

## CHAPTER 9

### FINANCIAL MECHANISMS

#### A. Financial Mechanisms

1. Check all items that apply for the funding of your Solid Waste Program.

Line Item in County Budget  Collection franchise fees  109 Taxing Board

General Fund  Host agreement fees  Other:  (List below)

Landfill fund, Ad Valorem fees on property value, fees for loan a box, revenue from sale of recyclables, dumpster permit fees, dumpster collection fees (additional pick ups), and tip fees at compost facility and convenience center.

2. The Solid Waste Coordinator's position is funded by what source?

Line Item in County Budget  Collection franchise fees  109 Taxing Board

General Fund  Host agreement fees  Other:  (List below)

Landfill Fund

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3. List all fees/revenues collected by local government for solid waste management. Examples of fees/revenue are: fees charged for disposal facilities under KRS 68.178; fees charged by local government for garbage collection; 109 taxes, franchise and/or permit fees charged by local government; fees charged at transfer stations or convenience centers if owned by local government; and revenue received from the sale of recyclables.

Type of Fees/Revenues:	Anticipated Amounts Collected				
	FY13	FY14	FY15	FY16	FY17
Waste Mgmt. Facilities KRS 68.178 (License Fee)	N/A	N/A	N/A	N/A	N/A
Municipal Garbage Collection ( <b>refuse ad valorem</b> ) *	\$26,172,345	\$26,830,374	\$27,504,928	\$28,196,422	\$28,905,280
Franchise Fee	N/A	N/A	N/A	N/A	N/A
Permit Fee	N/A	N/A	N/A	N/A	N/A
Transfer Station ( <b>Host Fee</b> ) ^	\$218,000	\$223,450	\$229,036	\$234,762	\$240,631
Convenience Center	N/A	N/A	N/A	N/A	N/A
109 or Other Tax	N/A	N/A	N/A	N/A	N/A
Proceeds from Sale of Recyclables *	\$2,870,000	\$2,941,750	\$3,015,294	\$3,090,676	\$3,167,943
Landfill User Fees ( <b>and other LF Fund Rev</b> ) ^	\$6,707,030	\$6,874,706	\$7,046,573	\$7,222,738	\$7,403,306
Host Agreement	N/A	N/A	N/A	N/A	N/A
General Revenue	N/A	N/A	N/A	N/A	N/A
Federal PRIDE grants	N/A	N/A	N/A	N/A	N/A
State Recycling grants	N/A	N/A	N/A	N/A	N/A
State Illegal Open Dump grants	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000
State Waste Tires	N/A	N/A	N/A	N/A	N/A
State Litter Abatement grants	\$141,452	\$141,452	\$141,452	\$141,452	\$141,452
Street Cleaning Ad Valorem, Urban Services Fund *	\$1,688,189	\$1,730,226	\$1,773,310	\$1,817,469	\$1,862,727
Other: Other Urban Services Revenue *	\$1,476,865	\$1,513,786	\$1,551,631	\$1,590,422	\$1,630,182
<b>Total Amount Anticipated</b>	<b>\$39,348,881</b>	<b>\$40,330,744</b>	<b>\$41,337,224</b>	<b>\$42,368,940</b>	<b>\$43,426,522</b>

4. Provide the following information on anticipated expenditures during the 5-year update period.

	Anticipated Expenditures / Budget				
	FY13	FY14	FY15	FY16	FY17
Capital Expenditures *	\$5,141,000	\$32,725,000	\$3,855,000	\$3,855,000	\$10,305,000
Personnel	Embedded in other functional expenses below				
Collection ^	\$30,237,235	\$30,993,166	\$31,767,995	\$32,562,195	\$33,376,250
Disposal ^	\$2,366,660	\$2,425,827	\$2,486,472	\$2,548,634	\$2,612,350
Enforcement ^	\$252,669	\$258,986	\$265,460	\$272,097	\$278,899
Open Dumps **	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000
Litter Cleanups **	\$141,452	\$141,452	\$141,452	\$141,452	\$141,452
Education Activities ^^	\$1,141,430	\$1,169,966	\$1,199,215	\$1,229,195	\$1,259,925
Recycling Costs/Expenses ^	\$3,143,375	\$3,221,959	\$3,302,508	\$3,385,071	\$3,469,698
Street Cleaning^^^	\$1,820,716	\$1,872,832	\$1,926,467	\$1,981,665	\$2,038,473
Other Expenditures^^^	\$6,591,034	\$6,623,384	\$6,656,543	\$6,690,531	\$6,725,369
<b>Total Costs</b>	<b>\$50,910,570</b>	<b>\$79,507,572</b>	<b>\$51,676,113</b>	<b>\$52,740,841</b>	<b>\$60,282,415</b>

\* CIP only; also, vehicles and other operating capital embedded in functional areas

\*\* based on past KY PRIDE open dump cleanup and litter abatement grants

^ based on 2012 rate model analysis by MSW Consultants, Inc.

^^ LFUCG Div. of Env. Policy Adopted Budget, plus 2.5% escalation factor

^^^Div. of Budgeting Cash Flow Analysis for Urban Services Fund, plus Landfill Fund data

Capital (CIP) Breakdown	<b>FY13</b>	<b>FY14</b>	<b>FY15</b>	<b>FY16</b>	<b>FY17</b>
Landfill Closure	\$4,391,000				
Landfill Post Closure		\$400,000	\$400,000	\$400,000	\$400,000
HPLF Wetlands		\$325,000	\$5,000	\$5,000	\$5,000
New MRF		\$31,400,000	\$3,200,000	\$3,200,000	\$3,200,000
HHW facility	\$750,000	\$200,000	\$200,000	\$200,000	\$200,000
Organics facility		\$400,000	\$50,000	\$50,000	\$6,500,000
	\$5,141,000	\$32,725,000	\$3,855,000	\$3,855,000	\$10,305,000

Other Landfill Fund Expenses (from FY13 Adopted Budget):

Indirect	\$133,000				
Law	\$16,680				
Finance	\$4,880				
Revenue	\$819,830				
EQPW	\$309,620				
Adult / Tenant Serv	\$10,000				
	\$1,294,010	\$1,326,360	\$1,359,519	\$1,393,507	\$1,428,345
		+2.5%	+2.5%	+2.5%	+2.5%