

## BID #45-2022

# **Surveying Services Cardinal Run North Park**

Lexington-Fayette Urban County Government August 8, 2022







### BID #45-2022

# **Topographic Survey Services - Cardinal Run North Park - Lexington-Fayette Urban County Government**

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August 8, 2022

Division of Central Purchasing Lexington-Fayette Urban County Government Room 338, Government Center 200 East Main Street Lexington, KY 40507

**Re:** BID #45-2022: Surveying Services Cardinal Run North Park

**Dear Selection Committee Members:** 

GRW has the qualifications you need including decades of LFUCG experience, completion of numerous surveying and transportation projects, and local interest in Central Kentucky and the surrounding areas.

This GRW team has a long history of providing surveying services for municipal infrastructure projects, including many for you. In addition, most of our team members are long-time and involved members of the Lexington community.

This GRW team has the experience, dedication, interest, and capacity you are looking for. Please contact me if you have any questions regarding our experience or qualifications document. We look forward to continuing our working relationship with LFUCG.

Sincerely,

Brian Novy

Survey Project Manager

bnovy@grwinc.com | 859-223-3999

#### 1.0 Scope of Work and Pricing

GRW has fully reviewed the requested SOW in RFP 45-2022 and is proposing the following.

The scope of work that is proposed, will be a topographic and property survey with easements of the properties located at 3280 Versailles Road, 2001 Parkers Mill, and 3280 Versailles Road, Lexington, Kentucky.



At least four (4) control points and two (2) benchmarks (TBMs) will be set (or recovered) in close proximity to the site, to utilize for future surveying missions. These will be set in areas that should not be susceptible to disturbance during any future construction for this project.

The datum for the survey will be US State Plane, NAD83 (NA2011), Kentucky North Zone, NAVD88 (Greoid18).

Existing property line evidence will be collected during the recon mission. Items such as iron pins & pipes, etc. will be recovered and surveyed. Found property line evidence will them be reported back to the office. A survey technician will place the record plat in AutoCAD and adjust the plat to the found evidence. The field crew will re-mobilize to the jobsite and reset any of the missing corners. If the corner is still present and in acceptable condition, its position will hold, and no new pin will be set.

All of the subject property corners will have a 36" wooden stake with florescent pink flagging placed near the property corner. Any reset corners will be a 24" by 5/8" rebar (No. 5) with an aluminum cap stamped with the surveyor in responsible charge's KY Professional Land Surveyor (PLS) license number.

The existing 100-year floodplain will be shown as denoted by FEMA off of its online GIS map. Zoning of property will be shown as denoted by LFUCGs online GIS map.

The following will be surveyed and shown on the final plat and AutoCAD Civil 3D drawing:

- Planimetric features such as:
  - Existing buildings & permanent structures
  - Finished Floor of buildings
  - Pavement edges
  - Curb and gutter
  - Paint striping
  - Adjoining streets
  - Driveway cuts
  - Mailboxes
  - Both edges of sidewalks
  - Centerline of roads
  - Location of existing fence lines with heights
  - o Center of individual trees greater than 18" diameter (area 1 only, diameter at breast height)
  - Outline of wooded areas in both area 1 and area 2
- Topographic features to generate 1' contours such as:
  - Ground shots and ± 20' intervals
  - Top and bottoms of slopes
  - Ditch, creek, or stream features
    - Top of bank
    - Edge of water
    - Centerline or flowline (thread)
- Utilities such as:
  - Visible utilities
    - Manholes
    - Catch basins
    - Valves
    - Meters
    - Power Poles
    - Light poles
    - Culverts
    - Fire Hydrants
    - Cleanouts

- Overhead lines
- Subsurface utilities
  - Power
  - Communications
  - Gas
  - Water (if traceable)
  - Storm and sanitary sewers
    - Inverts
    - Pipe material
    - Pipe Size

All work will be supervised and checked by a Licensed Kentucky Professional Land Surveyor.

#### **DELIVERABLES**

The deliverables will include an electronic copy of the signed and sealed survey drawing in PDF and DWG formats.

#### **SCHEDULE**

GRW is ready to begin work immediately and is proposing all work be completed and deliverables submitted for the project by the end of business, thirty (30) business days after the Notice To Proceed (NTP).

#### COST

**GRW Survey** ......\$28,870

# 2.0 Firm Qualifications

#### **Firm's Name and Contact**

#### **GRW | Brian Novy, CST II Survey Project Manager**

801 Corporate Drive | Lexington, KY 40503-5404 Tel: (800) 432-9537 or (859) 223-3999 ext. 308

Fax: (859) 519-4507 | bnovy@grwinc.com | www.grwinc.com

#### Name of Firm / Ownership/Year Established

Formed in 1964, GRW Engineers, Inc. (dba GRW) is a Large, Private Corporation, with 200 Personnel.



GRW's Headquarters, based in Lexington, KY

#### **Background, Services, and Financial Strength**

GRW was founded by Mr. G. Reynolds Watkins. We provide engineering, aerial photography, LiDAR, mapping, surveying, GIS, architectural design, and related services. GRW's longevity and strong financial stability are due in part to our commitment to customer satisfaction and excellent record of performance. Our Dun & Bradstreet number is: 051833994. *Insurance Reference*: Powell Walton Milward | Sandy Black | 360 East Vine Street, Lexington, KY 40508 (859) 254-8023. *Trade Credit Reference*: Lynn Blue Print | 328 Old East Vine Street, Lexington, KY 40508 (859) 255-1021

From Boundary Surveys, to Topographic Surveys, to Geodetic Control Surveying, and many more specialized fields, our cutting-edge technology has resulted in the successful delivery of quality projects throughout the United States.

We have conducted numerous similar survey projects in Kentucky and across the nation involving all of the tasks required for this contract. In completing these projects, GRW has developed extensive quality control and data validation procedures to ensure that the data delivered to the client will be of the highest quality.

#### GRW is proud to have:

- Multiple Qualified Professionals including numerous Kentucky Licensed Professional Land Surveyors
- ✓ The latest in surveying hardware and software
- ✓ Highly trained technical staff
- ✓ An active role in the Kentucky Association of Professional Land Surveyors
- ✓ Provided services Central Kentucky since 1974
- ✓ Multiple MBE/DBE subs to assist us when possible



#### **Multidiscipline Team**

With a staff of more than 200 professionals, GRW offers comprehensive technical expertise for all types of infrastructure design from concept design through construction administration and inspection. Our wide range of professional services includes:

- Ground Surveys
- Aerial Photography, Mapping and Surveying
- LiDAR
- Transportation Engineering
- Water, Wastewater and Stormwater Engineering
- Civil/Site Engineering
- Landscape Architecture
- Electrical Engineering
- Mechanical Engineering
- Structural Engineering

- Architecture
- Master Planning
- Geographic Information Services
- Construction Administration and Resident Project Representation (Inspection)
- Operations & Management Support
- Cost Estimating
- Sustainable Design
- Anti-Terrorist Force Protection and Physical Security
- AutoCAD, MicroStation, and BIM Deliverables

#### **GRW Knows Kentucky and LFUCG**

When it comes to navigating the ins-and-outs of working with Commonwealth of Kentucky regulatory entities, GRW leads the way. Since our founding in 1964, a substantial portion – approximately 70% – of our work has been completed for clients such as the Lexington-Fayette Urban County Government. For LFUCG, we have completed survey, mapping, wastewater, stormwater, more than 75 roadway, sidewalk/streetscape, building, and GIS projects over the past 50 years. This experience makes GRW personnel well versed in LFUCG's design criterion, procedures, and standard drawings and specifications. We also have a thorough understanding of the Commonwealth's applicable codes and regulatory requirements.

#### **Expertise with Required Scope of Services**

GRW has Open End surveying and mapping projects in Kentucky and throughout the United States. We use cost-effective techniques and a customized quality control plan, ensuring the successful outcome of your products.

#### Your Go-To Specialists in Surveying

GRW has over 50 years of surveying experience. We would like to think we have seen it all, but we know we haven't and are up for whatever challenge is thrown our way. To do this we are constantly training our personnel and are always looking for new, innovative, and/or cost-cutting surveying procedures. These items often enhance a deliverable or save our clients time and money.

Survey projects are managed by Mr. Brian Novy, CST II, Project Survey Manager. Mr. Novy has over 16 years of surveying experience, including Boundary Surveys of many types, Planimetric Surveys, ALTA Surveys, Topographic surveys, Hydrographic Surveys, and high-order Geodetic Control Surveys.

GRW's survey crews are experienced in performing complex assignments in diverse and challenging situations. We maintain a staff of highly trained personnel, and our own in-house equipment, so we can mobilize on short notice.



GRW has surveyed hundreds of miles of boundary lines and topographic surveying projects. Our survey data is always strictly monitored to ensure complete accuracy. In addition, GRW owns and operates our own survey equipment.

#### GRW has over 40 years of experience providing:

- ✓ Topographic, easement, roadway, and right-of-way surveys
- ✓ Boundary/Property surveys and analysis and monumentation
- ✓ Preparation of easement or right-of-way exhibits and legal descriptions
- ✓ Property line staking
- ✓ Property or easement research
- ✓ Legal Descriptions
- ✓ Underground utility location (In conjunction with a separate utility excavation contractor)
- ✓ Preparation of alignment profiles showing existing ground, existing overhead and underground utilities.
- ✓ Record drawing preparation
- ✓ Identification of various infrastructure mapped and/or unmapped
- ✓ Title Searches



#### **Boundary Surveying**

Our survey crews have completed property line boundary retracement surveys & property division surveys for numerous entities, including, but not limited to:



- The US Army Corps of Engineers (Louisville, Nashville, Jacksonville, Mobile & Pittsburgh Districts)
- Kentucky National Lands Trust
- Toyota Automotive Manufacturing Plant
- Norfolk Southern Railroad
- US National Parks Service
- Federal Bureau of Prisons
- Kentucky Department of Fish and Wildlife Resources (KDFWR)
- Lexington Fayette & Urban Community Government
- Keeneland Racetrack The Kentucky Finance Cabinet
- Numerous other clients

#### **GPS (GNSS) Surveys**

GRW has been operating GPS equipment, now referred to as GNSS (Global Navigation Satellite System), since 1986. We pride ourselves on having kept up with the latest technologic improvements offered in the equipment over the years as well. A lot has changed since our first single frequency receivers were purchased that had to left in the back of the truck because they were too big to move. Now several decades later, we pride ourselves on having the latest Trimble GNSS equipment that can fit in the palm

of your hand. It is noteworthy to mention that we are in the process of replacing several of our antiquated geodetic GPS (non-GNSS) receivers by the end of the year.

#### Major and Minor Subdivisions & Platting

GRW has worked within the city of Lexington over the years on various projects. We are familiar with their rules and regulations. We have also worked with other organizations throughout the state which gives us a unique perspective on how to approach certain situations. We have successfully completed numerous major and minor subdivisions over the years throughout the Commonwealth.

#### **Construction Staking**

Construction staking is oftentimes overlooked by many contractors as an area not needed to be completed by professional surveyors. This has oftentimes lead to less than satisfactory results once the job is completed. The lack of a professional onsite during the construction project can also lead to costly go-backs and even re-construction. GRW has surveyed small land development sites, to 600 unit apartment complexes, to utility staking, and to continuously staking building grid lines for every floor of several multi-story high rises. You can count on GRW to do it right the first time.

#### Topographic, Planimetric and Construction Site Surveys

As a rule, every engineering project requires a topographic site survey before any improvements are made. GRW has successfully completed on time; numerous construction, planimetric and topographic surveys throughout the Commonwealth. GRW routinely performs these tasks, including but not always limited to; the location of property corners, collecting ground shots to define grade breaks & other line breaks to define the lay of the land. As well as overhead and subsurface utilities and other visible improvements that will affect the final design.

GRW's survey personnel have performed topographic surveys employing various techniques, including utilization of aerial photography, utilizing the KY VRS Network, RTK GNSS from a local base on local control (such as LOJIC), fully robotic, spatial imaging and standard reflector-less total stations. The selected survey technique and tool depends on site characteristics and requirements such as; terrain, vegetation, desired accuracy and physical improvements. GRW prides itself on having multiple tools in its toolbox. This allows our field crews to use the right tool when the job calls for it.

#### **Geodetic Control Networks**

As stated above; GRW has been operating GPS equipment, now referred to as GNSS (Global Navigation Satellite System), and designing countywide control networks, since 1986. Our specialized expertise and local knowledge includes all measurements and procedures required for any project. Our survey department has successfully completed hundreds of projects with high accuracy throughout the United States. Some local examples include the installation and maintenance of the LOJIC control network in Louisville, KY, the Frankfort Plant Board (FPB) control network in Franklin County, KY, and the Lexington-Fayette County Kentucky (LFUCG) control network, originally designed and installed by GRW.

#### Elevation Determinations (Differential and Digital Leveling)

GRW has the necessary equipment and is very experienced utilizing leveling equipment to determine position elevations. We have four (4) digital levels, one of them being setup for first order leveling. Every truck has a top of the line differential level kept in it at all times. We always level through all of our primary control and set at least two (2) benchmarks on every site that we collect three dimensional points on. We

are aware of the risk involved by not adhering to proper procedures. We try to always turn through every point, kick a leg and relevel when needed, keep our level line legs as even as possible and run 3-wire leveling. GRW has performed GPS Height Modernization surveys for the National Geodetic Survey in two counties in North Carolina and has completed several Counties' worth of Height Modernization Surveys in the state of Kentucky. We ran second order leveling on the original LFUCG control network to NGS specifications.

#### **Easement Plats**

GRW has located and prepared written legal descriptions and plats for miles of sanitary, storm sewer, gas and water line projects, including utility and related property easements. GRW has produced recordable plats and written utility easement descriptions for MSD, Indiana-American Water Company, Kentucky-American Water Company, and West Virginia-American Water Company. We have also established highway ROW for federal, state, county and municipalities throughout Kentucky with plats and easements descriptions.

#### Deed/Title Research

Mr. Rinehart & Mr. Shear both have close to 80 years of combined experience in deed research. Mr. Shear in particular, works on a nearly daily basis researching property titles and ownership on multiple KYTC projects throughout the state. He uses any and all tools at his disposal to determine the sometimes odd question of, "Who actually owns this?"

#### Setting & Re-Setting Boundary Corners

GRW always makes an extensive effort to recover the original corner. But sometimes the corner is lost or obliterated. When a corner is destroyed, GRW normally replaces the corner with a No. 5 rebar cut to at least 24 inches in length with an aluminum cap stamped with the Surveyor in responsible charge's name and license number. In areas where a typical pin and cap cannot be set, a mag nail & washer stamped with the surveyor's license number is set. GRW can also set monuments that are requested by the client as long as the requested monument meets or exceeds the standards set forth in 201 KAR 18:150.

# 3.0 Key Personnel

GRW's key personnel assigned to this contract have an average of over 20 years of surveying and mapping experience. We have accomplished literally hundreds of relevant federal, state, and municipal projects. Below is the list of our key personnel; resumes are attached on the following pages.

| Employee Name, Project Duties                           | Registrations  | Total Years'<br>Experience |
|---|--|----------------------------|
| Ben Fister, PE, PLS, PSM,<br>Principal-in-Charge        | KY Professional Land Surveyor #1966<br>KY Professional Engineer - Civil #8420                                | 45                         |
| Brian Novy, CST II FAA CRP<br>Survey Project Manager    | Certified Survey Tech II #0819-6657<br>FAA CRP #4046295  | 16                         |
| Dan Marsh, CP, PLS, CMS<br>QA/QC                        | KY Professional Land Surveyor #4071<br>Certified Photogrammetrist #R1019<br>Certified Mapping Scientist #155 | 36                         |
| L. Rinehart, PLS, Consultant,<br>Surveyor & Party Chief | KY Professional Land Surveyor #2283  | 39                         |
| Ray Shear, PLS,<br>Staff Surveyor                       | KY Professional Land Surveyor #2685  | 39                         |
| Rob Hench, GISP<br>GIS Manager                          | Certified GIS Professional (GISP)  | 34                         |

#### Multiple Survey Crews are available as needed.

#### GRW's key personnel are supported by a staff of 200, including:

- 10 KY Licensed Professional Surveyors
- 40 Mapping/GIS Specialists
- 20+ Professionals

## Ben Fister, PE, PLS, PSM | Principal-in-Charge/Senior Project Manager

Years of Experience: 45 Years with GRW: 41

#### **Education**

B.A., Physics, 1966, Villa Madonna College / B.S., Civil Engineering, 1968, University of Kentucky / M.S., Civil/Environmental Engineering, 1972, University of Kentucky

Registration: KY PE/Civil #8420, KY PLS #1966

Additional licenses in AL, MD, FL, IN, IL, LA, MS, NC, OH, OK, TN, WV, GA, and VA.

#### **Professional Affiliations**

American Society of Photogrammetry & Remote Sensing National Society of Professional Engineers | Water Pollution Control Federation

#### **Qualifications and Similar Project Experience**

Mr. Fister is Vice-President of GRW, a multi-disciplined Engineering, Design and Geospatial Mapping firm with offices nationwide. With over 40 years of experience, he has M.S. and B.S. degrees from the University of Kentucky in Civil Engineering. Mr. Fister is a Professional Land Surveyor in 12 states and a Professional Engineer in 14 states. He has managed surveying, mapping, design and transportation projects in all 48 contiguous states, including multi-million dollar contracts improving the nation's highways and infrastructure. Mr. Fister is proficient in the latest technology, and has been an adjunct professor at the University of Kentucky, teaching GIS/GPS and Photogrammetry.

**Lexington-Fayette Urban County Government, KY -** Principal-in-Charge. Accomplished several LFUCG surveys, including the current GPS and High Definition Survey of downtown Lexington. Past projects have involved monumentation, utility surveying, GIS data collection, digital mapping and GPS control.

**Kentucky Transportation Cabinet** – Principal-in-Charge. Completed several statewide surveying, aerial mapping and remote sensing projects for the Kentucky Transportation Cabinet over the last decade. Tasks have included control, GPS surveys, monumentation, mapping and related services.

**USACE-Fort Knox Boundary Survey -** Principal-in-Charge. GRW has successfully completed over 32 mile boundary surveying at the Fort Knox Military Reservation for the U.S. Army Corps of Engineers, Louisville District. The projects include legal research, boundary surveying, corner and on-line monuments, sign placement and map creation.

**Indiana American Water Company** – Principal-in-Charge. GRW has accomplished several projects for INAWC, with tasks including surveying, mapping, engineering, design, GIS, and related services. A few of our recent work orders have included engineering, surveying and design of the Cleveland Street Water Main and Pump Station; and surveying and engineering design for the State Route 43 water transmission main involving over 17,660 LF.

**Louisville Jefferson County Information Consortium (LOJIC)** – Principal-in-Charge for an extensive survey project in Jefferson County, KY, establishing a densified network of monumented horizontal and vertical control and reference marks. LOJIC contracted with GRW to install and measure approximately 112 new survey control monuments throughout Jefferson County. The project resulted in a countywide network of highly accurate survey reference points.



## **Brian Novy, CST II | Survey Project Manager**

Years of Experience: 16 Years with GRW: 12

Education: Certificate of Completion, Criminal Justice, Okaloosa Walton College

Registration: Certified Survey Tech II #0819-6657, Certified UAS Pilot #4046295

#### **Qualifications and Similar Project Experience**

Mr. Novy has over fifteen years of experience as a Surveyor and Party Chief, and is extremely skilled in GPS and conventional surveying procedures. He is a Certified

Survey Tech II and UAS Certified pilot and has received training by NGS (National Geodetic Survey) on highly detailed height modernization and geodetic surveying. He is very proficient on complex survey projects, including airport obstruction surveys, military base mapping, as well as topographic and planimetric data collection. Mr. Novy utilizes the latest survey technology, and has accomplished similar projects across the nation. A sample of projects accomplished by Mr. Novy is shown below.

**Lexington-Fayette Urban County Government, KY -** Quality Control/Data Processing. Accomplished several LFUCG surveys, including the current GPS and High Definition Survey of downtown Lexington. Past projects have involved monumentation, utility surveying, GIS data collection, digital mapping and GPS control.

Nashville District, USACE – Center Hill Dam - GRW has accomplished multiple projects for Nashville COE at the Center Hill Dam location. This project included field surveys, tripod-based and aerial laser scanning, and aerial photography. End products included planimetric and topographic data in MicroStation format, 3" color orthophotography, fly-thrus using colorized point clouds, 3d models, and meshes for a cut approximately ½ mile in length. Project relied on data fusion of all source data types for creation of end products suitable for the generation of cross sections and volumetrics along the project length.

**Louisville Water Company, KY** - GRW recently accomplished a multi-million dollar GPS and GIS project for the Louisville Water Company. The project involved the field collection of meters, valves and hydrants throughout the LWC service area and the production of a comprehensive GIS database in ArcInfo SDE GeoDatabase format.

**Indiana American Water Company** – GRW has accomplished several projects for INAWC, with tasks including surveying, mapping, engineering, design, GIS, and related services. A few of our recent work orders have included engineering, surveying and design of the Cleveland Street Water Main and Pump Station; and surveying and engineering design for the State Route 43 water transmission main involving over 17,660 LF.

**City of Avon, IN Storm Sewer Inventory -** GPS Manager. Accomplished GIS formatting, utility mapping, and GPS data collection for a storm sewer system inventory project. Geospatial tasks included GPS field data collection to capture the pipes, ditches, inlets, and other components. The conditional assessment directed maintenance work for system repairs and storm sewer cleaning. The delivered product included a storm sewer system map showing structure locations, pipe sizes, conditional assessments, and flow direction in Arc View GIS format.



## Dan Marsh, CP, PLS, CMS | QA/QC

Years of Experience: 36 Years with GRW: 36

#### **Education**

BA Civil Engineering, University of Kentucky | AS Engineering Sciences

#### Registration

KY Professional Land Surveyor #4074 |Certified Photogrammetrist #R1019 | Certified Mapping Scientist #155

#### **Professional Affiliations**

Management Association for Private Photogrammetric Surveyors (MAPPS) | American Society for Photogrammetry and Remote Sensing (ASPRS) |

#### **Qualifications and Similar Project Experience**

Mr. Marsh is a Certified Photogrammetrist, a Professional Surveyor and Mapper, and a Certified Mapping Scientist/Remote Sensing, with over 35 years of experience. He is skilled in all aspects of geospatial services, specializing in remote sensing, LiDAR, and aerotriangulation. Mr. Marsh is extremely proficient in the leading software and hardware required for aerial mapping projects. He is also an experienced draftsperson and civil engineering technician. Mr. Marsh's skills include route, control, and topographic surveying, as well as survey computations and data editing. He utilizes Intergraph Microstation and is a skilled CADD technician. This unique diversity of skills makes Mr. Marsh a truly valuable expert in the high precision world of geospatial services. He has accomplished numerous projects for a variety of state, federal, and municipal clients.

**Lexington-Fayette Urban County Government, KY** – Division Manager. Accomplished several LFUCG surveys, including the current GPS and High Definition Survey of downtown Lexington. Past projects have involved monumentation, utility surveying, GIS data collection, digital mapping and GPS control.

**Indiana American Water Company –** Division Manager GRW has accomplished several projects for INAWC, with tasks including surveying, mapping, engineering, design, GIS, and related services. A few of our recent work orders have included engineering, surveying and design of the Cleveland Street Water Main and Pump Station; and surveying and engineering design for the State Route 43 water transmission main involving over 17,660 LF.

**USACE-Fort Knox Boundary Survey** – Division Manager from 2012-2013. GRW successfully completed 12.3 miles of boundary surveys at the Fort Knox Military Reservation for the U.S. Army Corps of Engineers, Louisville District. The projects included legal research, boundary surveying, corner and on-line monuments, sign placement and map creation.

**Louisville Jefferson County Information Consortium (LOJIC)** – Division Manager for an extensive survey project in Jefferson County, KY, establishing a densified network of monumented horizontal and vertical control and reference marks. LOJIC contracted with GRW to install and measure approximately 112 new survey control monuments throughout Jefferson County. The project resulted in a countywide network of highly accurate survey reference points.

# Ed Rinehart, PLS | Senior Surveyor

Years of Experience: 39 Years with GRW: 37

**Education** 

Undergraduate studies, Civil Engineering, 1972, Texas A&M University Undergraduate studies, Drafting, Lexington Community College

#### Registration

KY PLS #2283, IN PLS #LS80040524

#### **Qualifications and Similar Project Experience**

Ed is Chief of Surveys for GRW, administering all areas of the survey division. He has over thirty years of experience as a land surveyor. Ed has managed numerous multi-million dollar contracts involving surveying, mapping, engineering and design. His survey expertise includes topographic/planimetric, boundary, height modernization, geodetic monumentation, utility location, GIS collection and airport obstruction surveys. Ed is responsible for staffing, training, project development, quality control, equipment purchases and maintenance. He is skilled in the latest software and hardware.

**Lexington-Fayette Urban County Government, KY** - Surveyor. Accomplished several LFUCG surveys, including the current GPS and High Definition Survey of downtown Lexington. Past projects have involved monumentation, utility surveying, GIS data collection, digital mapping and GPS control.

**Finance & Administration Cabinet, Otter Creek Park Survey, KY 2010-** Senior Surveyor. GRW was selected to perform a boundary survey of Otter Creek Park, now known as the Otter Creek Outdoor Recreation Area, in Meade County, KY. The 2,600 acre park borders Otter Creek and the Ohio River with spectacular views. Survey services included titles, deeds and assessments.

**USACE-Fort Knox Boundary Survey** – Chief of Surveys from 2012-2013. GRW successfully completed 12.3 miles of boundary surveys at the Fort Knox Military Reservation for the U.S. Army Corps of Engineers, Louisville District. The projects included legal research, boundary surveying, corner and on-line monuments, sign placement and map creation.

**Louisville Jefferson County Information Consortium (LOJIC)** – Chief of Surveys for an extensive survey project in Jefferson County, KY, establishing a densified network of monumented horizontal and vertical control and reference marks. LOJIC contracted with GRW to install and measure approximately 112 new survey control monuments throughout Jefferson County. The project resulted in a countywide network of highly accurate survey reference points.

**Indiana American Water Company** – GRW has accomplished several projects for INAWC, with tasks including surveying, mapping, engineering, design, GIS, and related services. A few of our recent work orders have included engineering, surveying and design of the Cleveland Street Water Main and Pump Station; and surveying and engineering design for the State Route 43 water transmission main involving over 17,660 LF.

**Kentucky Transportation Cabinet** – Senior Surveyor. Completed several statewide surveying, aerial mapping and remote sensing projects for the Kentucky Transportation Cabinet over the last decade. Tasks have included control, GPS surveys, monumentation, mapping and related services.



# 4.0 LFUCG Experience

# **LFUCG Experience**

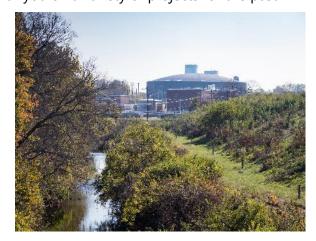


We know LFUCG. The GRW team's familiarity with your project and with LFUCG overall is enhanced by our experience working with you on a variety of projects for the past

40+ years. Our working relationship has given us a thorough understanding of LFUCG's operating procedures and design requirements. We have worked

with a broad cross section of LFUCG staff from several separate divisions. GRW's design staff is familiar with local codes, standards and procedures, and is able to accomplish high quality infrastructure planning and design projects that meet these standards.

Below is a list of many of the projects GRW has worked on with LFUCG over the years:



- Arrowhead Drive stormwater improvements
- Beaumont YMCA Multi-Use Trail
- Comprehensive Sanitary Sewer Project: Remaining Unsewered Areas
- Countywide GPS Monumentation (135 Monuments, 116 NGS Bluebook Monuments)
- Countywide Impervious Surface Mapping (to facilitate storm water runoff calculation.
- Derby Drive Stormwater Improvements
- Downtown 3D-Terrestrial Scanning Streetscape in Preparation for World Equestrian Games
- Downtown Collector Sewer Study
- Eastland Parkway-New Circle Road Intersection Improvements
- Efficiency Audit & Peer Review Study for West Hickman & Town Branch WWTPs
- Elam Park Area Flood Mitigation
- Expansion Area 2A Watershed Pumping Station and Force Mains
- FEMA Flood Insurance Study for Lexington Fayette County (2005, 1992)
- FEMA Map Modernization Digital Flood Insurance Rate Maps (DFIRM)
- Fort Sumter Area Flood Mitigation
- Fourth Street connector study



- Idle Hour North Stormwater Improvements (shown)
- Liberty/Todds Road (KY 1927) Section 2
- Lower Town Branch Watershed Interceptor Sewers, Force Main and 5 MGD Pumping Station
- Man O' War Intersection Improvements
- Mapping and Digital Orthophotography covering all of Fayette County
- North Elkhorn Watershed Force Main and Pumping Station (19 MGD) (shown)
- NPDES Stormwater Permit, Phase I
- Old Todds Road Sidewalk Improvements
- Phoenix Building and Police Headquarters Elevator System Repair and Upgrade
- Polo Club Boulevard Connection
- Red Mile Rd Bike Path Preliminary Engineering
- Rogers Road Area Flood Mitigation
- Rose Street Extension
- Sanitary Sewer Capability Study for Rural Service Area
- Sanitary Sewer System Rehabilitation
- South Elkhorn Trunk Sewers and Force Mains
- Stormwater Supplemental Project
   Implementation / Master Planning/Program
   Manager
- Town Branch Wet Weather Flow Storage (22 MG) & Pumping (56 MGD) Facilities
- Town Branch WWTP Fine Bubble Aeration Conversion
- Town Branch WWTP Upgrade (30 MGD)
- Tucson Drive Area Flood Mitigation
- West Hickman WWTP Administration Building Improvements & Expansion
- West Hickman WWTP Masonry Repair







# Appendix: LFUCG Addenda, Forms and Required Documents

This section includes all addenda, as well as all forms and documents required by Lexington-Fayette Urban County Government.

- Cost Sheet
- Firm Submitting Proposal
- Addendum 1
- Affidavit
- Equal Opportunity Agreement
- General Provisions
- Workforce Analysis
- LFUCG MWDBE Participation Form
- Good Faith Effort
- Federal Funds signed page
- Copy of GRW Insurance Certificate
- Affirmative Action Plan

- 3) The date
- 4) The address of the property
- 2. Provide an electronic file, utilizing AutoCAD drawing format and a pdf, signed and sealed.
- 3. Provide proposed schedule for completion of the survey.

#### D. Project Timeline

Submit length of time required to complete this work. Time of completion will be considered as part of proposal evaluation and selection.

#### E. Selection of Consultant

Consultant will be selected based on the following criteria:

- 1. Professional qualifications and experience of the team with architectural and engineering services throughout the design and construction phases. 25%
- 2. Time to complete project. 25%
- 3. Past record and performance on contracts with the Urban County government or other governmental agencies and private industry with respect to such factors as quality of work and ability to meet schedule. 20%
- 4. Degree of local employment provided by person or firm. 10%
- 5. Estimated cost of services 20%

Proposals shall contain appropriate information necessary to be evaluated on these criteria. A committee composed of Urban County Government staff will evaluate the proposals.

| Total Project Cost | \$_ | \$<br>28,870.00 |
|--------------------|-----|-----------------|
| •                  |     |                 |

| Firm Submitting Prop | oosal:       | Aerial Surve | ys, Inc.   |                        |
|----------------------|--------------|--------------|------------|------------------------|
| Complete Address:    | 801 Corpo    | orate Drive, |            | ку 40503<br><b>Zip</b> |
|                      | b Hench      | Title: Sr.   | Vice-Presi | ident                  |
| Telephone Number:    | 859-223-3999 | Fax Number:  | 859-519-4  | 1507                   |
| Email address:       | rhench@grwin | C.COM        |            |                        |

| Supplier Inforn | nation                                     |   |
|-----------------|--|---|
| Company Name:   | GRW Aerial Surveys, Ir                     | nc.   |
| Contact Name:   | Rob Hench, GISP                            |   |
| Address:        | 801 Corporate Drive                        |   |
|                 | Lexington, KY 40503                        |   |
| _               |  |   |
|                 |  |   |
| Phone:          | 859-223-3999                               |   |
| Fax:            | 859-519-4507                               |   |
| Email:          | rhench@grwinc.com                          |   |
| Supplier Notes  |  |   |
| очрыю посо      |  |   |
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|                 |  |   |
|                 | ny and that you agree to all bid terms and | response, you certify that you are authorized to represent conditions as stated in the attached |
| Rob Hench       | , GISP                                     | Rober on Hand   |
| Print Name      |  | Signature   |
|                 |  |   |

Page 3 of 3 pages Deadline: 8/8/2022 02:00 PM (ET) RFP-45-2022



TODD SLATIN
DIRECTOR
CENTRAL PURCHASING

#### ADDENDUM #1

| RFP Number: #45-2022 | Date: July 28, 2022 |
|----------------------|---------------------|
|----------------------|---------------------|

Subject: Request for Topography Survey Services Address inquiries to:

Sondra Stone

sstone@lexingtonky.gov

#### TO ALL PROSPECTIVE SUBMITTERS:

Please be advised of the following clarifications to the above referenced RFP:

See attached exhibit.

Todd Slatin, Director Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your submittal.

| COMPANY NAME:        | GRW Aerial Surveys, inc            | _     |
|----------------------|------------------------------------|-------|
| ADDRESS:             | 801 Corporate Drive, Lexington, KY | 40503 |
| SIGNATURE OF BIDDER: | Rober om Hanch                     |       |
|                      |                                    |       |



#### **AFFIDAVIT**

| С         | omes the Affia    | nt,Rob          | Hench        |       |     | , and after | being first duly  |
|-----------|-------------------|-----------------|--------------|-------|-----|-------------|-------------------|
| sworn, s  | tates under pena  | alty of perjury | y as follows | S:    |     |             |                   |
| 1. His/   | her name is _     | Rob Hend        | ch           |       |     | and he/she  | is the individual |
| submittir | ng the            | proposal        | or           | is    | the | authorized  | representative    |
| of        | GRW Aerial        | Surveys,        | Inc.         |       |     | , the       | entity submitting |
| the propo | osal (hereinafter | referred to a   | as "Propose  | er"). |     |             |                   |

- 2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
- 3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
- 4. Proposer has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
- 5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.
- 6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

Continued on next page

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

|             | Kole on Hunch |  |
|-------------|---------------|--|
| STATE OF    | Kentucky      |  |
| COUNTY OF _ | Fayette       |  |
|             |               |  |

The foregoing instrument was subscribed, sworn to and acknowledged before me

| by . | Rob H  | Iench |                         | <br> | on this the _ | 0.5 | day |
|------|--------|-------|-------------------------|------|---------------|-----|-----|
|      | 7      |       |                         |      |               |     |     |
| of _ | August |       | , <b>20</b> <u>22</u> . |      |               |     |     |

My Commission expires: 6/27/2025

#KYNP3078

NOTARY PUBLIC, STATE AT LARGE



#### **EQUAL OPPORTUNITY AGREEMENT**

#### Standard Title VI Assurance

The Lexington Fayette-Urban County Government, (hereinafter referred to as the "Recipient") hereby agrees that as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation, it will comply with Title VI of the Civil Rights Act of 1964, 78Stat.252, 42 U.S.C. 2000d-4 (hereinafter referred to as the "Act"), and all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, (49 CFR, Part 21) Nondiscrimination in Federally Assisted Program of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") and other pertinent directives, no person in the United States shall, on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Recipient receives Federal financial assistance from the U.S. Department of Transportation, including the Federal Highway Administration, and hereby gives assurance that will promptly take any necessary measures to effectuate this agreement. This assurance is required by subsection 21.7(a) (1) of the Regulations.

#### The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

\*\*\*\*\*\*\*\*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

| eterans, handicapped and aged persons. | GRW Aerial Surveys, | Inc |
|--|---------------------|-----|
| gnature                                | Name of Business    | -   |
|  |                     |     |
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#### **GENERAL PROVISIONS**

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, 29 U.S.C. 650 et. seq., as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

- 2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
- 3. Addenda: All addenda and IonWave Q&A, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
- 4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
- 5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
- 6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
- 7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
- 8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.

- 9. Additional Information: While not necessary, the Respondent may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal,
- 10. Ambiguity, Conflict or other Errors in RFP: If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
- 11. Agreement to Bid Terms: In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
- 12. Cancellation: If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

#### A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
  - (a) Failure to perform the contract according to its terms, conditions and specifications;
  - (b) Failure to make delivery within the time specified or according

- to a delivery schedule fixed by the contract;
- (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
- (d) Failure to diligently advance the work under a contract for construction services:
- (e) The filing of a bankruptcy petition by or against the contractor; or
- (f) Actions that endanger the health, safely or welfare of the LFUCG or its citizens.

#### B. At Will Termination

Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent, Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

- 13. Assignment of Contract: The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
- 14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
- 15. Authority to do Business: The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must

be signed by a duly authorized officer, agent or employee of the Respondent.

- 16. Governing Law: This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
- 17. Ability to Meet Obligations: Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
- 18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
- 19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.
- 20. Contractor [or Vendor or Vendor's Employees] will not appropriate or make use of the Lexington-Fayette Urban County Government (LFUCG) name or any of its trade or service marks or property (including but not limited to any logo or seal), in any promotion, endorsement, advertisement, testimonial or similar use without the prior written consent of the government. If such consent is granted LFUCG reserves the unilateral right, in its sole discretion, to immediately terminate and revoke such use for any reason whatsoever. Contractor agrees that it shall cease and desist from any unauthorized use immediately upon being notified by LFUCG.

| Rober on Hunch | 08/05/2022 |
|----------------|------------|
| Signature      | Date       |

#### WORKFORCE ANALYSIS FORM

Name of Organization: \_\_\_\_\_GRW Engineers, Inc.

| Categories       | Total | Whi<br>(No<br>Hispa<br>or<br>Latir | ot<br>anic | - | panic<br>atino | Afri<br>Ame<br>(N<br>Hisp | ck or<br>can-<br>erican<br>lot<br>panic<br>atino | Haw<br>ar<br>Oth<br>Pac<br>Islar<br>(N | ner<br>cific<br>nder<br>lot<br>anic | Asi<br>(N<br>Hisp<br>or La | ot<br>anic | Amer<br>India<br>Alas<br>Nat<br>(no<br>Hisp<br>or La | n or<br>kan<br>ive<br>ot<br>anic | Two<br>mo<br>rac<br>(N<br>Hisp<br>o<br>Lati | ore<br>es<br>ot<br>anic<br>r | То  | tal |
|------------------|-------|------------------------------------|------------|---|----------------|---------------------------|--|--|-------------------------------------|----------------------------|------------|--|----------------------------------|---|------------------------------|-----|-----|
|                  |       | М                                  | F          | М | F              | М                         | F  | М                                      | F                                   | М                          | F          | М  | F                                | М   | F                            | М   | F   |
| Administrators   | 15    | 1                                  | 13         | 0 | 1              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 1   | 14  |
| Professionals    | 81    | 62                                 | 18         | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 1                          | 0          | 0  | 0                                | 0   | 0                            | 63  | 18  |
| Superintendents  | 0     | 0                                  | 0          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 0   | 0   |
| Supervisors      | 17    | 16                                 | 1          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 16  | 1   |
| Foremen          | 0     | 0                                  | 0          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 0   | 0   |
| Technicians      | 47    | 38                                 | 9          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 38  | 9   |
| Protective       | 0     | 0                                  | 0          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 0   | 0   |
| Para-            | 0     | 0                                  | 0          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 0   | 0   |
| Office/Clerical  | 2     | 0                                  | 2          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 0   | 2   |
| Skilled Craft    | 32    | 32                                 | 0          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 32  | 0   |
| Service/Maintena | 0     | 0                                  | 0          | 0 | 0              | 0                         | 0  | 0                                      | 0                                   | 0                          | 0          | 0  | 0                                | 0   | 0                            | 0   | 0   |
| Total:           | 194   | 149                                | 43         | 0 | 1              | 0                         | 0  | 0                                      | 0                                   | 1                          | 0          | 0  | 0                                | 0   | 0                            | 150 | 44  |

(Name and Title)

Revised 2015-Dec-15



# LFUCG MWDBE PARTICIPATION FORM Bid/RFP/Quote Reference #\_\_\_\_\_45-2022

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. **Failure to submit a completed form may cause rejection of the bid.** 

| MWDBE Company, Name,<br>Address, Phone, Email | MBE<br>WBE or<br>DBE | Work to be Performed                          | Total Dollar<br>Value of the<br>Work | % Value of<br>Total Contract |
|---|----------------------|---|--------------------------------------|------------------------------|
| 1.  |                      |   |                                      |                              |
| have b  | een added to         | ne project, no MWDBE so our team. GRW has the | e ability to                         |                              |
| timely  | manner.              | lieudies to complete ye                       | pui project iii a                    |                              |
| 4.  |                      |   |                                      |                              |

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

| GRW Aerial Surveys, Inc | Rob Hench, GISP        | Koler on Hunch |
|-------------------------|------------------------|----------------|
| Company                 | Company Representative |                |
| 08/05/2022              | Sr. Vice-President     |                |
| Date                    | Title                  |                |



Address/Phone/Email

Date

# 

Company Name GRW Aerial Surveys, Inc Contact Person

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project. Failure to submit this form may cause rejection of the bid.

Bid Package / Bid Date

Rob Hench

| 801 Corporate Dr, Lexington, KY<br>859-223-3999/859-519-4507 |                   | 40503  | 40503 Survey Services Cardinal Run No:<br>Park |   |   |  |                          |           |
|--|-------------------|--|--|---|---|--|--------------------------|-----------|
| MWDBE<br>Company Addres                                      | Contact<br>Person | Contact<br>Information<br>(work phone,<br>Email, cell) | Date<br>Contacted                              | Services<br>to be<br>performed                | Method of<br>Communication<br>(email, phone<br>meeting, ad,<br>event etc) | Total dollars \$\$ Do Not Leave Blank (Attach Documentation) | MBE * AA HA AS NA Female | Veteran   |
|  | ha<br>co          | ive been add   | ded to our<br>nnel sched                       | team. GR                                      | //////////////////////////////////////                                    | ty to  |                          |           |
|  |                   |  |  |   |   |  |                          |           |
| (MBE design  |                   |  | merican / H                                    | IA= Hispan                                    | ic American/AS =  | = Asian American   | 1/Pacific l              | Íslander/ |
| contract and/o   | or be subje       | ect to applicable                                      | e Federal and                                  |   | oncerning false sta   | tion may result in to<br>tements and claims                  | -                        |           |
| GRW Aerial Surveys, inc                                      |                   |  | -  | Rob Hench, GISP M Hunk Company Representative |   |  |                          |           |
| Company  | R / N.S. / 2)     | n 2 2  |  |   |   |  |                          |           |
| 08/05/2022   |                   |  |  | Sr. Vice-Pro                                  | esident   |  |                          |           |

Title

### LFUCG STATEMENT OF GOOD FAITH EFFORTS Bid/RFP/Quote #\_\_\_\_\_

| By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.  |
|---|
| Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate. |
| Included documentation of advertising in the above publications with the bidders good faith efforts package   |
| Attended LFUCG Central Purchasing Economic Inclusion Outreach event   |
| Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities   |
| Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses   |
| Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG and showed evidence of contacting the companies on the list(s).   |
| Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.  Sent written notices, by certified mail, email or facsimile, to qualified,   |
| certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.   |
| Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.   |
| Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.  |
| Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items  |

| <del>-</del>  | to facilitate MWDBE and Veteran participation, may otherwise perform these work items with its  |
|---|---|
| businesses not rejecting them a thorough investigation of their controls.   | with interested MWDBE firms and Veteran-Owned s unqualified without sound reasons based on a capabilities. Any rejection should be so noted in why an agreement could not be reached.   |
| firms and Veteran-Owned busin   | of quotations received from interested MWDBE esses which were not used due to uncompetitive ecceptable and/or copies of responses from firms esubmitting a bid.   |
| unacceptable. The fact that the lecontract work with its own for rejecting a MWDBE and/or Ve  | and reasons why the quotations were considered bidder has the ability and/or desire to perform the rees will not be considered a sound reason for eteran-Owned business's quote. Nothing in this equire the bidder to accept unreasonable quotes in eteran goals. |
| Veteran-Owned businesses to ob  | sistance to or refer interested MWDBE firms and stain the necessary equipment, supplies, materials, of the work requirements of the bid proposal  |
| Made efforts to expand the businesses beyond the usual geographics.   | he search for MWBE firms and Veteran-Owned graphic boundaries.  |
|   | e that the bidder submits which may show that the d faith efforts to include MWDBE and Veteran  |
| cause for rejection of bid. Bidde relevant to this requirement wh   | the documentation requested in this section may be ers may include any other documentation deemed sich is subject to approval by the MBE Liaison. Efforts must be submitted with the Bid, if the  |
| The undersigned acknowledges that all information in termination of the contract and/or be subject the false statements and claims. |   |
| GRW Aerial Surveys, Inc   | Rob Hench, GISP Rob Hench   |
| Company 08/05/2022  | Company Representative<br>Sr. Vice-President  |
| Date  | Title   |

conformity with 2 CFR 200.322 and/or section 70914 of Public Law No. 117-58, §§ 70901-52, also known as the Infrastructure Investment and Jobs Act, whichever is applicable.

- 18. The contractor agrees and certifies that all activities performed pursuant to any Agreement entered as a result of the contractor's bid, and all goods and services procured under that Agreement, shall comply with 2 C.F.R. § 200.216 (Prohibition on certain telecommunications and video surveillance services and equipment) and 2 C.F.R. 200 § 200..323 (Procurement of recovered materials), to the extent either section is applicable.
- 19. If this bid involves construction work for a project totaling \$10 million or more, then the contractor further agrees that all laborers and mechanics, etc., employed in the construction of the public facility project assisted with funds provided under this Agreement, whether employed by contractor, or contractor's contractors, or subcontractors, shall be paid wages complying with the Davis-Bacon Act (40 U.S.C. 3141-3144). Contractor agrees that all of contractor's contractors and subcontractors will pay laborers and mechanics the prevailing wage as determined by the Secretary of Labor and that said laborers and mechanics will be paid not less than once a week. The contractor agrees to comply with the Copeland Anti- Kick Back Act (18 U.S.C. § 874) and its implementing regulations of the U.S. Department of Labor at 29 CFR part 3 and part 5. The contractor further agrees to comply with the applicable provisions of the Contract Work Hours and Safety Standards Act (40 U.S.C. Section 327-333), and the applicable provisions of the Fair Labor Standards Act of 1938, as amended (29 U.S.C. et seq.). Contractor further agrees that it will report all suspected or reported violations of any of the laws identified in this paragraph to LFUCG.

| Rober on Hunch | 08/05/2022 |
|----------------|------------|
| Signature      | Date       |



#### CERTIFICATE OF LIABILITY INSURANCE

**DATE (MM/DD/YYYY)** 02/25/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

| PRODUCER  | CONTACT<br>NAME:                                    |         |
|---|---|---------|
| mb The decree to the Court To the                   | PHONE (A/C, No, Ext): 502-244-1343 (A/C, No): 502-2 | 44-1411 |
| The Underwriters Group, Inc. 1700 Eastpoint Parkway | E-MAIL<br>ADDRESS:                                  |         |
| P.O. Box 23790                                      | INSURER(S) AFFORDING COVERAGE                       | NAIC #  |
| Louisville, KY 40223                                | INSURER A: Hartford Fire Insurance Company          | 19682   |
| INSURED   | INSURER B: Hartford Casualty Insurance Company      | 29424   |
| GRW Engineers Inc. GRW Aerial Surveys, Inc.         | INSURER C: Twin City Fire Insurance Company         | 29459   |
| 801 Corporate Drive<br>Lexington, KY 40503          | INSURER D: Kentucky Employers' Mutual Insurance     | 10320   |
| Lexington, KY 40503                                 | INSURER E: XL Specialty Insurance Company           | 37885   |
|   | INSURER F: Global Aerospace, Inc.                   |         |

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR<br>LTR | INSR TYPE OF INSURANCE ADDITIONS OF SHORT ADDITIONS |   |      | POLICY EFF | POLICY EXP<br>(MM/DD/YYYY) |              |              |  |              |
|-------------|--|---|------|------------|----------------------------|--------------|--------------|--|--------------|
| LTR         |  |   | INSD | WVD        | POLICY NUMBER              | (MM/DD/YYYY) | (MM/DD/YYYY) | LIIVIII                                      | -            |
| Α           | X  | COMMERCIAL GENERAL LIABILITY                        | Х    | Х          | 33UUNOL5417                | 03/01/2022   | 03/01/2023   | EACH OCCURRENCE                              | \$1,000,000  |
|             |  | CLAIMS-MADE X OCCUR                                 |      |            |                            |              |              | DAMAGE TO RENTED<br>PREMISES (Ea occurrence) | \$300,000    |
|             |  |   |      |            |                            |              |              | MED EXP (Any one person)                     | \$10,000     |
|             |  |   |      |            |                            |              |              | PERSONAL & ADV INJURY                        | \$1,000,000  |
|             | GEN  | I'L AGGREGATE LIMIT APPLIES PER:                    |      |            |                            |              |              | GENERAL AGGREGATE                            | \$2,000,000  |
|             |  | POLICY X PRO-<br>JECT LOC                           |      |            |                            |              |              | PRODUCTS - COMP/OP AGG                       | \$2,000,000  |
|             |  | OTHER:  |      |            |                            |              |              |  | \$           |
| А           | AUT  | OMOBILE LIABILITY                                   | Х    | Х          | 33UENOL5418                | 03/01/2022   | 03/01/2023   | COMBINED SINGLE LIMIT (Ea accident)          | \$1,000,000  |
|             | Х  | ANY AUTO  |      |            |                            |              |              | BODILY INJURY (Per person)                   | \$           |
|             |  | ALL OWNED SCHEDULED AUTOS AUTOS                     |      |            |                            |              |              | BODILY INJURY (Per accident)                 | \$           |
|             | Х  | HIRED AUTOS X NON-OWNED AUTOS                       |      |            |                            |              |              | PROPERTY DAMAGE (Per accident)               | \$           |
|             | Х  | HCPD  |      |            |                            |              |              |  | \$           |
| В           | Х  | UMBRELLA LIAB X OCCUR                               | Х    | Х          | 33RHUOL5419                | 03/01/2022   | 03/01/2023   | EACH OCCURRENCE                              | \$10,000,000 |
|             |  | EXCESS LIAB CLAIMS-MADE                             |      |            |                            |              |              | AGGREGATE                                    | \$10,000,000 |
|             |  | DED X RETENTION \$ 10,000                           |      |            |                            |              |              |  | \$           |
| С           |  | RKERS COMPENSATION EMPLOYERS' LIABILITY             |      |            | 33WEOL6H5H<br>Other States | 03/01/2022   | 03/01/2023   | X PER OTH-<br>STATUT E ER                    | ·            |
|             | ANY  | PROPRIET OR/PART NER/EXECUTIVE CER/MEMBER EXCLUDED? | N/A  |            | Other Beates               |              |              | E.L. EACH ACCIDENT                           | \$1,000,000  |
| D           | (Mar   | datory in NH)                                       |      |            | 361580                     | 03/01/2022   | 03/01/2023   | E.L. DISEASE - EA EMPLOYEE                   | \$1,000,000  |
|             | DÉS  | s, describe under<br>CRIPTION OF OPERATIONS below   |      |            | KY Only                    |              |              | E.L. DISEASE - POLICY LIMIT                  | \$1,000,000  |
| E           | Pr   | rofessional Liability                               |      |            | DPR9989814                 | 03/01/2022   | 03/01/2023   | Per Claim/Agg                                | 5,000,000    |
| Α           | E  | quipment - ACV                                      |      |            | 33MSZL9179                 | 03/01/2022   | 03/01/2023   | Limit  | 1,420,823    |
| F           | Αv   | viation Liability                                   |      |            | 9000063                    | 03/01/2022   | 03/01/2023   | Occurrence                                   | 1,000,000    |
| <u> </u>    |  |   |      |            |                            |              |              |  |              |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

| CERTIFICATE HOLDER         | CANCELLATION   |
|----------------------------|--|
| For Informational Purposes | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. |
|                            | AUTHORIZED REPRESENTATIVE  |

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# Affirmative Action Program for Minorities and Women

### **GRW** Engineers, Inc.

### Headquarters

# Affirmative Action Program for Minorities and Women

February 1, 2021 through January 31, 2023 Plan Year

#### CONFIDENTIAL, TRADE SECRET, and PRIVATE MATERIAL

This Affirmative Action Plan contains confidential, trade secret, commercial, and private information of GRW which is protected from disclosure by the Office of Federal Contract Compliance Programs pursuant to the Trade Secrets Act, 18 U.S.C. § 1905. The release of this information could cause substantial harm to GRW or its employees within the meaning of the Freedom of Information Act ("FOIA"), 5 U.S.C. §§ 552 (b)(3), (4), (6), and (7) and the Trade Secrets Act. FOIA protects information in this document from mandatory disclosure to FOIA requestors. See, e.g., *Chrysler v. Brown*, 441 U.S. 281 (1979). Furthermore, release of any trade secret, confidential statistical, or commercial information would be arbitrary and capricious in violation of the Administrative Procedure Act. See, e.g., *CNA Financial Corp. v. Donovan*, 830 F.2d 1132, 1144 (D.C. Cir.), cert. denied, 485 U.S. 977 (1988).

### GRW Engineers, Inc. Headquarters

#### AFFIRMATIVE ACTION PROGRAM FOR MINORITIES AND WOMEN

February 1, 2021 through January 31, 2023 Plan Year

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#### Introduction

GRW Engineers, Inc. (GRW) has prepared this Affirmative Action Program (AAP) for the period of February 1, 2021 through January 31, 2022, reaffirming its commitment to the letter and spirit of affirmative action law, including those administered by the U. S. Department of Labor's Office of Federal Contract Compliance Programs (OFCCP). Through the implementation of this AAP, GRW continues its efforts to comply with appropriate government regulations and to make the best possible use of personnel while contributing to the betterment of society and the community.

In developing this AAP GRW recognizes its duty to ensure equal employment opportunity. The following statement of policy reinforces that belief.

#### **Reaffirming Commitment to Equal Employment Opportunity**

In setting forth this AAP GRW reaffirms its belief in equal employment opportunity for all employees and applicants for employment in all terms and conditions of employment.

Ron Gilkerson, CEO of GRW, designated Gayla Szak as the Equal Employment Opportunity Administrator (EEO Administrator). Gayla Szak oversees the AAP development, modification, implementation, and reporting requirements and conducts management updates. The EEO Administrator also analyzes GRW's selection process to further the principles of equal employment opportunity.

As part of GRW's commitment to this overall process, it will seek to ensure affirmative action to provide equality of opportunity in all aspects of employment, and that all personnel activities, such as the recruitment, selection, training, compensation, benefits, discipline, promotion, transfer, layoff, and termination processes remain free of illegal discrimination and harassment based upon race, color, religion, sex, sexual orientation, gender identity, and national origin. Regular review by GRW, as described in this AAP, helps ensure compliance with this policy.

### Internal Dissemination of EEO Policy 41 C.F.R. § 60-1.42

GRW posts copies of the equal employment opportunity notices that comply with 41 C.F.R. § 60-1.42(a) in conspicuous places (including, where applicable, electronic websites) available to employees, applicants for employment, and (if applicable) representatives of each labor union or other organization representing its employees with which GRW has a collective-bargaining agreement or other contract or understanding. The following exemplify the methods and locations GRW may use in its ongoing efforts to ensure continuing dissemination of its policy and AAP, although GRW may not always use each or any of the below methods, and it may use other methods not listed below:

- 1. Internal employee manuals contain the policy statement.
- 2. The policy statement is posted on bulletin boards accessible to employees.
- 3. GRW references the policy and progress in its annual report, newspaper, magazine, and other publications.
- 4. Orientation meetings for new employees and in-house employment-related training include references to GRW's policy.
- 5. GRW publications, if any, including those with photographs, generally feature individuals of diverse gender, race, color, and national origin, where feasible.
- 6. Pertinent portions of GRW's Affirmative Action Program are available during regular business hours for inspection by employees and applicants for employment.

# External Dissemination of EEO Policy 41 C.F.R. § 60-1.41; 41 C.F.R. § 60-1.5

- 1. In solicitations or advertisements for employees placed by or on its behalf, GRW complies with at least one of the following methods regarding the dissemination of its equal employment opportunity clause:
  - a. GRW states expressly in the solicitations or advertising that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin. 41 C.F.R.§ 1.41(a).
  - b. GRW uses a single advertisement, and the advertisement is grouped with other advertisements under a caption which clearly states that all employers in the group assure all qualified applicants equal consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin. 41 C.F.R.§ 1.41(c).

- c. GRW uses a single advertisement in which appears in clearly-distinguishable type the phrase "an equal employment opportunity employer." 41 C.F.R.§ 1.41(d). When pictures are included in these media, where feasible, efforts will be made to include pictures of individuals of diverse gender, race, and national origin.
- 2. The following exemplify the methods and locations GRW may use in its ongoing efforts to ensure continuing dissemination of its policy and AAP, although GRW may not always use all of the below methods, and it may use other methods not listed below:
  - a. GRW notifies subcontractors, suppliers, and vendors of the policy about both its obligations to equal employment opportunity and about GRW's AAP.
  - b. GRW advises recruitment sources, minority and female organizations, community agencies, and colleges of its commitment to this policy and AAP. GRW informs these sources that job applicants will be treated fairly without regard to their race, color, religion, sex, sexual orientation, gender identity, and national origin.
  - c. GRW communicates with the state employment security office regarding its commitment to the policy.
- 3. In addition, GRW incorporates by reference the equal employment opportunity and affirmative action clauses into each of its covered federal contracts and subcontracts, including federal agency bills of lading, transportation requests, and such other covered contracts and covered subcontracts as required by law, purchase orders, lease agreements, Government contracts, and other covered contracts (and modifications thereof if not included in the original contract) in accordance with 41. C.F.R. § 60-1.4 (a) (c) (unless exempted under 41 C.F.R. § 60-1.5).

# Establishment of Responsibility for Implementation of the AAP 41 C.F.R. § 60-2.17(a)

#### A. Identification and Responsibilities of EEO Administrator

Overall responsibility for GRW's AAP rests with the EEO Administrator, Gayla Szak. Gayla Szak ensures the AAP complies with all applicable laws, orders, and regulations, including but not limited to Executive Orders 11246, 13496, and their progeny. Specifically, Gayla Szak or the designated representative's duties include:

- 1. Developing, maintaining, and modifying, where appropriate, GRW's AAP to ensure compliance with the EEO/AA law.
- 2. Developing and modifying, where appropriate procedures for effectively communicating the AAP and its elements both internally and externally.
- 3. Advising management on EEO/AA progress, reporting potential EEO/AA problem areas, and assisting management in finding equitable solutions, where feasible, to any identifiable EEO/AA problem areas.
- 4. Evaluating the effectiveness of GRW's AAP on a regular basis and reporting to management.
- 5. Designing, implementing, and overseeing audit and reporting systems that periodically measure the effectiveness of the total affirmative action program. 41 C.F.R. § 2.17 (d)(1)-(4), identifying need for remedial action, and determining the degree to which objectives have been achieved.
- 6. Acting as Company representative and liaison with any government agencies regarding this AAP.
- 7. Monitoring Company policies and procedures with regard to terms and conditions of employment to attempt to ensure compliance with affirmative action obligations.
- 8. Auditing the content of GRW's bulletin board and electronic policies, as appropriate, to ensure compliance information is posted and up to date.
- 9. Keeping management up to date on the latest developments in the areas of EEO and affirmative action.
- 10. Serving as a liaison between GRW and organizations, such as minority organizations and women's organizations.
- 11. When necessary, developing sales and management training programs to increase protected-group participation.
- 12. Assisting in the investigation, handling, and disposition of employee harassment and discrimination complaints.

- 13. Discussing EEO/AA policies with all personnel, including management, to ensure GRW's policies and the need for their support are understood at all levels.
- 14. Reviewing GRW's AAP for qualified women and minorities with all managers and supervisors to ensure the policy is understood and followed in all personnel actions.
- 15. Conducting periodic reviews of offices to ensure compliance in the areas of proper display of posters and notices, comparable facilities for both sexes, and opportunity for participation in Company-sponsored recreational, educational, and social activities.
- 16. Auditing training programs, hiring, and promotion patterns.

#### **B.** Management Responsibilities

Line and upper management share responsibility for the AAP, including but not limited to the following:

- 1. Assisting in auditing AAP progress, including identifying problem areas, formulating solutions, establishing appropriate goals, and developing necessary training programs.
- 2. Reviewing the qualifications of applicants and employees to ensure qualified individuals are treated in a nondiscriminatory manner in hiring, promotion, transfers, and termination actions.
- 3. Making available career counseling, when appropriate.
- 4. Reviewing the job performance of each employee to assess whether personnel actions are justified based on the employee and his or her duties.
- 5. Reviewing position descriptions of the jobs in the manager's area or department to see that they adequately reflect the job to be performed.
- 6. Assisting subordinates and upper management in the prevention of harassment.

#### **Identification of Areas for Discussion**

#### 41 C.F.R. § 60-2.17(b)

GRW's commitment to fully implement this policy and AAP include periodic reviews of its total employment process to determine whether and where impediments to equal employment opportunity exist. These reviews include:

- 1. The workforce by organizational unit and job group of minority or female utilization and distribution:
- 2. Personnel activity to determine whether there are selection disparities;
- 3. Compensation systems to determine if there are gender-, race-, or ethnicity-based disparities;
- 4. Selection, recruitment, referral, and other personnel procedures to determine whether they result in employment or placement disparities of minorities or women; and,
- 5. Any other areas that might impact the success of the affirmative action program. 41 C.F.R. § 2.17 (b)(1)-(5), including, for example, GRW's review of:
  - a. The workforce composition by race and sex to compare it to the availability of these groups;
  - b. GRW's applicant flow compared to the availability for the protected groups;
  - c. A comparison of hires to applicants pertaining to minorities and women;
  - d. Selection forms, such as applications for employment, to ensure they comply with federal and state employment laws;
  - e. Processes to ensure there are no artificially-created barriers or restrictive seniority provisions; and,
  - f. Training opportunities to ensure they are available to minorities and women.

Identification of problem areas are discussed in the next section titled Narrative Discussion of Goals.

#### **Narrative Discussion of Goals**

The Headquarters plan has 211 employees, including 4 minorities and 40 females. The following goals exist for minorities and/or women:

- 1.1 Executive/Senior Level Officials and Managers This group consists of 7 employees, of whom none are minorities and none are females. There is no need to set a placement goal at this time for minorities or females.
- 1.2 First/Mid-Level Officials and Managers This group consists of 13 employees, of whom none are minorities and none are females. There is no need to set a placement goal at this time for minorities or females.
- 2 Professionals This group consists of 87 employees, of whom 3 are minorities and 18 are females. There is no need to set a placement goal at this time for minorities or females.
- 3 Technicians This group consists of 42 employees, of whom none are minorities and 6 are females. There is no need to set a placement goal at this time for minorities, but there is a goal of 34.35% for females.
- 5 Administrative Support Workers This group consists of 16 employees, of whom 1 is a minority and 15 are females. There is no need to set a placement goal at this time for minorities or females.
- 6 Craft Workers This group consists of 33 employees, of whom none are minorities and none are females. There is no need to set a placement goal at this time for minorities, but there is a goal of 10.98% for females.
- 7 Operatives This group consists of 13 employees, of whom none are minorities and 1 is a female. There is no need to set a placement goal at this time for minorities or females.

GRW will use alternate recruitment sources, when necessary, to attract more qualified external applicants. In those instances where statistical disparities are indicated, GRW will take action as outlined in the Action-Oriented Programs Section to monitor and eliminate any problem areas, as well as other similar actions.

## Development and Execution of Action-Oriented Programs 41 C.F.R. § 60-2.17(c)

GRW has instituted action-oriented programs designed to eliminate any problem areas, should they exist, in accordance with § 60-2.17(b), and to help achieve specific affirmative action goals. GRW will make good-faith efforts to remove identified barriers, expand employment opportunities, and produce measurable results. These programs may include items such as:

- 1. Conducting periodic reviews of job descriptions attempting to ensure they accurately reflect jobrelated duties and responsibilities.
- 2. Reviewing job qualifications by department and job title for job-relatedness, and using job performance criteria.
- 3. Making job descriptions and qualifications available to recruiting sources and to all members of management involved in the recruiting, screening, selection, and promotion processes.
- 4. Making good-faith efforts to select the most qualified candidates. Accordingly, GRW evaluates its total selection process to ensure selections are made in a nondiscriminatory manner through:
  - a. Annual reviews of job applications and other pre-employment forms to ensure information requested is job-related;
  - b. Annual evaluations of selection methods that may result in statistical disparities to ensure they are non-discriminatory;
  - c. Annual provisions of assistance, such as training and guidance on proper interviewing techniques and EEO training, to employees, management, and supervisory staff, including, but not limited to, those who are involved in the recruitment, selection, discipline, and other related processes, so that personnel actions are made in a nondiscriminatory manner; and
  - d. Annual review of selection techniques and employment standards.
- 5. GRW employs appropriate methods to attempt to improve recruitment and increase the flow of qualified minorities and women applicants in its recruiting process, including a number of the following actions:
  - a. Disseminating information on job opportunities to organizations representing minorities, women, and employment development agencies when job opportunities occur;
  - b. Encouraging all employees to refer qualified applicants;
  - c. Actively recruiting in colleges and universities with predominantly minority or female enrollments where underutilization exists in such areas, and

- d. Requesting employment agencies to refer qualified minorities and women.
- e. Whenever feasible and appropriate, GRW participates in job fairs, career days, youth-motivation programs, and other programs that foster exposure for qualified minorities and women.
- f. GRW encourages all employees to participate in Company-sponsored activities and programs.
- g. GRW utilizes various community organizations and schools as referral sources.
- 6. GRW reviews promotion criteria and procedures so that job qualifications form the basis for the promotional decisions. GRW monitors promotion rates for minorities and women and, when necessary, may employ one or more of the following procedures:
  - a. Providing job training, job-related courses, or certificate programs.
  - b. Reviewing work specifications and job qualifications to ensure job-relatedness.
  - c. Conducting career counseling, where appropriate, during performance evaluations.
  - d. Informing employees about educational programs and other opportunities available to improve their employment prospects.
  - e. Reviewing Company-sponsored social and recreational activities to ensure non-discriminatory participation and availability.
  - f. Ensuring that all employees are given equal opportunity for promotion. This is achieved by:
    - 1. Generally posting or otherwise announcing promotional opportunities.
    - 2. Offering counseling to assist employees in identifying promotional opportunities, training and educational programs to enhance promotions and opportunities for job rotation or transfer; and
    - 3. Evaluating job requirements for promotion.

### Internal Audit and Reporting System 41 C.F.R. § 60-2.17(d)

1. Gayla Szak, GRW's EEO Administrator, maintains an internal audit system to attempt to oversee GRW's Affirmative Action Program and assess progress. The EEO Administrator is responsible for ensuring that the formal AAP documents are developed and prepared and for the effective AAP implementation; however, responsibility is likewise vested with each department manager

and supervisor, depending upon the specific responsibility. The audit system is designed and implemented to measure the effectiveness of the total affirmative action program [41 C.F.R. § 2.17 (d)(1)-(4)], including:

- a. Monitoring records of all personnel activity, including referrals, placements, transfers, promotions, terminations, and compensation at all levels, to ensure the nondiscriminatory policy is carried out,
- b. Requiring internal reporting on a scheduled basis as to the degree to which equal employment opportunity and organizational objectives are attained,
- c. Reviewing reports at all levels of management; and
- d. Advising top management of the program's effectiveness and submitting recommendations to improve unsatisfactory performance. 41 C.F.R. § 2.17 (d)(1)-(4).
- 2. GRW reviews various employment decisions, such as job referrals, hiring decisions, transfers, promotions, and terminations. GRW maintains summary data where necessary and feasible, and conducts regular reviews at least annually.
- 3. There is no "de facto" (in practice without being officially established) segregation. Further, GRW ensures that facilities, as broadly defined in 41 C.F.R. § 60-1.8, provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result, provided that separate or single-user restrooms and necessary dressing or sleeping areas shall be provided to ensure privacy between the sexes.
- 4. GRW complies with required records retention provisions set forth in 41 C.F.R.§60-1.12 and elsewhere in the applicable OFCCP regulations, and maintains a) employment applications (generally for two years); b) summary data of applicant flow by identifying, at least, total applicants, total minority applicants, and total female applicants, where necessary and feasible, and conducts regular reviews at least annually; c) applicant flow showing the name, race, sex, date of application, job title, interview status, and the action taken for all individuals applying for job opportunities, and the relevant applicant/hire decisions; d) summary data of external job offers and hires, promotions, resignations, terminations, and layoffs by job group and by sex and minority group identification; and e) records pertaining to its compensation system.
- 5. Provide needed reports to managers and supervisors regarding the results of the audit as well as GRW's overall progress in the area of EEO/AA. Any recommended actions should be made as well. Reports shall be made to senior management on at least an annual basis.

## Guidelines for Prevention of Sex Discrimination 41 C.F.R. § 60-20.1 et seq.

GRW supports the promotion and ensuring of equal employment opportunity of its employees and applicants without regard to sex, and endorses and complies with the following policy statements:

- 1. GRW does not discriminate against any employee or applicant for employment because of sex. The term sex includes, but is not limited to, pregnancy, childbirth, or related medical conditions; gender identity; transgender status; and sex stereotyping. 41 C.F.R. §60-20.2(a).
- 2. GRW maintains gender-neutral personnel policies that expressly indicate that there shall be no unlawful discrimination against employees based on sex. Unless sex is a bona fide occupational qualification reasonably necessary to the normal operation of business, GRW will not make any distinction based on sex in recruitment, hiring, firing, promotion, compensation, hours, job assignments, training, benefits, or other terms, conditions, or privileges of employment. The terms and conditions of any written collective bargaining agreements shall not be inconsistent with these guidelines. 41 C.F.R. §60-20.2(b).
- 3. GRW will not make any distinction between married and unmarried persons of one sex that is not made between married and unmarried persons of the opposite sex; or deny employment to women with children unless it has the same exclusionary policies for men; or steer women into lower-paying or less desirable jobs on the basis of sex; or impose any differences in retirement age or other terms, conditions, or privileges of retirement on the basis of sex. 41 C.F.R. § 60.20.2(b).
- 4. GRW does not maintain seniority lines and lists on the basis of sex. 41 C.F.R. § 60-20.2(b).
- 5. GRW's employment advertisements do not express a sex preference nor does GRW place advertisements in columns designated "males" or "females", unless sex is a bona fide occupational qualification reasonably necessary to the normal operation of business. 41 C.F.R. §60-20.2(b).
- 6. All employees at GRW shall have an equal opportunity to any available job that he or she is qualified to perform, unless sex is a bona fide occupational qualification. 41 C.F.R. §60-20.2(b).
- 7. GRW will not deny transgender employees access to the restrooms or similar facilities designated for use by the gender with which they identify. 41 C.F.R. §60-20.2(b).
- 8. GRW will not treat employees or applicants adversely because they have received or are planning to receive transition-related medical services designed to facilitate the adoption of a sex or gender other than the individual's designated sex at birth. 41 C.F.R. §60-20.2(b).
- 9. GRW does not hire or employ employees on the basis of sex unless sex is a bona fide occupational qualification reasonably necessary to the normal operation of business. 41 C.F.R. §60-20.3.
- 10. GRW does not engage in any employment practice that discriminates in wages, benefits, or any other forms of compensation, or denies access to earnings opportunities, because of sex. 41 C.F.R §60-20.4.
- 11. All employees of GRW have equal opportunity to obtain regular and/or overtime hours, commissions, pay increases, incentive compensation, or any other additions to regular earnings. 41 C.F.R §60-20.4.

- 12. GRW's wage schedules are not related to or based on sex, but rather are based on job relatedness and are consistent with business necessity. 41 C.F.R §60-20.4.
- 13. GRW does not discriminate on the basis of pregnancy, childbirth, or related medical conditions, including childbearing capacity. 41 C.F.R. § 60-20.5.
- 14. Any fringe benefits, which include but are not limited to medical, hospital, accident, life insurance and retirement benefits; profit-sharing and bonus plans; leave, etc. that are offered by GRW, are not based on sex. 41 C.F.R. § 60-20.6.
- 15. GRW does not make employment decisions on the basis of sex-based stereotypes, such as stereotypes about how males and/or females are expected to look, speak, or act. 41 C.F.R. § 60-20.7.
- 16. GRW has a no tolerance policy regarding harassment and hostile work environments. Harassment on the basis of sex includes unwelcome sexual advances, requests for sexual favors, offensive remarks about a person's sex, and other verbal or physical conduct of a sexual nature. Harassment because of sex includes sexual harassment (including sexual harassment based on gender identity or transgender status); harassment based on pregnancy, childbirth, or related medical conditions; and harassment that is not sexual in nature but that is because of sex or sexbased stereotypes. 41 C.F.R. § 60-20.8.
- 17. When appropriate, GRW makes affirmative efforts to increase the number and percentage of women in the workforce, including, but not limited to the following:
  - a. GRW recruits women and encourages existing women employees to apply for positions historically labeled by society as "traditionally male".
  - b. GRW guarantees equal, gender-neutral access to training and tuition reimbursement programs, including management training and other types of workplace training programs.
  - c. GRW informs management of its affirmative action responsibilities.

### Policy with Respect to Religion/National Origin 41 C.F.R. § 60-50.1 et seq.

Pursuant to the guidelines prohibiting discrimination on the basis of religion and/or national origin, 41 C.F.R. § 60-50.1, et seq., GRW hereby reaffirms that it does not discriminate against employees, or applicants for employment, because of religion or national origin. GRW takes affirmative action to seek to ensure that employees or applicants for employment are treated without regard to their religion or national origin in all aspects of the terms and conditions of employment, such as upgrading, demotion, transfer, recruitment, recruitment advertising, layoff, termination, rates of pay (or other forms of compensation), and selection for training.

GRW has reviewed its employment practices and determined that its employees, including those who belong to religious or ethnic groups, have received fair consideration for job opportunities. Based upon its review, and depending upon the circumstances, GRW will undertake appropriate actions, which may include one or more of the following activities:

- 1. Issuing a policy directive to employees reaffirming GRW's obligation to provide equal employment opportunity without regard to religion or national origin. This policy will be communicated in such a manner as to foster understanding, acceptance, and support among executives, managers, supervisors, and other employees, and to encourage such persons to take the necessary action to aid GRW in meeting its obligations.
- 2. Developing internal procedures to ensure GRW's obligation to provide equal employment opportunity, without regard to religion or national origin, is fully implemented. Specifically, employment activities are reviewed by the EEO Administrator.
- 3. Informing management annually of its commitment to equal employment opportunity, without regard to religion or national origin.
- 4. Enlisting the assistance and support of recruitment sources for this commitment.

GRW acknowledges its responsibility to make reasonable accommodations for the religious observances and practices of its existing or prospective employees under the terms of Title VII of the Civil Rights Act of 1964. An accommodation for religious purposes will be denied should GRW determine that the company would have to suffer undue hardship. During this accommodation evaluation, the following factors will continue to be considered by GRW:

- 1. Business necessity;
- 2. Financial costs and expenses; and
- 3. Resulting personnel problems.

#### **Organizational Profile**

Contractors and subcontractors are required to include in their AAPs an organizational profile of their workforce using either a "workforce analysis" or "organizational display" that provides detailed data reflecting staffing patterns within the establishment. 41 C.F.R. § 60-2.11. An organizational profile shows the staffing pattern within a contractor's establishment. This profile assists an employer in identifying where, in the workforce of its site which is the subject of this AAP, women or minorities are underrepresented or concentrated. A workforce analysis is used in this AAP, which lists each job title from the lowest paid to the highest paid within each department or similar organizational unit.

#### **Job Group Analysis**

Contractors and subcontractors are required to include in their AAPs a "job group analysis" by combining jobs at the establishment with similar content, wage rates, and opportunities to form job groups. 41 C.F.R. § 60-2.12. The job group analysis is a contractor's first comparison of the representation of minorities and women in its workforce with the estimated availability of minorities and women to be employed. The job group is created by first sorting the various jobs at the establishment into job groups, which is a collection of jobs in an organization with similar job content (field of work and/or skill level), similar promotional opportunities, and similar compensation. The job groups then are developed to fit the unique characteristics of each organizational unit, taking into account the size, type, and complexity of the work performed. Contractors, after combining the job titles for the job group analysis, must then separately provide the percentage of minorities and the percentage of women they employ in each job group.

#### **Availability Analysis**

Contractors and covered Federal subcontractors, after aggregating individual jobs into job groups, are then required to determine the availability of women and minorities for those job groups. 41 C.F.R. § 60-2.14. "Availability" is a percentage estimate of the women and minorities who have the skills required to perform the jobs within the job groups. To determine the availability percentages, contractors are required to consider two factors: 1) factors reflecting the availability outside the contractor's workforce (such as people in the immediate labor area or reasonable recruitment area); and, 2) factors affecting the availability inside the contractor's own workforce (such as people who are qualified and available by transfer, promotion, or training). Contractors typically rely on the most current U.S. Census data to develop their external availability factors, and on their own workforce numbers to develop their internal availability factors. Both external and internal factors must be considered, but contractors may "weight" each of the two factors according to each factor's relevance to the job group in question. Such weighting is included in the following availability statistics for each job group.

After a contractor has formulated job groups and determined the minority and female availability percentages for each job group, it must then compare the actual utilization of minorities and women in each job group with their estimated availability, and identify those job groups where the percentage of women and/or minorities employed is less than would reasonably be expected given their availability. 41 C.F.R. § 60-2.15.

#### **Placement Goals**

Contractors and subcontractors must compare the percentage of minorities and women in each job group with the availability for those job groups as calculated in this AAP. 41 C.F.R. § 60-2.13 to 60.2.15. When the percentage of minorities or women in a job group is less than would be reasonably expected given their availability, contractors are required to establish placement goals, which also serve as reasonably attainable objectives to measure progress toward achieving equal employment opportunity. 41 C.F.R. § 60-2.16.

Contractors may use a number of methods to determine whether their actual employment percentage of minorities and/or females is lower than would reasonably be expected, including the need to set a placement goal when: 1) there is "any difference" between the availability percentage and the employment percentage; 2) actual employment is less than 80 percent of calculated availability (which is the expected representation); or, 3) the difference between the actual and expected employment is statistically significant. Any reasonable method, as long as it is uniformly applied, is acceptable to the OFCCP.

Placement goals are established as a percentage equal to the calculated availability and serve as objectives or targets reasonably attainable by means of applying every good faith effort to make all aspects of the entire affirmative action program work. 41 C.F.R. § 60-2.16. Although a contractor is required to make good faith efforts to meet its goals, the goals are not allowed under law to be quotas (with the exception of a few circumstances, such as when there is a court order to remedy prior unlawful discrimination.)

#### **Progress Toward Goals Report**

Contractors and subcontractors must maintain its current affirmative action plan (AAP) and documentation of good faith efforts, and must preserve its AAP and documentation of good faith efforts for the immediately preceding AAP year. 41 C.F.R. § 60-1.12 (b).

One of the key components to the effective implementation of an AAP is the acknowledgement of progress toward the goals established in the utilization analysis of the preceding year. As such, this is one of the items requested by OFCCP during a routine compliance evaluation.

To compare progress toward goals, the contractor must measure the employment activity that has occurred during the plan year. The variable here is opportunities which are defined by OFCCP as total placements (hires plus promotions) into the job group.

#### **Disparity Analysis**

Contractors and subcontractors are required to include personnel activity (applicant flow, hires, terminations, promotions, and any other personnel actions) to determine whether there are selection disparities. 41 C.F.R. § 60-2.17 (b) (2).

The Disparity Analysis is a tool to measure the statistical relationship between two selected groups. The following report identifies whether the rates of those hired, promoted, or terminated are similar without regard to race or gender.

### Affirmative Action Program for Protected Veterans

### **GRW** Engineers, Inc.

### Headquarters

# **Affirmative Action Program** For Protected Veterans

February 1, 2021 through January 31, 2023 Plan Year

#### CONFIDENTIAL, TRADE SECRET, AND PRIVATE MATERIAL

This Affirmative Action Plan contains confidential, trade secret, commercial, and private information of GRW which is protected from disclosure by the Office of Federal Contract Compliance Programs pursuant to the Trade Secrets Act, 18 U.S.C. § 1905. The release of this information could cause substantial harm to GRW or its employees within the meaning of the Freedom of Information Act ("FOIA"), 5 U.S.C. §§ 552 (b)(3), (4), (6) and (7) and the Trade Secrets Act. FOIA protects information in this document from mandatory disclosure to FOIA requestors. See, e.g., *Chrysler v. Brown*, 441 U.S. 281 (1979). Furthermore, release of any trade secret, confidential statistical or commercial information would be arbitrary and capricious in violation of the Administrative Procedure Act. See, e.g., *CNA Financial Corp. v. Donovan*, 830 F.2d 1132, 1144 (D.C. Cir.), cert. denied, 485 U.S. 977 (1988).

### GRW Engineers, Inc. Headquarters

### AFFIRMATIVE ACTION PROGRAM FOR PROTECTED VETERANS

February 1, 2021 through January 31, 2023 Plan Year

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#### Introduction

GRW Engineers, Inc. (GRW) sets forth this affirmative action program ("AAP") for the year from February 1, 2021 through January 31, 2022, reaffirming its commitment to the spirit and letter of affirmative action law. Through the implementation of this plan GRW continues its efforts to comply with appropriate government regulations and to make the best possible use of personnel while contributing to the betterment of society and the community.

In developing this AAP, GRW recognizes its duty to ensure equal employment opportunity.

**Definitions.** For the purposes of this AAP, the term "Protected Veteran" shall be defined as follows, according to the VEVRAA regulations:

Active Duty Wartime or Campaign Badge Veteran means a veteran who served on active duty in the U.S. military, ground, naval, or air service during a war or in a campaign or expedition for which a campaign badge has been authorized, under the laws administered by the U.S. Department of Defense.

<u>Armed Forces Service Medal Veteran</u> means any veteran who, while serving on active duty in the U.S. military, ground, naval, or air service, participated in a United States military operation to which an Armed Forces service medal was awarded pursuant to Executive Order 12985.

#### Disabled Veteran means:

- 1. A veteran of the U. S. military, ground, naval, or air service who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Secretary of Veterans Affairs, or
- 2. A person who was discharged or released from active duty because of a service-connected disability.

<u>Protected Veteran</u> means a veteran who is protected under the non-discrimination and affirmative action provisions of VEVRAA; specifically, a veteran who may be classified as a "disabled veteran," "recently-separated veteran," "active duty wartime or campaign badge veteran," and/or an "Armed Forces Service Medal Veteran" as defined by this AAP and VEVRAA.

<u>Recently-Separated Veteran</u> means any veteran during the three-year period beginning on the date of such veteran's discharge or release from active duty in the U.S. military, ground, naval, or air service.

Pre-JVA veterans are those who would be protected by 41 C.F.R. Part 250 if it were not rescinded, but would not be protected under 41 C.F.R. Part 300, and if GRW is found to still be signatory to any federal contracts signed on or before November 30, 2003 and which have not since been amended, modified and/or extended.

### Equal Employment Opportunity Policy Statement 41 C.F.R. § 60-300.44(a)

In setting forth this plan GRW reaffirms its belief and commitment in equal employment opportunity for all employees and applicants for employment in all terms and conditions of employment. Gayla Szak, as the EEO Administrator, oversees the plan development, modification, implementation, and reporting requirements and conducts management updates. GRW's top U.S. executive supports GRW's AAP.

GRW provides for an audit and reporting system regarding GRW's affirmative action responsibilities under the Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended ("VEVRAA") regulations, and assigns overall responsibility for the implementation of affirmative action responsibilities under these regulations.

GRW recruits, hires, trains and promotes persons in all job titles, and ensures that all personnel actions are administered without regard to protected veteran status; and ensures that all employment actions are based only on valid job requirements. It is GRW's policy not to discriminate because of a person's relationship or association with a protected veteran. This includes spouses and other family members. GRW will safeguard the fair and equitable treatment of protected veteran spouses and family members with regard to all employment actions and prohibit harassment of applicants and employees because of their relationship or association with a protected veteran. GRW's employees and applicants are not subjected to harassment, intimidation, threats, coercion, or discrimination because they have engaged in or may engage in any of the following activities:

- 1. filing a complaint with GRW or with Federal, state, or local agencies regarding the status covered under this AAP;
- 2. assisting or participating in any investigation, compliance review, hearing, or any other activity related to the administration of any Federal, State, or local law requiring equal employment opportunity for protected veterans;
- 3. opposing any act or practice made unlawful by VEVRAA or its implementing regulations, or any other Federal, State or local law requiring equal opportunity for protected veterans; or
- 4. exercising any other right protected by VEVRAA or its implementing regulations.

GRW's full AAP, absent the data metrics required by 41 CFR § 60-300.44(k), is available for inspection upon request. The method of obtaining a copy of the AAP is listed within the affirmative action policy statement physically posted at GRW's establishment.

#### **Review of Personnel Processes**

#### 41 C.F.R. § 300.44(b)

- 1. GRW ensures its personnel processes provide for careful, thorough, and systematic consideration of the job qualifications of applicants and employees who are known protected veterans for job vacancies filled either by hiring or promotion, and for all training opportunities offered or available.
- 2. GRW also ensures that when a protected veteran is considered for employment opportunities, GRW relies only on that portion of the individual's military record, including his or her discharge papers, relevant to the requirements of the opportunity in issue.
- 3. GRW ensures that its personnel processes do not stereotype protected veterans in a manner which limits their access to all jobs for which they are qualified.
- 4. GRW periodically reviews such processes and makes any necessary modifications to ensure that these obligations are carried out. A description of the review and any necessary modifications to personnel processes or development of new processes is included in this AAP.
- 5. GRW designs procedures that facilitate a review of the implementation of this requirement by GRW and the Government. The procedures GRW uses are as follows:
  - a. The application or personnel form of each known applicant who is a protected veteran is annotated to identify each vacancy for which the applicant was considered, and the form will be quickly retrievable for review by the Department of Labor and GRW's personnel officials for use in investigations and internal compliance activities.
  - b. Where applicants or employees are selected for hire, promotion, or training and GRW undertakes any accommodation which makes it possible for it to place a disabled veteran on the job, GRW makes a record containing a description of the accommodation. The record is treated as a confidential medical record in accordance with § 60-300.23(d).

#### Physical and Mental Job Qualifications

#### 41 C.F.R. § 300.23 and 44(c)

- 1. GRW adheres to a schedule for the periodic review of all physical and mental job qualification standards to ensure that, to the extent qualification standards tend to screen out qualified disabled veterans, they are job-related for the position and are consistent with job necessity.
- 2. Whenever GRW applies physical or mental qualification standards in the selection of applicants or employees for employment or other change in employment status such as

promotion, demotion or training, to the extent that qualification standards tend to screen out qualified disabled veterans, the standards shall be related to the specific job or jobs for which the individual is being considered and consistent with business necessity. GRW reviews its job descriptions and qualifications to ensure they accurately reflect job duties and responsibilities. The schedule is as follows as job openings become available; as new job qualifications are established; and/or, when new equipment is installed.

- 3. No pre-employment physical examinations or questionnaires are used by GRW prior to a job offer contingent on such examinations and other requirements.
- 4. GRW may use as a defense to a violation of its obligations in Paragraph 2 above that an individual poses a direct threat to the health or safety of the individual or others in the workplace.
- 5. When GRW conducts a medical examination or inquiry of a protected veteran it will do so according to the terms and conditions of the VEVRAA and Section 503 regulations, and the results of such an examination or inquiry are kept confidential according to federal regulations, which includes the following exceptions:
  - a. Supervisors and managers may be informed regarding restrictions on the work or duties of the applicant or employee and necessary accommodations;
  - b. First aid and safety personnel may be informed, when appropriate, if the disability might require emergency treatment; and,
  - c. Government officials engaged in enforcing the laws administered by the OFCCP regarding individuals with disabilities or protected veterans, or enforcing The Americans with Disabilities Act ("ADA") and The Americans with Disabilities Act Amendment Act of 2008 ("ADAAA"), shall be provided relevant information on request.

#### **Reasonable Accommodation**

#### 41 C.F.R. §60-300.44(d)

- 1. It is GRW's policy as a matter of nondiscrimination to make reasonable accommodation to the known physical and mental limitations of all otherwise qualified disabled veterans unless it can demonstrate that the accommodation would impose an undue hardship on GRW's business, in accordance with the terms and conditions of Section 503 regulations. Undue hardship will be determined by its definition under applicable regulations under Section 503 including, but not limited to the following: Undue hardship means, with respect to the provision of an accommodation, significant difficulty or expense incurred by the contractor, when considered in light of the factors set forth in 41 CFR § 60-300.2 (aa)(2), such as the overall financial resources of the facility and the impact of the accommodation upon the operation of the facility (this is not an all-inclusive list).
- 2. As a matter of affirmative action, if an employee who is known to be a disabled veteran is having significant difficulty performing his or her job and it is reasonable to conclude that the performance problem may be related to the known disability, GRW confidentially notifies the employee of the performance problem and inquires whether the problem is related to the employee's disability.
- 3. If the employee responds affirmatively, GRW confidentially inquires whether the employee is in need of a reasonable accommodation.

### Anti-Harassment Procedures 41 C.F.R. § 60-300.44(e)

GRW has developed and implemented procedures to ensure its employees are not harassed because of their status as a protected veteran.

# External Dissemination of Policy, Outreach, and Positive Recruitment 41 C.F.R. § 300.44(f)

- 1. GRW sends written notification of its policy related to affirmative action efforts to all subcontractors, including subcontracting vendors and suppliers, requesting appropriate action on their part.
- 2. GRW undertakes appropriate outreach and positive recruitment activities such as some of those listed below that are reasonably designed to effectively recruit protected veterans. It is not contemplated that GRW will necessarily undertake all the activities listed below or that its activities will be limited to the items listed below. The scope of GRW's efforts shall depend upon all circumstances, including GRW's size and resources and the extent to which existing employment practices are adequate.
  - a. Enlisting the assistance and support of the following persons and organizations in recruiting, and developing on-the-job training opportunities for veterans to fulfill its commitment to provide meaningful employment opportunities for such veterans:
    - i. The Local Veterans' Employment Representative in the local employment service office (i.e. the One-Stop) nearest GRW's establishment;
    - ii. The Department of Veterans Affairs Regional Office nearest GRW's establishment;
    - iii. The veterans' counselors and coordinators ("Vet-Reps") on college campuses;
    - iv. The service officers of the national veterans' groups active in the area of GRW's establishment;
    - v. Local veterans' groups and veterans' service centers near GRW's establishment;
    - vi. The Department of Defense Transition Assistance Program (TAP), or any subsequent program that, in whole or in part, might replace TAP; and
    - vii. Any organization listed in the Employer Resources section of the National Resource Directory (<a href="http://www.nationalresourcedirectory.gov/">http://www.nationalresourcedirectory.gov/</a>), or any future service that replaces or complements it.
  - b. GRW also considers taking the actions listed below, as appropriate, to fulfill its commitment to provide meaningful employment opportunities to protected veterans:
    - i. Formal briefing sessions should be held, preferably on GRW's premises, with representatives from recruiting sources.

- ii. GRW's facility tours, clear and concise explanations of current and future job openings, position descriptions, worker specifications, explanations of the company's selection process, and recruiting literature are an integral part of the briefing. At any such briefing sessions, the GRW official in charge of its affirmative action program is in attendance when possible. Formal arrangements should be made for referral of applicants, follow up with sources, and feedback on disposition of applicants.
- iii. GRW's recruitment efforts at all educational institutions incorporate special efforts to reach students who are protected veterans.
- iv. An effort is made to participate in work-study programs with Department of Veterans Affairs rehabilitation facilities which specialize in training or educating disabled veterans.
- v. Protected veterans are made available for participation in career days, youth motivation programs, and related activities in their communities.
- vi. GRW takes any other positive steps it deems necessary to attract qualified protected veterans not currently in the work force who have requisite skills and can be recruited through affirmative action measures. These persons may be located through the local chapters of organizations of and for any of the classifications of protected veterans.
- vii. GRW, in making hiring decisions, considers applicants who are known protected veterans for all available positions for which they may be qualified when the position(s) applied for is unavailable.
- viii. GRW considers listing its job openings with the National Resource Directory's Veterans Job Bank, or any future service that replaces or complements it.
- 3. GRW documents all activities it undertakes to comply with the obligations of this section, and retain these documents for a period of three (3) years.

### Assessment of External Outreach and Recruitment Efforts 41 C.F.R. § 300.44(f)(3)

1. GRW, on an annual basis, reviews the outreach and recruitment efforts it has taken over the previous twelve months to evaluate their effectiveness in identifying and recruiting qualified protected veterans. GRW documents each evaluation, including at a minimum the criteria it used to evaluate the effectiveness of each effort and GRW's conclusion as to whether each effort was effective. Among these criteria shall be the data collected pursuant to 41 C.F.R. § 60-300.44(k) for the current year and the two most recent previous years. If GRW concludes the totality of its efforts were not effective in identifying and recruiting qualified protected veterans, it shall identify and implement

alternative efforts listed in paragraphs (f)(1) or (f)(2) of this section to fulfill its obligations.

## Internal Dissemination of Policy 41 C.F.R. § 60-300.44(g)

- 1. GRW recognizes that a strong outreach program will be ineffective without adequate internal support from supervisory and management personnel and other employees.
- 2. GRW implements and disseminates this policy internally as follows:
  - a. includes it in GRW's policy manual or otherwise make the policy available to employees; and
  - b. if GRW is party to a collective bargaining agreement, it notifies union officials and/or employee representatives to inform them of GRW's policy, and request their cooperation.
- 3. Further, to assure greater employee cooperation and participation in GRW's efforts, GRW has developed the internal procedures listed in this section of the AAP for communication of its obligation to engage in affirmative action efforts to employ and advance in employment qualified protected veterans. It is not contemplated that GRW's activities will be limited to those listed. These procedures shall be designed to foster understanding, acceptance and support among GRW's executive, management, supervisory and other employees and to encourage such persons to take the necessary actions to aid GRW in meeting this obligation. GRW additionally considers implementing and disseminating this policy internally as follows:
  - a. Informing all employees and prospective employees of its commitment to engage in affirmative action to increase employment opportunities for protected veterans;
  - b. Publicizing it in GRW's newspaper, magazine, annual report and other media;
  - c. Conducting special meetings with executive, management, and supervisory personnel to explain the intent of the policy and individual responsibility for effective implementation, making clear the chief executive officer's support for the affirmative action policy;
  - d. Discussing the policy thoroughly in both employee orientation and management training programs; and
  - e. When employees are featured in employee handbooks or similar publications for employees, including disabled veterans.

#### **Audit and Reporting System**

#### C.F.R. § 60-300.44(h)

- 1. GRW has designed and implemented an audit and reporting system that:
  - a. Measures the effectiveness of GRW's AAP;
  - b. Indicates any need for remedial action;
  - c. Determines the degree to which GRW's objectives have been attained;
  - d. Determines whether known protected veterans have had the opportunity to participate in all of GRW's sponsored educational, training, recreational and social activities;
  - e. Measures GRW's compliance with the AAP's specific obligations; and
  - f. Documents the actions taken to comply with the obligations of paragraphs (i) through (v) above, and retain these documents as employment records for three years subject to the recordkeeping requirements of § 60-300.80.
- 2. Where the affirmative action program is found to be deficient, GRW undertakes necessary action to bring the program into compliance.

#### Responsibility for Implementation of the Plan

### 1. Identification and Responsibilities of EEO/AA Administrator 41 C.F.R. § 60-300.44(i)

In furtherance of GRW's commitment to Affirmative Action and Equal Employment Opportunity, overall responsibility for implementing GRW's AAP rests with its EEO/AA Administrator, whose identity should appear on all internal and external communications regarding GRW's AAP. The EEO/AA Administrator shall be given top management support and staff to manage the implementation of this program as it pertains to all applicable laws, orders and regulations, including VEVRAA. Specifically, Gayla Szak or the designated representative's duties include:

- a. Ensures that GRW lists its job openings in accordance with the requirements of 41 C.F.R. § 300.5.
- b. Ensuring GRW posts in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the OFCCP Director provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as GRW's obligation under the law to take affirmative action to

- employ and advance in employment qualified employees and applicants who are protected veterans.
- c. Ensuring GRW's applicants or employees who are disabled veterans are provided the notice in a form that is accessible and understandable to the individual applicant or employee (e.g., providing Braille or large print versions of the notice, or posting a copy of the notice at a lower height for easy viewing by a person using a wheelchair) when an applicant or employee requests the poster in an alternative format, or when GRW knows that an applicant or employee is unable to read the poster because of a disability. GRW may also provide the poster to an applicant or employee who is a disabled veteran in other alternate means, such as on disc or in audio recording, as long as the format provided enables the individual who is a disabled veteran to access the contents of a poster.
- d. Ensuring that, with respect to employees, if any, who do not work at a physical location of GRW, GRW satisfies its posting obligations by posting such notices in an electronic format, provided that GRW provides computers, or access to computers, that can access the electronic posting to such employees, or GRW has actual knowledge that such employees otherwise are able to access the electronically posted notices.
- e. Ensuring electronic notices for employees are posted in a conspicuous location and format on GRW's intranet or sent by electronic mail to employees. An electronic posting is used by GRW to notify job applicants of their rights if GRW utilizes an electronic application process. Such electronic applicant notice are conspicuously stored with, or as part of, the electronic application.
- f. Ensuring that to the extent this requirement is applicable to GRW, GRW notifies labor organizations of its EEO policy as required by 41 C.F.R. § 60-300.44(g).
- g. Ensuring GRW includes the provisions of this clause in every subcontract or purchase order in excess of \$150,000, unless exempted by the rules, regulations, or orders of the Secretary of Labor pursuant to VEVRAA, so that such provisions will be binding upon each subcontractor or vendor, under the terms and conditions of 41 CFR § 60-300.5(a), per Federal Acquisition Regulation-Inflation Adjustment of Acquisition-Related Thresholds, 80 FR 38293 (2015).
- h. Ensuring that all solicitations or advertisements for employees placed by or on behalf of GRW, state that all qualified applicants will receive consideration for employment without regard to their protected veteran status.
- i. Developing, maintaining and, where appropriate, modifying GRW's AAP for protected veterans, policy statements, personnel policies, internal and external communication techniques including discussions with managers, supervisors and employees to ensure GRW's policies are followed, and monitoring the effectiveness of these actions.
- j. Advising supervisors that they are responsible to prevent harassment of employees due to their status as a protected veteran.
- k. Identifying problem areas with line management in the implementation of the program, and helping management develop solutions to any identifiable problem area.

- 1. Designing, implementing and overseeing an audit and reporting system to monitor the progress of the Company and the AAP's effectiveness, including auditing the contents of GRW's electronic and hard copy bulletin boards on a regular basis to ensure that compliance information that is posted is up to date.
- m. Serving as liaison between GRW and governmental enforcement agencies, community groups, vocational rehabilitation organizations, and organizations for protected veterans.
- n. Evaluating the effectiveness of GRW's plan on a regular basis, and reporting to management.
- o. Monitoring policies and procedures including the selection, evaluation, promotion and training process with regard to the various terms and conditions of employment to attempt to ensure compliance with affirmative action obligations.
- p. Assisting in ensuring that GRW has processes and procedures: a) to ensure career counseling for employees who are protected veterans, when requested and appropriate; and, b) to review personnel actions, policies, procedures, and employee and applicants' qualifications to ensure protected veterans are treated in accordance with anti-discrimination laws when hiring, promotion, transfer, and termination actions occur.
- q. Keeping management up to date on the latest developments in the areas of EEO and affirmative action.
- r. Assisting in the investigation, handling and disposition of employee discrimination and harassment complaints.
- s. Conducting periodic reviews of offices to ensure compliance in the areas of proper display of posters and notices, and opportunity for participation in Company-sponsored recreational, educational and social activities.
- t. Overseeing and ensuring that the below self-identification procedures are conducted as set forth in the VEVRAA regulations, using the language and manner prescribed by the OFCCP Director and published on the OFCCP Web site, as follows:
  - i. Pre-offer self-identification invitation procedures for GRW's job applicants as set forth in 41 C.F.R. § 60-300.42 (a); and
  - ii. Post-offer identification procedures for GRW's job applicants as set forth in 41 C.F.R. § 60-300.42 (a).

Further, GRW does not compel or coerce an individual to self-identify as a protected veteran. GRW keeps all information on self-identification confidential, and maintains it in a data analysis file (rather than in the medical or personnel files of individual employees) as set forth in 41 C.F.R. § 60-300.23(d). GRW only uses the self-identification information in accordance with the VEVRAA regulations.

u. Ensuring that GRW complies with its obligations under 41 C.F.R. § 60-300.45, which requires that GRW establish benchmarks for hiring, the purpose of which is to create a

quantifiable method by which GRW can measure its progress toward achieving equal employment opportunity for protected veterans. The benchmarks will be set on an annual basis and will be documented also as set forth in this AAP.

v. If an applicant identifies himself or herself as a disabled veteran in the post-offer self-identification detailed above, GRW inquires of the applicant whether an accommodation is necessary, and, if so, engages with the applicant regarding reasonable accommodation. GRW may make such inquiries to the extent they are consistent with the Americans with Disabilities Act. GRW maintains a separate file in accordance with Section 60-300.23(d) on persons who have self-identified as disabled veterans.

### 2. Management Responsibilities 41 C.F.R. § 60-300.44(i)

Line and upper management are advised of their responsibilities for GRW's AAP regarding protected veterans within his or her area of responsibility, including but not limited to their obligations to:

- a. Review GRW's AAP for protected veterans with subordinate managers and supervisors to ensure they are aware of the policy, understand their obligation to comply with it in all personnel actions and understand the need for support at all levels.
- b. Assist in the auditing of plan progress, identification of problem areas, formulation of solutions, establishment of departmental goals and objectives, and development of training programs, when appropriate.
- c. Review the qualifications of applicants and employees in their area of responsibility to ensure protected veterans are treated in a nondiscriminatory manner when hire, promotion, transfer, and termination actions occur.
- d. Review employees' performance to ensure that illegal discrimination regarding protected veterans does not occur.
- e. Make available career counseling to employees who are protected veterans, when so requested, and as appropriate.
- f. Review position descriptions to see that they adequately reflect the job to be performed.
- g. Audit training programs, hiring, and promotion patterns.
- h. Assist subordinates and upper management in the prevention of harassment.
- i. Show support for this AAP.

# Affirmative Action Training 41 C.F.R. § 60-300.44(j)

GRW provides training to all personnel involved in the recruitment, screening, selection, promotion, disciplinary and other related processes to ensure its AAP commitments are implemented.

# Affirmative Action Program for Individuals with Disabilities

### **GRW** Engineers, Inc.

### Headquarters

Affirmative Action Program for Individuals with Disabilities

February 1, 2021 through January 31, 2023 Plan Year

#### CONFIDENTIAL, TRADE SECRET, AND PRIVATE MATERIAL

This Affirmative Action Plan contains confidential, trade secret, commercial, and private information of GRW, which is protected from disclosure by the Office of Federal Contract Compliance Programs pursuant to the Trade Secrets Act, 18 U.S.C. § 1905. The release of this information could cause substantial harm to GRW or its employees within the meaning of the Freedom of Information Act ("FOIA"), 5 U.S.C. §§ 552 (b)(3), (4), (6) and (7) and the Trade Secrets Act. FOIA protects information in this document from mandatory disclosure to FOIA requestors. See, e.g., *Chrysler v. Brown*, 441 U.S. 281 (1979). Furthermore, release of any trade secret, confidential statistical or commercial information would be arbitrary and capricious in violation of the Administrative Procedure Act. See, e.g., *CNA Financial Corp. v. Donovan*, 830 F.2d 1132, 1144 (D.C. Cir.), cert. denied, 485 U.S. 977 (1988).

### GRW Engineers, Inc. Headquarters

# AFFIRMATIVE ACTION PROGRAM FOR INDIVIDUALS WITH DISABILITIES

February 1, 2021 through January 31, 2023 Plan Year

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#### Introduction

GRW Engineers, Inc. (GRW) sets forth this Affirmative Action Program ("AAP") for the year from February 1, 2021 through January 31, 2022, reaffirming its commitment to the spirit and letter of affirmative action law. Through the implementation of this plan GRW continues its efforts to comply with Section 503 of the Rehabilitation Act of 1973 ("Section 503") and its implementing regulations, as amended, and to make the best possible use of personnel while contributing to the betterment of society and the community.

In developing this plan, GRW recognizes its duty to ensure equal employment opportunity for, and to prevent discrimination against, individuals with disabilities. The following statement of policy reinforces that belief.

### **Equal Employment Opportunity Policy Statement** 41 C.F.R. § 60-741.44(a)

In setting forth this plan GRW reaffirms its belief and commitment in equal employment opportunity for all employees and applicants for employment in all terms and conditions of employment. Gayla Szak, as the EEO Administrator, oversees the plan development, modification, implementation, and reporting requirements and conducts management updates. GRW's top U.S. executive supports GRW's AAP.

GRW provides for an audit and reporting system regarding GRW's affirmative action responsibilities under Section 503 regulations, and assigns overall responsibility for the implementation of affirmative action responsibilities under these regulations.

GRW recruits, hires, trains and promotes persons in all job titles, and ensures that all personnel actions are administered without regard to disability; and ensures that all employment actions are based only on valid job requirements. GRW's employees and applicants are not subjected to harassment, intimidation, threats, coercion, or discrimination because they have engaged in or may engage in any of the following activities:

- 1. filing a complaint with GRW or with Federal, state, or local agencies regarding the status covered under this AAP;
- 2. assisting or participating in any investigation, compliance review, hearing, or any other activity related to the administration of any Federal, State, or local law requiring equal employment opportunity for individuals with disabilities;
- 3. opposing any act or practice made unlawful by Section 503 or its implementing regulations, or any other Federal, State or local law requiring equal opportunity for individuals with disabilities; or
- 4. exercising any other right protected by Section 503 or its implementing regulations in this part.

GRW's full AAP, absent the data metrics required by 41 CFR § 60-741.44(k), is available for inspection upon request. The method of obtaining a copy of the AAP is listed within the affirmative action policy statement physically posted at GRW's establishment.

### Review of Personnel Processes 41 C.F.R. § 741.44(b)

- 1. GRW ensures its personnel processes provide for careful, thorough, and systematic consideration of the job qualifications of applicants and employees with known disabilities for job vacancies filled either by hiring or promotion, and for all training opportunities offered or available.
- 2. GRW also ensures its personnel processes do not stereotype individuals with disabilities in a manner which limits their access to jobs for which they are qualified.
- 3. GRW also ensures its applicants and employees with disabilities have equal access to its personnel processes, including those implemented through information and communications technologies.
- 4. GRW provides necessary reasonable accommodation to ensure applicants and employees with disabilities receive equal opportunity in the operation of personnel processes. GRW periodically reviews such processes and makes any necessary modifications to ensure that these obligations are carried out. GRW designs procedures that facilitate a review of the implementation of this requirement by GRW and the Government. A description of the review and any necessary modifications to personnel processes or development of new processes are included in this AAP, and are as follows:
  - a. The application or personnel form of each known applicant who is an individual with a disability is annotated to identify each vacancy for which the applicant was considered, and the form is quickly retrievable for review by the Department of Labor and GRW's personnel officials for use in investigations and internal compliance activities.
  - b. Where applicants or employees are selected for hire, promotion, or training and GRW undertakes any accommodation which makes it possible for him or her to place an individual with a disability on the job, GRW makes a record containing a description of the accommodation. The record is treated as a confidential medical record in accordance with § 60-741.23(d).

### Review of Physical and Mental Job Qualifications 41 C.F.R. § 60-741.44(c)

1. GRW has the following schedule for its review of physical and mental job qualification standards to ensure that, to the extent qualification standards tend to screen out qualified people with disabilities, such qualifications are job-related for the position in question and consistent with business necessity, and adheres to this schedule. The schedule is as follows as job openings become available; as new job qualifications are established; and/or, when new equipment is installed.

- 2. Whenever GRW applies physical or mental qualification standards in the selection of applicants or employees for employment or other changes in employment status such as promotion, demotion or training, to the extent that qualification standards tend to screen out qualified individuals on the basis of disability, the standards are related to the specific job or jobs for which the individual is being considered and consistent with business necessity.
- 3. GRW may use as a defense to a violation of its obligations in Paragraph 2 above that an individual poses a direct threat to the health or safety of the individual or others in the workplace.
- 4. No pre-employment physical examinations or questionnaires are used by GRW prior to a job offer contingent on such examinations and other requirements.
- 5. When GRW conducts a medical examination or inquiry of a person with a disability, it will do so according to the terms and conditions of the Federal Regulations implementing Section 503, and the results of such an examination or inquiry are kept confidential according to Federal regulations, which includes the following exceptions:
  - a. Supervisors and managers may be informed regarding restrictions on the work or duties of the applicant or employee and necessary accommodations;
  - b. First aid and safety personnel may be informed, when appropriate, if the disability might require emergency treatment; and,
  - c. Government officials engaged in enforcing the laws administered by the OFCCP regarding individuals with disabilities, or enforcing The Americans with Disabilities Act ("the ADA") and The Americans with Disabilities Act Amendment Act of 2008 ("the ADAAA"), shall be provided relevant information on request.

### Reasonable Accommodation to Physical and Mental Limitations 41 C.F.R. § 60-741.44(d)

- 1. It is GRW's policy, as a matter of nondiscrimination, to make reasonable accommodation to the known physical and mental limitations of all otherwise qualified individuals with a disability, unless GRW can demonstrate that the accommodation would impose an undue hardship on GRW's business. Undue hardship will be determined by its definition under applicable regulations under Section 503 including, but not limited to the following: Undue hardship means, with respect to the provision of an accommodation, significant difficulty or expense incurred by the contractor, when considered in light of the factors set forth in 41 CFR § 741.2 (aa)(2), such as the overall financial resources of the facility and the impact of the accommodation upon the operation of the facility (this is not an all-inclusive list).
- 2. As a matter of affirmative action, if an employee with a known disability is having significant difficulty performing his or her job and it is reasonable to conclude that the performance problem may be related to the known disability, GRW shall confidentially notify the employee of the performance problem and inquire whether the problem is related to the employee's disability. If the employee responds affirmatively, GRW shall confidentially inquire whether the employee is in need of a reasonable accommodation.

#### **Anti-Harassment Procedures**

41 C.F.R. § 60-741.44(e)

GRW has developed and implemented procedures to ensure that its employees are not harassed on the basis of disability.

### External Dissemination of Policy, Outreach, and Positive Recruitment 41 C.F.R. § 60-741.44(f)

- 1. GRW undertakes appropriate outreach and positive recruitment activities that are reasonably designed to effectively recruit qualified individuals with disabilities. It is not contemplated that GRW will necessarily undertake all the activities listed in Paragraph (f)(2) of this section or that its activities will be limited to those listed. The scope of GRW's efforts shall depend upon all the circumstances, including the contractor's size and resources and the extent to which existing employment practices are adequate.
- 2. <u>Examples of outreach and recruitment activities</u>. Below are examples of outreach and positive recruitment activities GRW may undertake in accordance with Paragraph 1 of this section.
  - a. Enlisting the assistance and support of the following persons and organizations in recruiting, and developing on-the-job training opportunities for individuals with disabilities, to fulfill its commitment to provide equal employment opportunity for such individuals:

- i. the State Vocational Rehabilitation Service Agency ("SVRA"), State mental health agency, or State developmental disability agency in the area of the contractor's establishment;
- ii. the Employment One-Stop Career Center (One-Stop) or American Job Center nearest the contractor's establishment;
- iii. the Department of Veterans Affairs Regional Office nearest GRW's establishment (www.va.gov);
- iv. entities funded by the Department of Labor that provide recruitment or training services for individuals with disabilities, such as the services currently provided through the Employer Assistance and Resource Network (EARN) (www.earnworks.com);
- v. local Employment Network ("EN") organizations (other than GRW, if GRW is an EN) listed in the Social Security Administration's Ticket to Work Employment Network Directory (<a href="https://www.yourtickettowork.com/endir">www.yourtickettowork.com/endir</a>);
- vi. local disability groups, organizations, or Centers for Independent Living (CIL) near the contractor's establishment;
- vii. placement or career offices of educational institutions that specialize in the placement of individuals with disabilities; and
- viii. private recruitment sources, such as professional organizations or employment placement services that specialize in the placement of individuals with disabilities.
- b. In addition, GRW has considered taking the actions listed below to fulfill its commitment to provide equal employment opportunities to individuals with disabilities. It is not contemplated that GRW will necessarily undertake all of the activities listed below.
  - i. Formal briefing sessions held, preferably on GRW's premises, with representatives from recruiting sources. GRW's facility tours, clear and concise explanations of current and future job openings, position descriptions, worker specifications, explanations of GRW's selection process, and recruiting literature are an integral part of any such briefing. At any such briefing sessions, GRW's official in charge of GRW's AAP should be in attendance when possible. Formal arrangements are made for referral of applicants, follow up with sources, and feedback on disposition of applicants, from any such briefings.

- ii. GRW's recruitment efforts at all educational institutions incorporate special efforts to reach students who are individuals with disabilities.
- iii. GRW makes an effort to participate in work-study programs for students, trainees, or interns with disabilities in programs found through outreach, such as to State and local schools and universities, and through EARN.
- iv. Individuals with disabilities may be made available for participation in GRW's career days, youth motivation programs, and related activities in GRW's communities.
- v. GRW takes any other positive steps it deems necessary to attract individuals with disabilities not currently in the work force who have requisite skills and can be recruited through affirmative action measures. These individuals may be located through State and local agencies supported by the U.S. Department of Education's Rehabilitation Services Administration (RSA) (<a href="http://rsa.ed.gov/">http://rsa.ed.gov/</a>), local Ticket-to-Work Employment Networks, or local chapters of groups or organizations that provide services for individuals with disabilities.
- vi. GRW, in making hiring decisions, considers applicants who are known to have disabilities for all available positions for which they may be qualified when the position(s) applied for is unavailable.
- 3. GRW sends written notification of its policy relating to its affirmative action efforts to all its covered federal subcontractors, including covered subcontracting vendors and suppliers, requesting appropriate action on their part.
- 4. GRW documents all activities it undertakes to comply with the obligations of this section, and retains these documents for a period of three (3) years.

### Assessment of External Outreach and Recruitment Efforts 41 C.F.R. § 60-741.44(f)(3)

1. GRW on an annual basis, reviews the outreach and recruitment efforts it has taken over the previous twelve months to evaluate its effectiveness in identifying and recruiting qualified individuals with disabilities. GRW documents each evaluation, including at a minimum the criteria it used to evaluate the effectiveness of each effort and GRW's conclusion as to whether each effort was effective. Among these criteria shall be the data GRW collected pursuant to 41 C.F.R. § 741.44(k) for the current year and the two most recent previous years. If GRW concludes the totality of its efforts were not effective in identifying and recruiting qualified individuals with disabilities, it identifies and implements alternative efforts listed in Paragraph 2 above to fulfill its obligations.

#### **Internal Dissemination of Policy**

#### 41 C.F.R. § 60-741.44(g)

- 1. GRW recognizes that even a strong outreach program for individuals with disabilities may be ineffective without adequate internal support from its supervisors and employees. Therefore, to ensure greater employee cooperation and participation in GRW's efforts regarding its obligation to engage in affirmative action efforts to employ and advance in employment qualified individuals with disabilities, GRW has developed the following internal procedures. These procedures have been designed to foster understanding, acceptance and support among GRW's executive, management, supervisory, and other employees to encourage such persons to take the necessary actions to aid the contractor in meeting this obligation.
- 2. GRW implements and disseminates this policy internally as follows:
  - a. includes the policy in GRW's policy manual or otherwise makes the policy available to employees; and
  - b. where GRW is a party to a collective bargaining agreement, it notifies union officials and/or employee representatives of the contractor's policy and request their cooperation;
- 3. Below are some of the other methods GRW may additionally use to implement and disseminate this policy internally:
  - a. informs all employees and prospective employees of GRW's commitment to engage in affirmative action to increase employment opportunities for individuals with disabilities;
  - b. periodically schedules special meetings with all employees to discuss the policy and explain individual employee responsibilities;
  - c. publicizes the policy in GRW's newspaper, magazine, annual report and other media;
  - d. conducts special meetings with executive, management, and supervisory personnel to explain the intent of the policy and individual responsibility for effective implementation making clear GRW's chief executive officer's support for the affirmative action policy;
  - e. discusses the policy thoroughly in both employee orientation and management training meetings;
  - f. includes articles on accomplishments of individuals with disabilities in GRW's publications; and
  - g. when employees are featured in employee handbooks and similar publications, includes individuals with disabilities.

#### **Audit and Reporting System**

41 C.F.R. § 60-741.44(h)

GRW has designed and has implemented an audit and reporting system that:

- 1. Measures the effectiveness of GRW's affirmative action program.
- 2. Indicates any need for remedial action.
- 3. Determines the degree to which GRW's affirmative action objectives have been attained.
- 4. Determines whether known individuals with disabilities have had the opportunity to participate in all company sponsored-educational, training, recreational and social activities.
- 5. Measures GRW's compliance with the AAP's specific obligations.
- 6. Documents the actions taken to comply with the obligations of Paragraphs (1) through (5) of this section, and retain these documents as employment records for a period of three years from the date of making of the record.
- 7. Where GRW, upon its review, finds its AAP to be deficient and need further progress, GRW undertakes necessary action to bring the program into compliance.

### Responsibility for Implementation of the Plan

41 C.F.R. § 60-741.44(i)

#### 1. Identification and Responsibilities of the EEO/AA Administrator. 41 C.F.R. § 60-741.44(i)

In furtherance of GRW's commitment to Affirmative Action and Equal Employment Opportunity, overall responsibility for implementing GRW's AAP rests with its EEO/AA Administrator, whose identity appears on all internal and external communications regarding GRW's AAP. The EEO/AA Administrator has been given the necessary senior management support and staff to manage the implementation of this AAP. Specifically, Gayla Szak or the designated representative's duties include the following, all of which are administered in accordance with the Section 503 regulations:

- a. Ensuring GRW posts in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the OFCCP Director provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as GRW's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants with disabilities.
- b. Ensuring GRW's applicants or employees with disabilities are provided the notice in a form that is accessible and understandable to the individual applicant or employee (e.g., providing Braille or large print versions of the notice, or posting a copy of the notice at a lower height for easy viewing by a person using a wheelchair) when an applicant or employee requests the poster in an alternative format, or when GRW knows that an

applicant or employee is unable to read the poster because of a disability. GRW may also provide the poster to an applicant or employee with a disability in other alternate means, such as on disc or in audio recording, as long as the format provided enables the individual with a disability to access the contents of a poster.

- c. Ensuring that, with respect to employees, if any, who do not work at a physical location of GRW, GRW satisfies its posting obligations by posting such notices in an electronic format, provided that GRW provides computers, or access to computers, that can access the electronic posting to such employees, or GRW has actual knowledge that such employees otherwise are able to access the electronically posted notices.
- d. Ensuring electronic notices for employees are posted in a conspicuous location and format on GRW's intranet or sent by electronic mail to employees. An electronic posting is used by GRW to notify job applicants of their rights if GRW utilizes an electronic application process. Such electronic applicant notice are conspicuously stored with, or as part of, the electronic application.
- e. Ensuring that to the extent this requirement is applicable to GRW, GRW notifies labor organizations of its EEO policy as required by 41 C.F.R. § 60-741.44(g).
- f. Ensuring GRW includes the provisions of this clause in every subcontract or purchase order in excess of \$15,000 under the terms and conditions of 41 CFR 60-741.5(a), per Federal Acquisition Regulation-Inflation Adjustment of Acquisition-Related Thresholds, 75 FR 53129 (2010).
- g. Ensuring that all solicitations or advertisements for employees placed by or on behalf of GRW, state that all qualified applicants will receive consideration for employment and will not be discriminated against on the basis of disability.
- h. Developing, maintaining and, where appropriate, modifying GRW's AAP for individuals with disabilities, policy statements, personnel policies, internal and external communication techniques including discussions with managers, supervisors and employees to ensure GRW's policies are followed, and monitoring the effectiveness of these actions.
- i. Advising supervisors that they are responsible for preventing harassment of employees due to their status as individuals with disabilities.
- j. Ensuring affirmative action training is conducted in accordance with 41 C.F.R. § 60-741.44(j).
- k. Identifying problem areas with line management in the implementation of the program, and helping management develop solutions to any identifiable problem area.
- 1. Designing, implementing and overseeing an audit and reporting system to monitor the progress of GRW and the AAP's effectiveness, including auditing the contents of GRW's electronic and hard copy bulletin boards on a regular basis to ensure that compliance information that is posted is up to date and accessible to applicants and employees with disabilities.

- m. Serving as liaison between GRW and governmental enforcement agencies, community groups, vocational rehabilitation organizations, and organizations for individuals with disabilities.
- n. Evaluating the effectiveness of GRW's plan on a regular basis, as described in this AAP, and reporting to management.
- o. Monitoring policies and procedures including the selection, evaluation, promotion and training process with regard to the various terms and conditions of employment to attempt to ensure compliance with affirmative action obligations.
- p. Overseeing GRW's processes and procedures: a) to ensure that career counseling for employees with known disabilities, when requested and appropriate; and, b) to review personnel actions, policies, procedures, and employee and applicants' qualifications to ensure individuals with disabilities are treated in accordance with anti-discrimination laws when hiring, promotion, transfer, and termination actions occur.
- q. Keeping management up to date on the latest developments in the areas of EEO and affirmative action.
- r. Assisting in the investigation, handling and disposition of employee discrimination and harassment complaints.
- s. Conducting periodic reviews of offices to ensure compliance in the areas of proper display of posters and notices, and opportunity for participation in Company-sponsored recreational, educational and social activities.
- t. Overseeing and ensuring that the below self-identification procedures are conducted as set forth in the Section 503 regulations, using the language and manner prescribed by the OFCCP Director and published on the OFCCP Web site, as follows:
  - i. Pre-offer self-identification invitation procedures for GRW's job applicants as set forth in 41 C.F.R. § 60-741.42 (a);
  - ii. Post-offer identification procedures for GRW's job applicants as set forth in 41 C.F.R. § 60-741.42 (a); and
  - iii. Self-identification invitation procedures for GRW's employees as set forth in 41 C.F.R. § 60-741.42 (a).

Ensuring that GRW does not compel or coerce an individual to self-identify as an individual with a disability, and that GRW keeps all information on self-identification confidential, and maintains it in a data analysis file (rather than in the medical files of individual employees) as set forth in 41 C.F.R. § 60-741.23(d). GRW only uses the self-identification information may be used only in accordance with the Section 503 regulations.

u. Ensuring that GRW annually evaluates its utilization of individuals with disabilities in each job group, or in its entire workforce in accordance with 41 C.F.R. § 60-741.45, including the following:

- i. Ensuring that when the percentage of individuals with disabilities in one or more job groups, or in GRW's entire workforce, as applicable, is less than the utilization goal established in the Section 503 regulations, GRW takes steps to determine whether and where impediments to equal employment opportunity exist. When making this determination, GRW assesses its personnel processes, the effectiveness of its outreach and recruitment efforts, the results of its affirmative action program audit, and any other areas that might affect the success of its AAP.
- ii. Ensuring that GRW develops and executes action-oriented programs designed to correct any identified problem areas. These action-oriented programs may include the modification of personnel processes to ensure equal employment opportunity for individuals with disabilities, alternative or additional outreach and recruitment efforts from among those listed in 41 CFR § 60-741.44 (f)(1) and (f)(2), and/or other actions designed to correct the identified problem areas and attain the established goal.

#### 2. Management Responsibilities 41 C.F.R. § 60-741.44(i)

Line and upper management are advised of their responsibilities for GRW's AAP regarding individuals with disabilities within his or her area of responsibility, including but not limited to their obligations to:

- a. Review GRW's AAP for individuals with disabilities with subordinate managers and supervisors to ensure they are aware of the policy, understand their obligation to comply with it in all personnel actions and understand the need for support at all levels.
- b. Assist in the auditing of plan progress, identification of problem areas, formulation of solutions, establishment of departmental goals and objectives, and development of training programs, when appropriate.
- c. Review the qualifications of applicants and employees in their area of responsibility to ensure qualified individuals with disabilities are treated in a nondiscriminatory manner when hire, promotion, transfer, and termination actions occur.
- d. Review employees' performance to ensure that illegal discrimination regarding individuals with disabilities does not occur.
- e. Make available career counseling to employees with known disabilities, when so requested, and as appropriate.
- f. Review position descriptions to see that they adequately reflect the job to be performed.
- g. Audit training programs, hiring, and promotion patterns.

- h. Assist employees and other members of management in the prevention of harassment.
- i. If an employee with a known disability is having significant difficulty performing his or her job and it is reasonable to conclude that the performance problem may be related to the known disability, confidentially notify the employee of the performance problem and inquire whether the problem is related to the employee's disability. If the employee responds affirmatively, GRW shall confidentially inquire whether the employee is in need of a reasonable accommodation.
- j. Show support for GRW's AAP.

# Affirmative Action Training 41 C.F.R. § 60-741.44(j)

GRW provides training and guidance to all personnel who are involved in the recruitment, screening, selection, promotion, disciplinary and other related processes to ensure that its AAP commitments are implemented.