



LEXINGTON

ORIGINAL

Lexington-Fayette Urban County Government

Lexington, Kentucky
Horse Capital of the World

Division of Central Purchasing

Date of Issue: January 22, 2020

INVITATION TO BID #14-2020 Environmental Abatement Services

Bid Opening Date: February 5, 2020

Bid Opening Time: 2:00 PM

Address: 200 East Main Street, 3rd Floor, Room 338, Lexington, Kentucky 40507

Type of Bid: Price Contract

Pre Bid Meeting: N/A

Pre Bid Time: N/A

Address: N/A

Sealed bids will be received in the office of the Division of Central Purchasing, 200 East Main Street, Lexington, Kentucky, until **2:00 PM**, prevailing local time on **02/05/2020**. Bids must be received by the above-mentioned date and time. Mailed bids should be sent to:

**Division of Central Purchasing
200 East Main Street, Room 338
Lexington, KY 40507, (859) 258-3320**

The Lexington-Fayette Urban County Government assumes no responsibility for bids that are not addressed and delivered as indicated above. **Bids that are not delivered to the Division of Central Purchasing by the stated time and date will be rejected.** All bids must be signed and have the company name and address, bid invitation number, and the name of the bid on the outside of the envelope.

Bids are to include all shipping, handling and associated fees to the point of delivery located at: various locations, Lexington, KY

Check One:		Proposed Delivery:
<input checked="" type="checkbox"/> Bid Specifications Met	<input type="checkbox"/> Exceptions to Bid Specifications. <i>Exceptions shall be itemized and attached to bid proposal submitted.</i>	<input type="text"/> days after acceptance of bid.
Procurement Card Usage —The Lexington-Fayette Urban County Government may be using Procurement Cards to purchase goods and services and also to make payments. Will you accept Procurement Cards? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Submitted by: **National Environmental Contracting, Inc.**

Firm Name

2660 Technology Drive

Address

Louisville, KY 40299

City, State & Zip

President

Bid must be signed:
(original signature)

Signature of Authorized Company Representative – Title

Neil J. Stamp

Representative's Name (Typed or printed)

502-261-0800

502-261-0828

Area Code - Phone – Extension

Fax #

Neils@NEContracting.com

E-Mail Address

The Affidavit in this bid must be completed before your firm can be considered for award of this contract.

AFFIDAVIT

Comes the Affiant, Neil J. Stamp, and after being first duly sworn under penalty of perjury as follows:

1. His/her name is Neil J. Stamp and he/she is the individual submitting the bid or is the authorized representative of National Environmental Contracting, Inc. the entity submitting the bid (hereinafter referred to as "Bidder")
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Neil J. Stamp, President of NEC

STATE OF Kentucky

COUNTY OF Jefferson

The foregoing instrument was subscribed, sworn to and acknowledged before me by Neil Stamp on this the 4 day of February, 2020



PATRICIA DIXON #501758
Notary Public, State at Large, KY
My commission expires July 27, 2020

My Commission expires:

NOTARY PUBLIC, STATE AT LARGE

Please refer to Section II. Bid Conditions, Item "U" prior to completing this form.

I. GREEN PROCUREMENT

A. ENERGY

The Lexington-Fayette Urban County Government is committed to protecting our environment and being fiscally responsible to our citizens.

The Lexington-Fayette Urban County Government mandates the use of Energy Star compliant products if they are available in the marketplace (go to www.Energystar.gov). If these products are available, but not submitted in your pricing, your bid will be rejected as non-compliant.

ENERGY STAR is a government program that offers businesses and consumers energy-efficient solutions, making it easy to save money while protecting the environment for future generations.

Key Benefits

- These products use 25 to 50% less energy
- Reduced energy costs without compromising quality or performance
- Reduced air pollution because fewer fossil fuels are burned
- Significant return on investment
- Extended product life and decreased maintenance

B. GREEN SEAL CERTIFIED PRODUCTS

The Lexington-Fayette Urban County Government is also committed to using other environmentally friendly products that do not negatively impact our environment. Green Seal is a non-profit organization devoted to environmental standard setting, product certification, and public education.

Go to www.GreenSeal.org to find available certified products. These products will have a reduced impact on the environment and on human health. The products to be used must be pre-approved by the LFUCG prior to commencement of any work in any LFUCG facility. If a Green Seal product is not available, the LFUCG must provide a signed waiver to use an alternate product. Please provide information on the Green Seal products being used with your bid response.

C. GREEN COMMUNITY

The Lexington-Fayette Urban County Government (LFUCG) serves as a principal, along with the University of Kentucky and Fayette County Public Schools, in the Bluegrass Partnership for a Green Community. The Purchasing Team component of the Partnership collaborates on economy of scale purchasing that promotes and enhances environmental initiatives. Specifically, when applicable, each principal is interested in obtaining best value products and/or services which promote environment initiatives via solicitations and awards from the other principals.

If your company is the successful bidder on this Invitation For Bid, do you agree to extend the same product/service pricing to the other principals of the Bluegrass Partnership for a Green Community (i.e. University of Kentucky and Fayette County Schools) if requested?

Yes No

National Environmental Contracting, Inc.
2660 Technology Drive
Louisville, KY 40299-8424

EQUAL OPPORTUNITY AGREEMENT

Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination In Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states: *The Contractor will not discriminate against any employee or applicant for employment because of physical or mental disability.*
- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states: *The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, veteran status, disability and age.

Signature

Name of Business

National Environmental Contracting, Inc.
2060 Technology Drive
Louisville, KY 40299-6424

GENERAL PROVISIONS OF BID CONTRACT

By signing the below, bidder acknowledges that it understands and agrees with the following provisions related to its bid response and the provision of any goods or services to LFUCG upon selection by LFUCG pursuant to the bid request:

1. Bidder shall comply with all Federal, State & Local regulations concerning this type of service or good. All applicable state laws, ordinances and resolutions (including but not limited to Section 2-33 (Discrimination due to sexual orientation or gender identity) and Chapter 13 (Licenses and Regulations) of the Lexington-Fayette Urban County Government Code of Ordinances, and Resolution No. 484-17 (Minority, Women, and Veteran-Owned Businesses)) and the regulations of all authorities having jurisdiction over the project shall apply to the contract, and shall be deemed to be incorporated herein by reference.
2. Failure to submit ALL forms and information required by LFUCG may be grounds for disqualification.
3. Addenda: All addenda and IonWave Q&A, if any, must be considered by the bidder in making its response, and such addenda shall be made a part of the requirements of the bid contract. Before submitting a bid response, it is incumbent upon bidder to be informed as to whether any addenda have been issued, and the failure of the bidder to cover any such addenda may result in disqualification of that response.
4. Bid Reservations: LFUCG reserves the right to reject any or all bid responses, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by bidder in the preparation of its response.
6. Changes/Alterations: Bidder may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the bid response, and received by LFUCG prior to the scheduled closing time for receipt of bids, will be accepted. The bid response when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of bid response".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from any bidder.
8. Bribery Clause: By his/her signature on its response, bidder certifies that no employee of his/hers, any affiliate or subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.
9. Additional Information: While not necessary, the bidder may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the bid response. Additional documentation shall not serve as a substitute for other documentation which is required by the LFUCG to be submitted with the bid response.
10. Ambiguity, Conflict or other Errors: If a bidder discovers any ambiguity, conflict, discrepancy, omission or other error in the bid request of LFUCG, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. Agreement to Bid Terms: In submitting its bid response, the bidder agrees that it has carefully examined the specifications and all provisions relating to LFUCG's bid request, including but not limited to the bid contract. By submission of its bid response, bidder states that it understands the meaning, intent and requirements of LFUCG's bid request and agrees to the same. The successful bidder shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to bidder shall be authorized for services, expenses, or goods reasonably covered under these provisions that the bidder omits from its bid response.
12. Cancellation: LFUCG may unilaterally terminate the bid contract with the selected bidder(s) at any time, with or without cause, by providing at least thirty (30) days advance written notice unless a different advance written notice period is negotiated prior to contract approval. Payment for services or goods received prior to

termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. **Assignment of Contract:** The selected bidder(s) shall not assign or subcontract any portion of the bid contract with LFUCG without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. **No Waiver:** No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this bid proposal or bid contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. **Authority to do Business:** Each bidder must be authorized to do business under the laws of the Commonwealth of Kentucky and must be in good standing and have full legal capacity to provide the goods or services specified in the bid proposal. Each bidder must have all necessary right and lawful authority to submit the bid response and enter into the bid contract for the full term hereof including any necessary corporate or other action authorizing the bidder to submit the bid response and enter into this bid contract. If requested, the bidder will provide LFUCG with a copy of a corporate resolution authorizing this action and/or a letter from an attorney confirming that the proposer is authorized to do business in the Commonwealth of Kentucky. All bid responses must be signed by a duly authorized officer, agent or employee of the bidder.
16. **Governing Law:** This bid request and bid contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this matter, the bidder agrees that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division and that the bidder expressly consents to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to these matters or any rights or obligations arising thereunder.
17. **Ability to Meet Obligations:** Bidder affirmatively states that there are no actions, suits or proceedings of any kind pending against bidder or, to the knowledge of the bidder, threatened against the bidder before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of bidder to perform its obligations under this bid response or bid contract, or which question the legality, validity or enforceability hereof or thereof.
18. **Price Discrepancy:** When applicable, in case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.
19. Bidder understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Bidder is an independent contractor at all times related to the bid response or bid contract.
20. Contractor [or Vendor or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.
21. If any term or provision of this bid contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.

Signature

NEILSON
PRES.

Date

4TH FEB 2020

WORKFORCE ANALYSIS FORM

Name of Organization: National Environmental Contracting, Inc.
2660 Technology Drive
Louisville, KY 40299-8424

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators																	
Professionals																	
Superintendents																	
Supervisors																	
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals																	
Office/Clerical																	
Skilled Craft																	
Service/Maintenanc																	
Total:																	

See the attached NEC in-house form for the month of January 2020. We can produce this data for any time from requested.

Prepared by: Bethany McMillian, Controller Date: 2, 4, 20
 (Name and Title)

Revised 2015-Dec-15

Reporting Period: 01-01-20 to 01-31-20

Construction Trade	Class	Total All Employees By Trade		Black		Hispanic		Asian/Pacific Islander		American Indian/Alaskan Native		Minority Female		Total Employees		Minority Employees	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	M	F	M	F
Abatement Worker	Journeyman	1,868.00	155.00	693.50	155.00	.00	.00	.00	.00	.00	.00	.00	.00	13	1	5	1
	Apprentice	700.50	197.00	700.50	129.00	.00	.00	.00	.00	.00	.00	.00	.00	6	2	6	1
	Trainee	172.00	.00	172.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1	0	1	0
	Sub-total	3,092.50	1,850.00	1,850.00	.00	.00	.00	.00	.00	.00	.00	59.8	11.4	23	14		
Clerical	Other	160.00	328.50	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1	2	0	0
	Sub-total	488.50	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	67.2	3	0		
Insulator	Journeyman	1,351.50	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	9	0	0	0
	Apprentice	599.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	4	0	0	0
	Sub-total	1,950.50	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	13	0		
Labor - Unskilled	Other	172.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1	0	0	0
	Sub-total	172.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1	0		
Laborer	Journeyman	73.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	3	0	0	0
	Apprentice	.00	32.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	0	1	0	0
	Sub-total	105.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	30.5	4	0		
General labor	Journeyman	122.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1	0	0	0
	Sub-total	122.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1	0		
Laborer General	Journeyman	35.00	.00	18.50	.00	.00	.00	.00	.00	.00	.00	.00	.00	2	0	1	0
	Sub-total	35.00	18.50	18.50	.00	.00	.00	.00	.00	.00	.00	52.9	.0	2	1		
Management	Other	480.00	480.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	2	2	0	0
	Sub-total	960.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	50.0	4	0		
Total Journey Workers		3,449.50	155.00	712.00	155.00	.00	.00	.00	.00	.00	.00	.00	.00	28	1	6	1
Total Apprentices		1,299.50	229.00	700.50	129.00	.00	.00	.00	.00	.00	.00	.00	.00	10	3	6	1
Total Trainees		172.00	.00	172.00	.00	.00	.00	.00	.00	.00	.00	.00	.00	1	0	1	0
Grand Total		6,925.50	1,868.50	1,868.50	.00	.00	.00	.00	.00	.00	.00	27.0	17.2	51	15		

2/4/20

Bethany McMillian

(502) 261-0800

Bethany McMillian, Controller

DATE



LEXINGTON

LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # 14-2020

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.				
2.	<u>Not Applicable</u>			
3.	National Environmental Contracting, Inc. (NEC) plans to <u>SELF PERFORM</u> all the work under this contract with no sub-contractors.			
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

National Environmental Contracting, Inc.
2660 Technology Drive
Louisville, KY 40299-8424

Company

2/4/2020

Date


Neil Smith, Pres.

Company Representative

PRESIDENT

Title



LEXINGTON

LFUCG MWDBE PARTICIPATION FORM

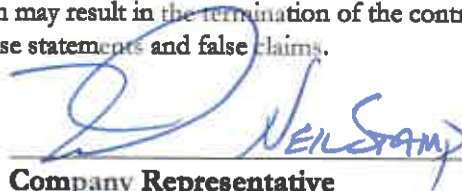
Bid/RFP/Quote Reference # 14-2020

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	MBE WBE or DBE	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1.				
2.	<u>Not Applicable</u>			
3.	National Environmental Contracting, Inc. (NEC) plans to <u>SELF PERFORM</u> all the work under this contract with no sub-contractors.			
4.				

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

National Environmental Contracting, Inc.
2860 Technology Drive
Louisville, KY 40290-6424


Neil Starny

Company

Company Representative

2/4/2020

JRES

Date

Title



LEXINGTON

LFUCG MWDBE SUBSTITUTION FORM

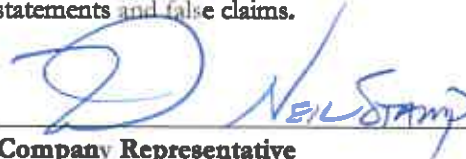
Bid/RFP/Quote Reference # 1A-2020

The substituted MWDBE and/or veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. **Failure to submit this form may cause rejection of the bid.**

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.	<u>Not Applicable</u>				
3.	National Environmental Contracting, Inc. (NEC) plans to <u>SELF PERFORM</u> all the work under this contract with no sub-contractors.				
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

National Environmental Contracting, Inc.
2660 Technology Drive
Louisville, KY 40299-6424


NEIL STAMP

Company
2/4/2020
Date

Company Representative
Pres.
Title



LEXINGTON

MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 14-2020

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Company National Environmental Contracting, Inc. 2660 Technology Drive Louisville, KY 40299-8424	Contact Person <i>Neil Stamp</i>
Address/Phone/Email <i>NEISC@NECONTRACTING.COM</i>	Bid Package / Bid Date <i>14-2020</i> <i>DUE: 2/5/2020</i>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone, meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female	Veteran
Not Applicable								
National Environmental Contracting, Inc. (NEC) plans to <u>SELF PERFORM</u> all the work under this contract with no sub-contractors.								

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

National Environmental Contracting, Inc.
Company 2660 Technology Drive
Louisville, KY 40299-8424

Neil Stamp
Company Representative

Date 2/4/2020

PRES.
Title



LEXINGTON

LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran contractors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 142020
 Total Contract Amount Awarded to Prime Contractor for this Project TBD

Project Name/ Contract # <u>TBD</u>	Work Period/ From: <u>TBD</u> To:
Company Name <u>National Environmental Contracting, Inc.</u> 2660 Technology Drive	Address:
Federal Tax ID <u>Louisville, KY 40299-6424</u> <u>261-1259001</u>	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date
<p>Not Applicable</p> <p>National Environmental Contracting, Inc. (NEC)</p> <p>plans to <u>SELF PERFORM</u> all the work under this contract with no sub-contractors.</p>							

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Company National Environmental Contracting, Inc.
2660 Technology Drive
Louisville, KY 40299-6424
 Date 2/4/2020

[Signature]
 Company Representative
Pres.
 Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 14-2020

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

_____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event

_____ Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

Not Applicable
National Environmental Contracting, Inc. (NEC)
plans to SELF PERFORM all the work under this
contract with no sub-contractors.

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

_____ Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

_____ Provided the interested MWDBE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

Not Applicable

National Environmental Contracting, Inc. (NEC)
Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

plans to SELF PERFORM all the work under this

~~_____ Other—any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.~~

contract with no sub-contractors.

NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

National Environmental Contracting, Inc.
2860 Technology Drive
Louisville, KY 40299-6424

Company


Company Representative

Date

Title

2/4/2020

2/4/2020

	Standard Rate	Overtime Rate
1 Asbestos Supervisor	\$ <u>68.00</u> /hr	\$ <u>92.00</u> /hr
2 Asbestos Worker	\$ <u>62.00</u> /hr	\$ <u>84.00</u> /hr
3 Lead Supervisor	\$ <u>68.00</u> /hr	\$ <u>92.00</u> /hr
4 Lead Worker	\$ <u>62.00</u> /hr	\$ <u>84.00</u> /hr
5 Mold Supervisor	\$ <u>68.00</u> /hr	\$ <u>92.00</u> /hr
6 Mold Worker	\$ <u>62.00</u> /hr	\$ <u>84.00</u> /hr

5.3 Prior Experience and References: Bidders shall demonstrate prior experience on asbestos, lead-based paint, and mold abatement through the submission of description of projects and reference information, including the name, address, and telephone number of a contact person (someone specifically familiar with the Contractor's time and materials work). A list of three references is required to be submitted with your bid. These references should be agencies your firm has done business with in the past year on projects with a similar scope to this one. Provide company names with contact person and telephone number. Include descriptions of projects, locations, service agreements, and records of all air monitoring data that were generated during the project.

5.4 Certification: Bidders and their employees must be certified as required by KY EPA and Dept. for Public Health for the purpose of asbestos and lead-based paint abatement. Submit proof of certification.

5.5 Response Time for Unscheduled Work: Bidders must indicate response time from phone contact by the Owner until personnel arrive on site to start work on a project.

5.6 Re-insulation: Some asbestos projects may require re-insulation. Occasionally re-insulation is required immediately after the asbestos abatement activity is completed. Bidders should describe how they would secure these services.

5.7 Citations, Penalties, and Claims: Bidders shall submit a notarized statement signed by an officer of the company, containing the following information:

5.7.1 A record of any citations issued by Federal, State, or local regulatory agencies relating to abatement activities. Include projects, dates, and resolutions, if any.

5.7.2 A list of penalties incurred through non-compliance with abatement project specifications, including liquidated damages, overruns in schedule time, limitations and resolutions.

5.7.3 Situations in which an asbestos, lead-based paint or mold related contract has been terminated including projects, dates, and reasons for terminations.

END SECTION FIVE

National Environmental Contracting, Inc.
 2880 Technology Drive
 Louisville, KY 40299-6424

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National Environmental Contracting, Inc.
2660 Technology Drive • Louisville, KY 40299-6424

Office: 502.261.0800
800.650.8893 • Fax: 502.261.0828
www.NEContracting.com

Lexington-Fayette Unban County Government ITB #14-2020 for Environmental Abatement Services

Division of Central Purchasing
200 E. Main Street, Room 338
Lexington, KY 40507

Attached you will find many documents and certifications on our firm that will meet or exceed the requirements in Section 5 of the ITB. In the event you need additional information or have any questions please do not hesitate to contact us.

- NEC has and will continue be responsive to all the environment issues required by LFUCG that include:
 - Asbestos Abatement
 - Lead Abetment
 - Mold Abatement
 - Hazardous Waste Remediation, including METH Lab clean-up
 - Demolition Services
 - Re-Insulation Services
- NEC can and will respond in LESS THAN FOUR (4) hours to any requests.
- NEC has NOT has any OSHA or EPA violations in the past 20 years and NEC has never had a contract terminated for lack of performance.

I certify the above is true and correct.

Neil J. Stamp, President

The above was subscribed, sworn to and acknowledged before me on this 5th day of February 2020.

Notary Public, State at Large



PATRICIA DIXON #561758
Notary Public, State at Large, KY
My commission expires July 27, 2020

My Commission Expires







National Environmental Contracting, Inc.
2660 Technology Drive • Louisville, KY 40299-6424

Office: 502.261.0800
800.650.8893 • Fax: 502.261.0828
www.NEContracting.com

Abatement/Demolition/Mechanical Insulation Construction Services

National Environmental Contracting, Inc. has more than 40 years of experience in the Asbestos, Lead, and Mold Abatement industry. We offer Mechanical Insulation services and are also a full line Demolition contractor. NEC has been and will continue to be an innovator in the industry. *Let us put this experience to work for you!*

- NEC was started in April of 1994 as a Kentucky corporation and still continues to operate from our home office in Louisville.
- NEC is the leader in the Louisville market place but we are licensed in 14 states and will pursue other state licensing if needed to provide you with our services.
- NEC carries **\$5,000,000 of General liability insurance** with specific coverage for Asbestos, Mold and Lead Abatement as well as Demolition.
- Our **current EMR is 0.74.**
- NEC is a union contractor and signatory to the [NMAPC](#) but has negotiated agreements with *Abatement Workers Local 207* that are very competitive and allows us to compete in the non-union markets every day.
- NEC employees have a minimum of OSHA 10 and/or OSHA 30 training as well as state specific abatement licensing. They are also crossed trained in HAZWOPER 40 hour training.
- NEC is a member of the  **Verity Connect**,  **Avetta** and  **PEC** contractor networks.
- Our D&B number is: 84-800-4073
- Our DOD/DLA CAGE number is: 09ETO
- Our SAM  number is: 848004073
- Our Federal ID number is: 61-1259061
- Our firm is a 60% woman owned self-certified business

**Contact Neil Stamp via email Neils@NEContracting.com
or Bryan Daub via email Bryand@NEContracting.com**

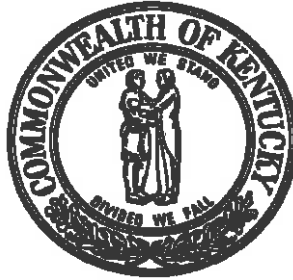


National Environmental Contracting, Inc.
2660 Technology Drive • Louisville, KY 40299-6424

Office: 502.261.0800
800.650.8893 • Fax: 502.261.0828
www.NEContracting.com

Select Clients and References

<u>Client Name (Contract Contact)</u>	<u>Years</u>	<u>Type of Work</u>	<u>\$\$ Amount</u>
Ms. Cheryl Hildreth, CIH and Director University of Louisville Dept. Environmental Health and Safety 1800 Arthur Street Louisville, KY 40208-2759 (502) 852-6670	1994-20	Asbestos Abatement Lead Abatement Hazardous Waste Remediation General Demolition Mold Abatement	\$3,500,000+
Mr. Bernard Pincus, Director Modernization Louisville Metro Housing Authority 420 S. 8 th Street Louisville, KY 40209 (502) 569-3457	2007-20	Asbestos Abatement Lead Abatement Mold Abatement	\$1,200,000+
Mr. Steve Legler, Operations Manager Louisville Gas and Electric Company Mill Creek Generating Station 14660 Dixie Highway Louisville, KY 40272 (502) 933-6597	1995-20	Asbestos Abatement Lead Abatement Hazardous Waste Rem. General Demolition Mechanical Insulation	\$10,000,000+
Ms. Cathy Duncan & Ms. Carrie Fry Louisville Metro Government Agencies Louisville, KY 40202 (502) 574-2754 & (502) 574-4174	2000-20	Asbestos Abatement Hazardous Waste Remediation Mold and Lead Paint Remediation Mechanical Insulation & Heavy Demolition	\$5,000,000+
Mr. Charles Hevalow, Project Manager III SPX Cooling Technologies, Inc. 7401 West 129 Street Overland Park, KS 66213 (913) 664-7689	2007-20	CT Abatement & Demo	\$10,000,000+
Ms. Johanna Newton, Project Coordinator ENEXIO US LLC 17757 US Highway 19 North, Suite 275 Clearwater, FL 33764 (727) 530-9449	2010-18	CT Abatement & Demo	\$3,500,000+



Energy and Environment Cabinet

**DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION FOR AIR QUALITY**

This Asbestos Abatement Certificate is Awarded to

NATIONAL ENVIRONMENTAL CONTRACTING INC

in recognition that their personnel listed below have demonstrated proficiency and have fulfilled the training required in 401 KAR 58:040.

**Garry Riggs
Richard Adkins
Fredrick C Mattice
Joseph LeBlanc
Stephen Riggs
Michael Johnson
Robert Tennill
Thomas Sutherland
Eddie Allmon
Bryan J Daub**

**Neil J Stamp
Donald E Bobby
James C Branson
John W Clark
Danny W Geary
Terry W Dillow
Kelth Cooper
Bernie Humphrey
Lawrence Gray**

This certificate is issued subject to the following conditions:

**This entity shall comply with 401 KAR 58:025 and 401 KAR 58:040.
A person listed hereon must be on site during asbestos abatement activities.**

**Jennifer Spradlin
Field Support Section**

CERTIFICATE NO. 97951

EFFECTIVE December 19, 2019

EXPIRES March 12, 2020



Kentucky Department for Public Health

Division of Public Health Protection and Safety

This is to certify that

National Environmental Contracting, Inc

Having satisfied the requirements of the Kentucky Lead-Hazard Detection and Abatement Act is hereby certified on 12/18/2019 as a

Lead-Hazard Company

To perform lead-hazard detection and abatement activities for the Commonwealth of Kentucky

Certification Number: 45-001

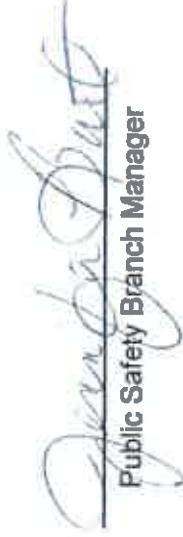
Expiration Date: 12/18/2021

Certification Type: Renewal

This certificate is subject to revocation, suspension, modification or amendment by the Department for causes including evidence of noncompliance for reasons listed in KRS 211.9063(4); or for any misrepresentation made in the application.



Kentucky Public Health
Prevent. Promote. Protect.


Public Safety Branch Manager

**This is a typical Certificate of Training for employees working with Mold.
There is no State of Kentucky licensing for Mold Abatement Contractors**

ASBESTOS WORKERS/LOCAL 207

Cert# 28548

26465 Northline Rd., Taylor, MI 48180 (734) 947-1745

Training Location: Louisville, KY

CERTIFICATE OF TRAINING

Presented to:

James Branson S.S. # -5696

HAS SUCCESSFULLY COMPLETED THE COURSE OF

MOLD

REMEDIATION & AWARENESS

designed to meet the recommendations of the EPA-IED Division.

Neil W. Pittman

INSTRUCTOR

COURSE DATE: 10/31/03



ENVIRONMENTAL AND PUBLIC PROTECTION CABINET

Ernie Fletcher
Governor

Department for Environmental Protection
Division of Waste Management
14 Reilly Road
Frankfort, Kentucky 40601-1190
www.kentucky.gov

Teresa J. Hill
Secretary

November 5, 2007

Neil A. Stamp
National Environmental Contracting, Inc.
2660 Technology Drive
Louisville, KY 40299

Re: Certification to perform Methamphetamine Decontamination Services in Kentucky
National Environmental Contracting, Inc.
Agency Interest# 97951

Dear Neil,

The Kentucky Division of Waste Management (KDWM) received your application for Meth Cleanup Contractor Certification on 9/21/07. We have reviewed your application and found that it meets the requirements of KRS 224.01-410(4) and 803 KAR 2:403; therefore you are certified to perform clandestine methamphetamine decontamination services in the Commonwealth of Kentucky as of the date of this letter.

The financial assurance required under KRS 224.01-410(4)(a)2, which is being provided in part by KDWM, is limited only to the financial assurance needed to ensure clean-up as required under KRS 224.01-410(4)(a)2. In providing the financial assurance KDWM is not liable or responsible in any way for any actions or omissions that occur during the course of any decontamination service you perform. You remain solely liable and responsible for any and all actions taken or omissions in performing methamphetamine decontamination services.

KDWM has made available detailed guidelines that must be followed in performing meth lab decontamination services. The document entitled *Kentucky Methamphetamine Lab Decontamination Guidance for Inhabitable Properties, November 2007* (see attached) can also be found on the KDWM's webpage: <http://www.meth.ky.gov/branches/sf/meth/cleanupguidance> You must also certify that the decontamination service will be performed safely and in accordance with 803 KAR 2:403, and all applicable federal and state worker safety standards and regulations related to cleanup of hazardous waste sites and possible exposure to hazardous chemicals. You must also certify that the decontamination meets the standard of less than or equal to one-tenth of one microgram of methamphetamine per 100 square centimeters of surface material that is most likely to be contaminated. The post-decontamination clearance wipe sampling methodology must be consistent

Mr. Neil A. Stamp
Page Two
November 5, 2007

with the referenced guidance, and all analytical laboratory testing must be conducted by an EPA-accredited laboratory utilizing EPA SW-846 Method 8270C Modified with appropriate quality assurance/quality control (QA/QC) supporting documentation. If an accredited laboratory uses another method for meth analysis, supporting documentation including QA/QC data must be submitted to KDWM. Please note that KDWM requires submittal of a Contractor's Certificate of Decontamination (CCD) for each inhabitable property that you decontaminate within 30 days following remediation. See Appendix B of the above referenced guidance for the CCD report format.

You must also report any changes in your registration information with KDWM such as address, financial assurance and/or liability insurance changes within 30 days. You must also maintain compliance with all annual or other refresher safety training as required by OSHA. Failure to comply fully with the requirements of this certification and KRS 224.01-410, and 803 KAR 2:403 may result in the immediate revocation of your certification by KDWM.

If you have any questions please contact, Kim Leingang at 502-564-6716 ext. 236 or email at kim.leingang@ky.gov. Please include your Agency Interest Number (see above) in all correspondence with the cabinet.

Sincerely,



R. Bruce Scott, P. E., Director
Division of Waste Management

Cc:
Guy Dellus, CFHS
Mike Sapp, Kentucky State Police
Lynne Spencer, Kentucky State Police Meth Coordinator
David Stumbo, KyOSH
Kim Leingang, KDWM

Attachment

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

Go to www.irs.gov/FormW9 for instructions and the latest information.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
National Environmental Contracting, Inc.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

Individual/sole proprietor or single-member LLC

Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____
 Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

Other (see instructions) ▶

C Corporation

S Corporation

Partnership

Trust/estate

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
 Exempt payee code (if any) _____
 Exemption from FATCA reporting code (if any) _____
 (Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.
2880 Technology Drive

6 City, state, and ZIP code
Louisville, KY 40299

7 List account number(s) here (optional)

8 Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number

			-				
--	--	--	---	--	--	--	--

OR

Employer identification number

6	1	-	1	2	5	9	0	6	1
---	---	---	---	---	---	---	---	---	---

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person ▶ *NEK STAMP, PRES.*

Date ▶ *23 JAN 2020*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1088 (home mortgage interest), 1088-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
06/11/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER The Underwriters Group, Inc. 1700 Eastpoint Parkway P.O. Box 23790 Louisville, KY 40223	CONTACT NAME: PHONE (A/C No., Ext): 502-244-1343 FAX (A/C No.): 502-244-1411 E-MAIL ADDRESS:													
	<table border="1"> <thead> <tr> <th>INSURER(S) AFFORDING COVERAGE</th> <th>NAIC#</th> </tr> </thead> <tbody> <tr> <td>INSURER A: Crum & Forster Specialty Insurance</td> <td>44520</td> </tr> <tr> <td>INSURER B: Cincinnati Insurance Company</td> <td>10677</td> </tr> <tr> <td>INSURER C: Kentucky Employers' Mutual Insurance</td> <td>10320</td> </tr> <tr> <td>INSURER D: Zurich American Insurance Company</td> <td>16535</td> </tr> <tr> <td>INSURER E:</td> <td></td> </tr> <tr> <td>INSURER F:</td> <td></td> </tr> </tbody> </table>	INSURER(S) AFFORDING COVERAGE	NAIC#	INSURER A: Crum & Forster Specialty Insurance	44520	INSURER B: Cincinnati Insurance Company	10677	INSURER C: Kentucky Employers' Mutual Insurance	10320	INSURER D: Zurich American Insurance Company	16535	INSURER E:		INSURER F:
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INSURER D: Zurich American Insurance Company	16535													
INSURER E:														
INSURER F:														
INSURED National Environmental Contracting, Inc. 2660 Technology Drive Louisville, KY 40299														

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Ded/SIF \$10k GL <input checked="" type="checkbox"/> Poli & E&O Each Act GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC <input checked="" type="checkbox"/> OTHER: EBL \$1M/\$5k Ded	X X	EPK127251	07/01/2019	07/01/2020	EACH OCCURRENCE \$5,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$50,000 MED EXP (Any one person) \$5,000 PERSONAL & ADV INJURY \$5,000,000 GENERAL AGGREGATE \$5,000,000 PRODUCTS - COMP/OP AGG \$5,000,000 Pollution Liab \$5,000,000
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> OTC Ded \$1k <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS <input checked="" type="checkbox"/> COLL Ded \$1k	X X	ENP0144179 Physical Damage Scheduled Vehicles	07/01/2019	07/01/2020	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
B	<input checked="" type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB OCCUR CLAIMS-MADE DED RETENTION \$ 0	X X	ENP0144179 Underlying Auto, KY WC & Other States Employer Liability	07/01/2019	07/01/2020	EACH OCCURRENCE \$1,000,000 AGGREGATE \$1,000,000 \$
C D	<input checked="" type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below <input type="checkbox"/> Y/N <input checked="" type="checkbox"/> N/A	N/A	299912-KY Only WC594743604-Other States EL Limit \$1M/\$1M/\$1M	07/01/2019 07/01/2019	07/01/2020 07/01/2020	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$2,000,000 E.L. DISEASE - EA EMPLOYEE \$2,000,000 E.L. DISEASE - POLICY LIMIT \$2,000,000
A	<input checked="" type="checkbox"/> Transportation Poll. Liab <input checked="" type="checkbox"/> Contractor's Pollution Liab	X X	EPK127251	07/01/2019	07/01/2020	Aggregate 1,000,000 Aggregate 5,000,000 Ded per Occur 10,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER IFUCCG Contractor's Registration 200 East Main Street Lexington, KY 40507	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
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