



U.S. Department of Homeland Security
FEMA Region IV
3003 Chamblee Tucker Road
Atlanta, GA 30341

FEMA

August 24, 2017

Michael E. Dossett, Director
Kentucky Division of Emergency Management
100 Minuteman Parkway
Frankfort, Kentucky 40601-6168

Attention: Ms. Geneva "Geni Jo" Brawner
State Hazard Mitigation Officer

Reference: HMGP DR-4239-0020 Lexington- Fayette Urban County Government (LFUCG)
Thompson Road Recycling Center Safe Room Project.

Dear Mr. Dossett:

I am pleased to inform you that the project referenced above has been approved for \$93,610 with a Federal share of \$70,208 and a non-federal share of \$23,402. Financial approval documents for the award are enclosed for your records.

The following is the approved Statement of Work (SOW) for the above referenced project:

- The proposed project will install a stand-alone, above ground safe room that will be built to FEMA P-361 safe room standards. The safe room will be accessible from various exits of the main building and provide protection to all the employees and visitors during severe storms, strong winds, and tornadoes.

Safe Room Location:

- The project site location is 360 Thompson Road in Lexington KY (38.0856833, -84.516691)

Environmental Conditions:

1. Any change to the approved scope of work will require re-evaluation for compliance with NEPA and other Laws and Executive Orders.
2. This review does not address all federal, state and local requirements. Acceptance of federal funding requires recipient to comply with all federal, state and local laws. Failure to obtain all appropriate federal, state and local environmental permits and clearances may jeopardize federal funding.

3. If ground disturbing activities occur during construction, applicant will monitor ground disturbance and if any potential archeological resources are discovered, will immediately cease construction in that area and notify the State and FEMA.
4. NHPA: If human remains or intact archaeological deposits are uncovered, work in the vicinity of the discovery will stop immediately and all reasonable measures to avoid or minimize harm to the finds will be taken. The applicant will ensure that archaeological discoveries are secured in place, that access to the sensitive area is restricted, and that all reasonable measures are taken to avoid further disturbance of the discoveries. The applicant's contractor will provide immediate notice of such discoveries to the applicant. The applicant shall contact the Kentucky Heritage Council Site Protection Program and FEMA within 24 hours of the discovery. Work in the vicinity of the discovery may not resume until FEMA has completed consultation with SHPO, Tribes, and other consulting parties as necessary. In the event that unmarked human remains are encountered during permitted activities, all work shall stop immediately and the proper authorities notified in accordance with Kentucky Statutes, Section 72.02.
5. NHPA: Any changes to the approved scope of work will require submission to, and evaluation and approval by, the State and FEMA, prior to initiation of any work, for compliance with Section 106.

FEMA will not establish activity completion timeframes for individual sub-grants. Grantees are responsible for ensuring that all approved activities are completed by the end of the grant POP. The HMGP application period for DR-4239-KY closed on August 11, 2016. The period of performance (POP) of the grant award will be 36 months from the close of the application period for DR-4239-KY. Any extensions of the grant POP must be submitted to FEMA 60 days prior to the expiration date.

This project must adhere to all program guidelines established for the Hazard Mitigation Grant Program.

For close-out of this project, the Governor's Authorized Representative shall send a letter of request to close the project programmatically and financially. The letter will include the following: the date work on the project was fully completed, the date of the Grantee's final site inspection for the project, the final total project cost and Federal share, any cost underrun, a certification that reported costs were incurred in the performance of eligible work, that the approved work was completed, that the required programmatic, environmental, and any other conditions were met and that the mitigation measure is in compliance with the provisions of the Agreement Articles and this award letter.

In order to ensure this project has been completed in compliance with the approved SOW, the grantee must submit the following to FEMA at close-out:

- Certification from a licensed Professional Engineer or a Registered Architect, that the safe room and all items that contribute to the operation of the safe room have been constructed to meet or exceed FEMA Publication 361 for community safe rooms including but not limited to the foundation and safe room anchoring requirements, doors, windows, exterior above-ground generators and attendant fuel sources, electrical or passive ventilation,

communications equipment, signage, steps, stairs, elevators, lifts and eligible below ground electrical lines.

- Documentation that demonstrates the required hazard insurance has been secured if located within the FEMA identified Special Flood Hazard Area.
- Photographs of the structure
- Final latitude and longitude of the safe room with an accuracy of +/- 20 meters.
- A copy of the Grantee's final site inspection report.
- A copy of the final Operations and Maintenance Plan.

Quarterly progress reports for HMGP projects are required. Please include this HMGP project in your future quarterly reports.

The National Environmental Policy Act (NEPA) stipulates that additions or amendments to a HMGP subgrantee SOW may have to be reviewed by all State and Federal agencies participating in the NEPA process.

The State (grantee) must obtain prior approval from the Federal Emergency Management Agency (FEMA) before implementing changes to the approved project SOW. Per the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments:

- For construction projects, the grantee must "obtain prior written approval for any budget revision which would result in a need for additional funds" (44 CFR 13(c)).
- A change in the scope of work must be approved by FEMA in advance regardless of the budget implications.
- The grantee must notify FEMA as soon as significant developments become known, such as delays or adverse conditions that might raise costs or delay completion, or favorable conditions allowing lower cost or earlier completion.

The obligation report is enclosed for your records. Management and environmental reports are available in NEMIS. The obligated funds are available for withdrawal from **Smartlink** on sub-account number **4239DRKYP00000205**.

If you have any questions, please contact Kenya Grant of my staff at (770) 220-8893.

Sincerely,

Jacky Bell, Chief
Hazard Mitigation Assistance Branch
Mitigation Division

Enclosures

FEDERAL EMERGENCY MANAGEMENT AGENCY
HAZARD MITIGATION GRANT PROGRAM

Obligation

Disaster No	FEMA Project No	Amendment No	State Application ID	Action No	Supplemental No	State	Recipient
4239	20-R	0	20	1	9	KY	Statewide

Sub-Recipient: Lexington-Fayette (corporate name for Lexington) Project Title : Thompson Road Recycling Center Safe Room
Sub-Recipient FIPS Code: 067-46027

Total Amount Previously Allocated	Total Amount Previously Obligated	Total Amount Pending Obligation	Total Amount Available for New Obligation	Project Amount	Recipient Admin Est	Sub-Recipient Admin Est	Total Obligation	IFMIS Date	IFMIS Status	FY
\$70,208	\$70,208	\$0	\$0	\$70,208	\$0	\$0	\$70,208	08/25/2017	Accept	2017

Comments

Date: 08/25/2017 User Id: ASMITH20

Comment: oDR-KY-4239-0020-R-App ID 20 LFUCG Fayette County Thompson Rd Recycling Ctr Tornado Shelter: Federal Funds \$70,208 App Reported on August Spend Plan.

Date: 08/25/2017 User Id: DBURKETT

Comment: 4239-0020-R-DR-KY-HMGP Fayette County Aplcn 20 Amendment 0 Action 1 Thompson Rd Recycling Ctr Tornado Shelter Allocation reported on the August Spend Plan Federal share \$70,208 approved, HMO

Authorization

Preparer Name: ASHANTI SMITH

Preparation Date: 08/25/2017

HMO Authorization Name: DEBORAH BURKETT

HMO Authorization Date: 08/25/2017