

Cover Letter

Lexington-Fayette Urban County Government
Bid #49-2025 Construction Material Sampling, Testing, and Special Inspections

April 24, 2025

To whom it concerns,

Thoroughbred is pleased to present this Letter of Interest to provide Construction Material Sampling, Testing, and Special Inspections for project throughout the (4) four year contract period. Thoroughbred is a local engineering firm with qualified engineers, special inspectors, and qualified lab to perform the functions for the projects that LFUCG will have that requires engineering, lab, any testing.

Over the past years, Thoroughbred has had the pleasure to work on various project in the area. Our experienced team is comprised of established engineers and special inspectors, bolstered by talented young professionals and Thoroughbred's lived out commitment to clients, communities and our team.

Our goal in respond to this Invitation to Bid and be evaluated as the top rank firm that has submitted. We are so excited for your leadership team to review the following documents. Thank you so much for the opportunity and we look forward to hearing from your team soon.

Thank you,

THOROUGHbred



David Brunker, SI
Construction Group Manager



Jonathan Hale, PE
EVP | Principal



FIRM QUALIFICATIONS

OUR PRIMARY FOCUS IS BUILDING RELATIONSHIPS

OFFICE LOCATION

Lexington, Kentucky 1409 N. Forbes Rd., Lexington, KY

CLIENT

Mr. Darrin Croucher, PE
CEO

EMAIL / PHONE

dcroucher@tbredfirm.com | 859.509.4007

Mr. Jordan Laney, PE
Principal Engineer/Project Manager.

jlhaney@tbredfirm.com | 859.314.5754

Mr. Mohammad Seraji, PE
Special Inspector of Record

mseraji@tbredfirm.com | 859.221.8741



CERTIFICATE OF ACCREDITATION



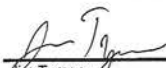
Colt Engineering, Inc
dba
Thoroughbred Engineering

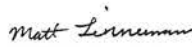
in

Lexington, Kentucky, USA

has demonstrated proficiency for the testing of construction materials and has conformed to the requirements established in AASHTO R 18 and the AASHTO Accreditation policies established by the AASHTO Committee on Materials and Pavements.

The scope of accreditation can be viewed on the Directory of AASHTO Accredited Laboratories (aashtoresource.org).


Jim Tymon,
AASHTO Executive Director


Matt Linneman,
AASHTO COMP Chair

This certificate was generated on 04/22/2025 at 1:02 PM Eastern Time. Please confirm the current accreditation status of this laboratory at aashtoresource.org/aap/accreditation-directory

FIRM QUALIFICATIONS

Accreditation Search Results

4/22/25, 12:50 PM

Find An Accredited Lab

Results found: 1

Colt Engineering, Inc dba Thoroughbred Engineering

Lexington, Kentucky [Show This Entry Only](#)

[View Accreditation Certificate](#)

James Campbell
1409 N Forbes Road
First Floor
Lexington, Kentucky 40511

Phone: (859) 585-7387

Fax:

jcampbell@tbredfirm.com

<http://www.tbredfirm.com>

Quality Management System - accredited since 10/16/2020

R18, C1077 (Aggregate), C1077 (Concrete), D3740 (Soil), E329 (Aggregate), E329 (Concrete), E329 (Soil)

Asphalt Mixture - accredited since 10/16/2020

T166 (Cores), T355, D2726 (Cores), D2950, D3549

Soil - accredited since 10/16/2020

R58, T88, T89, T90, T99, T100, T134, T180, T193, T208, T216, T265, T310, T311, D421, D422, D558, D698, D854, D1140, D1557, D1883, D2166, D2216, D2435, D2487, D2488, D2937, D4318, D4643, D4718, D4829, D6913, D6938

Rock - accredited since 10/16/2020

D4543

Aggregate - accredited since 10/16/2020

R76, R90, T11, T27, T84, T85, T255, C117, C127, C128, C136, C566, C702, D75

Concrete - accredited since 10/16/2020

M201, R60, R100 (Cylinders), T22, T119, T121, T152, T196, T231 (6000 psi and below), T309, C31 (Cylinders), C39, C138, C143, C172, C173, C231, C511, C617 (6000 psi and below), C1064, C1231 (7000 psi and below)

<https://aashtoresource.org/aap/accreditation-search-results?labname=Thoroughbred>

Project Team Qualifications

Mohammed Seraji, PE

Project Engineer

Lexington

859-785-0383

msweaji@tbredfirm.com

40 yrs as Civil Engineering

Jordan Haney, PE

Project Manager

Lexington

606-314-5754

jhaney@tbredfirm.com

8 yrs as a Geotechnical Civil Engineer

Chris Pennington

Sr. Technician

Lexington

859-983-9447

cpennington@tbredfirm.com

ACI level 1

NICET 3 Concrete

NICET 2 Soils, Asphalt

Troxler Nuclear Gauge

David Brunker, SI

Sr. Technician

Lexington

859-588-0284

dbrunker@tbredfirm.com

ACI level 1

ICC-Soils, Masonry, Concrete, Fireproofing

Troxler Nuclear Gauge

Billy Walker, SI

Technician

Lexington

502-542-5295

bwalker@tbredfirm.com

ACI level 1

ICC-Soils, Masonry, Concrete

Troxler Nuclear Gauge

Shannon Arnett, SI

Technician

Lexington

502-533-8268

sarnett@tbredfirm.com

ACI level 1

ICC-Soils, Masonry, Concrete

Troxler Nuclear Gauge

Jordan Cobb

Lexington

Technician

859-785-0383

jcobb@tbredfirm.com

ACI level 1

Troxler Nuclear Gauge

James Campbell

Lexington

Lab Technician

859-785-0383

jcambell@tbredfirm.com

ACI level 1, Strength 1

ACI Lab 2 Concrete

ACI Aggregate Base Testing

ACI-Aggregate 2

NICET-Soils 3

NICET-Concrete 2

Kurtis Neels, SI

Technician

Lexington

859-785-0383

kneels@tbredfirm.com

ACI level 1

ICC-Soils, Masonry, Concrete, Fireproofing

Troxler Nuclear Gauge

Logan Nickell

Technician

Lexington

859-785-0383

lnickell@tbredfirm.com

ACI level 1

ICC-Soils

Troxler Nuclear Gauge



LEXINGTON

Lexington-Fayette Urban County Government

Lexington, Kentucky
Horse Capital of the World

Division of Central Purchasing

Date of Issue: April 3, 2025

INVITATION TO BID #49-2025 Construction Material Sampling, Testing and Special Inspections

Bid Opening Date: April 24, 2025

Bid Opening Time: 2:00 PM

Address: 200 East Main Street, 3rd Floor, Room 338, Lexington, Kentucky 40507

Type of Bid: Price Contract

Pre Bid Meeting: N/A

Pre Bid Time: N/A

Address: N/A

Sealed bids will be received via Ion Wave, until **2:00 PM**, prevailing local time on **04/24/2025**. Bids must be received by the above-mentioned date and time. Bids should be submitted via:

Ion Wave

<https://lexingtonky.ionwave.net>

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not delivered as indicated above. All bids must be signed. Bids are to include all shipping, handling and associated fees to the point of delivery located at: Various Locations, Fayette County

<input checked="" type="checkbox"/> Bid Specifications Met	Check One: ____ Exceptions to Bid Specifications. <i>Exceptions shall be itemized and attached to bid proposal submitted.</i>	Proposed Delivery: ____ days after acceptance of bid.
Procurement Card Usage —The Lexington-Fayette Urban County Government may be using Procurement Cards to purchase goods and services and also to make payments. Will you accept Procurement Cards? _____ Yes <input checked="" type="checkbox"/> No		

Submitted by:

Colt Engineering, Inc. DBA Thoroughbred Engineering
Firm Name

1409 N Forbes Road, Suite 110
Address

Address

Lexington, Kentucky 40511
City, State & Zip

City, State & Zip

Bid must be signed:

[Signature]
Authorized Representative - Title

I. GREEN PROCUREMENT

A. ENERGY

The Lexington-Fayette Urban County Government is committed to protecting our environment and being fiscally responsible to our citizens.

The Lexington-Fayette Urban County Government mandates the use of Energy Star compliant products if they are available in the marketplace (go to www.Energystar.gov). If these products are available, but not submitted in your pricing, your bid will be rejected as non-compliant.

ENERGY STAR is a government program that offers businesses and consumers energy-efficient solutions, making it easy to save money while protecting the environment for future generations.

Key Benefits

These products use 25 to 50% less energy
Reduced energy costs without compromising quality or performance
Reduced air pollution because fewer fossil fuels are burned
Significant return on investment
Extended product life and decreased maintenance

B. GREEN SEAL CERTIFIED PRODUCTS

The Lexington-Fayette Urban County Government is also committed to using other environmentally friendly products that do not negatively impact our environment. Green Seal is a non-profit organization devoted to environmental standard setting, product certification, and public education.

Go to www.Greenseal.org to find available certified products. These products will have a reduced impact on the environment and on human health. The products to be used must be pre-approved by the LFUCG prior to commencement of any work in any LFUCG facility. If a Green Seal product is not available, the LFUCG must provide a signed waiver to use an alternate product. Please provide information on the Green Seal products being used with your bid response.

C. GREEN COMMUNITY

The Lexington-Fayette Urban County Government (LFUCG) serves as a principal, along with the University of Kentucky and Fayette County Public Schools, in the Bluegrass Partnership for a Green Community. The Purchasing Team component of the Partnership collaborates on economy of scale purchasing that promotes and enhances environmental initiatives. Specifically, when applicable, each principal is interested in obtaining best value products and/or services which promote environment initiatives via solicitations and awards from the other principals.

If your company is the successful bidder on this Invitation For Bid, do you agree to extend the same product/service pricing to the other principals of the Bluegrass Partnership for a Green Community (i.e. University of Kentucky and Fayette County Schools) if requested?

Yes ☒ No ☐

The Affidavit in this bid must be completed before your firm can be considered for award of this contract.

AFFIDAVIT

Comes the Affiant, Jordan Haney, and after being first duly sworn under penalty of perjury as follows:

1. His/her name is Jordan Haney and he/she is the individual submitting the bid or is the authorized representative of Cult Engineering, Inc. DBA Thoroughbred Engineering the entity submitting the bid (hereinafter referred to as "Bidder")
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further,

[Signature] Affiant

sayeth

naught.

STATE OF

KENTUCKY

COUNTY OF

FAYETTE

The foregoing instrument was subscribed, sworn to and acknowledged before me

by JORDAN HANEY on this the 24TH day
of APRIL, 2025

My Commission expires

[Signature] 05/23/2027

[Signature]
NOTARY PUBLIC, STATE AT LARGE

KYNP70970

Please refer to Section II. Bid Conditions, Item "U" prior to completing this form.

written notice period is negotiated prior to contract approval. Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. Assignment of Contract: The selected bidder(s) shall not assign or subcontract any portion of the bid contract with LFUCG without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this bid proposal or bid contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. Authority to do Business: Each bidder must be authorized to do business under the laws of the Commonwealth of Kentucky and must be in good standing and have full legal capacity to provide the goods or services specified in the bid proposal. Each bidder must have all necessary right and lawful authority to submit the bid response and enter into the bid contract for the full term hereof including any necessary corporate or other action authorizing the bidder to submit the bid response and enter into this bid contract. If requested, the bidder will provide LFUCG with a copy of a corporate resolution authorizing this action and/or a letter from an attorney confirming that the proposer is authorized to do business in the Commonwealth of Kentucky. All bid responses must be signed by a duly authorized officer, agent or employee of the bidder.
16. Governing Law: This bid request and bid contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this matter, the bidder agrees that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division and that the bidder expressly consents to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to these matters or any rights or obligations arising thereunder.
17. Ability to Meet Obligations: Bidder affirmatively states that there are no actions, suits or proceedings of any kind pending against bidder or, to the knowledge of the bidder, threatened against the bidder before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of bidder to perform its obligations under this bid response or bid contract, or which question the legality, validity or enforceability hereof or thereof.
18. Price Discrepancy: When applicable, in case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.
19. Bidder understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Bidder is an independent contractor at all times related to the bid response or bid contract.
20. Contractor [or Vendor or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.
21. If any term or provision of this bid contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.

Signature

Date

EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states: *The Contractor will not discriminate against any employee or applicant for employment because of physical or mental disability.*
- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states: *The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, veteran status, disability and age.


Signature

Celt Engineering, Inc. DBA Thoroughbred Engineering
Name of Business

WORKFORCE ANALYSIS FORM

Name of Organization: THOROUGHNESS ENGINEERING

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	8	6	2													6	2
Professionals	25	22	1							2						24	1
Superintendents																	
Supervisors																	
Foremen																	
Technicians	29	23	3	1	1	1										25	4
Protective Service																	
Para-Professionals																	
Office/Clerical	2		2														2
Skilled Craft																	
Service/Maintenance																	
Total:	64	51	8	1	1	1				2						55	9

Prepared by:


(Name and Title)

CORPORATE CONTROLLER

Date:

4 / 16 / 25

Revised 2015-Dec-15

MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM No. 2

Bid Number: **#49-2025**

Date: April 21, 2025

Subject: Construction Materials Testing

Address inquiries to:
Q&A Module on Ion Wave

Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following modifications to the above referenced Bid:

Please use the attached revised MWDBE goals currently being used by the Lexington-Fayette Urban County Government for your bid.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Colt Engineering, Inc. DBA Thoroughbred Engineering

ADDRESS: 1409 N Forbes Road, Suite 110 Lexington, KY 40511

SIGNATURE OF BIDDER:



**LFUCG MWDBE PARTICIPATION FORM**Bid/RFP/Quote Reference # 49-2025

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. Building & Earth Sciences 13159 Middletown Industrial Blvd. Suite D Louisville, KY 40223 502-257-1710	WBE	Geotechnical Engineering Materials Testing Special Inspections	Unknown dollar amount until contract is awarded	12%
2.				
3.				
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

CH Engineering Inc.
Company4/24/2025
DateJordan Hance, P.E.
Company RepresentativePrincipal Engineer
Title

hereby grants

National Women's Business Enterprise Certification

to

Building & Earth Sciences, Inc.

who has successfully met WBENC's standards as a Women's Business Enterprise (WBE).
This certification affirms the business is woman-owned, operated and controlled and is valid through the date herein.

Certification Granted: August 31, 2010

Expiration Date: August 31, 2025

WBENC National Certification Number: 2005116277

WBENC National WBE Certification was processed and validated by Women's Business Enterprise Council - South, a WBENC Regional Partner Organization.



Authorized by Phala Mire, President Women's Business Enterprise Council - South

NAICS: 541330, 541350, 541380
UNSPSC: 81101514, 81141501



Great Lakes
Women's
Business
COUNCIL



WBENC METRONY

WBENC GREATER WASH



WBENC PACIFIC

WBDC

WBENC WEST

WBENC FLORIDA

Subject: Re: Company question
Date: Monday, April 21, 2025 at 9:29:34 AM Eastern Daylight Time
From: Nathan M. Anderson, P.E., S.I.
To: Chris Pennington
Attachments: image001.png, image002.png, image003.png, image004.png, line_aef04319-da48-4be9-8709-02960c651eb8.png, logowithtaglinetransparentv2_70f666fc-065b-4659-afab-40bdc03a557d.png, linkedinicon_7f7c120a-a25e-4bf3-8043-c64ad942a5a8.png, facebookicon_a1b717af-7169-4f77-88c8-b39f5324cfaf.png, twittericon_17490762-fc72-40e5-bbf7-8adcf6307faa.png, youtubeicon_11cab8af-054f-4f39-934e-6569c5a1bf5e.png, blogicon_c506fc84-d2ca-4eee-9a1b-b817956135d7.png, enr-signature_beb51d39-2370-4176-ae3a-516190e32279.png, WBENC24-25.pdf

CAUTION: This email originated from an **outside sender**. Do not click links or open attachments unless you know the content is safe. **Verify all sensitive information in person or over the phone.**

We are a WBE. Certificate is attached here. Be glad to partner with you all if anything ever came up.

Thanks,

Nathan M. Anderson, P.E., S.I.
Branch Manager
13159 Middletown Industrial Blvd.,
Suite D
Louisville, KY 40223
o: (502) 267-1710
m: (270) 590-1042
e: nanderson@buildingandearth.com
w: buildingandearth.com



Headquarters: Birmingham, AL

Auburn, AL ■ Huntsville, AL ■ Montgomery, AL ■ Tuscaloosa, AL ■ Little Rock, AR ■ Springdale, AR ■ Augusta, GA ■ Columbus, GA
Louisville, KY ■ Dunn, NC ■ Raleigh, NC ■ Oklahoma City, OK ■ Tulsa, OK ■ Nashville, TN ■ DFW, Metroplex, TX ■ Virginia Beach, VA

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From: Chris Pennington <cpennington@tbredfirm.com>
Sent: Monday, April 21, 2025 9:22 AM
To: Nathan M. Anderson, P.E., S.I. <nanderson@buildingandearth.com>
Subject: Company question

CAUTION: Outside sender. Exercise caution opening attachments and clicking links.

Nathan,

Is your company considered a DBE/WBE?

CHRIS PENNINGTON

Senior Project Manager

m: 859.983.9447 | **o:** 859.785.0383

e: cpennington@tbredfirm.com

a: 1409 North Forbes Road, Suite 110

| Lexington, KY 40511

mailing address: PO Box 481 |

Lexington, KY 40588

www.thoroughbredfirm.com



LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 49-2025

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

_____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event

_____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

☒ Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

_____ Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

_____ Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

☒ Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Celt Engineering, Inc.
Company

4/24/2025
Date

Jordan Hancy
Company Representative
Principal Engineer
Title

ATTACHMENT A – SMALL AND DISADVANTAGED, MINORITY-, WOMEN-, AND VETERAN-OWNED BUSINESS OUTREACH PLAN

Proposer Name: Thoroughbred King Date: 4-24-2025
Project Name: Construction Materials Testing Project Number: 49-2025
Contact Name: Chris Pennington Telephone: 859-983-9447
Email: C.Pennington@tbredfirm.com

The mission of the Minority Business Enterprise Program is to facilitate the full participation of disadvantaged businesses, minority-, women-, veteran-, and service-disabled veteran-owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long-term economic viability of Lexington-Fayette Urban County Government.

To that end, small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, must have an equal opportunity to be utilized in the performance of contracts with public funds spent from certain discretionary agreements. By submitting its offer, Bidder/Proposer certifies that it has taken, and if there are further opportunities will take, reasonable steps to ensure that small and disadvantaged businesses, including minority-, woman-, veteran-, and service-disabled veteran-owned businesses, are provided an equal opportunity to compete for and participate in the performance of any subcontracts resulting from this procurement.

The information submitted in response to this clause will not be considered in any scored evaluation. Failure to submit this form may cause the bid or proposal to be rejected.

Is the Bidder/ Proposer a certified firm? Yes ☐ No ☒

If yes, indicate all certification type(s):

DBE ☐

MBE ☐

WBE ☐

SBE ☐

VOSB/SDVOSB ☐

and supply a copy of the certificate and/or certification letter if not currently listed on the city's Minority Business Enterprise Program's (MBEP) certified list.

1. Include a list of firms that Bidder/ Proposer has had a contractual relationship with within the last two years that are minority-owned, woman-owned, veteran-owned or small businesses, regardless of their certification status.

Forms included
(Click or tap here to enter text.)

2. Does Bidder/Proposer foresee any subcontracting opportunities for this procurement?

Yes ☒ No ☐

If no, please explain why in the field below. Do not complete the rest of this form and submit this first page with your bid and/or proposal. (Click or tap here to enter text.)

- ☐ Bidder provided adequate rationale for rejecting any small business', DBEs, MBEs, WBEs, VOSBs or SDVOSBs for lack of qualifications.
- ☐ Bidder offered assistance in obtaining bonding, insurance, financial, equipment, or other resources to small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs, in an effort to assist them in meeting project requirements.
- ☒ Bidder made efforts to expand the search for small businesses, DBEs MBEs, WBEs, VOSBs and/or SDVOSBs beyond the usual geographic boundaries.
- ☐ Bidder made other reasonable efforts to include small businesses, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation.

4. Bidder/Proposer must include documentation, including the date each effort was made, the medium through which each effort was made, and the outcome of each effort with this form, regardless of the level of small, DBE, MBE, WBE, VOSB and/or SDVOSB participation. Examples of required documentation include copies of email communications, copies of newspaper advertisements, or copies of quotations received from interested small businesses, DBEs, MBEs, WBEs, VOSBs or SDVOSBs.

Click or tap here to enter text. *Forms included*

For detailed information regarding outreach efforts that satisfy the MBE Program's requirements, please see "Documentation Required for Good Faith Efforts and Outreach Plans" page.

Note: The Bidder/Proposer must be willing to report the identity of each subcontractor and the value of each subcontract to MBEP if awarded a contract from this procurement.

Failure to submit the documentation requested may be cause for rejection of the bid. Bidders may include any other documentation deemed relevant to this requirement, which is subject to review by the MBE Liaison. Documentation of Good Faith and Outreach Efforts must be submitted with the bid, regardless of the proposed level of SBEs, DBEs, MBEs, WBEs, VOSBs and/or SDVOSBs participation in the procurement. If the Good Faith and Outreach Effort Form and associated documentation is not submitted with the bid response, the bid may be rejected.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

CLT Engineering, Inc
Company
4/24/2025
Date

Jordan Haney, P.E
Company Representative
Principal Engineer
Title

Subject: RE: MBE/DBE subcontractors
Date: Monday, April 21, 2025 at 9:17:02 AM Eastern Daylight Time
From: Sherita Miller
To: Chris Pennington
Attachments: image005.png, image006.png, image007.png, image008.png, image009.png, image010.png, LFUCG Certified List_March 2025_.xlsx

CAUTION: This email originated from an **outside sender**. Do not click links or open attachments unless you know the content is safe. **Verify all sensitive information in person or over the phone.**

Hi Chris,

Attached is a copy of LFUCG's certified list. The only company on the list that specialize in construction materials testing is Building & Earth Sciences, Inc. The company is located in Louisville, Kentucky. The contact is Malcolm Barrett, mbarrett@buildingandearth.com or at 502-267-1710.

Thanks, Sherita

Sherita Miller, MPA, CPSD
Minority Business Enterprise Liaison
Division of Procurement

859.258.3323 office
lexingtonky.gov



1775 – 2025

From: Chris Pennington <cpennington@tbredfirm.com>
Sent: Monday, April 21, 2025 8:52 AM
To: Sherita Miller <smiller@lexingtonky.gov>
Subject: MBE/DBE subcontractors

You don't often get email from cpennington@tbredfirm.com. [Learn why this is important](#)
[EXTERNAL] Use caution before clicking links and/or opening attachments.

Sherita,

I wanted to reach out and see if you are familiar with any firms that do Construction Material Testing in the Lexington area for the #49-2025 bid contract?

Thank you for any help you can provide,

CHRIS PENNINGTON

Senior Project Manager

m: 859.983.9447 | **o:** 859.785.0383

e: cpennington@tbredfirm.com

a: 1409 North Forbes Road, Suite 110

| Lexington, KY 40511

mailing address: PO Box 481 |

Lexington, KY 40588

www.thoroughbredfirm.com



Tuesday, April 22, 2025 at 09:36:06 Eastern Daylight Time

Subject: Re: Knoxville Survey Contact Us got a new submission
Date: Monday, April 21, 2025 at 9:09:07 AM Eastern Daylight Time
From: Chris Pennington
To: Abbie Jones
Attachments: image001.png, image002.png, image003.png, image004.png

Thank you.

CHRIS PENNINGTON

Senior Project Manager

m: 859.983.9447 | **o:** 859.785.0383

e: cpennington@tbredfirm.com

a: 1409 North Forbes Road, Suite 110

| Lexington, KY 40511

mailing address: PO Box 481 |

Lexington, KY 40588

www.thoroughbredfirm.com



From: Abbie Jones <abbie@abbie-jones.com>
Date: Monday, April 21, 2025 at 9:05 AM
To: Chris Pennington <cpennington@tbredfirm.com>
Cc: office@knoxvilletnsurvey.com <office@knoxvilletnsurvey.com>, office@abbie-jones.com <office@abbie-jones.com>
Subject: Re: Knoxville Survey Contact Us got a new submission

CAUTION: This email originated from an **outside sender**. Do not click links or open attachments unless you know the content is safe. **Verify all sensitive information in person or over the phone.**

We do not do testing

Thank you for reaching out

KSware is the nearest DBE that does

Sent from my iPhone
Abbie Jones Consulting
859.559.3443

On Apr 21, 2025, at 8:57 AM, No Reply <office@knoxvilletnsurvey.com> wrote:

Can't see this message? [View in browser](#)

A site visitor just submitted your form Knoxville Survey Contact
Us on [AJC - Knoxville](#)

Submission summary:

First Name:

Chris

Last Name:

Pennington

Email:

cpennington@tbredfirm.com

Message:

**I am with Thoroughbred Engineering and looking for a
MBE/DBE for the LFUCG contract for Construction
Materials Testing. I know you do surveying but wasn't
sure about other capabilities.**

Site Address:

Lexington, KY

Type of Project:
Government

[View Submissions](#)

If you think this submission is suspicious, report it as spam.

This email was sent as a notification from [this site](#).

||

EXHIBIT B

Certificate of Insurance



COLTENG-01

JPENNELL

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/30/2024

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Lexington / AssuredPartners NL 1792 Alysheba Way, Suite 300 Lexington, KY 40509	CONTACT NAME: Jamie Pennell PHONE (A/C, No, Ext): (859) 685-6533 6533 FAX (A/C, No): E-MAIL ADDRESS: jamie.pennell@assuredpartners.com														
INSURED Colt Engineering, Inc. DBA Thoroughbred Engineering Colt Exploration, LLC, Colt Management, LLC Colt Construction, LLC dba Hargett Construction 239 North Broadway Lexington, KY 40507	<table><tr><th>INSURER(S) AFFORDING COVERAGE</th><th>NAIC #</th></tr><tr><td>INSURER A : Cincinnati Insurance Company</td><td>10677</td></tr><tr><td>INSURER B : Kentucky Employers Mutual Insurance</td><td>10320</td></tr><tr><td>INSURER C : Hudson Insurance Company</td><td>25054</td></tr><tr><td>INSURER D : Travelers Property Casualty Co of America</td><td>25674</td></tr><tr><td>INSURER E :</td><td></td></tr><tr><td>INSURER F :</td><td></td></tr></table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A : Cincinnati Insurance Company	10677	INSURER B : Kentucky Employers Mutual Insurance	10320	INSURER C : Hudson Insurance Company	25054	INSURER D : Travelers Property Casualty Co of America	25674	INSURER E :		INSURER F :	
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INSURER D : Travelers Property Casualty Co of America	25674														
INSURER E :															
INSURER F :															

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> BLKT AI, WOS, PNOC GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input checked="" type="checkbox"/> LOC OTHER:			EPP 0641260	1/9/2025	1/9/2026	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 500,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 EBL AGG \$ 3,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY <input checked="" type="checkbox"/> Uninsured Motorists <input checked="" type="checkbox"/> Underinsured Motorists			EBA 0641260	1/9/2025	1/9/2026	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ UM/UIM - BI \$ 1,000,000
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$			EPP 0641260	1/9/2025	1/9/2026	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ General Aggregate \$ 5,000,000
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	408305	1/9/2025	1/9/2026	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
C	Prof/Poll			PRB 06 19 120079	1/9/2025	1/9/2026	Per Claim/Aggregate \$ 5,000,000
D	Excess Liability			EX-6S666010-24-NF	8/9/2024	1/9/2026	Ea Occ/Agg \$ 5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Surveyors/Core Drilling

Additional Insured status applies to General Liability when required by written contract.

FOR INFORMATIONAL PURPOSES ONLY

Professional/Pollution coverage does not apply to Hargett Construction

Colt Construction, LLC dba Hargett Construction Worker's Compensation: Limit: \$4,500,000, Policy term: 1/1/25-1/1/26, Carrier: Kentucky Associated General Contractors, Policy number: 23406

CERTIFICATE HOLDER

CANCELLATION

Colt Engineering, Inc. DBA Thoroughbred Engineering
Colt Exploration, LLC, Colt Management, LLC
Colt Construction, LLC dba Hargett Construction
P.O. Box 481
Lexington, KY 40588

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

EXHIBIT C

Bid for Engineering Services

and

Related Matters

MAYOR LINDA GORTON



LEXINGTON

TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

ADDENDUM No. 1

Bid Number: **#49-2025**

Date: April 14, 2025

Subject: Construction Materials Testing

Address inquiries to:
Q&A Module on Ion Wave

Brian Marcum
brianm@lexingtonky.gov
(859) 258-3325

TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following modifications to the above referenced Bid:

This is a notice that pricing units have been changed in Ionwave and a revised bid form for units is attached for submission.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the Bid and specifications are unchanged.
This letter should be signed, attached to and become a part of your Bid.

COMPANY NAME: Cult Engineering, Inc. PBH Thoroughbred Engineering

ADDRESS: 1409 N Forbes Road, Suite 110, Lexington, KY 40511

SIGNATURE OF BIDDER:



Construction Materials Sampling and Testing Fee Proposal

No.	Description	Quantity	Unit	Unit Price	Total
1.	Field Technician Services to Include	1100	Hour	75	82500
	Concrete Air Tests*				
	Concrete Slump Tests*				
	Concrete Cylinders Made*				
	Mortar Cubes Made*				
	Subgrade Moisture/Density (Soil)*				
	Engineered Fill Moisture/Density (Aggregate)*				
	Moisture Content (Soil)*				
	Asphalt Density (Field)*				
2.	Concrete Cylinders Broken	1,000	Each	20	20,000
3.	Mortar Cubes Broken	50	Each	20	1000
4.	Shotcrete Panel Test (Includes Panel)	10	Each	300	3000
6.	Rock Bearing Capacity	10	Each	75	750
7.	Special Inspections (per hour)	50	Each	75	3750
8.	Monthly Report by PE or PM	24	Each	400	400
Total Base Bid					111,400

Other Prices for Tests		Unit	Unit Price
	Particle Size Distribution (ASTM D7928)	Each	150
	Atterberg Limits	Each	90
	Plasticity Index	Each	0
	Soil Classification (ASTM D2487)	Each	0
	Density (ASTM D7263)	Each	60
	Permeability (ASTM D5084)	Each	560
	Percolation Test	Each	

Hourly Rates		Unit Price
	Project Manager	160
	Professional Engineer (P.E.)	200
	Structural Steel Systems (ICC)	125
	Field Technician	75
	Laboratory Technician	75
	Clerical	60

*Included in Field Technician Services

Note: Equipment and Mileage are incidental to tests

Signed: [Signature]

Printed: Jordan Haney, P.E.

Title: Principal Engineer

Firm: Calt Engineering, Inc.

Date: 4/24/2025