

**PART III**  
**FORM OF PROPOSAL**

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**PART III**

**Invitation to Bid No. 35-2026**

**Full Roof Replacement at the Fayette County Circuit and District Courthouses**

**1. FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: 4/28/2026

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Swift Roofing of E'town, Inc.

108 South Park Circle, Elizabethtown, KY, 42701

(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Kentucky, doing business as Corporation  
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Full Roof Replacement at the Fayette County Circuit and District Courthouses** project having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No.   1   Date  3/31/2026 

Addendum No.   2   Date  4/21/2026 

Addendum No.        Date                   

Addendum No.        Date                   

Addendum No.        Date                   

Addendum No.        Date                   

Addendum No.        Date                   

Addendum No.        Date                   

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

**2. LEGAL STATUS OF BIDDER**

Bidder Swift Roofing of E'town, Inc.

Date 4/28/2026

\* 1. A corporation duly organized and doing business under the laws of the State of Kentucky, for whom Brian Delk, bearing the official title of Vice President, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

\* 2. A Partnership, all of the members of which, with addresses are: (Designate general partners as such)

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\* 3. An individual, whose signature is affixed to this Bid/Proposal (please print name)

\*\*\*\*\*

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\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3.

**BIDDERS AFFIDAVIT**

Comes the Affiant, Swift Roofing of E'town, Inc., and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Brian Delk and he/she is the individual submitting the bid or is the authorized representative of Swift Roofing of E'town, Inc. the entity submitting the bid (hereinafter referred to as "Bidder").

2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

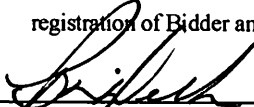
4. Bidder has authorized the Division of Procurement to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.

6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."

7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

8. Bidder will comply with all registration requirements as a contractor where required by Section 5-85 of the Code of Ordinances of the Lexington-Fayette Urban County Government. Bidder will utilize as subcontractors on the contract only contractors who are registered as required by Section 5-85 of the Code of Ordinances. Bidder will maintain a "current" status with regard to all contractor registration requirements during the life of the contract and will ensure that all subcontractors maintain a "current" status with regard to all contractor registration requirements during the life of the contract. Bidder has authorized the Division of Procurement to verify the registration of Bidder and Bidder's subcontractors with the Division of Building Inspection.

  
\_\_\_\_\_  
Signature

Brian Delk  
\_\_\_\_\_  
Printed Name

Vice President  
\_\_\_\_\_  
Title

4/28/2026  
\_\_\_\_\_  
Date


Company Name Swift Roofing of E'town, Inc.

Address 108 South Park Circle, Elizabethtown, KY, 42701

Subscribed and sworn to before me by Brian Delk  
(Affiant)

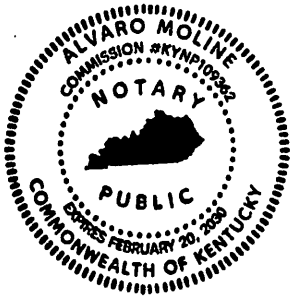
Vice President  
(Title)

of Swift Roofing of E'town, Inc. this 28 day of April, 2026.  
(Company Name)

  
\_\_\_\_\_  
Notary Public

[seal of notary]

My commission expires: 02/20/2030



**4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except: If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Pricing should be submitted in the Line Items tab on IonWave. Page P-9 must be fully executed and attached to bid submission or bid will be considered non-responsive.

Item No.	Description	Unit	Quantity
1.	Base Bid, as per specs.	LS	1

### LIST OF UNIT PRICES

The following List of Unit Prices is required by the Owner to be completely executed and submitted with each Bidder's Proposal. Each unit price shall include the furnishing of all labor, materials, supplies and services, and shall include all items of cost, overhead and profit for the Contractor and any Sub-Contractors involved, and shall be used uniformly, without modification, for either additions or deductions from the Bid. These unit prices as established shall also be used to determine the equitable adjustment of the Contract Price in connection with changes, or extra work performed under the Contract. The "Rules of Measurement" set forth in the Special Conditions shall govern where volume units are concerned.

<b>DESCRIPTION OF WORK</b>	<b>UNIT</b>
1. Concrete Deck Repair	\$15.00 SF
2. LWIC Repair	\$20.00 SF
3. 2x6 Wood Blocking	\$5.00 LF
4. 2x8 Wood Blocking	\$7.00 LF
5. 2x10 Wood Blocking	\$9.00 LF
6. ¼"/ft. Tapper Insulation	\$10.00 SF
7. Flashing Remediation at Windows Over Roofs (Work Described in Detail H on Sheet A2.2)	\$8,450.00 EA

Submitted by:

Swift Roofing of E'town, Inc.  
*Firm*

108 South Park Circle  
*Address*

Elizabethtown, KY, 42701  
*City, State & Zip*

***Bid must be signed:  
(original signature)***

  
*Signature of Authorized Company Representative – Title*

Brian Delk  
*Representative/s Name (Typed or Printed)*

270-737-2224 / 270-737-5307  
*Area Code – Phone –Fax #*

bdelk@swiftroofing.net  
*E-Mail Address*

**OFFICIAL ADDRESS:**

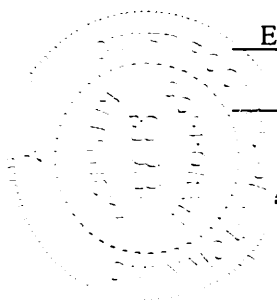
Swift Roofing of E"town, Inc.

108 South Park Circle

Elizabethtown, KY, 42701

\_\_\_\_\_  
(Seal if Bid is by Corporation)

***By signing this form you agree to ALL terms, conditions, and associated forms in this bid package***





5. **STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

1. Name of Bidder: Swift Roofing of E'town, Inc.

2. Permanent Place of Business: Elizabethtown, KY

3. When Organized: 1985

4. Where Incorporated: Kentucky

5. Construction Plant and Equipment Available for this Project:

Telehandler

2 Ton Dump Trucks

Roof Top Equipment

1/2 and 3/4 Ton Trucks

Roof Top Hoist

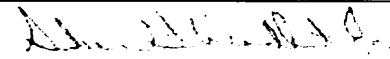
(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Procurement within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Great American Insurance Company (Surety)

Signed:  (Representative of Surety)  
Andrew G. Windhorst, Jr. Attorney-in-Fact



8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>LFUCG Community Corrections</u>	<u>Lexington</u>	<u>\$13,738,512.00</u>
<u>LFUCG Gov Annex &amp; Police HQ</u>	<u>Lexington</u>	<u>\$1,139,640.00</u>
<u>WT Young Drive</u>	<u>Lexington</u>	<u>\$539,040.00</u>
<u>Flemingsburg Police Dept</u>	<u>Flemingsburg</u>	<u>\$170,370.00</u>
<u>South Laurel Middle School</u>	<u>London</u>	<u>\$3,133,240.00</u>

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>St. Thomas Midtown</u>	<u>Nashville</u>	<u>\$177,192.00</u>
<u>WKU - KY Museum</u>	<u>Bowling Green</u>	<u>\$331,397.00</u>
<u>Simpsonville Elementary School</u>	<u>Simpsonville</u>	<u>\$2,057,060.00</u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
<u>Brian Delk</u>	<u>Vice President</u>	<u>31</u>
<u>Colby Larkin</u>	<u>Superintendent</u>	<u>13</u>
<u>Matt Williams</u>	<u>Foreman</u>	<u>33</u>
<u>Robert Beeler</u>	<u>Foreman</u>	<u>30</u>
<u> </u>	<u> </u>	<u> </u>

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
N/a			

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we will submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement on the OWNER'S form regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER)—all in accordance with the Bid Documents.

Respectfully submitted:

Swift Roofing of E'town, Inc.  
(Name of Contracting Firm)

BY: Brian Delk

TITLE: Vice President

DATE 4/28/2026

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<b><u>BRANCH OF WORK-LIST</u></b>	<b><u>DBE</u></b>	<b><u>% of EACH MAJOR ITEM</u></b>
	<b>Work</b>	
<u>Sunbelt Fence - Fencing</u>	No	0.5%
<u>RK Hydrovac - Industrial Vacuum</u>	No	1%
<u>Koetter - Ladder</u>	No	0.8%
<u>Dixie Restoration - Masonry</u>	No	2%
<u>Solid Platforms - Stair Tower</u>	WBE	1%
<u>American Industrial - Crane</u>	No	11%
<u> </u>		
<u> </u>		

**LIST OF MATERIALS/ SUPPLIERS**

Bidders are hereby advised that this list must be complete and submitted with the Bid.

Listing "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Item	Brand Name, Manufacturer and/or Supplier	
Roof Insulation	Elevate	QXO
Adhesive	Elevate	QXO
Roof Plies	Elevate	QXO

7.



## LEXINGTON

### MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller, MPA, CPSD  
Minority Business Enterprise Liaison  
Division of Procurement  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

OUR MISSION: The mission of the Minority Business Enterprise Program (MBEP) is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end the urban county council adopted and implemented Resolution 272-2024 – a Certified Minority and Women Business Enterprise seventeen percent (17%) minimum goal including minimum subgoals of five percent (5%) for Minority Business Enterprises (MBE) and a subgoal of twelve percent (12%) for Women Business Enterprises (WBE); a three (3%) minimum goal for Certified Veteran-Owned Small Businesses and/or Certified Service- Disabled Veteran Owned Businesses; and a goal of utilizing Disadvantaged Business Enterprises (DBE), where applicable, for government contracts.

The resolution states the following definitions shall be used for the purposes of reaching these goals:

*Certified Disadvantaged Business Enterprise (DBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a person(s) who is socially and economically disadvantaged as define by 49 CFR subpart 26.*

*Certified Minority Business Enterprise (MBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by an ethnic minority (i.e. Black American, Asian American, Hispanic American, Native American)*

*Certified Women Business Enterprise (WBE) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a woman.*

*Certified Veteran-Owned Small Business (VOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.*

*Certified Service -Disabled Veteran Owned Small Business (SDVOSB) – a business in which at least fifty-one percent (51%) is owned, managed and controlled by a disabled veteran who served on active duty with the U.S. Army, Air Force, Navy, Marines or Coast Guard.*

The term "Certified" shall mean the business is appropriately certified, licensed, verified, or validated by an organization or entity recognized by the Division of Procurement as having the appropriate credentials to make a determination as to the status of the business.

The following certifications are recognized and accepted by the MBEP:

Kentucky Transportation Cabinet (KYTC), Disadvantaged Business Enterprise (DBE)  
Kentucky Minority and Women Business Enterprise (MWBE)  
Women's Business Enterprise National Council (WBENC)  
National Women Business Owners Corporation (NWBOC)  
National Minority Supplier Development Council (NMSDC)  
Tri-State Minority Supplier Development Council (TSMSSDC)  
U.S. Small Business Administration Veteran Small Business Certification (VetCert)  
Kentucky Service- Disabled Veteran Owned Small Business (SDVOSB)

To comply with Resolution 272-2024, prime contractors, minority and women business enterprises, veteran owned small businesses, and service-disabled veteran owned small businesses must complete monthly contract compliance audits in the Diverse Business Management Compliance system, <https://lexingtonky.diversitycompliance.com/>

A list of organizations that certify and/or maintain lists of certified businesses (i.e. DBE, MBE, WBE, VOSB and/or SDVOSB) is available upon request by emailing, Sherita Miller, [smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov).



# LEXINGTON

## LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 35-2026

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to the Division of Procurement for approval immediately. **Failure to submit a completed form may cause rejection of the bid.**

MWBE Company, Name, Address, Phone, Email	DBE/MBE WBE/VOSB/SDVOSB	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. Solid Platforms, Inc. Ron Brennan Jr, ron.brennan@solidplatforms.com 513-669-6619	WBE	Stair Tower	\$36,085.00	1%
2.				
3.				
4.				

The undersigned company representative submits the above list of MDWBE and veteran firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Swift Roofing of E'town, Inc.  
Company

Brian Delk  
Company Representative

4/28/2026  
Date

Vice President  
Title



**LEXINGTON**

**LFUCG MWDBE SUBSTITUTION FORM**

**Bid/RFP/Quote Reference #** 35-2026

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to the Division of Procurement for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Note: Form required if a subcontractor is being substituted on a contract.**

SUBSTITUTED DBE/MBE/WBE/VOSB Company Name, Address, Phone, Email	DBE/MBE/WBE/VOSB/SDVOSB Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Swift Roofing of E'town, Inc.  
**Company**

4/28/2026  
**Date**

Brian Delk  
**Company Representative**

Vice President  
**Title**



## LEXINGTON

### DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS AND OUTREACH PLANS

As affirmed in Resolution Number 272-2024, the Urban County Council has adopted an annual aspirational goal of utilizing at least seventeen percent (17%) of public funds spend from certain discretionary agreements with certified Minority Business Enterprises (MBEs) and certified Woman Business Enterprises (WBEs); utilizing at least three percent (3%) of public funds from certain discretionary agreements with Certified Veteran-Owned Small Business and Certified Service-Disabled Veteran-Owned Small Businesses (VOSBs); and utilizing Disadvantaged Business Enterprises (DBEs) where applicable. Bidders should make every effort to achieve these goals.

Therefore, as an element of the responsiveness of the bid, all Bidders are required to submit documentation of their good faith and outreach efforts to ensure all businesses, including small and disadvantaged businesses such as minority-, woman-, and veteran-owned businesses, have an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement. Examples of good faith and outreach efforts that satisfy this requirement to encourage the participation of, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs include:

1. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women, and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to participate.
2. Attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year to meet new small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to partner with on LFUCG contracts and procurements.
3. Attended pre-bid/pre-proposal meetings that were scheduled by LFUCG to inform small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs of subcontracting opportunities.
4. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs.
5. Requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).
6. Contacted organizations that work with small, DBE, MBE, WBE, and VOSB companies for assistance in finding certified DBEs, MBEs, WBEs, VOSB and/or SDVOSBs to work on this project. Those contacted and their responses must be a part of the bidder's outreach efforts documentation.

7. Sent written notices, by certified mail, email, or facsimile, to qualified, certified small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
8. Followed up initial solicitations by contacting small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs via tailored communications to determine their level of interest.
9. Provided the interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs with adequate and timely information about the plans, specifications, and requirements of the contract.
10. Selected portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs in order to increase the likelihood of subcontracting participation. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate small, DBE, MBE, WBE, VOSB and/or SDVOSB participation, even when the prime contractor may otherwise perform these work items with its own workforce.
11. Negotiated in good faith with interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection must be so noted in writing with a description as to why an agreement could not be reached.
12. Included documentation of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs that were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.
  - a. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a small business', DBE's MBE's, WBE's, VOSB's and/or SDVOSB's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy the participation goals.
13. Made an effort to offer assistance to or refer interested small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal.
14. Made efforts to expand the search for small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.

15. Other – any other evidence that the bidder submits that may demonstrate that the bidder has made reasonable efforts to include small, DBE, MBE, WBE, VOSB and/or SDVOSB participation.

Bidder must document, with specificity, each of the efforts it made to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs as subcontractors in the procurement, including the date on which each effort was made, the medium through which each effort was made, and the outcome of each effort.

**Note: Failure to submit the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the Bid, regardless of the proposed level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation in the procurement. If the Good Faith and Outreach Effort documentation is not submitted with the bid response, the bid may be rejected.**

#### OUTREACH EFFORTS EVALUATION

Outreach efforts demonstrated by the bidder or respondent will be evaluated on a pass/fail basis.

## ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

**Proposer Name:** Swift Roofing of E'town, Inc.      **Date:** 4/28/2026  
**Project Name:** Full Roof Replacement of the Fayette County Circuit and District Courthouses      **Project Number:** 35-2026  
**Contact Name:** Brian Delk      **Telephone:** 270-737-2224  
**Email:** bdelk@swiftroofing.net

The mission of the Minority Business Enterprise Program is to facilitate the full participation of disadvantaged businesses, minority-, women-, veteran-, and service-disabled veteran-owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end, small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, must have an equal opportunity to be utilized in the performance of contracts with public funds spent from certain discretionary agreements. By submitting its offer, Bidder/Proposer certifies that it has taken, and if there are further opportunities will take, reasonable steps to ensure that small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, are provided an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement.

The information submitted in response to this clause will not be considered in any scored evaluation. Failure to submit this form may cause the bid or proposal to be rejected.

**Is the Bidder/ Proposer a certified firm?**    Yes     No

If yes, indicate all certification type(s):

DBE

MBE

WBE

SBE

VOSB/SDVOSB

and supply a copy of the certificate and/or certification letter if not currently listed on the city's Minority Business Enterprise Program's (MBEP) certified list.

**1. Include a list of firms that Bidder/ Proposer has had a contractual relationship with within the last two years that are minority-owned, woman-owned, veteran-owned or small businesses, regardless of their certification status.**

E and P Mobile Welding,  VOSB Bluegrass Lightning Consultant's, WBE

**2. Does Bidder/Proposer foresee any subcontracting opportunities for this procurement?**

Yes  No

If no, please explain why in the field below. Do not complete the rest of this form and submit this first page with your bid and/or proposal.

If yes, please complete the following pages and submit all pages with your bid and/or proposal.

**Describe the steps Bidder/Proposer took to solicit small and disadvantaged businesses, including MBEs, WBEs, VOSBs, and SDVOSBs, for subcontracting opportunities for this procurement.**

**3. Check the good faith and outreach efforts the Bidder/Proposer used to encourage the participation of small and disadvantaged businesses including, MBEs, WBEs, VOSBs and SDVOSBs:**

- Bidder placed advertisements in search of prospective small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs for the solicitation.
- Bidder attended LFUCG Procurement Economic Inclusion Outreach event(s) within the past year.
- Bidder attended pre-bid and/or pre-proposal meetings for this solicitation.
- Bidder sponsored an Economic Inclusion Outreach event.
- Bidder requested a list of certified small, DBE, MBE, WBE, VOSB and/or SDVOSB subcontractors or suppliers from LFUCG.
- Bidder contacted organizations that work with small, DBE, MBE, WBE, VOSB and/or SDVOSB companies.
- Bidder sent written notices to certified small, DBE, MBE, WBE, VOSB and SDVOSB businesses.
- Bidder followed up to initial solicitations with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB.
- Bidder provided small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses interested in performing the solicited work with prompt access to the plans, specifications, scope of work, and requirements of the solicitation.
- Bidder made efforts to segment portions of the work to be performed by small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, including dividing sub-bid/partnership opportunities into economically feasible units/parcels, to facilitate participation.

- Bidder negotiated in good faith with interested small, DBE, MBE, WBE, VOSB and/or SDVOSB businesses.
- Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.
- Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
- Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

**4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs or SDVOSBs.**

(See attached PDF "Good Faith Efforts")

**For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.**

**Note: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.**

**Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Swift Roofing of E'town, Inc.  
**Company**  
4/28/2026  
**Date**

Brian Delk  
**Company Representative**  
Vice President  
**Title**

4870-1925-6809, v. 1

**8. AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky  X . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

**9. STATEMENT OF EXPERIENCE**

NAME OF INDIVIDUAL: Brian Delk

POSITION/TITLE: Vice President

STATEMENT OF EXPERIENCE: Vice President of Swift Roofing of E'town, Inc.

Project management experience for 31 years

NAME OF INDIVIDUAL: Colby Larkin

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: Roofing Experience 5 years then into Management for the past

8 years.

NAME OF INDIVIDUAL: Matt Williams

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Roofer / Foreman experience 33 years total.

NAME OF INDIVIDUAL: Robert Beeler

POSITION/TITLE: Foreman

STATEMENT OF EXPERIENCE: Roofer / Foreman experience 30 years total.

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NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

---

---

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

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**\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)**

## **10. EQUAL OPPORTUNITY AGREEMENT**

### **Standard Title VI Assurance**

The Lexington Fayette-Urban County Government, (hereinafter referred to as the “Recipient”) hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the “Act”), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the “Regulations”) and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

### **The Law**

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:  
*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*
- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:  
*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

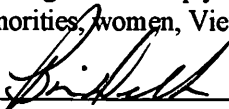
The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the

benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

**Bidders**

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

  
\_\_\_\_\_  
Signature

Swift Roofing of E'town, Inc.  
\_\_\_\_\_  
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, religion, sex (including pregnancy, sexual orientation or gender identity), national origin, disability, age, genetic information, political affiliation, or veteran status, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

**KRS 45.610. Hiring minorities – Information required**

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

**KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor**

- (1) *If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) *If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) *The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

**KRS 45.630 Termination of existing employee not required, when**

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

**KRS 45.640 Minimum skills**

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which

he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

**11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY**

It is the policy of Swift Roofing of E'town, Inc.

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.

**12. WORKFORCE ANALYSIS FORM**

Name of Organization: Swift Roofing of E'town, Inc.

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators																	
Professionals																	
Superintendents	2	2														2	
Supervisors																	
Foremen	4	3						1								4	
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical	2		2														2
Skilled Craft	37	31		1		4		1								37	
Service/Maintenance																	
<b>Total:</b>	<b>45</b>															<b>43</b>	<b>2</b>

Prepared by: Brian Delk / Vice President  
*(Name and Title)*

Date: 4 / 28 / 2026  
*Revised 2015-Dec-15*

**13. EVIDENCE OF INSURABILITY**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Swift Roofing of E'town, Inc.

Employee ID: 61-1070245

Address: 108 South Park Circle, Elizabethtown, KY, 42701

Phone: 270-737-2224

Project to be insured: Full Roof Replacement of the Fayette County Circuit and District Courthouses

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-2 – see provisions	CGL	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000 per occ. And \$2,000,000 aggregate	West Bend Insurance Company	NAIC# 15350	A XIV
SC-2 – see provisions	AUTO	\$1,000,000/per occ.	\$ 1,000,000 Combined Single Limit	West Bend Insurance Company	NAIC# 15350	A XIV
SC-2 – see provisions	WC	Statutory w /endorsement as noted	\$4,500,000 Each accident Per Statute	Kentucky AGC		A VIII
SC-2 – see provisions	EXC	\$5,000,000 per occ.	\$ 6,000,000 Per Occ.	West Bend Insurance Company	NAIC# 15350	A XIV

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

**Houchens Insurance Group**  
 Agency or Brokerage  
1240 Fairway Street  
 Street Address  
**Bowling Green**                      **KY**                      **42103**  
 City    State    Zip  
270-781-2020  
 Telephone Number

**Katherine Johnson**  
 Name of Authorized Representative  
**Client Associate II**  
 Title  
**Katherine Johnson**  
 Authorized Signature  
4/27/2026  
 Date

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.**

**14. DEBARRED FIRMS**

**PROJECT NAME:** Full Roof Replacement of the Fayette County Circuit and District Courthouses

**BID NUMBER:** 35-2026

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Swift Roofing of E'town, Inc. has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Swift Roofing of E'town, Inc.  
Name of Firm Submitting Bid

  
Signature of Authorized Official

Vice President  
Title

4/28/2026  
Date

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.

The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Swift Roofing of E'town, Inc.

Project: Full Roof Replacement of the Fayette County Circuit and District Courthouses

Printed Name and Title of Authorized Representative: Brian Delk / Vice President

Signature: 

Date: 4/28/2026

END OF SECTION

1.21 BID BOND

BID BOND

Bond Number: na

KNOW ALL MEN BY THESE PRESENTS, that we Swift Roofing of E'Town, Inc.

as principal (the "Principal") and Great American Insurance Company

hereinto called Surety, are held and firmly bound unto

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
200 East Main Street, Third Floor  
Lexington, Kentucky 40507

as obligee (the "Obligee"), in the penal sum of Five Percent (5%) of the amount of the bid----- dollars for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for Bid No. 35-2026-Courthouse Roof Replacement

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal within the period specified therein, or, if no period be specified, within ninety (90) days after opening, and the Principal shall enter into a contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or contract documents, or in the event of the failure of the Principal to enter into such contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference in money not to exceed the penal sum hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void; otherwise to remain in full force and effect. In no event shall the liability hereunder exceed the penal sum thereof.

PROVIDED AND SUBJECT TO THE CONDITION PRECEDENT, that any claim by Obligee under this bond must be submitted in writing by registered mail, to the attention of the Surety Law Department at the address above, within 120 days of the date of this bond. Any suit under this bond must be instituted before the expiration of one (1) year from the date of this bond. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall apply.

DATED as of this 28th day April, 2026  
WITNESS / ATTEST:

Alvaro Moline  
Principal (Secretary)  
Alvaro Moline, Witness

Monica A. Kaiser  
Surety (Secretary)  
Monica A. Kaiser, Witness

Swift Roofing of E'Town, Inc.

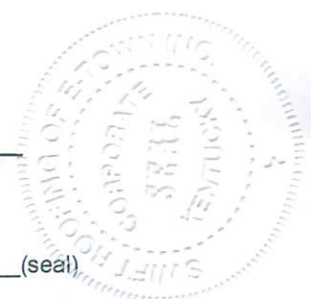
Principal

By: Brian Delk (seal)  
Name: Brian Delk  
Title: Vice President

Great American Insurance Company

Surety

By: Andrew G. Windhorst, Jr. (seal)  
Name: Andrew G. Windhorst, Jr.  
Title: Attorney-in-Fact



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Third section of faint, illegible text.



April 28, 2026

Mr. Larry Swift  
Swift Roofing of E Town Inc (Elevate License #40002727)  
PO Box 1102  
Murray, KY 42071

**Project: Robert F. Stephens Circuit and District Courthouses (IBase #Pending)**

**Subject: Approval Fo Bid Package**

To Whom It May Concern:

Elevate is writing this letter to confirm that the proposed system below is eligible for a 30 yr/72mph, NDL labor and material warranty.

- Concrete deck primed (nailed vented base in LWIC area)
- Two layers 2.2" ISO in Elevate Foam Adhesive
- 1/2" Dens Deck Primed Cover Board in Elevate Foam Adhesive
- SBS Premium Base in Elevate cold adhesive
- SBS Glass FR Cap Standard White in Elevate cold adhesive
- SBS Metal Flash AI in Elevate MB Roofing Cement
- Coping to be pre-manufactured by Elevate.

This approval is for this project only and requires an approved PIN.

Feel free to contact me with any questions or concerns.

Regards,

A handwritten signature in black ink, appearing to read "John Swisher".

John Swisher  
Amrize  
Technical Services Department  
Regional Manager  
Mid-Atlantic Region  
P: 1-740-808-7217  
[John.Swisher@amrize.com](mailto:John.Swisher@amrize.com)

Amrize Building Envelope LLC  
26 Century Blvd, Suite 205 | Nashville, TN 37214  
Phone: (800) 428-4442



**From:** [amoline@swiftroofing.net](mailto:amoline@swiftroofing.net)  
**To:** [jack.geddesfence@gmail.com](mailto:jack.geddesfence@gmail.com)  
**Subject:** LFUCG Courthouses Roof Replacement Project  
**Date:** Monday, April 20, 2026 3:57:00 PM  
**Attachments:** [image001.png](#)  
[35-2026 Drawings.pdf](#)

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Good afternoon Hubert,

Would you be in submitting us a quote for temporary construction fencing for the LFUCG Courthouses Roof Replacement project? There's approx. 730 linear feet we would need installation, demobilization, and monthly rental prices including privacy mesh.

Please contact us if you need any more information. Attached are the roof plans, page SP list the site layout.

Thank you,



**Alvaro Moline**

**Estimator**

**Phone:** 270-737-2224

**Cell :** 270-872-3618

**Email:** [amoline@swiftroofing.net](mailto:amoline@swiftroofing.net)

P.O. Box 502

Elizabethtown KY 42702

[www.swiftroofing.net](http://www.swiftroofing.net)

**From:** [amoline@swiftroofing.net](mailto:amoline@swiftroofing.net)  
**To:** [lwatsontrucking@icloud.com](mailto:lwatsontrucking@icloud.com)  
**Subject:** LFUCG Courthouses Roof Replacement Project  
**Date:** Monday, April 20, 2026 4:01:12 PM  
**Attachments:** [image001.png](#)

---

Good afternoon Larry,

Would you be interested in providing us a dump truck quote for hauling roofing debris (commercial flat roofing) from the LFUCG Courthouses Roof Replacement project.

Please feel free to contact us if you need any more information.

Thank you,



**Alvaro Moline**

**Estimator**

**Phone:** 270-737-2224

**Cell :** 270-872-3618

**Email:** [amoline@swiftroofing.net](mailto:amoline@swiftroofing.net)

P.O. Box 502

Elizabethtown KY 42702

[www.swiftroofing.net](http://www.swiftroofing.net)

**From:** [amoline@swiftroofing.net](mailto:amoline@swiftroofing.net)  
**To:** [thefencecoofky@gmail.com](mailto:thefencecoofky@gmail.com)  
**Subject:** LFUCG Courthouses Roof Replacement Project  
**Date:** Tuesday, April 21, 2026 8:55:09 AM  
**Attachments:** [image001.png](#)  
[35-2026 Drawings.pdf](#)

---

Good morning Judy,

Would you be in submitting us a quote for temporary construction fencing for the LFUCG Courthouses Roof Replacement project? There's approx. 730 linear feet we would need installation, demobilization, and monthly rental prices including privacy mesh.

Please contact us if you need any more information. Attached are the roof plans, page SP list the site layout.

Thank you,



**Alvaro Moline**

**Estimator**

**Phone:** 270-737-2224

**Cell :** 270-872-3618

**Email:** [amoline@swiftroofing.net](mailto:amoline@swiftroofing.net)

P.O. Box 502

Elizabethtown KY 42702

[www.swiftroofing.net](http://www.swiftroofing.net)

**LFUCG Courthouses**

**Bid 35-2026**

**Weighted Criteria Index**

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3. Capacity & References .....Page 30

**BRIAN DELK**  
**PROJECT MANAGER/DIRECTOR**  
**SWIFT ROOFING OF E-TOWN, INC.**

**EDUCATION**

Louisville Technical Institute	Associate Degree of Applied Science	June 1995
Louisville Technical Institute	Architectural Computer Aided Drafting Certificate in Drafting	Sept. 1994

**WORK EXPERIENCE**

1995 - Present	Swift Roofing of E'Town, Inc.	Project Manager
----------------	-------------------------------	-----------------

**CERTIFICATIONS**

BURSI Continuing Education  
The Better Understanding of Roofing Systems Institute  
Alcoa Contractor Key Supervisor Certification  
CERTA Trainer for Torching  
OSHA 10 Hour Training  
EM 385 Fall Protection Training  
Forklift Training  
First Aid Training  
Registered Roof Observer (RRO), Reg # 1773

**PROJECT REFERENCES**

**Project #1: LFUCG-Community Corrections Center**

General Scope of Project: Removal of 250,000 sq ft of existing EPDM roof membrane and tapered insulation down to concrete decking over a fully functional corrections facility. Install new torch base temporary roof membrane. Install new LWIC system with a 30 year 2-ply Modified roof membrane system. Tear off 22,000 of existing shingles and vented nail base down to metal decking. Installed new Vented nail base insulation system and new metal shingles. Replaced 3 cupolas and all associated glazing on the new metal shingle roof.

Owner' POC: Josh Ives: (859) 258-3930

**Project #2: Replace Pavilion Roof at Kentucky Fair & Exposition Center**

General Scope of Project: Roof removal and complete deck replacement of 83,400 SF with new Galvanized Matte Black 3" N 22 gauge steel mechanically attached followed by rigid insulation and two-ply temporary roof/vapor retarder. The existing steel did not allow for any added slope so over the temporary roof a 1/4" per foot tapered ISO overlaid with a Perlite cover board all set in Type III asphalt. Then a Modified Bitumen base sheet set in Type IV asphalt followed by a Modified Bitumen Granulated cap sheet set in cold adhesive.

Owner's POC: David Erwin (502) 664-0601

Duties Performed: Project management including project estimating, owner communication, material ordering & scheduling delivery, project inspections to ensure conformance to quality and specifications as well as timely completion and owner satisfaction.

**COLBY LARKIN**  
**SUPERINTENDENT**  
**SWIFT ROOFING OF E-TOWN, INC**

**WORK EXPERIENCE**

2017 - Present	Swift Roofing of E-Town, Inc.	Superintendent
2013-2017	Swift Roofing of E-Town, Inc.	Estimator

**CERTIFICATIONS**

OSHA 10 Hour Training  
Fall Protection Training  
Forklift Training  
First Aid Training  
EM385 Fall Protection Training  
Asbestos Abatement Supervisor  
RCI Accreditation

**PROJECT REFERENCES**

**Project #1: Vanderbilt Medical Center**

General Scope of work: Tear off 17,800 sq ft of existing Built-up roof and LWIC over a Critical Care unit along with penthouse roof. Install new tapered insulation and 2 -ply modified roof system.

Owner's POC: Jacob Lane: (615) 305-8189

**Project #2: Warren Central High School, Bowling Green, KY**

General Scope of work: Tear off 70,000 sq ft of 4 existing roof systems down to metal decking and install new insulation and KEE roof membrane over an active high school. Install 30,00 sq ft of new Insulation and KEE membrane on new additions to the school along with new standing seam roof panels and insulated wall panels.

Owner's POC: Billy Parsons: (270) 932-1587

Duties Performed: Supervised and coordinated activities of workers engaged in roofing. Scheduled work and assigned duties to workers. Coordinated movement of materials from warehouse to the job site, and directed job site storage. Kept listing of manpower and materials used on the project on a daily basis. Inspected progress and ensured quality and conformance to specifications. Kept order and safety on the project.

**ROBERT BEELER PROJECT FOREMAN  
SWIFT ROOFING OF E-TOWN, INC.**

**WORK EXPERIENCE**

1990 - Present                                      Swift Roofing of E'town, Inc.                                      Roofer/Foreman

**CERTIFICATIONS**

BURSI Continuing Education  
The Better Understanding of Roofing Systems Institute  
OSHA 10 Hour Training  
Fall Protection Training  
Forklift Training  
First Aid Training

**PROJECT REFERENCES**

**Project #1:**                                      **Redstone Arsenal, Sparkman Center 5304**

**General Scope of Project:**      Install a new Garland 40 year 2-ply Modified roofing system using no odor adhesives. This project was over a high-profile government facility. 57,300 sq ft

**Owner's POC:**                                      Cindy Venable, CCI: cvenable@cci-alliance.com

**Project #2:**                                      **Arconic Building 150, Alcoa, TN**

**General Scope of Project:**      Roof Removal of 15,000 sq ft. of existing roof system down to the metal decking. Installation of insulation and a new modified bitumen roof system in hot asphalt.

**Owner's POC:**                                      William Davis: (865) 454-4157

**Duties Performed:**                                      Supervised and coordinated activities of workers engaged in roofing. Scheduled work and assigned duties to workers. Coordinated movement of materials form warehouse to the job site and directed job site storage. Kept listing of manpower and materials used on the project daily. Inspected progress and ensured quality and conformance to specifications. Kept order and safety on the project. Kept the roof water-tight always and prevented interruption of Owner operations.

**WILLIAM MEREDITH PROJECT FOREMAN  
SWIFT ROOFING OF E-TOWN, INC.**

**WORK EXPERIENCE**

1987 - Present                                      Swift Roofing of E'town, Inc.                                      Roofer/Foreman

**CERTIFICATIONS**

BURSI Continuing Education  
The Better Understanding of Roofing Systems Institute  
OSHA 10 Hour Training  
Fall Protection Training  
Forklift Training  
First Aid Training

**PROJECT REFERENCES**

**Project #1:**                                      **Park Lord Corporation, Bowling Green, KY**

General Scope of Project:      Roof removal of 110,000 sq ft. of existing roof systems over a fully operational manufacturing facility. Mechanically fasten new tapered insulation and install a new fully adhered KEE roof membrane.

Owner's POC:                                      Kalen Young: Kalen.young@parker.com

**Project #2:**                                      **MSD (Metropolitan Sewer District) Louisville, KY**

General Scope of Project:      Removal of existing roof system and decking. Installed new steel decking along with structural enhancements. Installation of new insulation and a new 2-ply modified bitumen roof system

Owner's POC:                                      Architect: Jean Paul Grivas (502) 494-5965

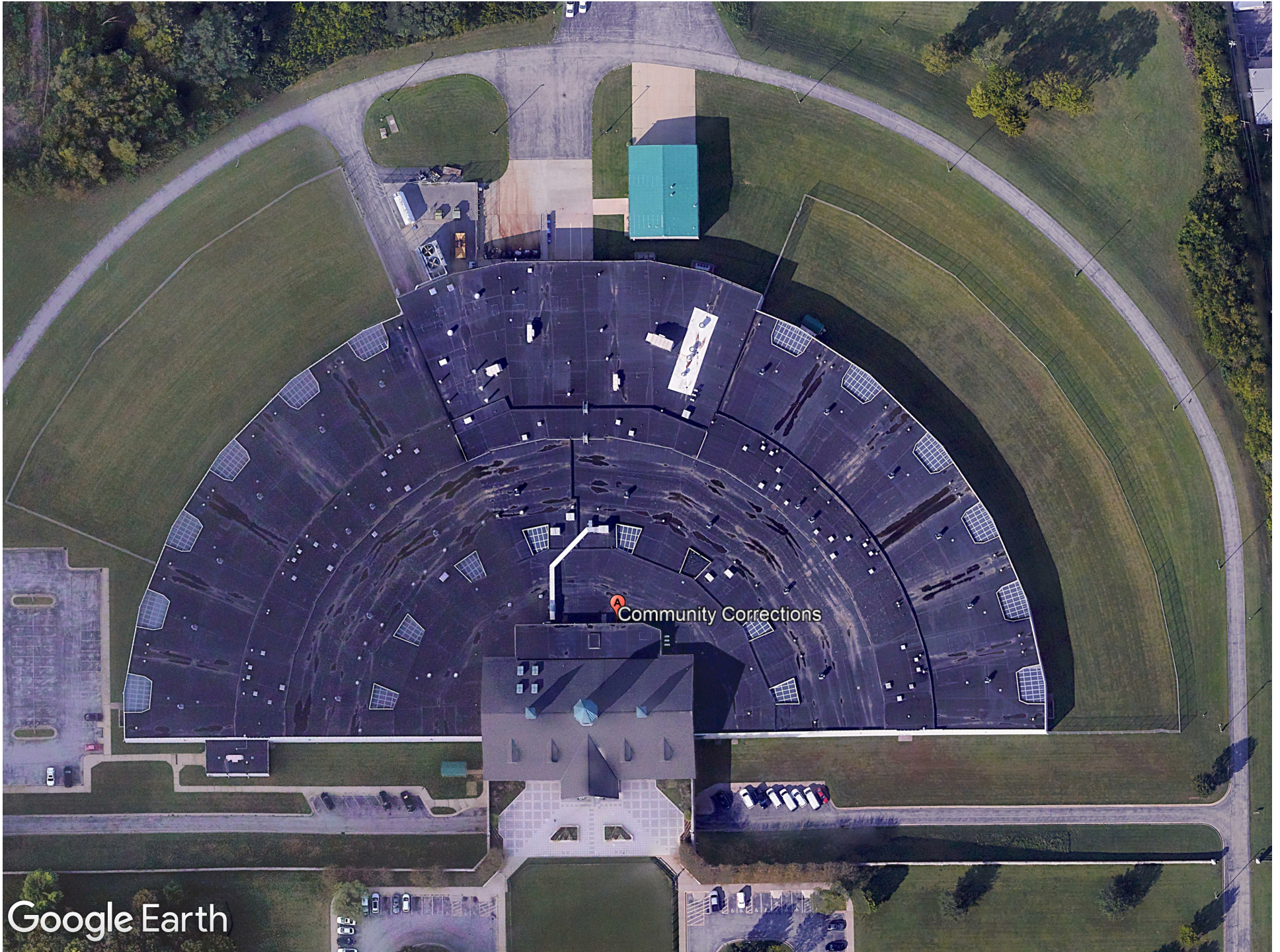
  

Duties Performed:                                      Supervised and coordinated activities of workers engaged in roofing. Scheduled work and assigned duties to workers. Coordinated movement of materials from warehouse to the job site and directed job site storage. Kept listing of manpower and materials used on the project daily. Inspected progress and ensured quality and conformance to specifications. Kept order and safety on the project. Kept the roof water-tight always and prevented interruption of Owner operations.



## Similar Projects in the Past 15 years

						Calendar days beyond original Contract time &
Year	Job Name	SQ	Roof System Details	Original Contract	Change Orders %	Is it due to change order?
2024	LFUCG Community Corrections	2470	Mod Bit Roof Replacement	\$13,738,512.00	6%	N/a
2024	LEX GOV ANNEX-POLICE	190	Mod Bit Roof Replacement	\$1,177,751.00	4%	N/a
2011	WT Young Greendale	1756	Mod Bit Roof Replacement	\$1,047,456.84		N/a
2013	CAMPBELL HAUSFIELD SINGLE PLY	455	Mod Bit Roof Replacement	\$281,700.00	3%	N/a
2013	WHITEHALL/MADISON CO	354	Mod Bit Roof Replacement	\$223,790.00		N/a
2015	Shelby Valley High DIR PURCH	1253	Mod Bit Roof Replacement	\$723,985.00	2%	N/a
2015	Southside Elem. Dir Purchase	596	Mod Bit Roof Replacement	\$389,807.50	7%	N/a
2015	WT Young AMAZON	2040	Mod Bit Roof Replacement	\$1,112,945.13		N/a
2016	King solver elementary 11/14	1096	Mod Bit Roof Replacement	\$2,090,311.00	1%	N/a
2017	North Hardin High School	740	Mod Bit Roof Replacement	\$1,642,637.01	7%	N/a
2017	QUAD GRAPHICS	750	Mod Bit Roof Replacement	\$1,087,999.60	4%	N/a
2021	CARRITHERS MIDDLE JCPS	333	Mod Bit Roof Replacement	\$459,720.00		N/a
2021	LEXMARK	352	Mod Bit Roof Replacement	\$649,426.41	4%	N/a
2021	LINCOLN EPAS JCPS	292	Mod Bit + Single Ply Roof Replacement	\$451,060.00		N/a
2021	TVA SQN TRAINING	552	Mod Bit Roof Replacement	\$3,185,530.00		N/a
2022	HENRY CO NEW CASTLE ELEM	243	Mod Bit Roof Replacement	\$759,850.00		N/a
2022	Pembroke Elementary	270	Mod Bit Roof Replacement	\$1,276,500.00		N/a
2023	REDSTONE ARSENAL BLDG #5304	462	Mod Bit Roof Replacement	\$1,935,000.00		N/a
2025	South Laurel Middle School	766	Mod Bit Roof Replacement	\$3,133,240.00		N/a
2026	Arconic Bldg 81	834	Mod Bit Roof Replacement	\$3,515,730.60		N/a





Google Earth



Google Earth

Image © 2025 Airbus

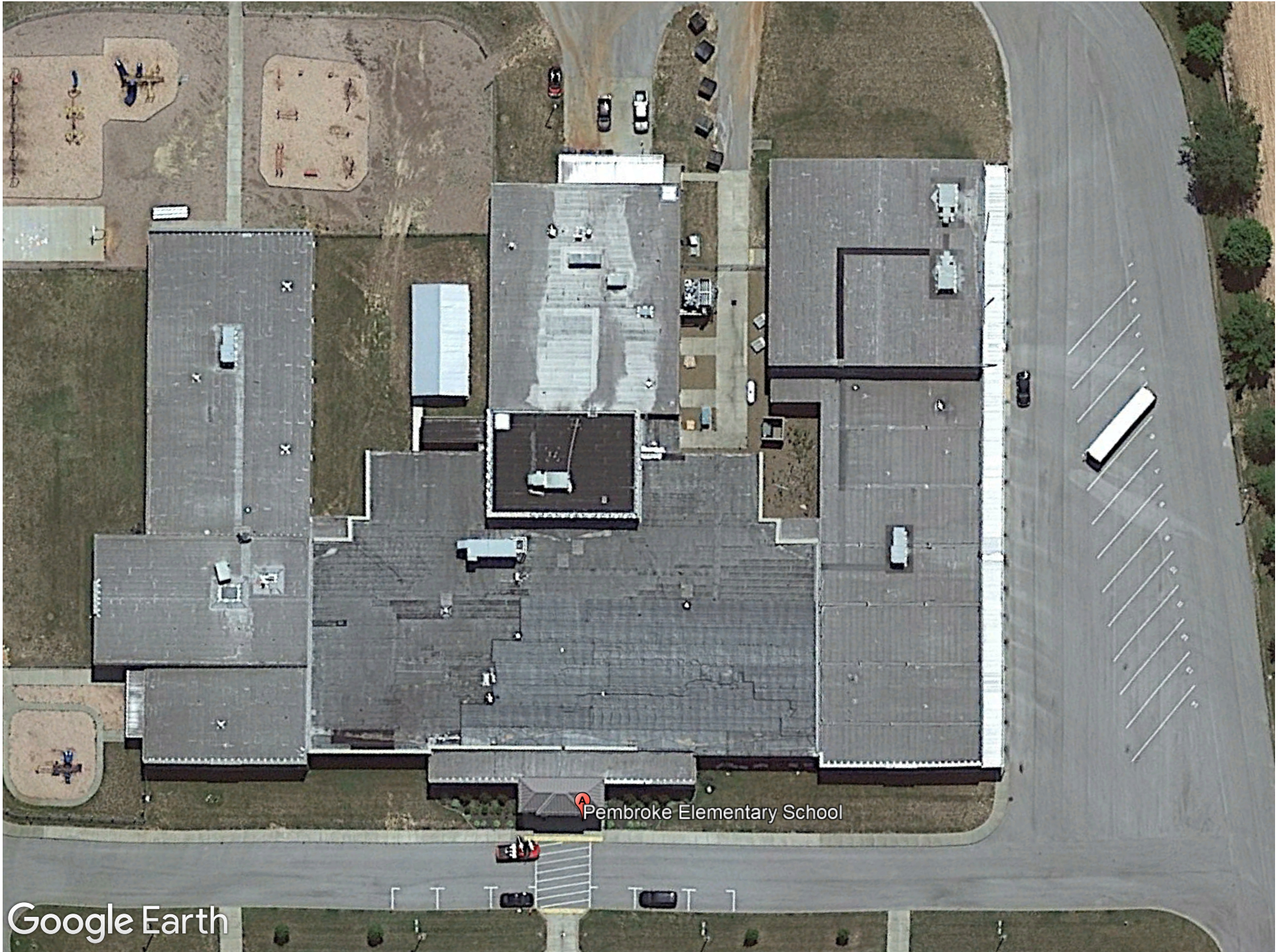




Google Earth



Google Earth



Pembroke Elementary - After

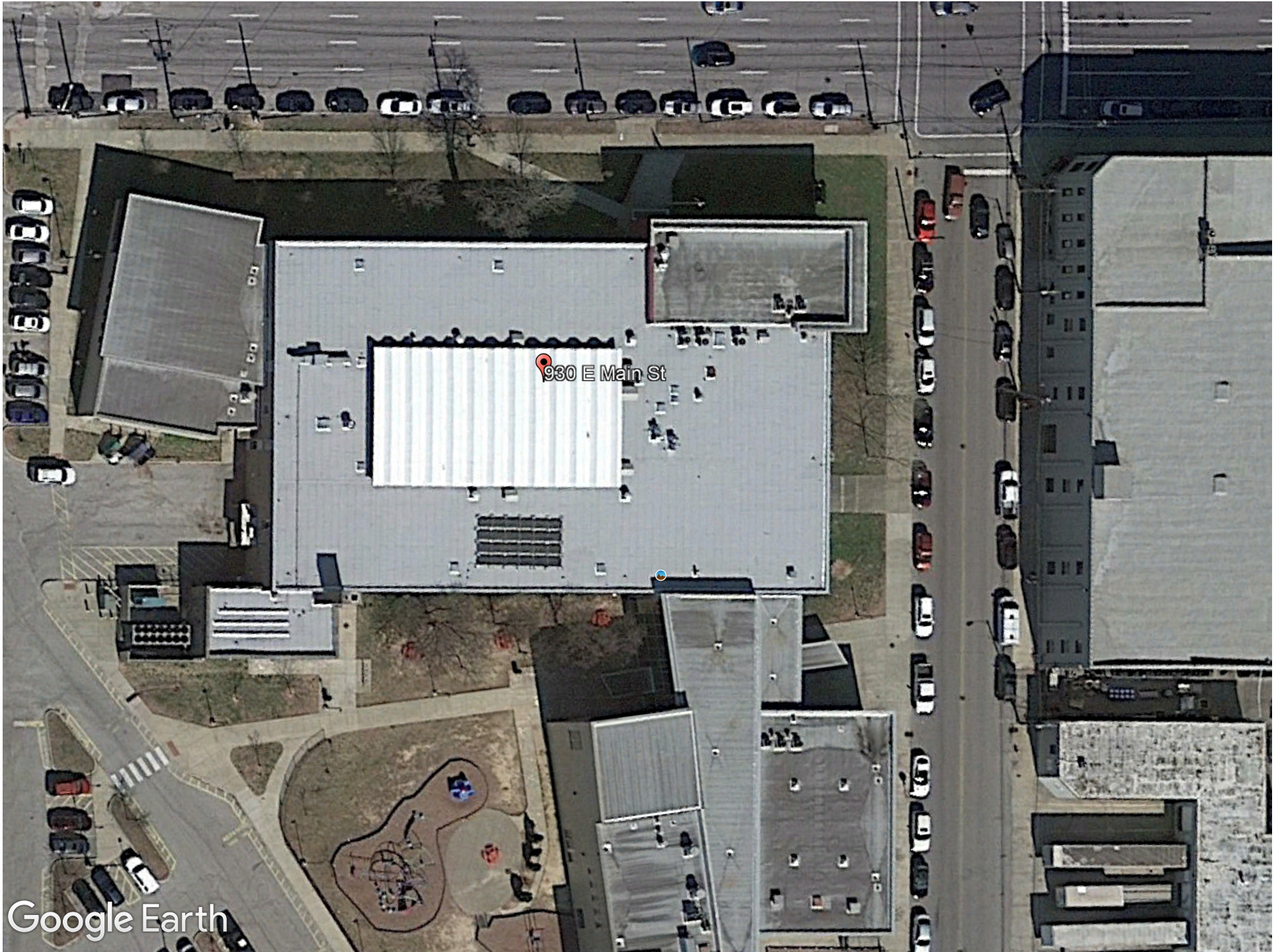


Google Earth









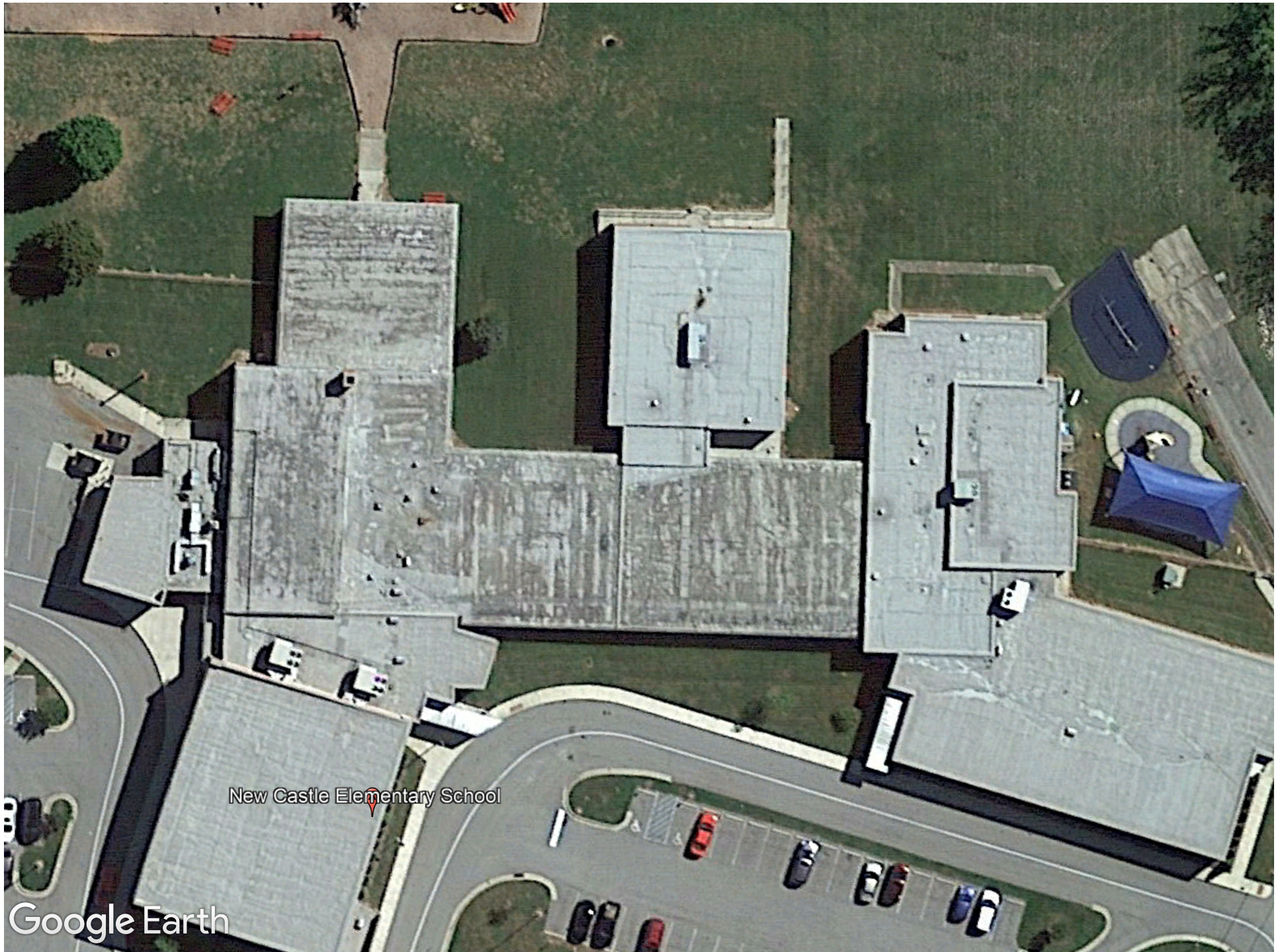
Google Earth



Google Earth

Image © 2025 Maxar Technologies





New Castle Elementary School

Google Earth



New Castle Elementary School

Google Earth

Image © 2025 Airbus



## **2. Project Understanding & Approach**

Swift Roofing of E'town, Inc. has a clear and comprehensive understanding of the requirements, challenges, and expectations associated with the Full Roof Replacement of the Fayette County Circuit and District Courthouses. This project involves the implementation of public safety measures, including installation of fencing and barricades to safely manage material deliveries occurring along adjacent public roadways, as well as a complete tear-off to deck, substrate evaluation and repair, and installation of new SBS modified bitumen roofing systems across multiple deck types, including cast-in-place and lightweight insulating concrete. The complexity of the project, combined with the need to maintain continuous courthouse operations, aligns closely with our experience on similar public-sector and occupied facility projects.

Our experience on projects such as the Government Center Annex and Police Headquarters Roof Replacement and the LFUCG Community Corrections Roof Replacement demonstrates our ability to successfully execute projects of similar scope, sequencing, and operational sensitivity. These projects included full demolition to deck, installation of multi-layer insulation systems, tapered systems for positive drainage, and fully adhered membrane assemblies (including SBS and EPDM systems), as well as coordination with building occupants to maintain uninterrupted operations.

### **Understanding of Key Project Challenges**

We recognize several critical challenges associated with this project:

- Maintaining continuous operations within an occupied courthouse environment with high public traffic and security requirements
- Managing a full tear-off to exposed deck, increasing the risk of moisture intrusion
- Identifying and repairing deteriorated cast-in-place and lightweight insulating concrete decks
- Continuous coordination with the Owner and designated representatives to align project activities with facility operations, scheduling, security requirements, and site access constraints.
- Coordinating complex flashing details at walls, curbs, and penetrations
- Ensuring proper sequencing between demolition, repairs, and installation to maintain schedule and watertight integrity

Swift Roofing has successfully addressed these same challenges on previous municipal and institutional roofing projects and will apply proven best practices to mitigate risk and ensure project success.

---

### **Approach to Continuous Building Operations & Public Access**

Maintaining uninterrupted courthouse operations is a top priority. Based on our experience with occupied facilities, including detention and government buildings, we will implement the following:

- **Phased Construction Approach:** Roofing work will be divided into manageable sections to limit exposure and disruption. Only areas that can be made fully watertight within the same work cycle will be opened.
- **Daily Coordination:** We will maintain consistent communication with the Owner and facility representatives to coordinate work activities, including advance notice of any disruptive operations.
- **Safety & Public Protection Measures:** Installation of barricades, warning signage, and controlled access zones will ensure public safety. Material staging and debris removal will be carefully managed to avoid interference with building access.
- **Noise & Disruption Management:** Work generating excessive noise or vibration will be coordinated during approved hours to minimize impact on court proceedings.

These procedures reflect the same protocols successfully implemented on the LFUCG Community Corrections project, where operations continued directly beneath active roofing work.

---

### **Moisture Protection & Temporary Dry-In**

Given the full tear-off scope, protection of the building envelope is critical. Swift Roofing employs strict moisture control protocols, including:

- **Same-Day Dry-In:** No roof area will be left exposed overnight. Temporary or permanent dry-in assemblies will be completed daily.
- **Weather Monitoring:** Continuous monitoring of weather conditions to proactively adjust work sequencing.

- **Temporary Protection Systems:** Use of temporary roofing membranes or vapor barriers as needed to maintain watertight conditions during construction.
- **Drain Protection:** Roof drains will be tested, protected, and maintained operational to prevent water accumulation.

Our approach is consistent with industry best practices and manufacturer requirements, ensuring protection of interior spaces throughout construction.

---

### Quality Control & Installation Procedures

Swift Roofing maintains a strong commitment to quality through structured oversight and adherence to manufacturer specifications:

- **Pre-Installation Coordination:** Conduct pre-installation meetings with all stakeholders to review sequencing, substrate conditions, and detailing requirements.
- **Manufacturer Compliance:** All materials will be installed in strict accordance with manufacturer specifications to ensure warranty compliance.
- **On-Site Supervision:** A dedicated superintendent will oversee daily operations, ensuring proper installation of insulation layers, SBS membrane systems, and flashing assemblies.
- **Inspection & Testing:** Regular internal inspections will be supplemented by manufacturer inspections to verify system integrity and performance.

Our experience installing multi-layer SBS systems with cold-applied adhesive, as seen on the Government Annex/Police Headquarters and other projects, ensures proper application and long-term performance.

---

### Schedule Adherence & Sequencing

Swift Roofing understands the importance of completing this project within the specified contract duration. Our scheduling approach includes:

- **Detailed Project Scheduling:** Development of a phased schedule that aligns demolition, deck repair, and installation activities efficiently.
- **Crew Allocation:** Deployment of appropriately sized and experienced crews to maintain consistent progress.

- **Material Procurement Planning:** Early coordination with suppliers to ensure timely delivery of insulation, membrane, and sheet metal components.
- **Critical Path Management:** Identification and monitoring of key activities such as deck repairs, flashing installation, cap sheet installation, sheet metal installation, and coordination with subcontractors, such as crane and stair tower operations, to prevent delays.

Our track record on similar public projects demonstrates our ability to meet or exceed schedule expectations.

---

### **Cost Control & Change Management**

Swift Roofing takes a proactive approach to cost management:

- **Thorough Pre-Construction Review:** Careful evaluation of drawings and specifications to minimize unforeseen conditions.
  - **Transparent Documentation:** Any required deck repairs or unforeseen conditions will be documented clearly and communicated promptly to the Architect and Owner.
  - **Efficient Work Practices:** Optimized labor and material usage to control costs without compromising quality.
  - **Minimization of Change Orders:** Our experience with similar projects allows us to anticipate challenges and reduce the likelihood of costly changes.
- 

### **Alignment with Past Project Experience**

The scope of this project closely mirrors our previous work, particularly:

- Full tear-off to deck and replacement with multi-layer insulation and membrane systems
- Installation of SBS modified bitumen roofing using cold-applied adhesive
- Work over both concrete and structural deck systems
- Execution in occupied, high-security, and public-use facilities

Additionally, projects such as South Laurel Middle School, LFUCG Jail Area Building 1, Baptist Health Louisville Physical Plant, and WKU Diddle Arena demonstrate our consistent

ability to deliver high-quality low-slope roofing systems across a variety of institutional environments.

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## **Conclusion**

Swift Roofing of E'town, Inc. is uniquely qualified to deliver this project successfully due to our extensive experience with similar roofing systems, our proven ability to work in occupied public environments, and our disciplined approach to safety, quality, and scheduling. We understand the technical and operational demands of this project and are committed to delivering a durable, high-performance roofing system while maintaining uninterrupted courthouse operations.

# Capacity & References

Project Name	Completion %	Anticipated Completion Date	Owner Reference
St. Thomas Midtown	25%	5/31/2026	Grace Kormos - 440-283-5622
WKU - KY Museum	0%	5/31/2026	Mark Updegraff - 270-745-5821
Simpsonville Elementary School	80%	6/30/2026	Scott Meredith - 502-655-7883

## Past Project References

Scott Dailey - WT Young; 859-621-0323

Jim Whitmer - LFUCG; 859-268-1933

Jean-Paul Grivas - LFUCG; 502-454-5632

## Supplier Reference

Galen Daihl - QXO; 502-635-6059