract for Division of Engineering – RIGHT OF WAY SERVICES



		RIGHT OF WAY S	SERVICES		
CLIENT	TERM	CONTACT	EMAIL	PHONE	
КҮТС	2016-Present	Kelly Divine	kelly.divine@ky.gov	270.746.7898	
Louisville Metro	2018-Present	Mike Penick	mike.penick@louisvilleky.gov	502.574.5338	
City of Berea	2022	Rose Beverly	rbeverly@bereaky.gov	859.986.7697	
Webster County	2022	Judge Steve Henry	steve.henry@websterco.org	270.639.5042	
City of Edgewood	2023-Present	Brian Dehner	<u>bdehner@edgewoodky.gov</u>	859.331.5910	
Frankfort Sewer Department	2023-Present	Michael Hesse	<u>mhesse@frankfort.ky.gov</u>	502.352.2012	
City of Bellevue	2019-Present	Frank Warnock	frank.warnock@bellevueky.org	859.431.8888	
Kentucky American Water	2018-Present	Tyler Wells	tyler.wells@amwater.com	859.268.6368	
Kenton County	2020-Present	Spencer Stork County Engineer	Spencer.Stork@kentoncounty.org	859.392.1920	



# CONSTRUCTION INSPECTION SERVICES





#### RELEVANT EXPERIENCE AND QUALIFICATIONS

PRIME AE has a tremendous amount of experience providing construction inspection and administration for KYTC, federal agencies, and various municipalities throughout the state. Our CM/CI group has over 100 employees with six of those being located in Lexington. We also have four additional inspectors to pull from in Cincinnati and Louisville that are within a short drive to Lexington. Our team at PRIME AE is very familiar with the LPA process from start to finish. We currently provide on-call engineering services for Boone and Kenton counties and the cities of Bellevue, Dayton, Villa Hills, Crestview Hills, Edgewood, Union, and Elsmere. One of our biggest priorities in these roles is guiding the municipalities through the entire LPA process from grant writing through construction. As a result, we know the LPA process and the contents of the LPA Guide inside and out. We are pregualified with KYTC in construction project supervision. Should the need arise on any project we are assigned, we are also pregualified with KYTC in rural roadway design, structure design for bridges under 500', right of way services, construction inspection services, transportation planning, traffic engineering, traffic data collection, traffic signal design, surveying, urban roadway design, pedestrian and bicycle planning and design, and advanced drainage analysis and design. Our team of engineers and inspectors have the experience and qualifications to help LFUCG with any type of transportation project that is assigned. Each of our inspectors have their own vehicle, inspection instruments, and safety equipment.

Randy Travis will serve as project manager and will be your primary point of contact. Randy works out of our Lexington office and has 26 years of roadway and bridge inspection and administration experience. He will assign the necessary team members to each project once the scope has been laid out. In addition to having the experience and certifications needed to assist LFUCG with any roadway or bridge project, our team at PRIME AE is very familiar with LFUCG and with the LPA process. Principal in charge, Steve Garland, PE, LIST, and technical advisor, Nikki Hill, PE will be readily available to assist Randy and LFUCG whenever necessary. Steve has more than 24 years of experience working



PRIME AE's Lexington, Kentucky Office

with LFUCG. He will ensure each project runs smoothly, communication is prioritized, and that all LFUCG standards are adhered to. As technical advisor, Nikki will be available to help make sure all the appropriate LPA documentation and paperwork is completed for any LPA projects that are assigned. Before joining PRIME AE, Nikki served as LPA Coordinator for KYTC's District 6. In that role, she learned the contents of the LPA Guide inside and out so that she could relay the information to the communities she worked with. Since joining PRIME AE, Nikki's primary responsibility has been working with local communities on LPA projects from the grant application stage all the way through construction.

We have surrounded Randy, Steve, and Nikki with a very talented team of local inspectors that all have experience working on projects for municipalities. We are also very excited to partner with Resource International Inc. (RII) to help supplement LFUCG's inspection needs when necessary. The project specific teams will be determined based on the scope of the projects we are assigned

Inspector Name	# Yrs Exp	Location	ACI Level 1	Aggregrate Sampling Technician	Asphalt Paving Drain Qualification		KEPSC-RI Qualification	Structural Inspection Level 1	
Gary Kinard	35	Lexington	X X		Х	Х	Х	Х	
Jim Spivey, CWI	24	Lexington	Х	Х	Х	Х	Х	Х	
Ryan Honeycutt	20	Lexington	Х	Re-Certifying	Re-Certifying	Х	Х	Х	
Doug Fields	25	Cincinnati	Х	Х	Х	Х	Х	Х	
Ted Mills	45	New Albany	Х	Re-Certifying	Х	Х	Х	Re-Certifying	
Levi Deweese	10	New Albany	Х	Re-Certifying	Х	Х	Х	Re-Certifying	
Rich Crone	14	New Albany	Х	Re-Certifying	Х	Х	Re-Certifying	Re-Certifying	





#### PAST RECORD OF PERFORMANCE ON SIMILAR PROJECTS

PRIME AE has a great deal of experience providing construction inspection and administration services for local municipalities. Over the last few years, we have provided construction inspection and management on the following projects:

CONSTRUCTION INSPECTION AND ADMINISTRATION PROJECT	PROJECT DESCRIPTION	OWNER
Cardinal Drive	The City received CMAQ funding to help alleviate congestion on the roadway. Cardinal Drive connects KY 32 and US 25 and serves Scott County Brooking Park, Scott County Middle School, and Scott County High School. PRIME AE performed construction inspection and material testing for the improvements that included roadway widening, sidewalk improvements, and drainage improvements.	City of Georgetown
Sherry Lane and Bonnie Leslie	The City received funding through FEMA to stabilize over 20 homes, repair private property damage, and fix the roadways in a major slide area. Drilled shaft retaining walls were installed in the rear of each property, driveways were replaced, and the roadways were restored to their pre-existing condition.	City of Bellevue
Parkers Mill Trunk Sewer	PRIME AE performed RPR duties for LFUCG overseeing sanitary sewer improvments. This project included sanitary sewer line replacement, sidwalk replacement, ADA upgrades and Asphalt mill and replacement.	LFUCG
West Hickman	PRIME AE performed RPR duties for LFUCG overseeing sanitary sewer improvments. This project included sanitary sewer line replacement, sidwalk replacement, ADA upgrades and Asphalt mill and replacement.	LFUCG
Bellevue Resurfacing	PRIME AE helped the City secure LPA funding for this project that included resurfacing, sidewalk replacement, ADA updgrades, and drainage improvements.	City of Bellevue
Dayton Streetscape	This LPA project included the construction of a new multi-use path, sidewalk replacement, bumpouts, and decorative pedestrian lighting.	City of Dayton
Grandview Sidewalk	PRIME AE helped the City secure LPA funding for this project that included the installation of a new sidewalk that connected the entrance to Grandview Elementary to the existing sidewalk network.	City of Bellevue
Berry Street Connector	The previous dead end of Berry Street was extended up the earthen levee to connect to Manhattan Boulevard. The scope of work included new pavement, a large retaining wall, utility relocations, and storm improvements.	City of Dayton
South Ward Retaining Wall	A new drilled shaft retaining wall was installed in order to stop the landslide that had significantly impacted the roadway. The curb and roadway were also replaced in the area where the damage had occurred.	City of Bellevue





Our team is well equipped to coordinate with KYTC on any of the LPA projects or issues that occur on state-maintained right of way within city limits. Nikki worked for KYTC before joining PRIME AE and has a strong relationships with staff at District 7 and in Central Office. She knows who to talk to and can help get results in an efficient manner.

#### EXPERIENCE WORKING WITH FEDERAL-AID PROJECTS

Our team has served as project manager for over 20 LPA designs. We have ample experience writing grant applications, managing the design and construction phases, and adhering to all LPA guidelines for countless LPA projects. Navigating the LPA process has become much easier with all the experience we have gained through these projects. Coordination and communication with KYTC and FHWA are critical to the success of these projects.

Technical Expert, Nikki Hill, PE is our LPA expert. She previously held the KYTC District 6 LPA Coordinator position for over two years and has a good working relationship with the staff at KYTC. Her time at PRIME AE has been dedicated to ensuring LPA submittals are completed in a timely manner and are up to KYTC standards. The most recent projects that she has assisted with include: DeRoode Street Manhole Project – Lexington, Bellevue SNK Resurfacing Project – Bellevue, and Dayton Riverfront Commons Phase 3 – Dayton. Due to her experience, Nikki understands the clients needs.

#### FAMILIARITY WITH LEXINGTON

PRIME AE's Kentucky operations are headquartered in Lexington, KY. It should be noted that prior to being acquired by PRIME AE, Integrated Engineering was established over 20 years ago in Lexington. A key to the company's growth and success was working on projects for LFUCG's Divisions of Engineering and Water Quality including sidewalks on Armstrong Mill, Tates Creek, Loudon Avenue, and major sanitary trunk sewer improvements within the Wolf Run, Cane Run, and West Hickman Sewersheds. Our locally based staff has always appreciated LFUCG's confidence in choosing us as a design consultant. Many of the staff in Lexington also live



in Lexington and have done so their entire lives. In addition to being familiar with LFUCG's engineering team, policies, staff, and processes, our team is very familiar with the City itself as we spend much of our free time visiting the shops, restaurants, and various other activities in the City.

Thank you very much for your consideration. We would really appreciate the opportunity to work with LFUCGvon this project.

### **DBE PARTICIPATION PLAN**

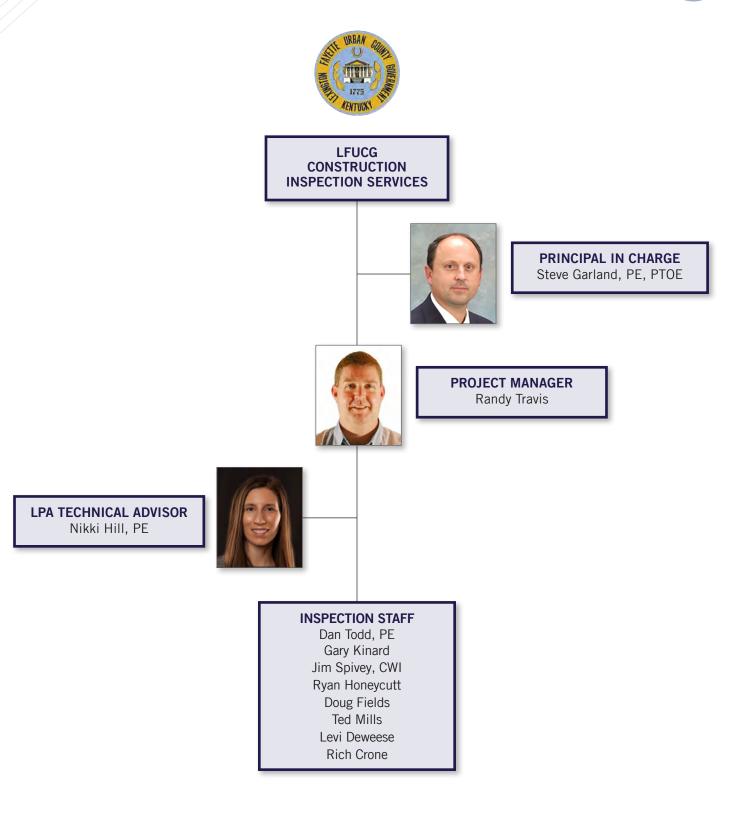
The DBE program is very special to our team. Graduating from the DBE designation for PRIME AE has a wonderful sense of accomplishment and pride. Now it is our turn to return the favor. Exposure to clients and working on different project types is imperative to building a firm and continuing to grow. These experiences can propel DBE firms from the subconsultant role to having the ability and knowledge to lead or prime a project. The PRIME AE team is excited to partner with Resource International Inc (RII). RII is a very experienced DBE firm that we have been fortunate to work with and mentor the past couple of years to help them reach their goal of graduating from the DBE program. RII is well established in Ohio and we are helping them get their foot in the door in Kentucky. They bring unique knowledge from outside of Kentucky that helps us come up with new ways of doing things. We have been able to work with them and mentor them on other projects and look forward to working with them again and helping them gain exposure with LFUCG.

# Why PRIME AE?

- + Local Knowledge/Strong Local Presence: Our KY operations have been headquartered in Lexington since 2003. We have worked on many projects in Lexington for LFUCG throughout the years and are extremely familiar with the LFUCG staff, standards, and processes. Having the project manager and team of inspectors within Lexington will be a huge benefit to LFUCG and limit travel expenses.
- + Experience Providing Construction Services to Municipalities: We have a great deal of experience providing construction inspection and administration services to municipalities on all types of transportation projects.
- + Federal Aid/LPA Grant Experience: We have been fortunate to provide construction services on a large number of LPA projects and understand the processes and paperwork that are required through the program.













#### **EDUCATION**

BS/Civil Engineering/1998/ University of Kentucky

#### REGISTRATIONS

Professional Engineer: KY, IN, OH

LEED AP Accredited Professional (Legacy)

CFM Certified Floodplain Manager (former)

NCEES Model Law Engineer

#### **CERTIFICATIONS/TRAINING**

KYTC Traffic Impact Study Training

Detention Ponds and Urban Hydrology (Featuring XPSWMM and Storm Net Design Software)

Hydraulic Modeling Training (Featuring HEC-RAS Design Software)

StormNet Hydraulic / Hydrologic Training

Pump Station Design (Gorman-Rupp Hydraulic Training)

Winter Dendrology Tree Identification Bernheim Forest

# Steve Garland, PE, LSIT



# Principal in Charge - PRIME AE

Steve is responsible for management and project coordination for municipal infrastructure and private development projects. His major responsibilities include design for transportation, traffic, wastewater collection (gravity and force mains), stormwater drainage, and major site development projects. Steve is also responsible for quality control/quality assurance and providing communication both internally and externally on major projects.

#### EXPERIENCE

Meadows-Northland-Arlington Neighborhood (MNA) Improvements Project, Phases 5D, 5E, 5F, 6A, 6B, and 6C, Lexington-Fayette Urban County Government (LFUCG) - Fayette County, KY: Project Engineer. This project involved a storm, sanitary, and roadway improvements project that includes an existing residential development bounded by an arterial collector, Bryan Avenue, which is fronted by both residential and commercial entrances. The scope of the project includes the installation of a storm sewer to facilitate drainage for the streets and roads as well as the residences, to widen the streets where necessary to create a uniform cross section, to install box curb and gutter, to install sidewalks, to regrade the streets to increase stop sight distance where necessary, and to correct the badly aged sanitary sewer system.

**Clays Mill Road Improvements, Section 1, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve assisted as a subconsultant for the proposed widening of Clays Mill Road from Waco Drive to Harrodsburg Road. His primary responsibilities included drainage design review and FEMA permitting assistance.

**Cardinal Lane Storm and Sanitary Improvements Project, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve was responsible for the design of approximately 140 lineal feet of 4' x 4' reinforced box culvert and an 18" storm sewer system. His responsibilities also included helping LFUCG in the easement plat preparation and acquisition of two parcels within the project corridor.

**Pine Meadow Road Bank Stabilization Project, LFUCG - Fayette County, KY:** Project Engineer. This project involved the survey and design of a Rosetta Stone Segmental Wall system along Vaughn's Branch near Pine Meadow Road. His responsibilities also included the application for and approval of the United States Army Corps of Engineers (USACE) and Kentucky Division of Water (KDOW) stream permits.

**Town Branch Trail Project, LFUCG - Fayette County, KY:** Project Engineer. As project engineer, Steve assisted with acquiring Kentucky Division of Water and US Army Corps of Engineers permits associated with the Phase 3 Section of Town Branch Trail between Alexandria Drive and New Circle Road.

**Tates Creek Road Sidewalk Project, LFUCG - Fayette County, KY:** Project Engineer. This streetscape project involved surveying and civil engineering design and coordination of over 16,000 linear feet sidewalks on both sides of Tates Creek Road from inside of Alumni Drive outbound to the Lansdowne Shoppes area. The design followed state and federal Local Public Agency (LPA) guidelines. Significant coordination was made with utility companies for the numerous relocations that needed to retrofit the sidewalk into the existing infrastructure







#### **EDUCATION**

Associates Degree/Architectural Technology/1998/Bluegrass Community and Technical College

#### **CERTIFICATIONS/TRAINING**

ICC - Masonry Special Inspector

NICET – Construction Materials Testing Soils Level I

NICET – Construction Materials Testing Concrete Level II

Training at Olson Engineering Denver, CO for NDT equipment and instrumentation

# Randy Travis



## **Project Manager - PRIME AE**

Randy serves as Director of Construction. Prior to joining PRIME AS he worked for both state and local agencies within Kentucky, Ohio, West Virginia, and Indiana. Randy is experienced in managing a wide range of projects including transportation, and light and heavy commercial and industrial facilities.

#### EXPERIENCE

**Louisville Bridges Downtown Crossing, HDR - Louisville, KY:** Area Manager/Director of Construction. As area manager/director of construction, Randy provided support services and KYTC Inspectors for the Louisville laboratory facility and prestress level II and III inspectors for the pre-stress and pre-cast plants. Randy oversaw the non-destructive testing for concrete structural evaluation of the existing bridge. He also evaluated the corrosion by using a corrosion meter while also overseeing the structural welding inspections and bond testing for the main bridge's topping slab – this bridge spans the Ohio River.

\*Port of Jeffersonville IN, Truck to Rail to Water Improvements, Ports of Indiana - Jeffersonville, IN: Senior Project Manager/Director of Construction. As senior project manager/director of construction, Randy was responsible for managing the field staff Quality Assurance on-site, project financials, logistics, and client invoicing. This project included the construction of a rail line along the ports waterfront to improve efficiency of cargo from rail to barge with the construction of a new bulk transfer station. This project also included railway extensions which allowed rail carriers to deliver goods directly to the port. A new bridge and roadway were constructed to reduce congestion. Field staff observed and tested concrete, rock anchors, soil compaction, railroad construction, and structural steel bolting and welding inspections.

\*University of Kentucky (UK) Commonwealth Stadium Addition and Renovation

- Lexington, KY: Senior Project Manager. As senior project manager, Randy was responsible for managing the field staff on-site, project financials, logistics, and client invoicing. These additions and renovations were more than \$100M final construction cost which included drilled Shafts with grade beams, rock anchors, strip footings, slabs on grade, elevated slabs, utility backfill, structural steel, coatings inspections, masonry, and fireproofing.

\*AASF Army Aviation Support Facility - Frankfort, KY: Senior Project Manager. As senior project manager, Randy was responsible for managing the field staff on-site, project financials, logistics, and client invoicing. This new Blackhawk hanger consisted of helicopter storage bays as well as several maintenance bays and a new runway access. Construction consisted of rock bearing foundations with grade beams, rock anchors, strip footings, heated slabs on grade, elevated slabs, utility backfill, structural steel, and masonry.

\*Performed prior to joining PRIME AE.







EDUCATION

BS/Civil Engineering/2016/ University of Kentucky

**REGISTRATIONS** Professional Engineer: KY

**CERTIFICATIONS/TRAINING** Utility Coordination

#### **MEMBERSHIPS**

American Society of Highway Engineers

Women In Transportation

National Society of Professional Engineers

# Nikki Hill, pe



# LPA Technical Advisor - PRIME AE

Nikki spent over five years with the Kentucky Transportation Cabinet (KYTC) before joining PRIME AE. She has transportation design experience, served as Northern Kentucky's Local Public Agency (LPA) Coordinator, completed duties as KYTC D6's Planning Engineer, and served as deputy project manager for two design-build projects in Northern Kentucky.

### EXPERIENCE

\*LPA Coordinator - KYTC District 6: LPA Coordinator. Nikki previously served as the LPA Coordinator for KYTC's District 6 (Northern Kentucky). In this role, she was responsible for reviewing transportation project applications for federal funding, ensuring that projects receiving federal funding were administrated in accordance with federal law, reviewing project plans to ensure compliance with all applicable guidance manuals, coordinating necessary reviews by KYTC Divisions as needed, and authorizing funding for project phases.

**LPA Project #06-00128: Bellevue SNK Resurfacing and Drainage, City of Bellevue -Campbell, KY:** Project Engineer. The City of Bellevue received federal funding from the Ohio-Kentucky-Indiana Regional Council of Governments (OKI). The scope of the project included developing plans for resurfacing the roadway and reconstructing damaged sidewalk. Drainage issues were identified during a site visit and PRIME AE coordinated with Sanitation District 1 to secure additional funding and upgrade the drainage structures at two intersections.

**LPA Project #06-00462: Dayton Riverfront Commons Phase 3, City of Dayton - Kenton, KY:** Project Engineer. Nikki is developing the plans and contract documents and providing LPA coordination on the third phase of Dayton's Riverfront Commons Project. The Riverfront Commons Project, once complete, will be a multi-use trail constructed along the Ohio River through seven Northern Kentucky river cities. The completion of Dayton's third phase will span from the northwest limits of Dayton to the intersection of Manhattan Boulevard and 4th Avenue until Bellevue completes the second phase of their Riverfront Commons project. For the design, she has coordinated with the current road and site development occurring within the project limits and local utility companies.

**LPA Project #06-03227 Grandview Elementary Safe Routes to School South Foote Sidewalk - Bellevue, KY:** Project Engineer. The City of Bellevue received federal funding from OKI to complete the sidewalk along South Foote from the existing sidewalk to Grandview Elementary's parking lot. Nikki was responsible for drafting and submitting all necessary documentation to KYTC for approval and developing contract documents.

**LPA Project #07-00593.2 DeRoode Manhole - Lexington, KY:** Project Engineer. The City of Lexington received federal funding as part of KYTC's Newtown Extension project to install a manhole connection to an existing box culvert. Nikki was responsible for drafting and submitting all necessary documentation to KYTC for approval. She drafted the project specifications for bidding.

**LPA Project #06-00451 US 27 Streetscape - Newport, KY:** Project Engineer. The City of Newport received federal funding from OKI to construct a multi-use path along US 27 from the city limits to 11th Street. Nikki has been drafting and submitting all necessary documentation to KYTC for approval and developing project contract documents.

**Bellevue and Dayton Annual Resurfacing 2022 - Campbell, KY:** Project Engineer. As project engineer, Nikki compiled the cost estimate for roadway resurfacing in Bellevue and Dayton and coordinated the cities' priorities. She also drafted the project bid specifications for project advertisement.

\*Performed prior to joining PRIME AE.







#### **EDUCATION**

BE/Civil Engineering/2017/ University of Dayton

#### REGISTRATIONS

Professional Engineer: OH

#### **CERTIFICATIONS/TRAINING**

Utility CoordinationACI Concrete Strength Testing Technician

ODOT Construction Engineer II

Cline's Radiation Safety Officer Certification

#### **MEMBERSHIPS**

American Society of Highway Engineers (ASHE)

# Dan Todd, PE



# Project Engineer - PRIME AE

Dan serves as a construction services manager out of our Cincinnati, OH office. He brings to the table a blend of construction knowledge with a project management skill set. In addition to understanding the specific documentation requirements and material testing needs for a project, Dan is cognizant of the importance of providing efficient stakeholder management. He has built a network of positive working relationships with various utility companies, contractors, and local government agencies alike which helps clients effectively meet project deadlines

#### EXPERIENCE

King Avenue Bridge, Ohio Department of Transportation (ODOT) District 8 and Warren County, OH LPA - Warren County, OH: Project Manager. This \$22M project aims to construct a new bridge over the little Miami river, upstream of the deteriorating existing bridge. This project will improve safety for motorists and pedestrians by improving the alignment of the approach roadway and will grade separate the roadway from the Little Miami Trail. The new bridge is composed of 9' steel girders supported by a wall pier on top of 42" drilled shafts and two stub abutments on H piles. In addition to the bridge, this project includes a new roundabout, arch culvert, new drainage system, a soldier retaining wall, an 8'x4' box culvert, and new parking lot for the Little Miami Trail. Settlement under the approach roadway was expedited via the use of wick drains which reduced the expected settlement period from six months to 30 days. Dan is performing the duties of project manager overseeing the construction inspection and administration of the project. Working closely with the contractor, client, designer of record, and ODOT District 8 staff, Dan assisted the project through a value engineering change proposal (VECP), countless submittals and RFI's, utility delay notices, and various corrective work plan submittals. Effectively maintaining up to date submittal and RFI logs has kept the project on schedule.

HAM-Plainfield Road Roundabouts, ODOT District 8 and City of Blue Ash, OH LPA - Blue Ash, OH: Project Manager. This \$9M LPA project involves replacing three traditional signalized intersection with modern multi-lane roundabouts. The project also improved storm drainage, updated the waterline system, and added rectangular rapid flashing beacons (RRFB) for improved pedestrian safety. As project manager, Dan was responsible for overseeing the construction inspection and administration duties of the project. This project faced numerous challenges during construction including underground utility conflicts, plan errors, and navigating through the industry wide cement shortage. Dan helped negotiate a contractor claim that was then settle at the project level. Dan and the PRIME CM&S team assisted the City of Blue Ash with their public relations efforts throughout the project, as well, by hanging door hangers in advance of MOT switches and answering public questions on the project specific email address.

HAM-SR126/US22-22.00/13.19 Roundabout, ODOT District 8 and City of Montgomery, OH LPA - Montgomery, OH: Project Manager. This \$7.5M project replaced the existing partial clover leaf interchange of SR126 and US22 with a new modern multi-lane roundabout. This project opened up acres of land for the City of Montgomery to move forward with a new multi-use development. Extensive coordination between the roadway contractor and the City's development contractor paved the way for a successful project. As project manager, Dan navigated the project through an emergency event involving the contractor's cement stabilization operation and an underground high pressure gas line. He provided all stakeholders with up to date information and coordinated the gas line relocation directly with Duke Energy.







EDUCATION Ruffin High School/1980

# CERTIFICATIONS/TRAINING

ACI Level 1

KYTC Structures Level 1

KYTC Grade and Drain Level 1

KYTC KEPSC-R1

KYTC Aggregate Sampling Level 1

SCDOT Earthwork

Drainage and Base

Nuclear Gauge

Asphalt Plant and Asphalt and Bridge Foundation Inspection

# Gary Kinard



# Senior Inspector - PRIME AE

Gary served as senior construction inspector for the South Carolina Department of Transportation (SCDOT) and TERRCON before joining PRIME AE. He worked in both state and local agencies within Kentucky and South Carolina. Gary is responsible for maintenance unit consisting of 70 employees. This unit has maintained 1156 bridges and constructed an average of three new bridges a year. Gary is also responsible for human resources procurement, contract compliance, and an annual budget of \$2M.

# EXPERIENCE

**I-275 West Widening, KYTC - Hebron, KY:** Senior Construction Inspector. This project included the widening of I-275 West. This involved removal of existing asphalt, placement of new engineered fill and placement, new dense grade aggregate, and field nuclear density testing. The placement of new asphalt was per KYTC standards and specifications.

**Commercial Cargo Air Hub, CVG International Airport - Hebron, KY:** Senior Construction Inspector. This project consists of the development of 500+ acres of partially wooded terrain into large scale cargo air-hub. This project includes the extension and improvement of airport infrastructure, post tension parking garage, employee support structures, and QA and QC testing and inspection. Earthwork density testing, reinforcing steel observation and concrete, and material testing services were performed in accordance plan specifications and drawings.

**US 21 at Parris Island, SCDOT - Beaufort, SC:** Project Superintendent. This project included a new bridge on US 21 crossing over Battery Creek. The goal of the project was to correct structural and functional deficiencies of the US 21 bridge over the Harbor River. This included removal of the old bridge and construction of the new bridge and its approaches to current design standards.

**US-278 Cross Island Parkway Toll Bridge, SCDOT - Hilton Head, SC:** Project Superintendent. This \$163M project included making improvements to the US 278 corridor between Bluffton and Hilton Head Island. Improvements were made for a 25-year growth rate/future traffic projection. This project consisted of new road construction and new bridge construction to and from the island. The completion of the Cross Island Parkway (a direct expressway connection from the north side to the south side of the island) has caused a great amount of commercial and residential development along the road.

**SC-174 McKinley Washington Jr Bridge, SCDOT - Edisto, SC:** Project Superintendent. This project included new highway alignments and new road construction on SC-174 to the new McKinley Washington Jr bridge crossing over the Dawhoo River on to Edisto Island. The new bridge construction was nearly a mile long and over 54' wide. It replaced the old swing gate bridge from the 1950s.

**East Hickman/Parker Mill Road Trunk F, LFUCG - Fayette County, KY:** Project Representative. As project representative, Gary was responsible for overseeing the construction of 3,505 LF of 12" through 36" sanitary sewer pipes and manholes being installed to upsize the current system from Alexandria Drive where it passes under Versailles Road with a 36" bore continuing to Parkers Mill Road. His responsibilities included review of contractor quantities, reconciliation of pay requests, preparation of daily inspection logs, weekly communication reports, erosion control documents, and testing logs.







**EDUCATION** Bryan Station High School/1996

#### CERTIFICATIONS/TRAINING

CWI – AWS D1.1 Structural Steel Welding Code

ACI Level 1

KYTC Structures Level 1

KYTC Grade and Drain Level 1

KYTC KEPSC-R1

KYTC Aggregate Sampling level 1

# Jim Spivey, CWI



# Senior Inspector - PRIME AE

Jim is a senior construction inspector. Before joining PRIME AE he worked in both state and local agencies within Kentucky, Ohio, Tennessee, and West Virginia. Jim is experienced in special inspection of multiple major site projects for several counties and local governments throughout the tri-state areas.

## EXPERIENCE

**KY I-265 Gene Snyder Parkway Widening, QK4 - Louisville, KY:** Senior Construction Inspector. This project included the construction of a new road, widening of bridge piers, and new construction of entrance and exit ramps. As senior construction inspector, Jim performed calculated rebar pull testing on multiple bridge piers for the addition of new concrete on the piers. Material testing services were performed in accordance with KYTC requirements.

Joint Forces at Boone National Guard Center (new building facility) - Frankfort, KY: Lead Inspector. This project included placement of engineered fill for the new building, excavation of footings, grade beams, and slab on grade. As lead inspector, Jim also performed concrete testing on multiple pours and conducted structural steel welding and bolting inspection on the new building.

**Baptist Health Hospital (new campus), Baptist Health - Lexington, KY:** Lead Inspector. This project included placement of engineered fill for streets, roadways, and new buildings. As lead inspector, Jim observed excavation of footings, grade beams, and slab on grade areas. He also performed concrete testing on multiple pours for elevated slabs, slab on grade, and footings. Structural steel welding and bolting inspection were conducted on the main building and central energy plant building.

**Port of Indiana Bulk Transfer Station, Ports of Indiana - Jefferson, IN:** Senior Construction Inspector. This project included the addition of new railways for loading and unloading of products from barges to rail cars. As senior construction inspector, Jim inspected fully welded nelson weld studs on top of bridge beams. He also observed installation of x bracing with structural bolts attaching bracing in between bridge beams per bridge design.

Artisan Apartments Unit 1 / Phase 1, Ball Homes - Fayette County, KY: Senior Construction Inspector. As senior construction inspector, Jim observed engineered fill placement and conducted nuclear density testing. He also observed placement of storm sewer lines and sanitary sewer lines placement, observed cut and fill for roadways, and proof rolls on soil subgrades for roadways. Nuclear density testing was performed on DGA for roadways.

**West Hickman Trunk E, LFUCG - Fayette County, KY:** Project Representative. As project representative, Jim was responsible for overseeing the construction of 7,605 LF of 10" through 48" sanitary sewer pipes and manholes being installed to upsize the current system from Armstrong Mill Road where it passes under New Circle Road with a 54" bore continuing to Creekwood Drive. Jim's responsibilities included review of contractor quantities, reconciliation of pay requests, preparation of daily inspection logs, weekly communication reports, erosion control documents, and testing logs.

**East Hickman/Parker Mill Road Trunk F, LFUCG - Fayette County, KY:** Project Representative. As project representative, Jim was responsible overseeing the construction of 3,505 LF of 12" through 36" sanitary sewer pipes and manholes being installed to upsize the current system from Alexandria Drive where it passes under Versailles Road with a 36" bore continuing to Parkers Mill Road. Jim's responsibilities included review of contractor quantities, reconciliation of pay requests, preparation of daily inspection logs, weekly communication reports, erosion control documents, and testing logs.







EDUCATION Uintah High School/2005/ Vernal. UT

#### **CERTIFICATIONS/TRAINING** ACI Level 1

KYTC Structures Level 1

KYTC Grade and Drain Level 1

KYTC KEPSC-R1

KYTC Aggregate Sampling level 1

# Ryan Honeycutt



# Senior Inspector - PRIME AE

Ryan is a senior construction inspector who has experience working in both state and local agencies within Kentucky, Ohio, Tennessee, North Carolina, and Alabama Ryan is also experienced in special inspection of multiple major site projects for several counties and local governments.

### EXPERIENCE

Sandersville Unit 1 / Phase 1 & 2, Ball Homes - Fayette County, KY: Inspector. As inspector, Ryan observed engineered fill placement and conducted nuclear density testing. He also observed placement of storm sewer lines and sanitary sewer lines, cut and fill for roadways, and proof rolls on soil subgrades for roadways. Nuclear density testing was performed on DGA for roadways. As inspector, Ryan also observed placement of base asphalt and surface asphalt as well.

West Hickman 5M Gallon Above Ground Water Tank, Pump House, and Treatment Station, LFUCG - Lexington, KY: Inspector. This \$28M dollar project included the new construction of the 5M gallon prestressed concrete above ground tank, pump station, and the treatment station. As inspector, Ryan provided construction inspection and material testing services per job specifications and requirements. He also performed multiple concrete pours until the job's completion.

**West Hickman Trunk E, LFUCG - Fayette County, KY:** Project Representative. As project representative, Ryan was responsible for overseeing the construction of 7,605 LF of 10" through 48" sanitary sewer pipes and manholes being installed to upsize the current system from Armstrong Mill Road where it passes under New Circle Road with a 54" bore continuing to Creekwood Drive. Ryan's responsibilities included review of contractor quantities, reconciliation of pay requests, preparation of daily inspection logs, weekly communication reports, erosion control documents, and testing logs.

**East Hickman/Parker Mill Road Trunk F, LFUCG - Fayette County, KY:** Project Representative. As project representative, Ryan was responsible for overseeing the construction of 3,505 LF of 12" through 36" sanitary sewer pipes and manholes being installed to upsize the current system from Alexandria Drive where it passes under Versailles Road with a 36" bore continuing to Parkers Mill Road. Ryan's responsibilities included review of contractor quantities, reconciliation of pay requests, preparation of daily inspection logs, weekly communication reports, erosion control documents, and testing logs.







#### **EDUCATION**

HVAC Training and Certification/ RETS Tech Center/Centerville, OH

#### **CERTIFICATIONS/TRAINING**

**ODOT Flexible Pavement** 

ODOT Traffic Work Zone

**ODOT** Compaction

ODOT Advanced Structures

OAIMA Level I and II Aggregate Technician

ACI Concrete Field-Testing Tech Level I

ACI Strength Testing Tech Grade I

NICET Level I and II Aggregate Technician

NICET Level III Soils

NICET Level II Concrete and Asphalt

NICET Level II Highway Construction

# Doug Fields



# Senior Inspector - PRIME AE

Doug is an Ohio Department of Transportation (ODOT) prequalified project inspector, project structures inspector, and soils and aggregates inspector working out of PRIME CM&S' Cincinnati Office. He also holds a range of prequalifications with KYTC. Doug has experience working on multiple roadway projects of significant scale and complexity.

#### EXPERIENCE

**King Avenue Bridge, ODOT District 8 and Warren County, OH LPA - Warren County, OH:** Lead Project Inspector. This \$22M project aims to construct a new bridge over the Little Miami River, upstream of the deteriorating existing bridge. This project will improve safety for motorist and pedestrians by improving the alignment of the approach roadway and will grade separate the roadway from the Little Miami Trail.

HAM-75-12.60, ODOT District 8 - Hamilton County, OH: Senior Construction Inspector. This \$100M project was for the rehabilitation of I-75 and the surrounding corridor. This project included rehabilitation and widening of the mainline of I-75, CD roads throughout the corridor, and interchange improvements at I-75/Shepard Lane and I-75/Glendale Milford Road. It also re-aligns Aviation Way and adds three roundabouts on Aviation Way at local intersections. This project required extensive coordination with GE Aviation as their Cincinnati facility is located on the eastern side of the project.







#### **EDUCATION**

Jefferson Community College/ 1984 Louisville Technical Institute/ 1982

#### **CERTIFICATIONS/TRAINING**

NICET Certification Class IV

**EPSC** Certification

KTC Grade and Drain Level 1 Certification

**KTC Asphalt Paving Best Practices** 

**ACI** Certification

# Ted Mills



# Senior Inspector - PRIME AE

Ted has a thorough understanding of construction processes in roadway, site, and utility construction with experience in drafting, engineering design, construction inspection, and project management. His experience includes ensuring sound construction practices from small domestic services to large transmission water and sewer lines, and underground and above ground structures in residential, commercial, roadway, and airport developments. Ted is well versed in communicating and coordinating complex construction processes, construction materials and applications, and understanding regulations and safety. His skills are Instrumental in standardizing processes in a team environment.

## EXPERIENCE

**Metropolitan Sewer District (MSD) Construction Inspector:** As a MSD construction inspector, Ted's responsibilities included focusing on compliance with plans and specifications, communicating project status with the MSD Supervisor, and ensuring project submittals were kept current with engineering notes and acceptance criteria. Ted maintained a daily diary, tracked project progress, including any change orders, and inspected to ensure effective EPSC measures were in place. Additionally, he also reviewed and approved contractor billing and helped MSD engineering in plan reviews for several significant consent decree projects. Ted's inspection services encompassed a wide range of activities, from overseeing developer-installed sewer and drainage projects to managing MSD capital projects involving both small sewer lines and large interceptor lines. Ted was also involved in the installation and decommissioning of lift stations and inspected the demolition of the Logan Street City Maintenance Facility.

**Independent Construction Inspection Contractor:** Inspector. Ted served as sole inspector for the construction of a water booster pump station and 1.5M gallon elevated water tank. His responsibilities included, but were not limited to, reviewing approved submittals, shop drawings, daily reporting, and overseeing and arranging various required inspections throughout all of the construction phases.

Louisville Water Company - Louisville, KY: Construction Inspector I and II. Ted, in his role as a construction inspector, supervised and inspected various projects, ensuring compliance with plans and specifications. He made necessary field adjustments, oversaw roadway repairs, managed proper backfill and concrete sub-base and surfacing, and supervised waterline chlorinating and dechlorinating procedures. Hydrostatic and leakage tests were conducted, and he ensured the implementation of erosion protection and sediment control measures. Ted also inspected and approved final work including lawn, sidewalk, curb, and street repairs, handling inquiries from the public, government agencies, utilities, and contractors. He submitted asbuilt drawings upon project completion, reported actual work units for final billing adjustments, and maintained a daily diary. Ted's role extended to inspecting larger, more complex projects with heightened expectations and responsibilities. Additionally, he contributed to significant projects such as filter rehabilitation, underground booster pump stations, elevated tanks, and was instrumental in bringing online the LWC 45 MGD sub-station. Ted also trained new inspectors and provided consultation to ensure adherence to LWC Standard Operating Procedures by contract inspectors.



# SIMILAR PROJECTS

Lexington-Fayette Urban County Government RFP #RFP-41-2024 Indefinite Services Contract for Division of Engineering – CONSTRUCTION INSPECTION SERVICES





# **Cardinal Drive Roadway Improvements**

Georgetown, KY

Cardinal Drive connects Long Lick Pike (KY 32) and US 25 and serves the Scott County Charles Brooking Park, Scott County Middle and High Schools, an existing neighborhood, and several other residential and County properties. The existing two-lane roadway (with limited to no shoulders) did not have sufficient capacity to handle the existing traffic

demand during the morning and afternoon school periods. To help alleviate the congestion problems on the roadway, the City of Georgetown temporarily converted Cardinal Drive to a southbound. one-way roadway during school starting and closing hours. Even with the temporary conversion to one-way traffic, motorists experienced daily traffic congestion where through vehicles waited in traffic, often for periods exceeding 30 minutes. PRIME AE provided full time construction inspection services.





Owner/Client: City of Georgetown Edward Hightower, PE Ph | 502.570.8272

Date(s): Current

**Common Team Members:** Brett Malloy, PE, PTOE Dan Todd, PE Jonathan McCracken, PE



# SIMILAR PROJECTS

Lexington-Fayette Urban County Government RFP #RFP-41-2024 Indefinite Services Contract for Division of Engineering – CONSTRUCTION INSPECTION SERVICES



# Sherry Lane and Bonnie Leslie Retaining Wall

## Bellevue, KY

This project aims to reinforce the land around the area of North Sherry Lane, South Sherry Lane, and Bonnie Leslie Avenue via two drilled shaft retaining walls. This project was executed through a FEMA Emergency grant. PRIME AE provided full time construction inspection and material testing services on behalf of the City of Bellevue for this slope stabilization project. This residential area needed slope stabilization due to the long-term effects of erosion and hill slippage. Thise project received FEMA Emergency grant money and included two retaining walls consisting of concrete drilled shafts. Shaft diameters varied from 24" to 30" and were reinforced with steel cages. 24" unreinforced "plug" shafts were also installed between the reinforced shafts. New concrete driveways were installed for the residents that were affected by the construction. This project also included full depth pavement repairs and a new surface course of asphalt. PRIME AE documented shaft elevations, rock elevations, rebar cage installations, and tested the concrete according to KYTC specifications



#### **Owner/Client:**

City of Bellevue Frank Warnock 616 Poplar Bellevue KY 41073 Ph | 859.431.8888

Date(s): 2022-2023

Common Team Members: Nikki Hill, PE Dan Todd, PE





# Parkers Mill Trunk Sewer Wastewater System Improvements

# Lexington, KY

This project aims to replace existing sanitary sewer lines and manholes with new larger diameter sanitary sewer lines and manholes. These updates were needed for the projected growth in residential housing and commercial businesses in the surrounding area. PRIME CMS has undertaken on-site construction inspection services as part of an on-call services contract with the City of Lexington.







#### **Owner/Client:**

Bob Peterson, PE LFUCG Division of Water Quality 125 Lisle Industrial Blvd Ste 180 Lexington, KY 40511 Ph | 859.425.2438

Date(s): 2023-2024

**Common Team Members:** Randy Travis Jonathan McCracken, PE

# West Hickman Trunk E Sanitary Sewer Improvements (RMP#CR-12)

# Lexington, KY

This project has involved the coordination and design associated with the replacement of approximately 5,500 linear feet of 24", 30", 42", and 48" gravity sewer along Greentree Drive within the Gainesway Neighborhood. Significant coordination has taken place with the Kentucky Transportation Cabinet for the proposed bore and jack of the trunk sewer beneath New Circle Road. PRIME CMS has undertaken on-site inspection services as part of an on-call services contract with the City of Lexington







Owner/Client: Bob Peterson, PE LFUCG Division of Water Quality 125 Lisle Industrial Blvd Ste 180 Lexington, KY 40511 Ph | 859.425.2438

Date(s): 2023-Current

**Common Team Members:** 

Steve Garland, PE, LSIT Jonathan McCracken, PE





# LPA Project: 6-128 Bellevue Resurfacing

Bellevue, KY

PRIME AE wrote the grant, performed the survey and design work, and provided construction inspection services for the Bellevue **Resurfacing and Stormwater** Improvement Project. The City of Bellevue was awarded Surface Transportation of Northern Kentucky LPA funding to design and construct the necessary improvements. Nikki Hill, PE was the lead designer on the project and helped manage the LPA process and paperwork throughout. This project included resurfacing on Wilson, Bonnie Leslie, Taylor, and Berry Street, new ADA ramps, spot sidewalk replacement to remove trip hazards.



and stormwater improvements along Berry Street. Design work was completed in the fall of 2023 and construction took place in the winter of 2023 and spring of 2024. Construction inspection services were handled by PRIME AE using KYTC guidelines and by coordinating with KYTC staff. Dann Todd, PE and Nikki Hill, PE oversaw the construction and completed the necessary paperwork.

### Bellevue Kentucky Preserving the Past Preparing for the Future

#### **Owner/Client:**

City of Bellevue Frank Warnock 616 Poplar Bellevue KY 41073 Ph I 859.431.8888

Date(s): 2023-2024

Common Team Members: Nikki Hill, PE Dan Todd, PE

# LPA Project: 6-3505 Dayton Safe Routes to School

# Dayton, KY

PRIME AE assisted the City of Dayton Kentucky with analyzing contractor bids and provided construction inspection services for the Dayton Safe Routes to School Project.



The City was awarded a Transportation Alternatives LPA grant through KYTC to design and construct streetscape improvements along 6th Street. a new MUP along Dayton Pike, and sidewalk replacement on Belmont. Design work was completed by another firm in 2022 and construction was completed in 2023. Construction management and inspection services were handled by PRIME AE using KYTC guidelines and by coordinating with KYTC staff. Dann Todd, PE and Nikki Hill, PE oversaw the construction and completed the necessary paperwork



#### **Owner/Client:**

City of Dayton Jay Fossett 514 6th Avenue Dayton KY 41074 Ph | 859.491.1600

Date(s): 2022-2023

Common Team Members: Nikki Hill, PE Dan Todd, PE



SIMILAR PROJECTS Lexington-Fayette Urban County Government RFP #RFP-41-2024 Indefinite Services Contract for Division of Engineering – CONSTRUCTION INSPECTION SERVICES



ON-CALL CONSTRUCTION INSPECTION SERVICES													
CIENT	TERM	CONTACT	EMAIL	PHONE									
City of Georgetown	2017-2024	Edward Hightower City Engineer	eddie.hightower@georgetownky.gov	502.570.8272									
City of Bellevue	2019-Present	Frank Warnock City Adminstrator	frank.warnock@bellevueky.org	859.431.8888									
LFUCG	2015-Present	Bob Peterson	rpeterson@lexingtonky.gov	859.425.2400									
City of Dayton	2021-Present	Jay Fossett City Administrator	jfossett@daytonky.com	859.491.1600									

# **LFUCG FORMS**



## AMERICAN RESCUE PLAN ACT

### AMENDMENT 1 — CERTIFICATION OF COMPLIANCE FOR EXPENDITURES USING FEDERAL FUNDS, INCLUDING THE AMERICAN RESCUE PLAN ACT

The Lexington-Fayette Urban County Government ("LFUCG") <u>may</u> use Federal funding to pay for the goods and/or services that are the subject matter of this bid. That Federal funding may include funds received by LFUCG under the American Rescue Plan Act of 2021. Expenditures using Federal funds require evidence of the contractor's compliance with Federal law. Therefore, by the signature below of an authorized company representative, you certify that the information below is understood, agreed, and correct. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

The bidder (hereafter "bidder," or "contractor") agrees and understands that in addition to all conditions stated within the attached bid documents, the following conditions will also apply to any Agreement entered between bidder and LFUCG, if LFUCG uses Federal funds, including but not limited to funding received by LFUCG under the American Rescue Plan Act ("ARPA"), toward payment of goods and/or services referenced in this bid. The bidder also agrees and understands that if there is a conflict between the terms included elsewhere in this Request for Proposal and the terms of this Amendment 1, then the terms of Amendment 1 shall control. The bidder further certifies that it can and will comply with these conditions, if this bid is accepted and an Agreement is executed:

1. Any Agreement executed as a result of acceptance of this bid may be governed in accordance with 2 CFR Part 200 and all other applicable Federal law and regulations and guidance issued by the U.S. Department of the Treasury.

2. Pursuant to 24 CFR  $\int 85.43$ , any Agreement executed as a result of acceptance of this bid can be terminated if the contractor fails to comply with any term of the award. This Agreement may be terminated for convenience in accordance with 24 CFR  $\int 85.44$  upon written notice by LFUCG. Either party may terminate this Agreement with thirty (30) days written notice to the other party, in which case the Agreement shall terminate on the thirtieth day. In the event of termination, the contractor shall be entitled to that portion of total compensation due under this Agreement as the services rendered bears to the services required. However, if LFUCG suspects a breach of the terms of the Agreement and/or that the contractor is violating the terms of any applicable law governing the use of Federal funds, LFUCG may suspend the contractor's ability to receive payment by giving thirty (30) days written notice, which shall explain the party's cause for the termination. If the parties do not reach a settlement before the end of the 30 days, then the Agreement shall terminate on the thirtieth day. In the event of a breach, LFUCG reserves the right to pursue any and all applicable legal, equitable, and/or administrative remedies against the contractor.

3. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following:

(1) Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and

applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

- (2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
- (3) The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.
- (4) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (5) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- (6) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (7) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part, and the contractor may be declared ineligible for further government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- (8) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance.

Provided, however, that in the event a contractor becomes involved in or is threatened with litigation with a subcontractor or vendor as a result of such direction by the administering agency, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

4. If fulfillment of the contract requires the contractor to employ mechanic's or laborers, the contractor further agrees that it can and will comply with the following:

(1) Overtime requirements: No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such a workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such a workweek.

- (2) Violation: liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1) of this section.
- (3) Withholding for unpaid wages and liquidated damages. LFUCG shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2) of this section.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower-tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower-tier subcontractor with the clauses set forth in paragraphs (1) through (4) of this section.

5. The contractor shall comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq.

6. The contractor shall report each violation to LFUCG and understands and agrees that LFUCG will, in turn, report each violation as required to assure notification to the Treasury Department and the appropriate Environmental Protection Agency Regional Office.

7. The contractor shall include these requirements in numerical paragraphs 5 and 6 in each subcontract exceeding \$100,000 financed in whole or in part with Federal funding.

8. The contractor shall comply with all applicable standards, orders, or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251 et seq.

9. The contractor shall report each violation to LFUCG and understands and agrees that LFUCG will, in turn, report each violation as required to assure notification to the Treasury Department and the appropriate Environmental Protection Agency Regional Office.

10. The contractor shall include these requirements in numerical paragraphs 8 and 9 in each subcontract exceeding \$100,000 financed in whole or in part with Federal funds.

11. The contractor shall comply with all applicable standards, orders, or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251 et seq.

12. The contractor shall report each violation to LFUCG and understands and agrees that LFUCG will, in turn, report each violation as required to assure notification to the Treasury Department and the appropriate Environmental Protection Agency regional office.

13. The contractor shall include these requirements in numerical paragraphs 11 and 12 in each subcontract exceeding \$100,000 financed in whole or in part with American Rescue Plan Act funds.

14. The contractor shall include this language in any subcontract it executes to fulfill the terms of this bid: "the sub-grantee, contractor, subcontractor, successor, transferee, and assignee shall comply with Title VI of the Civil Rights Act of 1964, which prohibits recipients of federal financial assistance from excluding from a program or activity, denying benefits of, or otherwise discriminating against a person on the basis of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, which are herein incorporated by reference and made a part of this contract (or agreement). Title VI also includes protection to persons with 'Limited English Proficiency' in any program or activity receiving federal financial assistance, 42 U.S.C. § 2000d et seq., as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, and herein incorporated by reference and made a part of this contract (or agreement). Title VI regulations, 31 CFR Part 22, and herein incorporated by reference and made a part of this contract or agreement."

15. Contractors who apply or bid for an award of \$100,000 or more shall file the required certification that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency. Each tier certifies to the tier above that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal funds that takes place in connection with obtaining any federal award. Such disclosures are forwarded from tier to tier, up to the recipient. The required certification is included here:

- a. The undersigned certifies, to the best of his or her knowledge and belief, that:
  - (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
  - (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
  - (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- b. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

16. The contractor acknowledges and certifies that it has not been debarred or suspended and further acknowledges and agrees that it must comply with regulations regarding debarred or suspended entities in accordance with 24 CFR § 570.489(l). Funds may not be provided to excluded or disqualified persons.

17. The contractor agrees and certifies that to the greatest extent practicable, it will prefer the purchase, acquisition, and use of all applicable goods, products or materials produced in the United States, in

conformity with 2 CFR 200.322 and/or section 70914 of Public Law No. 117-58, §§ 70901-52, also known as the Infrastructure Investment and Jobs Act, whichever is applicable.

18. The contractor agrees and certifies that all activities performed pursuant to any Agreement entered as a result of the contractor's bid, and all goods and services procured under that Agreement, shall comply with 2 C.F.R. § 200.216 (Prohibition on certain telecommunications and video surveillance services and equipment) and 2 C.F.R. 200 § 200..323 (Procurement of recovered materials), to the extent either section is applicable.

19. If this bid involves construction work for a project totaling \$10 million or more, then the contractor further agrees that all laborers and mechanics, etc., employed in the construction of the public facility project assisted with funds provided under this Agreement, whether employed by contractor, or contractor's contractors, or subcontractors, shall be paid wages complying with the Davis-Bacon Act (40 U.S.C. 3141-3144). Contractor agrees that all of contractor's contractors and subcontractors will pay laborers and mechanics the prevailing wage as determined by the Secretary of Labor and that said laborers and mechanics will be paid not less than once a week. The contractor agrees to comply with the Copeland Anti- Kick Back Act (18 U.S.C. § 874) and its implementing regulations of the U.S. Department of Labor at 29 CFR part 3 and part 5. The contractor further agrees to comply with the applicable provisions of the Contract Work Hours and Safety Standards Act (40 U.S.C. Section 327-333), and the applicable provisions of the Fair Labor Standards Act of 1938, as amended (29 U.S.C. et seq.). Contractor further agrees that it will report all suspected or reported violations of any of the laws identified in this paragraph to LFUCG.

7.15.2024

Signature

Date

# **AFFIDAVIT**

Comes the Affiant, \_\_\_\_\_PRIME AE Group, Inc.\_\_\_\_, and after being first duly sworn, states under penalty of perjury as follows:

Kumar Buvanendaran, PE His/her name is and he/she is the individual 1. proposal or the authorized representative submitting the is PRIME AE Group, Inc. , the entity submitting of the proposal (hereinafter referred to as "Proposer").

2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

4. Proposer has authorized the Division of Procurement to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.

6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

Continued on next page

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

STATE OF	Maryland	
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COUNTY OF Baltimore

The foregoing instrument was subscribed, sworn to and acknowledged before me

by	LISA CUNNINGHAM or	n this the <u>llo</u> day
of _	JULY , 202.4	
	My Commission expires: NOVAMBER 21, 2027	LISA LYNNE CUNNINGHAM Notary Public - State of Maryland Baltimore City County My Commission Expires Nov 21, 2027
	NOTARY PUBLIC, STATE AT LARGE	

# EQUAL OPPORTUNITY AGREEMENT

#### Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

#### The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

#### \*\*\*\*\*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

## **Bidders**

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.

C

Signature

PRIME AE Group, Inc.

Name of Business

## WORKFORCE ANALYSIS FORM

Name of Organization: PrimeAE, Inc.

Categories	Total	Wh (Na Hispa o Latin	ot anic r		Hispanic or Latino		ck or ican- erican Not panic atino	Haw at Ot Isla (N Hisp	Native Asian Hawaiian (Not and Hispani Other or Latin Pacific Islander (Not Hispanic or Latino		Not Indian or panic Alaskan		Two or more races (Not Hispanic or Latino		Total		
		М	F	М	F	м	F	м	F	м	F	м	F	м	F	М	F
Administrators	31	10	18	0	0	1	2	0	0	0	0	0	0	0	0	11	20
Professionals	225	123	51	11	0	10	3	1	0	14	7	0	0	2	3	161	64
Superintendents																	
Supervisors	108	65	23	1	0	7	3	0	0	7	1	0	0	1	0	81	27
Foremen																	
Technicians	109	89	7	1	1	9	1	0	0	0	1	0	0	0	0	99	10
Protective																	
Para-																	
Office/Clerical																	
Skilled Craft																	
Service/Maintena																	
Total:	473	287	99	13	1	27	9	1	0	21	9	0	0	3	3	352	121

Prepared by: Jay Secrist - HR Manager Date: 07/08/2024 /\_\_\_\_

(Name and Title)

Revised 2015-Dec-15

## DIRECTOR, DIVISION OF PROCUREMENT LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT 200 EAST MAIN STREET LEXINGTON, KENTUCKY 40507

# NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITIES AND DBE CONTRACT PARTICIPATION

Notice of requirement for Affirmative Action to ensure Equal Employment Opportunities and Disadvantaged Business Enterprises (DBE) Contract participation. Disadvantaged Business Enterprises (DBE) consists of Minority-Owned Business Enterprises (MBE) and Woman-Owned Business Enterprises (WBE).

The Lexington-Fayette Urban County Government has set a goal that not less than ten percent (10%) of the total value of this Contract be subcontracted to Disadvantaged Business Enterprises, which is made up of MBEs and WBEs. The Lexington Fayette Urban County Government also has set a goal that not less than three percent (3%) of the total value of this Contract be subcontracted to Veteran-owned Small Businesses. The goal for the utilization of Disadvantaged Business Enterprises as well Veteran –owned Small Businesses as subcontractors is a recommended goal. Contractor(s) who fail to meet such goal will be expected to provide written explanations to the Director of the Division of Purchasing of efforts they have made to accomplish the recommended goal, and the extent to which they are successful in accomplishing the recommended goal will be a consideration in the procurement process. Depending on the funding source, other DBE goals may apply.

For assistance in locating Disadvantaged Business Enterprises Subcontractors contact:

Sherita Miller, MPA, Division of Procurement Lexington-Fayette Urban County Government 200 East Main Street, 3rd Floor, Room 338 Lexington, Kentucky 40507 smiller@lexingtonky.gov Firm Submitting Proposal: \_\_\_\_\_ PRIME AE Group, Inc.

Complete Address: 651 Perimeter Drive, Ste 300 Lexington, KY 40517 Zip Street City

Contact Name: Steve Garland Title: Principal in Charge

Telephone Number: <u>859-977-9629</u> Fax Number: <u>N/A</u>

Email address: sgarland@primeeng.com

# Lexington-Fayette Urban County Government MWDBE PARTICIPATION GOALS

# A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) Business Enterprises and Veteran-Owned Small Businesses (VOSB) as subcontractors or suppliers in their bids.
- Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.
- 4) The LFUCG has also established a 3% of total procurement costs as a Goal for participation for of Veteran-Owned Businesses.
- 5) It is therefore a request of each Bidder to include in its bid, the same goal (3%) for Veteran-Owned participation and other requirements as outlined in this section.

### B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned or Veteran-Owned subcontractors and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:

a) The Lexington-Fayette Urban County Government, Division of Procurement (859-258-3320)

4) The LFUCG will make every effort to notify interested MWDBE and Veteran-Owned subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

### C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.
- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by one or more women.

- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned, managed and controlled by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned, managed and controlled by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

# D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS

- 1) The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids <u>written documentation</u> of their Good Faith Efforts to do so.
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.

# E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS

- Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:

a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

b. Included documentation of advertising in the above publications with the bidders good faith efforts package

c. Attended LFUCG Procurement Economic Inclusion Outreach event

d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned businesses of subcontracting opportunities

e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.

f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

d. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs and/or Veteran-Owned businesses soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

e. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned Businesses to determine their level of interest.

j. Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

1. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

<u>Note</u>: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.



# MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA Minority Business Enterprise Liaison Division of Procurement Lexington-Fayette Urban County Government 200 East Main Street Lexington, KY 40507 <u>smiller@lexingtonky.gov</u> 859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented Resolution 484-2017 – A Certified Minority, Women and Disadvantaged Business Enterprise ten percent (10%) minimum goal and a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and Certified Service Disabled Veteran – Owned Businesses for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals (a full copy is available in Procurement):

**Certified Disadvantaged Business Enterprise (DBE)** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.

**Certified Minority Business Enterprise (MBE)** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. African American, Asian American/Pacific Islander, Hispanic Islander, Native American/Native Alaskan Indian) as defined in federal law or regulation as it may be amended from time-to-time.

**Certified Women Business Enterprise (WBE)** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.

**Certified Veteran-Owned Small Business (VOSB)** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

**Certified Service Disabled Veteran Owned Small Business (SDVOSB)** – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Purchasing as having the appropriate credentials to make a determination as to the status of the business.

We have compiled the list below to help you locate certified DBE, MBE, WBE and VOSB certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<u>https://lexingtonky.ionwave.net</u>)

Business	Contact	Email Address	Phone	
LFUCG	Sherita Miller	smiller@lexingtonky.gov	859-258-3323	
Commerce Lexington – Minority Business Development	Tyrone Tyra	ttyra@commercelexington.com	859-226-1625	
Tri-State Minority Supplier Divers Council	Derrick Dowell	ddowell@tsmsdc.net	502-365-9762	
Small Business Development Cour	Tonya Parsons UK SBDC	tonya.parsons@uky.edu	859-257-7666	
Community Ventures Corporation	Devanny King	devanny.king@cvky.org	859-231-0054	
KY Transportation Cabinet (KYTC	Tony Youssefi	tyouseffi@ky.gov	502-564-3601	
KYTC Pre-Qualification	Shella Eagle	Shella.Eagle@ky.gov	502-782-4815	
Ohio River Valley Women's Business Council (WBENC)	Lynnise Smith	lsmith@wbec-orv.org	513-487-6537	
Kentucky MWBE Certification Pro	Singer.Buchanan, Kentucky Finance and Administration Cabine		502-564-2874	
National Women Business Owner Council (NWBOC)	www.nwboc.org	info@nwboc.org	800-675-5066	
Small Business Administration	Robert Coffey	robertcoffey@sba.gov	502-582-5971	



# LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference #\_41-2024

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.** 

MWDBE Company, Name, Address, Phone, Email			Total Dollar Value of the Work	% Value of Total Contract
1. Resource International, Inc.	DBE	Geotechnical Engineering Construction Inspection	TBD	TBD
2. Third Rock Consultants LLC	WBE Environmental		TBD	TBD
3. EPR, PC	DBE	Roadway Lighting	TBD	TBD
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

PRIME AE Group, Inc.

Company

07/16/2024

Anno

**Company Representative** 

Founder and Executive Chairman

Date



## LFUCG MWDBE SUBSTITUTION FORM Bid/RFP/Quote Reference #\_41-2024

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/A	N/A	N/A	N/A	N/A	N/A
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

PRIME AE Group, Inc.

Company

07/16/2024

Company Representative

Founder and Executive Chairman

Date



### MWDBE QUOTE SUMMARY FORM Bid/RFP/Quote Reference #\_\_\_41-2024

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company Name PRIME AE Group, Inc.	Contact Person Steve Garland, PE, LSIT		
Address/Phone/Email	Bid Package / Bid Date		
651 Perimeter Drive, Suite 300, Lexington, KY 40517 Ph: 859.977.9629 sgarland@primeeng.com	#41-2024 / 07/16/2024		

MWDBE Company Addres	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
N/A	N/A	<del></del>	<del></del>	N/A	N/A	N/A	N/A	N/A

#### (MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

PRIME AE Group, Inc.

Company

07/16/2024

Date

**Company Representative** 

Founder and Executive Chairman



## LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Procurement/ 200 East Main Street / Room 338 / Lexington, KY 40507.

### Bid/RFP/Quote #\_\_\_\_41-2024

### Total Contract Amount Awarded to Prime Contractor for this Project\_\_\_\_\_

Project Name/ Contract # 41-2024 Indefinite Services Contract for Division of Engineering	Work Period/ From:	To:
Company Name: PRIME AE Group, Inc.	Address: 651 Perimeter Drive, Suite 300 Lexington, KY 40517	
Federal Tax ID: 26-0546656	Contact Person: Steve Garland, PE, LSIT	

Subcontractor Vendor ID (name, address, phone, email	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

PRIME AE Group, Inc.

07/16/2024

**Company Representative** 

Founder and Executive Chairman

Date

Company

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

<u>N/A</u> Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

N/A Included documentation of advertising in the above publications with the bidders good faith efforts package

▲ Attended LFUCG Procurement Economic Inclusion Outreach event

▲ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

<u>N/A</u> Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

<u>N/A</u> Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

<u>N/A</u> Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

 $\checkmark$  Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

 $\checkmark$  Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

<u>N/A</u> Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

 $\checkmark$  Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items

into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

N/A Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

N/A Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

N/A Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

N/A Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

N/A Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

 $\checkmark$  Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

PRIME AE Group, Inc.

Company July 16, 2024

Date

**Company Representative** Founder and Executive Chariman

## **GENERAL PROVISIONS**

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, *29 U.S.C. 650 et. seq.*, as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

- 2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
- 3. Addenda: All addenda and IonWave Q&A, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
- 4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
- 5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
- 6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
- 7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
- 8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.

- 9. Additional Information: While not necessary, the Respondent may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal,
- 10. Ambiguity, Conflict or other Errors in RFP: If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
- 11. Agreement to Bid Terms: In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
- 12. Cancellation: If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.
  - A. Termination for Cause
  - (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
  - (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
  - (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
    - (a) Failure to perform the contract according to its terms, conditions and specifications;
    - (b) Failure to make delivery within the time specified or according

to a delivery schedule fixed by the contract;

- (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
- (d) Failure to diligently advance the work under a contract for construction services;
- (e) The filing of a bankruptcy petition by or against the contractor; or
- (f) Actions that endanger the health, safely or welfare of the LFUCG or its citizens.
- B. At Will Termination

Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent, Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

- 13. Assignment of Contract: The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
- 14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
- 15. Authority to do Business: The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must

be signed by a duly authorized officer, agent or employee of the Respondent.

- 16. Governing Law: This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
- 17. Ability to Meet Obligations: Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
- 18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
- 19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.
- 20. Contractor [or Vendor or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.

July 15, 2024

Date

Signature

