

**PART III**

**Invitation to Bid No. 185-2016**

**Jacobson Park Sprayground & Masterson Station Park Sprayground**

1. **FORM OF PROPOSAL**

Place: Lexington, Kentucky

Date: 2/3/17

The following Form of Proposal shall be followed exactly in submitting a proposal for this Work.

This Proposal Submitted by Pace Contracting, LLC  
200 Willinger Lane, Jeffersonville, IN 47130  
(Name and Address of Bidding Contractor)

(Hereinafter called "Bidder"), organized and existing under the laws of the State of Ky., doing business as An LLC  
"a corporation," "a partnership", or an "individual" as applicable.

To: Lexington-Fayette Urban County Government  
(Hereinafter called "OWNER")  
Office of the Director of Purchasing  
200 East Main Street, 3rd Floor  
Lexington, KY 40507

Gentlemen:

The Bidder, in compliance with your Invitation for Bids for **Jacobson Park Sprayground & Masterson Station Park Sprayground** having examined the Plans and Specifications with related documents, having examined the site for proposed Work, and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the lump sum and/or unit prices stated hereinafter. These prices are to cover all expenses incurred in performing the Work required under the Contract Documents, of which this proposal is a part. The OWNER will issue work orders for work to be performed under this Contract.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in the Notice to Proceed and to fully complete the project within the time provided in the

Purchase Order or Work Orders issued by the OWNER. BIDDER further agrees to pay liquidated damages, the sum of \$200.00 for each consecutive calendar day thereafter.

The Bidder hereby acknowledges receipt of the following addenda:

Addendum No. 1 Date 1/13/17

Addendum No. 2 Date 1/18/17

Addendum No. 3 Date 1/23/17

Addendum No. 4 Date 1/26/17

Addendum No. 5 Date 1/27/17

Addendum No.      Date           

Addendum No.      Date           

Addendum No.      Date           

Insert above the number and the date of any Addendum issued and received. If none has been issued and received, the word "NONE" should be inserted.

2. **LEGAL STATUS OF BIDDER**

Bidder Pace Contracting, LLC

Date 2/3/17

\* 1. A ~~corporation~~ <sup>LLC</sup> duly organized and doing business under the laws of the State of Ky., for whom Tom Wood, bearing the official title of Administrative Member, whose signature is affixed to this Bid/Proposal, is duly authorized to execute contracts.

\* 2. ~~A Partnership, all of the members of which, with addresses are (Designate general partners as such)~~

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\* 3. ~~An individual, whose signature is affixed to this Bid/Proposal (please print name)~~

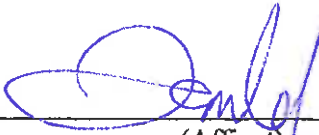
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\*(The Bidder shall fill out the appropriate form and strike out the other two.)

3. **BIDDERS AFFIDAVIT**

Comes the Affiant, Tom Wood, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Tom Wood and he/she is the individual submitting the bid or is the authorized representative of Pace Contracting, LLC, the entity submitting the bid (hereinafter referred to as "Bidder").
2. Bidder will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the bid is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Bidder will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Bidder has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Bidder has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Bidder will not violate any provision of the campaign finance laws of the Commonwealth.
6. Bidder has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as the "Ethics Act."
7. Bidder acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.  
Further, Affiant sayeth naught.

  
\_\_\_\_\_  
(Affiant)

STATE OF Ky.

COUNTY OF Jefferson

The foregoing instrument was subscribed, sworn to and acknowledged before me by

Tom Wood on this the 3rd day of February, 2017.

My Commission expires: 4/25/2018

  
\_\_\_\_\_  
NOTARY PUBLIC, STATE AT LARGE

**4. BID SCHEDULE – SCHEDULE OF VALUES**

The Bidder agrees to perform all the Work described in the Specifications and shown on the Plans for the following proposed lump sum and/or unit prices, if applicable, which shall include the furnishing of all labor, materials, supplies, equipment and/or vehicle usage, services, all items of cost, overhead, taxes (federal, state, local), and profit for the Contractor and any Subcontractor involved, within the time set forth herein. If unit prices are applicable, Bidder must make the extensions and additions showing the total amount of bid.

Form of proposal must include unit bid prices written in words, unit price written in numbers and total amount bid (unit price x quantity) per line item OR bid may be considered non-responsive. In case of price discrepancy, unit bid price written in words will prevail followed by unit price written in numbers then total amount bid per line item.

If a discrepancy between the unit price and the item total exists, the unit price prevails except:

If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.

If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

For a lump sum based bid, the item total is the bid amount the Division uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Division uses for bid comparison.

The LFUCG's decision on the bid amount is final.

Item No.	Description w/Unit Bid Price Written in Words	Unit	Total Bid Amount
1.	Base Bid Scope of Work per Specifications and Drawings for Jacobson Park Sprayground and Masterson Station Park Sprayground <u>One Million Forty nine thousand</u> Dollars <u>NO</u> Cents	LS	\$ <u>1,049,000</u>
2.	Additive Alternate #1 Scope of Work for additional parking spaces at Jacobson Park site per Specifications and Drawings J2.0, J3.0, J4.0 and J4.1 <u>Twenty Five thousand Five Hundred</u> Dollars <u>NO</u> Cents	LS	\$ <u>25,500</u>
3.	Additive Alternate #2 Scope of Work for landscaping at Jacobson Park site and Masterson Station Park site per Specifications and Drawings J5.0, MS 5.0 and L5.1 <u>thirty three thousand</u> Dollars <u>NO</u> Cents	LS	\$ <u>33,000</u>
4.	Cost for delegated design and Engineering of Spraygrounds at Jacobson Park site and Masterson Station Park site per Specifications and Drawings (do NOT include in lump sum Base Bid) <u>Twenty Five thousand and three Hundred</u> Dollars	LS	\$ <u>25,300</u>

TOTAL OF ALL BID PRICES FOR Items 1, 2 and 3. (Do not include Item No. 4 for delegated design and engineering). In case of discrepancy, the amount shown in words will govern.

One Million One Hundred Seven Thousand Five Hundred  
Dollars and NO Cents. (\$ 1,107,500 ).

**DIRECT PURCHASE ITEMS**

Breakout items listed below are to be **included in the Bid without Sales Tax**. Such items will be provided by Direct Purchase Order (DPO) from the Supplier to the Owner. The General Contractor shall be responsible for coordination with the Supplier, receiving all direct purchase materials, and installation of all direct purchase materials. Refer to Part II Information for Bidders for additional information and requirements.

<b>Material / Equipment</b>	<b>Supplier / Vendor</b>	<b>Lump Sum</b>
Jacobson Park Sprayground Equipment: All sprayground equipment provided directly by the sprayground Supplier	Aquatix by Landscape Structures/ Countryside Landscape	\$129,931.00
Jacobson Park Prefabricated Modular Restroom Building	Hunter Knepshield	\$107,449.00
Masterson Station Park Sprayground Equipment: All sprayground equipment provided directly by the sprayground Supplier	Aquatix by Landscape Structures/ Countryside Landscape	\$127,018.00
Masterson Station Park Prefabricated Modular Storage Building	Hunter Knepshield	\$ 39,948.00

## LIST OF UNIT PRICES

The following List of Unit Prices is required by the Owner to be completely executed and submitted with each Bidder's Proposal. Each unit price shall include the furnishing of all labor, materials, supplies and services, and shall include all items of cost, overhead and profit for the Contractor and any Sub-Contractors involved, and shall be used uniformly, without modification, for either additions or deductions from the Bid. These unit prices as established shall also be used to determine the equitable adjustment of the Contract Price in connection with changes, or extra work performed under the Contract. The "Rules of Measurement" set forth in the Special Conditions shall govern where volume units are concerned.

DESCRIPTION OF WORK	UNIT PRICE	
1. Unsuitable soil removal and disposal off-site	<u>18.00</u>	CY
2. Imported suitable soil fill, compacted in place	<u>28.00</u>	CY
3. DGA, compacted in place	<u>30.00</u>	TN
4. #2 stone, compacted in place	<u>30.00</u>	TN
5. Light duty concrete pavement	<u>70.00</u>	SY
6. Heavy duty concrete pavement	<u>90.00</u>	SY
7. Three board fence	<u>25.00</u>	LF
8. Finish grading & seed	<u>3.00</u>	SY
9. Sod	<u>8.00</u>	SY
10. 2 ½" PVC conduit, in trenched with backfill	<u>20.00</u>	LF
11. 2" PVC domestic water piping, U.G., installed	<u>20.00</u>	LF
12. 6" PVC sanitary sewer piping, U.G., installed	<u>50.00</u>	LF

Submitted by:

Pace Contracting, LLC  
*Firm*  
200 Willinger Lane

*Address*  
Jeffersonville, IN 47130

*City, State & Zip*

**Bid must be signed:  
(original signature)**

  
*Signature of Authorized Company Representative – Title*

Tom Wood

*Representative/s Name (Typed or Printed)*

812/283-5784

812/283-5795

*Area Code – Phone – Extension*

*Fax #*

tomwood@pacecontractingllc.com

*E-Mail Address*

**OFFICIAL ADDRESS:**

200 Willinger Lane

Jeffersonville, IN

47130

(Seal if Bid is by Corporation)

**By signing this form you agree to ALL terms, conditions, and associated forms in this bid package**



**5. STATEMENT OF BIDDER'S QUALIFICATIONS**

The following statement of the Bidder's qualifications is required to be filled in, executed, and submitted with the Proposal:

- 1. Name of Bidder: Pace Contracting, LLC
- 2. Permanent Place of Business: 200 Willinger Lane, Jeffersonville, IN 47130
- 3. When Organized: July 1997
- 4. Where Incorporated: Kentucky

5. Construction Plant and Equipment Available for this Project:

Any and all equipment necessary to properly perform the work. See attached equipment List.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Attach Separate Sheet If Necessary)

6. Financial Condition:

If specifically requested by the OWNER, the apparent low Bidder is required to submit its latest three (3) years audited financial statements to the OWNER'S Division of Central Purchasing within seven (7) calendar days following the bid opening.

7. In the event the Contract is awarded to the undersigned, surety bonds will be furnished by:

Western Surety Company (Surety)

Signed: Paula J. Teague (Representative of Surety)  
Paula J. Teague, Atty-in-Fact

8. The following is a list of similar projects performed by the Bidder: (Attach separate sheet if necessary).

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>Jeffersonville Aquatic Center</u>	<u>Jeffersonville, Indiana</u>	<u>\$ 81,000</u>
<u>Robion Splash Park</u>	<u>City of Lyndon, Ky.</u>	<u>\$185,301</u>
<u>Otter Creek Splash Park</u>	<u>Louisville/Metro Gov't</u>	<u>\$158,050</u>
<u>See attached project list for additional projects completed in the past five years.</u>		

9. The Bidder has now under contract and bonded the following projects:

<u>NAME</u>	<u>LOCATION</u>	<u>CONTRACT SUM</u>
<u>See attached list.</u>		

10. List Key Bidder Personnel who will work on this Project.

<u>NAME</u>	<u>POSITION DESCRIPTION</u>	<u>NO. OF YEARS WITH BIDDER</u>
<u>Tom Wood</u>	<u>Administrative Member</u>	<u>20</u>
<u>Mike Mulhall</u>	<u>Project Manager</u>	<u>2</u>
<u>Paul Snead</u>	<u>Superintendent</u>	<u>4</u>

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	% Complete	Cost of Work/Contract Amount
MFWQTC Final Effluent Pump Station	Mr. Larry Boone Metropolitan Sewer District 700 W. Liberty Street, Lou., KY 40203 502/540-6770 larry.boone@louisvillemisd.org	Magna Engineers 861 Corporate Dr. Ste. 210 Lexington, KY 40503 pluett@magnaengineers.com	2015-10	Pump Station	97	2,470,000
MFWQTC Drying System Condense	Metropolitan Sewer District 700 W. Liberty Street, Lou., KY 40203 502/540-6000 Sharon.worley@louisvillemisd.org	BTM Engineers 3001 Taylor Springs Dr. Louisville, KY 40220 502/459-8402	2016-04	WWTP	90	310,000
4th St. Pump Station	Metropolitan Sewer District 700 W. Liberty Street, Lou., KY 40203 502/540-6000 julie.potemaa@louisvillemisd.org	HDR Engineers 401 W Main St #500, Lou., KY 40202 502/909-3249 kyle.guthrie@hdrinc.com	2015-05	Pump Station	5	2,922,000
Whiskey Row Structural Vaults	Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	Qk4, Inc. 1046 E. Chestnut St. Louisville, KY 40203 rwade@qk4.com	2016-05	Stewwork	25	298,500
Preston Highway Water Main Replacements	Louisville Water Company 550 So. Third Street Louisville, KY 40202	Louisville Water Co.	2016-06	Water Main	40	1,070,000
MFWQTC Access Platforms	Metropolitan Sewer District 700 W. Liberty Street, Lou., KY 40203 502/540-6000	Burke Engineering & Technology,	2016-07	WWTP	60	237,600
635 Industry Road Greenscape	Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	Luckeett & Farley, Inc.	2016-09	Stewwork	98	103,300
Lexington Clarifier Renovation	Lexington Fayette Urban County Government 859/425-2255	Division of Central Purchasing 200 East Main Street, Room 338 Lexington, KY 40507 (859) 258-3320	2016-7	WTP	50	690,000
Highview Park Restroom	Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	Tetra Tech Engineers 4967 US Highway 42, Ste. 210 Louisville, KY 40222 (502) 584-5555	2016-9	Park	25	237,500
CMF Vector Pit	Metropolitan Sewer District 700 W. Liberty Street Louisville, KY 40203	BTM: ENGINEERING, INC. 3001 TAYLOR SPRINGS DRIVE Louisville, KY 40220	2016-12	Pump Station	2	2,355,112

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	% Complete	Cost of Work/Contract Amount
Vine Grove WWTP	502/540-6000 City of Vine Grove 300 West Main Street Vine Grove, KY 40175 270-877-2875	Heritage Engineering LLC 642 South 4th St. Suite 100 Louisville, KY 40202 502-562-1412	2016-11	WWTP	0	889,995
FY '17 Floodwall Closure Upgrades	Metropolitan Sewer District 700 W. Liberty Street Louisville, KY 40203 502/540-6000	OK4, Inc. 1046 E. Chestnut Street Louisville, KY 40202 502/585-2222	2016-12	Floodwall Upgrade	1	306,900
McNeely Lake Park Access Road and Trail	Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	Gresham Smith & Partners 101 S 5th St #1400 Louisville, KY 40202 502/627-8900	2016-11	Park	0	560,345
U of L Belknap Campus Academic Classroom Building	University of Louisville Service Complex Building Belknap Campus Louisville, KY 40292	Whittenberg Construction Co. 4774 Allmond Ave. Louisville, KY 40209 Attn: Griffin Thomas	2016-11	General Trades	0	1,133,897

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
15th St Storm/Sanitary Sewer	City of New Albany	Clark Dietz Engineers Wes Christmas Wes.Christmas@clarkdietz.com	2009-09	Sanitary Sewer	2010-01	885,313
34th Street Pump Station	Metropolitan Sewer District 700 W. Liberty St., Louisville, KY 40202 julie.potempa@louisvillemisd.org	HDR Engineers Steve Keiber	2011-08	Pump Station	2012-01	496,872
Arctic Springs Lift Station	Len Ashack Jeffersonville Sewer Board 500 Quartermaster Court Jeffersonville, IN 47130 812/285-6451 lshack@cityofjeff.net	Jorge Lantz Jacobi Toombs & Lantz 502/583-5994 j.lantz@jteng.com	2014-12	Pump Station		150,000
Bancroft Pumping Station	Jay Thomas Metropolitan Sewer District 700 W. Liberty Street, Lou, KY 40203 502/540-6464 jay.thomas@louisvillemisd.org	Todd Lasley Solomon GRW Engineers, Inc. 502.489.8484 tsolomon@grwinc.com	2014-10	Pump Station	2016-10	4,686,990
Barbour Lane Pumping Station	Glenn Cooper Metropolitan Sewer District 700 W. Libberth Street, Lou, KY 40203 502/377-1766 glen.cooper@louisvillemisd.org	James P. Ross Webstar Environmental Assoc. 502/253-3443 jim.ross@oddr.net	2014-11	Pump Station		200,000
Barbourville WWTP	Barbourville Utility Commission PO Box 1425, Middlesboro, KY 40965	Vaughn & Melton Consulting Engineers, Inc. Mitch Brunsma 606/248-6600	2013-05	Wastewater Treatment Plant	2014-10	6,129,875
Beuchel St. Streetscape	Louisville Metro Purchasing	Louisville Metro Government Dirk Gowiwn dirk.gowin@louisvilleky.gov	2013-11	Streetscape	2014-04	567,000
Carriage House Pump Station	Veolia Water (Louisville Paving Co.) Doug Wood dougw@loupaving.com	ERH / Etech	2014-02	Pump Station	2014-03	963,695

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
Cedar Grove Pump Station	Bart Potts Louisville Water Co. 550 So. Third Street, Lou., KY 40202 502/569-3600 bpotts@lwcky.com	n/a	2014-11	Pump Station		784,000
Cedar Street Streetscape	Louisville Metro Government 611 W. Jefferson Street Louisville, KY 40202	OK4, Inc. Attn: Corey Wooten 1046 E. Chestnut Street Louisville, KY 40202 502/585-2222	2015-11	Streetscape	2016-12	370,000
Charlestown High School T#3	Whittenberg Construction Co. Mr. Mitchell Reinhart PO Box 9429, Lou, KY 40209 City of Jeffersonville, Indiana Mike Moore 500 Quartermaster Ct. City of Taylorsville	Estopinal Group	2008-11	Sitework	2012-03	4,083,639
Chestnut Street Streetscape	City of Taylorsville	American Structurepoint	2013-06	Streetscape	2014-03	452,360
City of Taylorsville Streetscape	Taylorville City Hall	Sisler Maggard Engineers Joseph Pavoni jpavoni@grwinc.com	2012-08	Streetscape	2012-10	184,880
City of Taylorsville WWTP	Georgetown Municipal Water & Sewer System	Sisler Maggard Engineers Joseph Pavoni jpavoni@grwinc.com	2011-04	Wastewater Treatment Plant	2012-01	2,813,726
Georgetown WWTP Odor Control System Upgrade	Metropolitan Sewer District Julie Potempa julie.potempa@louisvillemso.org Jay Thomas	Ky. Engineering Group Bryan Lovan blovani@kyengr.com	2013-01	Wastewater Treatment Plant	2013-06	155,750
Goose Creek Pump Station	Metropolitan Sewer District Julie Potempa julie.potempa@louisvillemso.org	CDP Engineers	2010-08	Pump Station	2010-01	335,862
Grand Avenue Pump Station	Metropolitan Sewer District 700 W. Liberty Street, Lou., KY 40203 502/540-6464 jay.thomas@louisvillemso.org	Morey Lampson CDP Engineers	2013-09	Pump Station	2015-12	10,634,000
	Eugene Skeete Louisville Metro Government	mlampson@cdpeniners.com				

Schedule B - Previous Experience within the Last Five Years  
As of 1-2017

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
Hudson Lane Right Turn Lane	611 W. Jefferson St. Lou., KY 40202	n/a	2015-12	Stework	2016-02	178,676
	502/574-3536					
Lexington Final Clarifier	Lexington Fayette Urban County Government Todd Slatin 859/425-2255	n/a	2013-02	Water Treatment Plant	2014-12	532,000
	Louisville Water Co. 550 So. Third Street, Louisville, KY 40202					
Long Run Panel Relocation	Renee Fromme rfromme@lwcky.com	n/a	2014-03	Water Treatment Plant	2014-04	47,000
Mellwood Pump Station	Metropolitan Sewer District Jay Thomas 502/540-6464	CDP Engineers	2011-10	Pump Station	2012-12	2,583,000
Morris Foreman Water Quality Treatment Plant Secondary Clarifier Flow Meters	Metropolitan Sewer District Sharon Worley 502/540-6000	Webster Environmental	2012-03	Wastewater Treatment Plant	2013-04	470,638
Morris Foreman Water Quality Treatment Plant Secondary Clarifier Odor Control	Metropolitan Sewer District Sharon Worley 502/540-6000	CH2M Hill	2012-03	Wastewater Treatment Plant	2012-12	766,859
Mt Tabor Lift Station	City of New Albany	Clark Dietz Engineers Wes Christnas Wes.Christnas@clarkdietz.com	2011-04	Pump Station	2012-03	1,467,721
Muddy Fork Force Main	Metropolitan Sewer District 700 W. Liberty Street, Lou., KY 40203 502/540-6000	American Engineers, Inc. 2500 Nelson Miller Pkwy. Lou., KY 40223 502/245-3813	2016-06	Pump Station	2016-12	317,000
Muddy Fork Interceptor & SSO Storage Basin	David Coe Metropolitan Sewer District 700 W. Liberty Street, Lou., KY 40203 (502)693-1484 david.coe@louisvillemisd.org	Morey Lampson CDP Engineers 859/264-7500 mlampson@cdpengiineers.com	2015-03	Pump Station	2016-12	6,605,000
	Bullitt Co. Schools	n/a		Wastewater		

Schedule B - Previous Experience within the Last Five Years

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
Nichols Elementary WWTP	Brett Highley brett.highley@bullitt.kyschools.us		2013-05	Treatment Plant	2013-10	151,107
Nucleus Innovation park	Sullivan & Cozart Mike Thorp mike@sullivancozart.com	University of Louisville	2013-05	Sitework	2013-09	617,237
Park Terrace Condominiums	Park Terrace Condominium Board 1611 Spring Drive Louisville, KY 40205	OK4, Inc. 1046 E. Chestnut Street Louisville, KY 40204	2016-02	Sitework	2016-09	126,619



Project Name	Owner (Prime Contractor If Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
Parklands of Floyd's Fork	Ky. Dept. of Transportation (Louisville Paving Co.) Kenny Roller 502/583-1726 kroller@louppaving.com	Bravura Corporation Jason Crowder jcrowder@bravura-arch.com	2012-06	Park	2013-09	1,727,072
Real Time Control SWOR2	Metropolitan Sewer District 700 W. Liberty St., Louisville, KY 40202 julie.potempa@louisvillemisd.org	Tetra Tech, Inc.	2014-09	Mechanical	2015-07	449,808
Rehab. Of Pumping Plants	New Albany Flood Control District 311 Hauss Square Rm 316, New Albany, IN 47150 812/ Metropolitan Sewer District	HMB Engineers swillard@hmbpe.com	2013-11	Pump Installation (mechanical)	2015-08	2,100,000
Shawnee Flood Pump Station	700 W. Liberty St., Louisville, KY 40202 julie.potempa@louisvillemisd.org	HDR Engineers	2012-10	Pump Station	2013-10	800,380
Shelbyhurst Development Ph 2A	Louisville Paving & Construction 1801 Payne Street Louisville, KY 40206	NTS, Inc. 600 North Hurstbourne Parkway, Suite 300 Louisville, KY 40222	2016-8	Sitework	95	127,557
Shively Pump Station	Metropolitan Sewer District 700 W. Liberty St., Louisville, KY 40202 julie.potempa@louisvillemisd.org	CDP Engineers	2011-04	Pump Station	2011-09	322,000
Sodium Hypochlorite Generation Facilities	Louisville Water Co. 550 So. Third Street, Louisville, KY 40202 Louisville Water Co. Yolanda Allen 550 So. Third St., Lou., KY 40202 502/569-360-Ext. 2413	Jacobs Engineering Tim Ball tim.ball@jacobs.com	2013-07	Water Treatment Plant	2015-05	6,920,000
Sodium Permanganate Food Sys. & Lime Dust Collection System	Louisville Water Co. 550 So. Third St., Lou., KY 40202	n/a	2013-06	Water Treatment Plant	2013-11	800,000
South Park Pump Station	Louisville Water Co. 550 So. Third St., Lou., KY 40202	Louisville Water Co. Bart Potts bpotts@lwcky.com	2011-05	Pump Station	2013-06	513,533
Sugar Tree Tank	Louisville Water Company 550 So. Third St., Lou., KY 40202	GRW Engineers Joseph Pavoni jpavoni@grwinc.com	2010-06	Water Treatment Plant	2011-06	769,187

Project Name	Owner (Prime Contractor if Sub)	Design Engineer	Contract Date	Type of Work	Date Completed	Cost of Work/Contract Amount
Taylorville Road Master Meter	Louisville Water Co. 550 So. Third St., Lou., KY 40202	n/a	2012-10	Water Treatment Plant	2013-06	140,000
Taylorville Road Streetscape	City of Jeffersontown, Ky. c/o Qk4, Inc. 1046 E. Chestnut Street Louisville, KY 40202	Qk4, Inc. David Reed 502/585-2222	2013-09	Streetscape	2015-05	2,977,000
Ten Pin Lane Parking Lot & Field Improvements	City of St. Matthews Kenan Stratman kenan.stratman@strathewsky.gov 502/895-9444	n/a	2014-12	Park	2015-07	1,050,000
Tenth Street Pump Station Expansion	Board of Public Work & Safety Jeffersonville Water & Sewer Len Ashack 812/285-6451	CH2M Hill	2011-04	Pump Station	2012-07	11,080,765
US 60 Sidewalks - Simpsonville,	City of Simpsonville Ky. 108 Veechdale Road Simpsonville, KY 40067 Warren V. Nash	American Engineers, Inc. 502/245-3813 benq@ael.cc Steve Willard	2015-01	Streetscape	2015-07	560,000
Capital Avenue Pump Station Impr	City of Frankfort, Ky. 315 W. 2nd Street Frankfort, KY 40602	HMB Professional Engineers 3 HMB Circle, US 460 Frankfort, KY 40601	2015-11	Pump Station	2016-05	237,000
Cedar Grove Pump Station	Louisville Water Company 550 So. Third Street Louisville, KY 40202 bpotts@lwcky.com		2014-11	Pump Station	2016-02	754,723
Robison Splash Park	City of Lyndon, Ky. 515 Wood Road Louisville, KY 40222		2015-07	Splash Park	2016-06	185,301

11. DBE Participation on current bonded projects under contract:

<u>SUBCONTRACTORS</u> <u>(LIST)</u>	<u>PROJECT</u> <u>(SPECIFIC TYPE)</u>	<u>DBE</u>	<u>% of WORK</u>
See attached list.	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(USE ADDITIONAL SHEETS IF NECESSARY)

12. We acknowledge that, if we are the apparent low Bidder, we may be required to submit to the OWNER within 7 calendar days following the Bid Opening, a sworn statement regarding all current work on hand and under contract, and a statement on the OWNER'S form of the experience of our officers, office management and field management personnel. Additionally, if requested by the OWNER, we will within 7 days following the request submit audited financial statements and loss history for insurance claims for the 3 most recent years (or a lesser period stipulated by the OWNER).

**Pace Contracting, LLC**

**DBE Participation on current bonded projects under contract**

Subcontractor	Project Name	Project Type	DBE	% of work
Cherokee Construction	MFWQTC Final Eff. Pump Station	Pump Station	M	1.5
Mckinney Painting	MFWQTC Final Eff. Pump Station	Pump Station	W	0.5
Seven Seas Construction	Preston Highway Water Main Replacement	Water Main Replacement	M	4.7
Terry Gollar Truckingt	Preston Highway Water Main Replacement	Water Main Replacement	W	1.4
TEM Group Electrical	4th St. Pump Station	Pump Station	M	24
Engineered Solutions	4th St. Pump Station	Pump Station	W	2.5
Professional Fence	4th St. Pump Station	Pump Station	W	0.2
M & M Manufacturing	4th St. Pump Station	Pump Station	W	0.9
Earth Images	637 Industry Road Greenscape	Greenscape	W	24.9
Springfield Plumbing	Highview Park Restroom	Park	M	9.9
TEM Group Electrical	Highview Park Restroom	Park	M	3.5
Earth Images	Highview Park Restroom	Park	W	2.2
TEM Group Electrical	LFUCG Clarifier Replacement	Clarifier Replacement	M	6
Earth Images	MSD Vactor Pit	Pump Station	W	0.6
Lykins Reinforcing	MSD Vactor Pit	Pump Station	W	1.8
Mckinney Painting	MSD Vactor Pit	Pump Station	W	0.9
Seven Seas Construction	MSD Vactor Pit	Pump Station	M	11.5
TEM Group Electrical	MSD Vactor Pit	Pump Station	M	5.5
Earth Images	McNeely Lake	Park	W	3.8
Professional Fence	McNeely Lake	Park	W	8
Cherokee Construction	MSD FY17 Floodwall upgrades	Floodwall	M	17.9
Mckinney Painting	MSD FY17 Floodwall upgrades	Floodwall	W	4.5
Professional Fence	MSD FY17 Floodwall upgrades	Floodwall	W	0.41
Seven Seas Construction	U of L Belknap	Sitework/Concrete/lands.	M	13
Somethin Bloomin	U of L Belknap	Sitework/Concrete/lands.	W	3.7
Cherokee Construction	U of L Belknap	Sitework/Concrete/lands.	M	8.3

**6. LIST OF PROPOSED SUBCONTRACTORS**

The following list of proposed subcontractors is required by the OWNER to be executed, completed and submitted with the BIDDER'S FORM OF PROPOSAL. All subcontractors are subject to approval of the Lexington-Fayette Urban County Government. Failure to submit this list completely filled out may be cause for rejection of bid.

<u>BRANCH OF WORK - LIST EACH MAJOR ITEM</u> Such as: Grading, bituminous paving, concrete, seeding and protection, construction staking, etc.	<u>SUBCONTRACTOR</u>	<u>DBE</u> <u>Yes/No</u>	% of Work
1. See attached list.	Name: _____	_____	_____
	Address: _____		
2. _____	Name: _____	_____	_____
	Address: _____		
3. _____	Name: _____	_____	_____
	Address: _____		
4. _____	Name: _____	_____	_____
	Address: _____		
5. _____	Name: _____	_____	_____
	Address: _____		
6. _____	Name: _____	_____	_____
	Address: _____		
7. _____	Name: _____	_____	_____
	Address: _____		

(Attach additional sheet(s) if necessary.)

## List of Proposed Subcontractors

Branch of Work	Subcontractor	DBE	Total value of Work	% of Total Contract
Paving and Markings	Bluegrass Contracting LLC 1075 Red Mile Rd. Lexington, KY 40504 Office: (859) 231-0069 jamiej@bluegrasscontracting.com	Yes	\$ 21,367	1.9%
Landscaping and Seeding	Somehin' Bloomin' 1206 Clear Creek Rd. Nicholasville, KY 40356 Office (859) 296-1624 sbloomin@aol.com	Yes	\$ 37,895	3.4%
Fencing	The Fence Co 197 Mohawk Lane Leitchfield, KY 42754 Office : 270-259-3808 <a href="mailto:thefencecoofky@gmail.com">thefencecoofky@gmail.com</a>	Yes	\$ 15,934	1.4%
Electric	Island Electric 2005 Dunkirk Drive Lexington, KY 40504 dharris@islandelectricllc.com 1-859-230-7937	Yes	\$ 16,050	1.5%

7. **LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT MWDBE PARTICIPATION GOALS, FORMS, AND GOOD FAITH EFFORTS**

A. GENERAL

- 1) The LFUCG request all potential contractors to make a concerted effort to include Minority-Owned (MBE), Woman-Owned (WBE), Disadvantaged (DBE) and Veteran-Owned Small Business (VOSB) Enterprises as subcontractors or suppliers in their bids.
- 2) Toward that end, the LFUCG has established 10% of total procurement costs as a Goal for participation of Minority-Owned, Woman-Owned and Disadvantaged Businesses on this contract.
- 3) The LFUCG has also established a 3% of total procurement costs as a Goal for participation of Veteran-Owned Small Businesses.
- 4) **It is therefore a request of each Bidder to include in its bid, the same goal (10%) for MWDBE participation and other requirements as outlined in this section.**

B. PROCEDURES

- 1) The successful bidder will be required to report to the LFUCG, the dollar amounts of all payments submitted to Minority-Owned, Woman-Owned subcontractors or Veteran-Owned and suppliers for work done or materials purchased for this contract. (See Subcontractor Monthly Payment Report)
- 2) Replacement of a Minority-Owned, Woman-Owned or Veteran-Owned subcontractor or supplier listed in the original submittal must be requested in writing and must be accompanied by documentation of Good Faith Efforts to replace the subcontractor / supplier with another MWDBE Firm; this is subject to approval by the LFUCG. (See LFUCG MWDBE Substitution Form)
- 3) For assistance in identifying qualified, certified businesses to solicit for potential contracting opportunities, bidders may contact:
  - a) The Lexington-Fayette Urban County Government, Division of Central Purchasing (859-258-3320)
- 4) The LFUCG will make every effort to notify interested MWDBE subcontractors and suppliers of each Bid Package, including information on the scope of work, the pre-bid meeting time and location, the bid date, and all other pertinent information regarding the project.

C. DEFINITIONS

- 1) A Minority-Owned Business Enterprise (MBE) is defined as a business which is certified as being at least 51% owned and operated by persons of African American, Hispanic, Asian, Pacific Islander, American Indian or Alaskan Native Heritage.

- 2) A Woman-Owned Business Enterprise (WBE) is defined as a business which is certified as being at least 51% owned and operated by one or more Non-Minority Females.
- 3) A Disadvantaged Business (DBE) is defined as a business which is certified as being at least 51% owned and operated by a person(s) that are economically and socially disadvantaged.
- 4) A Veteran-Owned Small Business (VOSB) is defined as a business which is certified as being at least 51% owned and operated by a veteran and/or a service disabled veteran.
- 5) Good Faith Efforts are efforts that, given all relevant circumstances, a bidder or proposer actively and aggressively seeking to meet the goals, can reasonably be expected to make. In evaluating good faith efforts made toward achieving the goals, whether the bidder or proposer has performed the efforts outlined in the Obligations of Bidder for Good Faith Efforts outlined in this document will be considered, along with any other relevant factors.

**D. OBLIGATION OF BIDDER FOR GOOD FAITH EFFORTS**

- 1) **The bidder shall make a Good Faith Effort to achieve the Participation Goal for MWDBE and Veteran-Owned subcontractors/suppliers. The failure to meet the goal shall not necessarily be cause for disqualification of the bidder; however, bidders not meeting the goal are required to furnish with their bids written documentation of their Good Faith Efforts to do so.**
- 2) Award of Contract shall be conditioned upon satisfaction of the requirements set forth herein.
- 3) The Form of Proposal includes a section entitled "MWDBE Participation Form". The applicable information must be completed and submitted as outlined below.
- 4) **Failure to submit this information as requested may be cause for rejection of bid or delay in contract award.**

**E. DOCUMENTATION REQUIRED FOR GOOD FAITH EFFORTS**

- 1) Bidders reaching the Goal are required to submit only the MWDBE Participation Form." The form must be fully completed including names and telephone number of participating MWDBE firm(s); type of work to be performed; estimated value of the contract and value expressed as a percentage of the total Lump Sum Bid Price. The form must be signed and dated, and is to be submitted with the bid.
- 2) Bidders not reaching the Goal must submit the "MWDBE Participation Form", the "Quote Summary Form" and a written statement documenting their Good Faith Effort to do so. If bid includes no MWDBE and/or Veteran participation, bidder shall enter "None" on the subcontractor / supplier form). In addition, the bidder must submit written proof of their Good Faith Efforts to meet the Participation Goal:



- a. Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.
- b. Included documentation of advertising in the above publications with the bidders good faith efforts package
- c. Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- d. Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities
- e. Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses.
- f. Requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- g. Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- h. Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- i. Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.
- j. Provided the interested MWBDE firm and/or Veteran-Owned businesses with adequate and timely information about the plans, specifications, and requirements of the contract.
- k. Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce
- l. Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough

investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

m. Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

n. Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

o. Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

p. Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

q. Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to review by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**



## MINORITY BUSINESS ENTERPRISE PROGRAM

Sherita Miller  
Minority Business Enterprise Liaison  
Division of Central Purchasing  
Lexington-Fayette Urban County Government  
200 East Main Street  
Lexington, KY 40507  
[smiller@lexingtonky.gov](mailto:smiller@lexingtonky.gov)  
859-258-3323

**OUR MISSION:** The mission of the Minority Business Enterprise Program is to facilitate the full participation of minority and women owned businesses in the procurement process and to promote economic inclusion as a business imperative essential to the long term economic viability of Lexington-Fayette Urban County Government.

To that end the city council adopted and implemented resolution 167-91—Disadvantaged Business Enterprise (DBE) 10% Goal Plan in July of 1991. The resolution states in part (a full copy is available in Central Purchasing):

*"A Resolution supporting adoption of the administrative plan for a ten percent (10%) Minimum goal for disadvantaged business enterprise participation in Lexington-Fayette Urban County Government construction and professional services contracts; Providing that as part of their bids on LFUCG construction contracts, general Contractors shall make a good faith effort to award at least ten percent (10%) of All subcontracts to disadvantaged business enterprises; providing that divisions of LFUCG shall make a good faith effort to award at least ten percent of their Professional services and other contracts to disadvantaged business enterprises..."*

A Disadvantaged Business Enterprise is defined as a business that has been certified as being at least 51% owned, operated and managed by a U.S. Citizen of the following groups:

- African-American
- Hispanic-American
- Asian/Pacific Islander
- Native American/Native Alaskan
- Non-Minority Female
- Economically and Socially Disadvantaged

In addition, to that end the city council also adopted and implemented resolution 167-91—Veteran-owned Businesses, 3% Goal Plan in July of 2015. The resolution states in part (a full copy is available in Central Purchasing):

*"A resolution adopting a three percent (3%) minimum goal for certified veteran-owned small businesses and service disabled veteran-owned businesses for certain of those Lexington-Fayette Urban County contracts related to construction for*

*professional services, and authorizing the Division of Purchasing to adopt and implement guidelines and/or policies consistent with the provisions and intent of this resolution by no later than July 1, 2015."*

We have compiled the list below to help you locate certified MBE, WBE and DBE certified businesses. Below is a listing of contacts for LFUCG Certified MWDBEs and Veteran-Owned Small Businesses in (<https://lexingtonky.ionwave.net>)

<b>Business</b>	<b>Contact</b>	<b>Email Address</b>	<b>Phone</b>
<b>LFUCG</b>	Sherita Miller	<a href="mailto:smiller@lexingtonky.gov">smiller@lexingtonky.gov</a>	859-258-3323
<b>Commerce Lexington – Minority Business Development</b>	Tyrone Tyra	<a href="mailto:ttyra@commercelexington.com">ttyra@commercelexington.com</a>	859-226-1625
<b>Tri-State Minority Supplier Diversity Council</b>	Susan Marston	<a href="mailto:smarston@tsmsdc.com">smarston@tsmsdc.com</a>	502-365-9762
<b>Small Business Development Council</b>	Shirie Hawkins UK SBDC	<a href="mailto:smack@uky.edu">smack@uky.edu</a>	859-257-7666
<b>Community Ventures Corporation</b>	Phyllis Alcorn	<a href="mailto:palcorn@cvky.org">palcorn@cvky.org</a>	859-231-0054
<b>KY Transportation Cabinet (KYTC)</b>	Melvin Bynes	<a href="mailto:Melvin.bynes2@ky.gov">Melvin.bynes2@ky.gov</a>	502-564-3601
<b>KYTC Pre-Qualification</b>	Shella Eagle	<a href="mailto:Shella.Eagle@ky.gov">Shella.Eagle@ky.gov</a>	502-782-4815
<b>Ohio River Valley Women's Business Council (WBENC)</b>	Sheila Mixon	<a href="mailto:smixon@orvwbc.org">smixon@orvwbc.org</a>	513-487-6537
<b>Kentucky MWBE Certification Program</b>	Yvette Smith, Kentucky Finance Cabinet	<a href="mailto:Yvette.Smith@ky.gov">Yvette.Smith@ky.gov</a>	502-564-8099
<b>National Women Business Owner's Council (NWBOC)</b>	Janet Harris-Lange	<a href="mailto:janet@nwbo.org">janet@nwbo.org</a>	800-675-5066
<b>Small Business Administration</b>	Robert Coffey	<a href="mailto:robertcoffey@sba.gov">robertcoffey@sba.gov</a>	502-582-5971
<b>LaVoz de Kentucky</b>	Andres Cruz	<a href="mailto:lavozdeky@yahoo.com">lavozdeky@yahoo.com</a>	859-621-2106
<b>The Key News Journal</b>	Patrice Muhammad	<a href="mailto:paatricem@keynewsjournal.com">paatricem@keynewsjournal.com</a>	859-373-9428



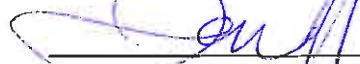
**LFUCG MWDBE PARTICIPATION FORM**  
Bid/RFP/Quote Reference # 185-2016

The MWDBE and/or veteran subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately. Failure to submit a completed form may cause rejection of the bid.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. See attached list.			
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Pace Contracting, LLC  
Company  
2/3/17  
Date

  
Company Representative  
Administrative Member  
Title

## List of ~~Proposed~~ Subcontractors

Branch of Work	Subcontractor	DBE	Total value of Work	% of Total Contract
Paving and Markings	Bluegrass Contracting LLC 1075 Red Mille Rd. Lexington, KY 40504 Office: (859) 231-0069 jamiej@bluegrasscontracting.com	Yes	\$ 21,367	1.9%
Landscaping and Seeding	Somethin' Bloomin' 1206 Clear Creek Rd. Nicholasville, KY 40356 Office (859) 296-1624 sbloomin@aol.com	Yes	\$ 37,895	3.4%
Fencing	The Fence Co 197 Mohawk Lane Leitchfield, KY 42754 Office : 270-259-3808 thefencecoofky@gmail.com	Yes	\$ 15,934	1.4%
Electric	Island Electric 2005 Dunkirk Drive Lexington, KY 40504 dharris@islandelectricllc.com 1-859-230-7937	Yes	\$ 16,050	1.5%



**LFUCG MWDBE SUBSTITUTION FORM**

Bid/RFP/Quote Reference # 185-2016

The substituted MWDBE and/or Veteran subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project. Failure to submit this form may cause rejection of the bid.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1.					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Pace Contracting, LLC

Company

2/3/17

Date

Company Representative

Administrative Member

Title



**MWDBE QUOTE SUMMARY FORM**  
 Bid/RFP/Quote Reference # 185-2016

The undersigned acknowledges that the minority and/or veteran subcontractors listed on this form did submit a quote to participate on this project.

Company Name <b>Pace Contracting, LLC</b>	Contact Person <b>Tom Wood</b>
Address / Phone / Email 200 Willinger Lane, Jeffersonville, IN 47130 812/283-5784 e-mail: tomwood@pacecontractingllc.com	Bid Package / Bid Date <b>2/3/17</b>

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollar \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
Professional Fence PO Box 143 Crestwood, KY 40014	Dale Powell	502/222-0513 502/222-0329 dalepowell01@bellsouth.net	1/16/17	Fencing	E-mail	\$ 6,626.00	Female
Island Electric 2005 Dunkirk Drive Lexington, KY 40504	Dwayne Harris	859/230-7937 dharris@islandelectricllc.com		Electrical	E-mail/fax	\$16,050.00	AA
Bluegrass Contracting, LLC 1075 Red Mile Road Lexington, KY 40504	Jamie Johnson	859/231-0069	1/16/17	Paving and Markings	E-mail	\$21,367.00	Veteran
Somethin Bloomin' 1206 Clear Creek Rd. Nicholasville, KY 40356	Cindy England	859/296-1624 sbloomin@aol.com	1/16/17	Landscaping and seeding	E-mail	\$37,895.00	Female
The Fence Co. 197 Mohawk Lane Leitchfield, KY 42754	Scott Braun	270/259-3808 thefencecoofky@gmail.com	1/16/17	Fencing	E-mail	\$15,934.00	Female
SEE ATTACHED LIST OF ALL MWDBE CONTRACTORS CONTACTED.							

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Pace Contracting, LLC  
 Company  
2/3/17  
 Date

Tom Wood  
 Company Representative  
 Administrative Member  
 Title



**PROFESSIONAL FENCE COMPANY**

P.O. Box 143

Crestwood, KY 40014

Phone: (502) 222-0513 Fax: (502) 222-0329

[www.ProfessionalFenceCo.com](http://www.ProfessionalFenceCo.com)*"For the Best in Quality and Service"*

<b>Certified Woman Owned Business</b>
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**TO: PACE CONTRACTING  
ATTN: MICHAEL BRYANT****DATE: 2/2/17****FROM: DALE POWELL****RE: JEFFERSON PARK & MASTERSON PARK SPRAYGROUNDS**

**PROFESSIONAL FENCE COMPANY'S PRICE ON THE ABOVE PROJECT INCLUDES THE FOLLOWING: 434' OF 4'-2" TALL THREE BOARD WOOD FENCE, 1" X 6" X 16' OAK PLANK AND BATTEN BOARD, 6" X 8' TREATED PINE POST, POSTS TO BE DRIVEN, FENCE TO BE PAINTED.**

**DUE TO FLUCTUATING MATERIAL PRICES, OUR QUOTE IS VALID FOR 10 DAYS. A CONDITION OF OUR QUOTE IS THAT WE REQUIRE FULL PAYMENT FOR MATERIALS PURCHASED AND STORED AT OUR FACILITY REGARDLESS OF WHETHER OR NOT OUR CUSTOMER RECEIVES PAYMENT.**

**TOTAL PRICE: \$6,626.00****\*\* SPECIAL PAINT AND OR COLOR NOT INCLUDED.****NOTE:**

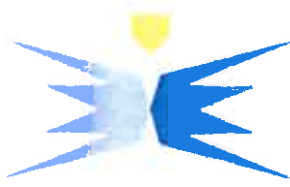
- 1) Staking and clearing of fence line; securing any necessary permits and/or licenses; and marking of underground utilities, lines and obstructions by customer.
- 2) Assumes access to both sides of fence line for our installation equipment and no interference from silt fence.
- 3) Grading, rock excavation, asphalt/concrete repair, underground obstruction removal, ground restoration, painting, costs associated with stone cave-in and grounding are not included.
- 4) Dumpster and disposal of construction debris and spoils by customer.
- 5) Fence to be installed prior to laying of rock, seed, sod, weed barrier or landscaping.

**WBE/DBE CERTIFICATION:****PROFESSIONAL FENCE COMPANY IS A CERTIFIED WBE & DBE.****CONTRACT CONDITIONS**

MORE OR LESS MATERIAL OTHER THAN AMOUNT CONTRACTED FOR WILL BE DEBITED OR CREDITED AT CURRENT RATE. GENERAL CONTRACTOR/PROPERTY OWNER is solely responsible for locating, staking, and clearing fence lines. PURCHASER also agrees that Professional Fence will not be responsible or liable for any damages of any nature to underground utilities or obstructions. If contract is changed after our crew delivers the material there will be a \$60.00 per man per hour charge for time lost. Professional Fence Co. reserves the right to make additional charges to customers in the event unusual ground conditions such as rock formations impede the installation. All charges shall be made on actual additional labor and equipment required. Payment terms are Net 15 days, 1.5% per month added to past due accounts. Purchaser agrees to pay all collection, legal and court costs in connection with Professional Fence's collection of amounts owed. In case Payment is not made as specified in payment terms, Professional Fence reserves the right to repossess all materials used on this job without recourse.

**If you have any questions, feel free to call me at 502-222-0513 (office), 502-552-0410 (cell) or 502-222-0329 (fax).**

**Very truly yours,****Dale Powell  
Vice President**



Island Electric, LLC

February 3, 2017

Pace Contracting

200 Willinger Lane  
Jeffersonville, IN 47310

Attn: Michael Bryant

**Re: Install New Electrical Service for both Masterson and Jacobson Park, Sprayground projects**

We propose to furnish material, labor and supervision for the combined sum of **\$16,050.00**

Our price includes the following per each location:

1. Provide and install a new 200A Meter base
2. Provide and install a new 200A 120/240V 1PH Electrical Service Panel (Panel A, as specified on drawing ME10)
3. Install underground service conduit to meters based on footages given: 15'ft for Masterson and 45ft for Jacobson
4. Service wires from utility to meter is provided by KU
5. Provide and install conduit & wire from outside meter to Panel A
6. Provide and install conduit & wire from Panel A to Panel B
7. Provide and install (4) conduits from equipment rm. to activation bollard, e-stop bollard, diverting waste valve & sump pump.
8. Provide wires for sump pump and waste valve. Wires for both bollards are provided by others. (according to drawing JS2.1)
9. Install grounding around Splash pad Apron and bonding to all metal fixtures (total of 8 items per site to be bonded)
10. Permit and Inspection is included
11. All Excavation is excluded

Thank you for the opportunity to bid this project. Please feel free to contact me to make any changes to this scope letter. You can reach me at 859-230-7937.

Best regards,

Dwayne Harris  
Owner/President

Accepted by: \_\_\_\_\_ Date: \_\_\_\_\_

2005 Dunkirk Drive, Lexington, Kentucky 40504  
Phone (859) 230-7937  
E-mail (dharris@islandelectricllc.com)  
Equal Opportunity Employer



# BLUEGRASS CONTRACTING CORPORATION

P.O. Box 11638 Lexington, Kentucky 40576-1638 Ph. (859) 231-0069 Fax: (859) 281-6457

February 2, 2017

Michael C Bryant, PE  
Pace Contracting LLC

Project Information: Jacobson Park Sprayground

Dear Sir:

Listed below is our quotation for the above referenced project:

PAY ITEM	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	EXTENSION
01	Asphalt Paving & DGA	2,775.00	S.F.	5.70	15,817.50
02	Pavement, Striping, Signs And Wheel Stops	1.00	L.S.	3,050.00	3,050.00
03	Mob	1.00	L.S.	2,500.00	2,500.00
TOTAL QUOTE					21,367.50

This quote contains the following exclusions/qualifications:

- Bond not included, Can be added at 1.5%
- Does not include Surveying.
- Project is bid as a whole
- Sub-grade to be +/- 1"

**Project Notes: Price is good for 30 days**

If you have any questions or require any additional information, please do not hesitate to contact me at 859-231-0069 or via email at [jamiej@bluegrasscontracting.com](mailto:jamiej@bluegrasscontracting.com)

Sincerely,  
Bluegrass Contracting Corporation

*Jamie Johnson*

Jamie Johnson  
Vice President

Equal Opportunity Employer



Cindy England  
 DBE, WBENC, SBE  
*Pre-Qualified*  
 B.S. Horticulture

859-536-7568

Jacobson Park & Masterson Station Park  
 Landscape Bid

Base Bid  
 Jacobson  
 960 sf sod \$690  
 2500 sy seed \$2450  
 400 lf silt fence \$1600

Masterson  
 1350 sf sod \$950  
 1440 sy seed \$1411  
 410 lf silt fence \$1640

Alt 1  
 90 sf sod \$65.00

Alt 2  
 Jacobson  
 \$17,900

Masterson Station  
 \$10,300

Plus \$1,000 for Seeding

**From:** Cindy England  
**To:** [Michael Bryant](#)  
**Subject:** Re: Jacobson Trees to be removed  
**Date:** Thursday, February 02, 2017 10:40:54 AM

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Additional cost to remove the 2 trees. \$800.00  
Cindy

Sent from my iPad

On Feb 1, 2017, at 4:32 PM, Michael Bryant <[michaelb@pacecontractingllc.com](mailto:michaelb@pacecontractingllc.com)> wrote:

**Michael C Bryant, PE**  
Pace Contracting LLC  
502.386.8997 (Cell)

<Jacobson Trees to be removed.pdf>

The Fence Co. LLC                      Estimate    February 2, 2017  
197 Mohawk Lane  
Leitchfield, KY 42754  
O (270) 259-3808  
F (270) 259-0300  
C (270)287-1134  
thefencecoofky@gmail.com

**Construction Services For Jacobson Park Sprayground And  
Mastersons Station Park Sprayground LFUCG Lexington KY**

To furnish and install 457' of 3 board wood fencing  
To Include  
6 "treated post  
1 x 6 "treated wood planks  
6 "treated face boards  
2 coats of paint color to be selected by Architect  
All post driven 3' deep

Total \$ 7,624.19

**Temporary Fence Rates**

6ft x 10ft temporary panel with concrete or metal bases \$ 4.00 ft. for duration of project  
One time set up and removal no monthly rental fees will be applied. Temporary construction  
gates are 16' - 20' openings \$450.00 each 20' - 30' openings are \$ 650.00 per set post set in  
concrete.

**Missing or Damaged Temporary Fencing**

Missing panels will be replaced at a rate of \$ 80.00 per panel  
Missing or damaged concrete block bases will be replaced at a rate of \$ 25.00 each  
Missing or damaged metal stand bases will be replaced at a rate of \$ 20.00 each  
Missing kennel clamps will be replaced at a rate of \$ 4.00 per set

Rock Clause: If solid rock is encountered there will be an additional charge of \$ 75.00 for jack  
hammering minimum 2 hours.

The Fence Co LLC is not responsible for any underground utilities that may be encountered.

If you intend on using The Fence Co LLC for this project, please let us know so we can lock in  
the current material price and allow for scheduling.

\_\_\_\_\_  
Responsible Party

\_\_\_\_\_  
Date

Thank You  
Scott Braun

WBE/DBE Certified  
SBA/HUB Zone Certified  
American Fence Association Certified Fence Professionals

Pace Contracting, LLC  
Minority and/or Veteran Subcontractors Contacted

Company & Address	Contact	Contact Info	Date Contacted	Services to be performed	Method of Communication	Total Dollars	DBE
Professional Fence Po Box 143 Crestwood, KY 40014	Dale Powell	1-502-222-0513 1-502-222-0329 dalepowell01@bellsouth.net	1/16/2017	Fencing	E-mail	\$6,626.00	Female
Island Electric 2005 Dunkirk Drive Lexington, KY 40504	Dwayne Harris	dharris@islandelectricllc.com 1-859-230-7937	1/16/2017	Electrical	E-mail / fax	\$16,050.00	AA
Plumbing Systems, Inc. 834 Winchester Road Lexington, KY 40505	Matt Green	plumbingsystems@insjktbb.com 859-294-8080	1/25/2017	Plumbing	Phone & E-mail	NO BID	NA
TEM 3560 Baashford Avenue Louisville, KY 40218	Tom Clark	tclark@temelectric.com 1-502-454-0101	1/16/2017	Electrical	E-mail	NO BID	HA
Seven Seas PO Box 16997 Louisville, KY 40256	Soran Walker	swalker@sevencs.net 1-502-231-7395	1/16/2017	Concrete / paving	E-mail	NO BID	AS
Metro Fence 4521 Bishop Lane Louisville, KY 40218	Sondra Mehler	Sondra@metrofenceinc.com 1-502-458-8701	1/16/2017	Fencing	E-mail	NO BID	AA
Circle City 4002 Industrial Blvd Indianapolis, IN 46254	Heidi Russo	hrusso@circlecityrebar.com 1-317-917-8566	1/16/2017	Rebar	E-mail	NO BID	AA
Advance Ready Mix 161 North Shelby Street Louisville, KY 40202	Chad Delers	cdelers@advancereadymix.com 1-502-587-1881	1/16/2017	Concrete	E-mail	NO BID	Female
American Ready Mix 4121 Algonquin Parkway Louisville, KY 40211	Michael Fanl	rfcov@americanreadymix.biz 1-502-447-5720	1/16/2017	Concrete	E-mail	NO BID	AA
Lagco Inc. P. O. Box 12510 Lexington, KY 40583	Randy Greene	randy@lagco.com 859-293-7473	1/27/2017	Concrete / paving / plumbing	Phone & E-mail	NO BID	VOSB

AA African Am.  
HA Hispanic Am.  
AS Asian Am./Pac. Islander  
NA Native Am.



## LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. The LFUCG also has a 3% goal plan adopted by cited council to increase the participation of veteran owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE and Veteran vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 185-2016  
 Total Contract Amount Awarded to Prime Contractor for this Project \_\_\_\_\_

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

\_\_\_\_\_  
 Company

\_\_\_\_\_  
 Company Representative

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Title



**LFUCG STATEMENT OF GOOD FAITH EFFORTS**

Bid/RFP/Quote # 185-2016

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE and Veteran-Owned business enterprises on the project and can supply the appropriate documentation.

Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms and Veteran-Owned businesses to participate.

Included documentation of advertising in the above publications with the bidders good faith efforts package

Attended LFUCG Central Purchasing Economic Inclusion Outreach event

Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs and/or Veteran-Owned Businesses of subcontracting opportunities

Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms and Veteran-Owned businesses

requested a list of MWDBE and/or Veteran subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).

Contacted organizations that work with MWDBE companies for assistance in finding certified MWBDE firms and Veteran-Owned businesses to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

Followed up initial solicitations by contacting MWDBEs and Veteran-Owned businesses to determine their level of interest.

Provided the interested MWBDE firm and/or Veteran-Owned business with adequate and timely information about the plans, specifications, and requirements of the contract.

Selected portions of the work to be performed by MWDBE firms and/or Veteran-Owned businesses in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work

items into economically feasible units to facilitate MWDBE and Veteran participation, even when the prime contractor may otherwise perform these work items with its own workforce

Negotiated in good faith with interested MWDBE firms and Veteran-Owned businesses not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

Included documentation of quotations received from interested MWDBE firms and Veteran-Owned businesses which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE and/or Veteran-Owned business's quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE and Veteran goals.

Made an effort to offer assistance to or refer interested MWDBE firms and Veteran-Owned businesses to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

Made efforts to expand the search for MWBE firms and Veteran-Owned businesses beyond the usual geographic boundaries.

Other--any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE and Veteran participation.

**NOTE: Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement which is subject to approval by the MBE Liaison. Documentation of Good Faith Efforts must be submitted with the Bid, if the participation Goal is not met.**

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Pace Contracting, LLC

Tom Wood

**Company**

2/3/17

**Date**

**Company Representative**

Administrative Member

**Title**

8. **AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION, NON-CONFLICT OF INTEREST**

I hereby swear (or affirm) under the penalty for false swearing:

1. That I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the attached bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without any agreement, understanding or planned common course of action, with any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition;
3. That the contents of the bid or bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished, with the bid or bids, and will not be communicated to any such person, prior to the official opening of the bid or bids;
4. That the Bidder is legally entitled to enter into the contracts with the Lexington-Fayette Urban County Government, and is not in violation of any prohibited conflict of interest;
5. (Applicable to corporation only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State \_\_\_\_\_ or, that as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky   x  . Check the statement applicable.
6. This offer is for 60 calendar days from the date this bid is opened. In submitting the above, it is expressly agreed that, upon proper acceptance by the Lexington-Fayette Urban County Government of any or all items bid above, a contract shall thereby be created with respect to the items accepted.
7. That I have fully informed myself regarding the accuracy of the statements made in this statement.
8. That I certify that Subcontractors have not and will not be awarded to any firm(s) that have been debarred from noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

9. STATEMENT OF EXPERIENCE

NAME OF INDIVIDUAL: Tom A Wood

POSITION/TITLE: Administrative Member

STATEMENT OF EXPERIENCE: See attached Resume

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NAME OF INDIVIDUAL: Mike Mulhall

POSITION/TITLE: Project Manager

STATEMENT OF EXPERIENCE: See attached Resume

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NAME OF INDIVIDUAL: Paul Snead

POSITION/TITLE: Superintendent

STATEMENT OF EXPERIENCE: See attached Resume

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NAME OF INDIVIDUAL: Lisa Neal

POSITION/TITLE: Safety Director

STATEMENT OF EXPERIENCE: See attached Resume

## Resumes - Statement of Experience

Thomas A. Wood, Administrative Member  
Pace Contracting, LLC – Company and Personal Information

Personal: Thomas A. Wood

Born: August 24, 1957

Marital: Married with 5 children

Education: Bachelors of Science – Civil Engineering – University of Louisville 1979  
Masters of Science – Civil Engineering - Purdue University 1980

Work History: Project Engineer – Hall Contracting Corporation 1980 – 1983  
Project Superintendent – Hall Contracting Corporation – 1983 – 1986  
Project Manager – Whittenberg Engineering & Construction – 1987 – 1990  
Vice President – Hall Contracting Corporation – 1990 – 1996  
Vice President – David Engineering & Construction - 1996  
Administrative Member – Pace Contracting, LLC – Started in 1997

Emphasis: Water and Wastewater Treatment Plants, Power Plants, Military Training Facilities

### Projects:

- Hopkinsville WWTP North - \$6.3 million
- Hopkinsville WWTP South - \$11.3 million
- LFUCG Town Branch WWTP - \$20.3 million
- Jeffersonville WWTP - \$15 million
- Fort Knox Yano Military Range – \$20.3 million
- Fort Knox Baum St. Vith Military Range – \$6.3 million
- Ft. Campbell Military Range – \$8.3 million
- Kentucky Utilities Power Plant Various - \$2.3 – \$3.5 million
- Owensboro Municipal Utilities Various - \$4.2 – 6.5 million
- Tenth Street Pump Station - \$11 million
- Sodium Permanganate Feed System at CHWTP - \$800,000

### Pace Contracting, LLC:

Started in 1997 with no employees – subcontracting all work until backlog was built up in 1998

1998 Hired a concrete foreman and started self performing work.

Currently working 1 General Superintendent, 2 project managers, 6 project supervisors, 3 administrators, and 40 employees with over 50 equipment units and access to over 300 equipment units at Louisville Paving Company.

Project Emphasis – Public Heavy Utilities, Roads and Parks, Water and Wastewater Treatment Plants, Pump Stations

### Customer List:

Barbourville Utility Commission

City of Eminence

City of Jeffersontown

City of Jeffersonville

City of New Albany

City of Owingsville

City of St. Matthews

City of Taylorsville

Federal Aviation Administration

Georgetown Municipal Water & Sewer

Kentucky Department of Transportation

L & J Properties

Lexington Fayette Urban County Government

Louisville & Jefferson County Metropolitan  
Sewer District

Louisville Metro Government

Louisville Metro Parks Department

Louisville Water Company

Oldham County Sewer District

South Harrison Water Corporation

Sullivan & Cozart

Town of Milltown, Indiana

Town of Sellersburg, IN

Ownership: Pace Contracting, LLC is a member owned LLC with LPX - the holding company for Louisville Paving Company and its subsidiaries - as the majority owner, and Tom Wood as the minority owner with two other members.

# Douglas M. Mulhall, P.E.

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## **Qualification Statement:**

6 years in large scale construction advancing from project engineer to project manager, 4 years in construction sales, currently Estimating Manager at Pace Contracting. Experience in project management, construction, engineering, and sales. Outgoing, hard-working, and eager to take on new challenges in the industry. Adaptable, self-motivating, and always inspired to learn.

## **Professional Experience:**

### **Pace Contracting, LLC, 2015 (Summer) – Present**

- Estimating Manager/ Project Manager.

### **Gerdau Reinforcing Steel, 2011 (Summer) – 2015 (Summer)**

- Contract sales of reinforcing steel for Large Concrete Construction Projects. Manage Contract Administration including contract and conflict resolution, purchase orders (POs), change Orders (COs), and Accounts Receivables. Successfully work multiple projects consecutively and simultaneously over the following territories: KY, IN, and WV.

### **Baker Concrete Construction, 2005 (Spring) – 2011 (Spring)**

- Managed the concrete construction for many concrete framed projects ranging from 1 million to 22 million dollars in cost. Key positions are as follows: Helped with figuring estimate production rates, created budgets from estimate, scope review, managed financials for projects along with managing project cost, maintained relationship with the customer, tracked daily production, created sub-contracts and PO's, created and maintained schedules using Primavera's Suretrack, tracked material usage using Excel, conflict and dispute resolution, help with crew size management, development of RFI's, coordination of projects and creating a logistic plan for the jobsite.

### **Miscellaneous Construction Experience, 1994 – 2005 (Fall)**

Continuous remodeling and construction with Family Business, Surveying Internship with H.E. Rudy, Louisville, KY (2 summers), Internship with Tetra Tech, Lexington, KY (1 summer), Foreman with Churchill McGee, Lexington, KY (Part-time for 2 yrs)

## **Academics:**

### **University of Kentucky**

Bachelor of Science in Civil Engineering, December 2004  
Masters in Civil Engineering, December 2005

### **Georgetown College:**

Bachelor of Science (Dual Degree in conjunction with University of Kentucky), December, 2004

- Minor in Math and Physics
- Football (Part of 2 NAIA National Championships)

### **Saint Xavier H.S. (Louisville. KY):**

Graduated 1998

- Varsity Football (Part of 2 State Championship Teams)

## **Licensure and Certifications**

PE Licensure in Kentucky 27063

30-Hour OSHA, Dale Carnegie Certificate, and Seven Habits of Highly Effective People Certificate

## **References:**

Available upon request

# RESUME

Name: Paul Snead

Year Started with Pace Contracting, LLC – Oct. 2013

Years with other firms and with whom?

Dugan & Meyers – 11 years

King construction – 5 years

NWS - 2 years

Highest Degree obtained from a post-secondary school, what major, and which school:

School: High School Diploma, Pleasure Ridge Park H.S.

## WORK EXPERIENCE

Project Name: Grand Avenue Pump Station

Location: Louisville, Ky.

Project Value: \$11,407,000.00

Brief Description of Project: The construction of a 10 mgd pumping station with storage capacity of 3 mg and associated appurtenances

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: 2013

Completed: 2015

\*Owner: MSD

Contact Person: Jay Thomas 502.540.6464

Project Name: Bancroft Pump Station

Location: Louisville, Ky.

Project Value: \$4,686,990.00

Brief Description of Project: Construction of a 0.33 MGD pump station and a 250,000 gallon storage basin and approximately 1,381 linear feet of 12-inch sewer, 2,272 linear feet of 6-inch force main, and installing 8 sanitary manholes.

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: 2013

Completed: on-going

\*Owner: MSD

Contact Person: Jay Thomas 502.540.6464

Project Name: Muddy Fork Interceptor

Location: Louisville, Ky.

Project Value: \$6,567,000.00

Brief Description of Project: The construction and installation of an off-line, cast-in-place concrete, covered, sanitary sewer overflow storage basin including dewatering pumps, 433 linear feet of 21-inch sanitary sewer, 35 linear feet of 12-inch sanitary sewer force main, and all other related appurtenances.

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: April 2015

Completed: on-going

\*Owner: MSD

Contact Person: David Coe (502)693-1484

Project Name: Jeffersonville North WWTP

Location: Jeffersonville, IN

Project Value: \$17,692,000.00

Brief Description of Project: construct a 17 MGD waste water treatment plant

Role/Position on Project : Manage the field employee's, Equipment and materials

Year Project Started: 2012 Completed: 2013

\*Owner: City of Jeffersonville

Contact Person: Tom Galligan, Mayor (812) 285-6476, Len Ashack Utility Director (812)285- 6451 lashack@cityofjeff.net

Project Name:/ Derek R Guthrie WQTC

Location: Louisville, KY

Project Value: \$26,574,000

Brief Description of Project: Treatment plant expansion from 200 to 300 MGD

Role/Position on Project : Superintendent over field employees

Year Project Started: 2010 Completed: 2012

\*Owner: Louisville MSD:

Contact Person: \*Architect/Engineer: HDR Engineering Steve Keiber (502) 584-4115

Project Name: Cedar Creek Maintenance Facility

Location: Louisville, Ky

Project Value:\$1,850,000

Brief Description of Project: Maintenance Building

Role/Position on Project : Foundation placment

Year Project Started: 2007 Completed: 2008

\*Owner: Louisville, MSD

\*Architect/Engineer: Architectural Investments

Project Name: Corydon WWTP

Location: Corydon, In

Project Value: 3,940,000

Brief Description of Project: SBS design sewer treatment plant

Role/Position on Project :Superintendent with the responsibilities including field personnel management, material ordering , sub contract coordination.

Year Project Started: 2007 Completed: 2008

\*Owner: Corydon Municipal Water Works Fred Cammack (812) 738-3958

\*Architect/Engineer: Midwestern Engineers (812)295-2800

Project Name: Buchanan Street Pump Station

Location: Louisville, Ky

Project Value: 9,417,000

Brief Description of Project: Largest sewer pump station in Ky

Role/Position on Project : Superintendent over mechanical installation and Start-up of the pump station.

Year Project Started: 2004 Completed: 2006

\*Owner: Louisville, MSD Darren Thompson (502)540-6308

\*Architect/Engineer: Obrien and Gere John Morse (502) 586-6052



Project Name: Ohio River Levee Trail

Location: Louisville, Ky

Project Value:1,526,000

Brief Description of Project: Construct a pedestrian walk way through rural Jefferson county with a 235 ft bridge that we launched across a ravine.

Role/Position on Project : Superintendent over paving and bridge launching, Management material and employees.

Year Project Started: 2006 Completed: 2006

\*Owner: Louisville Metro Government, Christopher Phillips (502) 574-5796

Project Name: City of New Albany WWTP

Location: New Albany, In

Project Value: 16,893,000

Brief Description of Project: Sewer treatment plant with 100 MGD capability

Role/Position on Project : Superintendent over mechanical installation of equipment.

Year Project Started: 2004 Completed: 2005

\*Owner: City of New Albany Dick Bliss (812) 948-5336

\*Construction Manager: Donahue & Assoc.

\*Architect/Engineer: Clark & Deitz James Miller (317) 267-8200

Project Name : Jeffersonville WWTP Anoxic Selector

Location: Jeffersonville, In

Project Value: 1,968,000

Brief Description of Project: Construct an Anoxic Zone on the existing oxidation Ditches

Role/Position on Project : Superintendent, Management of manpower and Materials to construct the project.

Year Project Started: 2006 Completed: 2007

\*Owner: City Of Jeffersonville, Mike Meyers (812) 285-6476

\*Architect/Engineer: Strand Associates

Project Name : Joe Creason Park

Location: Louisville, Ky

Project Value: 442,000

Brief Description of Project:

Role/Position on Project (not just your title but what you did): Superintendent, Management of manpower and Materials to construct the project.

Year Project Started: 2007 Completed: 2007

\*Owner: Louisville Metro Government Paul Shaffer (502)439-7694

Project Name : Mill Creek HDPE

Location: Louisville,Ky

Project Value: 327,000

Brief Description of Project: Well system with piping

Role/Position on Project : Superintendent, Management of manpower and Materials to construct the project.

Year Project Started: 2008 Completed:2008

\*Owner: LG&E

Project Name : Fort Knox IBCT

Location: Fort Knox, Ky

Project Value: 1,130,000

Brief Description of Project: Replacement of sewer mains.

Role/Position on Project : Superintendent, Management of manpower and Materials to construct the project.

Year Project Started: 2009 Completed: 2009

\*Owner: Hardin county water, Bret Pyles

\*Architect/Engineer:

Project Name : Waterfront Pedestrian Ramp

Location: Louisville, Ky

Project Value: 2,138,000

Brief Description of Project: Constructed a pedestrian ramp up to the Big 4 bridge.

Year Project Started: 2009 Completed: 2010

\*Owner: Louisville Metro Government

**RESUME OF**

**Lisa Kay Neal**  
**5400 Foxwood Drive**  
**Crestwood, KY 40014**  
**Phone: ( 502-471-1163 )**  
**E-Mail – lisan@loupaving.com**

**PRESENT EMPLOYER.....** Pace Contracting, LLC / LPX, Inc.  
**JOB TITLE.....** Safety Director  
**YEARS EMPLOYED .....** 2002-present  
**PRIMARY DUTIES .....** Safety for all employees-training, job site review-new hire, drug testing  
**PREVIOUS EMPLOYER .....** Creation Films  
**JOB TITLE.....** Executive Director of Production  
**PRIMARY DUTIES .....** Oversees the filmmaking of Military Documentaries  
**PREVIOUS EMPLOYER .....** Military Channel  
**JOB TITLE.....** Office Manager/Legal Executive  
**PRIMARY DUTIES .....** Oversee all office and legal administrated employees  
**EDUCATION.....** Associated Degree in Health and Safety  
**EXPERIENCE.....** 4 years' experience in Health and Safety for LPX and Subsidiaries of 300 employees  
**QUALIFICATIONS .....** Degree in Health and Safety  
**REFERENCES .....** Joe Dougherty

\_\_\_\_\_  
\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

NAME OF INDIVIDUAL: \_\_\_\_\_

POSITION/TITLE: \_\_\_\_\_

STATEMENT OF EXPERIENCE: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

\* Include all officers, office management's, Affirmative Action officials, and field management personnel. (Attach separate sheets if necessary.)

## 10. EQUAL OPPORTUNITY AGREEMENT

### The Law

- \* Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- \* Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and subcontractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- \* Section 503 of the Rehabilitation Act of 1973 States:
  - The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.*
- \* Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal Contracts.
- \* Section 206 (A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors, and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractor may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped, and aged persons.

  
\_\_\_\_\_  
Signature

\_\_\_\_\_  
Pace Contracting, LLC  
Name of Business

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its sub-contracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

The Kentucky equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any count, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) *The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age or national origin;*
- (2) *The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age or national origin;*
- (3) *The contract will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provisions of the non-discrimination clauses required by this section; and*
- (4) *The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses.*

The Act further provides:

**KRS 45.610. Hiring minorities – Information required**

- (1) *For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetable.*
- (2) *Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment*

*practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.*

**KRS 45.620. Action against contractor – Hiring of minority contractor or subcontractor**

- (1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.*
- (2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the contractor complies in full with the requirements of KRS 45.560 – 45.640.*
- (3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.*

**KRS 45.630 Termination of existing employee not required, when**

*Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that that employee was employed prior to the date of the contract.*

**KRS 45.640 Minimum skills**

*Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job.*

It is recommended that all of the provisions quoted above to be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his work-force in Kentucky is representative of the available work-force in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

11. EQUAL EMPLOYMENT OPPORTUNITY AFFIRMATIVE ACTION POLICY

It is the policy of Pace Contracting, LLC

to assure that all applicants for employment and all employees are treated on a fair and equitable basis without regard to their race, religion, sex, color, handicap, natural origin or age.

Such action shall include employment, promotion, demotion, recruitment or recruitment advertising, layoff or termination, rates of pay and other forms of compensation, and selection for training, whether apprenticeship and/or on-the-job-training.

Furthermore, this company agrees to make special recruitment efforts to hire the protected class whenever feasible. This company also agrees to adhere to all applicable federal, state, and local laws relating to Equal Employment Opportunity for all individuals.



**12. WORKFORCE ANALYSIS FORM**

Name of Organization: Pace Contracting, LLC

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total		
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	
Administrators	1		1															
Professionals	5	5																
Superintendents																		
Supervisors	8	7		1														
Foremen																		
Technicians																		
Protective Service																		
Para-Professionals																		
Office/Clerical	2		1				1											
Skilled Craft	14	12		2														
Service/Maintenance	1	1																
<b>Total:</b>																		

Prepared by: Lori Weatherly, Office Manager

(Name and Title)

Date: 2 / 3 / 2017

Revised 2015-Dec-15

**13. EVIDENCE OF INSURABILITY**

LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT CONSTRUCTION PROJECT  
(Use separate form for each Agency or Brokerage agreeing to provide coverage)

Names Insured: Pace Contracting, LLC

Address: 200 Willinger Lane, Jeffersonville, IN 47130

Employee ID: \_\_\_\_\_  
Phone: 812-283-5784

Project to be insured: Jacobson and Masterson Parks Spraygrounds

In lieu of obtaining certificates of insurance at this time, the undersigned agrees to provide the above Named Insured with the minimum coverage listed below. These are outlined in the Insurance and Risk Management of Part V (Special Conditions), including all requirements, and conditions:

Section Items	Coverage	Minimum Limits and Policy Requirements	Limits Provided To Insured	Name of Insurer	A.M. Best's Code	Rating
SC-3, Section 2, Part 4.1 - see provisions	CGI	\$1,000,000 per occ. And \$2,000,000 aggregate	\$ 1,000,000/2,000,000	Valley Forge Insurance Company	2132	A
SC-3, Section 2, Part 4.1 - see provisions	AUTO	\$2,000,000/per occ.	\$ 1,000,000	Continental Insurance Company	2118	A
SC-3, Section 2, Part 4.1 - see provisions	WC	Statutory w/endorsement as noted	\$ 4,000,000/4,000,000 4,000,000	Kentucky Associated General Contractors SIF	55002	A-

Section 2 includes required provisions, statements regarding insurance requirements, and the undersigned agrees to abide by all provisions for the coverage's checked above unless stated otherwise when submitting.

Agency or Brokerage: Garrett-Stotz Company

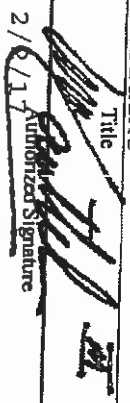
Street Address: 1601 Alliant Avenue

City: Louisville State: KY Zip: 40299  
502-415-7000

Telephone Number: \_\_\_\_\_

Name of Authorized Representative: William A. Kantlehner, III

Title: President

Authorized Signature: 

Date: 2/6/11

NOTE: Authorized signatures may be the agent's if agent has placed insurance through an agency agreement with the insurer. If insurance is brokered, authorized signature must be that of authorized representative of insurer.

**IMPORTANT: Contract may not be awarded if a completed and signed copy of this form for all coverage's listed above is not provided with the bid.**

**14. DEBARRED FIRMS**

**PROJECT NAME:** Pace Contracting, LLC

**BID NUMBER:** 185-2016

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT  
LEXINGTON, KY**

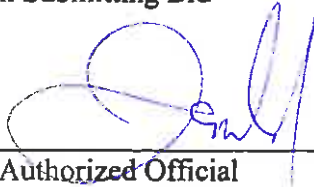
All prime Contractors shall certify that Subcontractors have not and will not be awarded to any firms that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 As Amended, Executive Order 11246 As Amended or any other Federal Law.

All bidders shall complete the attached certification in duplicate and submit both copies to the Owner with the bid proposal. The Owner (grantee) shall transmit one copy to the Lexington-Fayette Urban County Government, Division of Community Development, within fourteen (14) days after bid opening.

The undersigned hereby certifies that the firm of Pace Contracting, LLC has not and will not award a subcontract, in connection with any contract award to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal labor Standards, Title VI of the civil Rights Act of 1964, Executive Order 11246 as amended or any Federal Law.

Pace Contracting, LLC

Name of Firm Submitting Bid



Signature of Authorized Official

Administrative Member

Title

2/3/2017

Date

**15. DEBARMENT CERTIFICATION**

All contractors/subcontractors shall complete the following certification and submit it with the bid proposal.


The contractor/subcontractor certifies in accordance with Executive Order 12549 (Debarment and Suspension 2/18/86) that to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared negligible, or voluntarily excluded from covered transactions or contract by any Federal department or agency for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964 as amended, Executive Order 11246 as amended or any other Federal law;
  - a) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - b) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(a) of this certification; and
  - c) Have not within a three year period preceding this bid has one or more public (Federal, State or local) transactions or contracts terminated for cause or default.
- 2) Where the contractor is unable to certify to any of the statements in this certification, such prospective contractors shall attach an explanation to this certification form.

Firm Name: Pace Contracting, LLC

Project: Jacobson Park Sprayground & Masterson Station Park Sprayground

Printed Name and Title of Authorized Representative: Tom Wood, Administrative Member

Signature:  \_\_\_\_\_

Date: 2/3/17

END OF SECTION

MAYOR JIM GRAY



**LEXINGTON**

TODD SLATIN  
DIRECTOR  
CENTRAL PURCHASING

**ADDENDUM #1**

**Bid Number: #185-2016**

**Date: January 13, 2017**

**Subject: Sprayground Installation for Jacobson and Masterson Station Parks**

**Address inquiries to:  
Sondra Stone  
(859) 258-3320**

**TO ALL PROSPECTIVE SUBMITTERS:**

**Please be advised of the following clarifications to the above referenced Bid:**

Pre-bid Conference time has been changed from 2:00 pm, January 17, 2017 to 2:30 pm, January 17, 2017.

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Quote and specifications are unchanged.

This letter should be signed, attached to and become a part of your Quote.

COMPANY NAME: Pace Contracting, LLC

200 Willinger Lane

ADDRESS: Jeffersonville, IN 47130

SIGNATURE OF BIDDER: \_\_\_\_\_





**ADDENDUM #2**

**Bid Number: #185-2016**

**Date: January 18, 2017**

**Subject: Construction Services for Jacobson Park Sprayground and Masterson  
Station Park Sprayground**

**Address inquiries to:  
Sondra Stone  
(859) 258-3320**

**TO ALL PROSPECTIVE SUBMITTERS:**

**Please be advised of the following clarifications to the above referenced Bid:**

1. Refer to the Specifications Table of Contents attached. Note: Under Division 13, add 131100 SPRAYGROUNDS (attached). See drawings for additional notes and requirements.
2. See attached Minutes from Pre-bid Conference.
3. See attached Pre-bid Conference sign-in sheet.
4. See attached Geotechnical report for information only.
5. Has the method of draining the splashpad(s) reservoir through a waste valve (i.e., direct connection) been approved by HBC Division of Plumbing and or Lexington Health Department? A: The LFUCG Health Department has reviewed preliminary drawings. The bid set will be reviewed with the LFUCG Health Department prior to the end of the addendum period. Currently, JS1.0 and MSS1.0 call for a 2" overflow line with an air gap (i.e., no direct connection) and a drain line. These will be further addressed in Addendum 3 to clarify how these lines exit the tank.
6. Concrete reservoir tanks are shown on the plans. Are less porous materials like fiberglass, fiber-reinforced plastic (FRP) (also fiber-reinforced plastic) or high density polyethylene (HDPE) acceptable alternatives for those pre-manufactured tanks? A: Other materials are not acceptable due to



concerns with durability, stability and installation costs; the reservoir tank shall be concrete as indicated on drawings.



Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Quote and specifications are unchanged.

This letter should be signed, attached to and become a part of your Quote.

COMPANY NAME: Pace Contracting, LLC  
200 Willinger Lane  
ADDRESS: Jeffersonville, IN 47130  
SIGNATURE OF BIDDER: 



MAYOR JIM GRAY



**LEXINGTON**

TODD SLATIN  
DIRECTOR  
CENTRAL PURCHASING

**ADDENDUM #3**

Bid Number: #185-2016

Date: January 23, 2017

Subject: Sprayground Installation for Jacobson and Masterson Station Parks

Address inquiries to:  
Sondra Stone  
(859) 258-3320

**TO ALL PROSPECTIVE SUBMITTERS:**

**Please be advised of the following clarifications to the above referenced Bid:**

Bid opening has been extended to February 3, 2017, 2:00 pm.

Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Quote and specifications are unchanged.

This letter should be signed, attached to and become a part of your Quote.

COMPANY NAME: Pace Contracting, LLC

200 Willinger Lane

ADDRESS: Jeffersonville, IN 47130

SIGNATURE OF BIDDER: 







**ADDENDUM #4**

**Bid Number: #185-2016**

**Date: January 26, 2017**

**Subject: Sprayground Installation for Jacobson and Masterson Station Parks**

**Address inquiries to:  
Sondra Stone  
(859) 258-3320**

**TO ALL PROSPECTIVE SUBMITTERS:**

**Please be advised of the following clarifications to the above referenced Bid:**

**1.0 Specifications:**

1. Refer to the Specifications, Section 131100 Spraygrounds. Refer to Part 2 PRODUCTS, section 2.2 A. The vendors listed herein have provided the Basis of Design schematic drawings and Specifications as the minimum requirements for all equipment, features, and appurtenances necessary to provide and install the complete Sprayground packages. Alternate Sprayground vendors may submit bids provided they meet all requirements of the drawings and Specifications.

Note that General Contractors bidding the project will be required to list the Sprayground supplier / vendor on the Form of Proposal, under list of Direct Purchase Items. Sprayground Vendors will be reviewed to verify they meet requirements of the Documents prior to Award of Contract. Additionally note the following requirements for Spraygrounds included in the Section 131100 Spraygrounds:

- A. Part 1.2 SUMMARY, Section 3, which states: "The water spray features, prefabricated mechanical equipment with controls, and final stamped and signed design and construction documents shall be provided by a single vendor as identified below."
- B. Part 1 GENERAL, 1.5 QUALITY ASSURANCE, Section C, which states: "All water play equipment, systems and design shall be from a single source, from one manufacturer." Complete Sprayground system is to be from a single vendor as noted herein.



- C. Part 1 GENERAL, 1.5 QUALITY ASSURANCE, Section D, which states: "All mechanical systems are to be prefabricated, pre-plumbed, pre-wired and pre-tested as provided by the specified manufacturer or approved equal.
  - D. Part 2 PRODUCTS, 2.2 SPECIALIZED SPRAYGROUND MATERIAL VENDORS AND MANUFACTURERS, note that Section A requires that: "All sprayground water play equipment specified and supplied to the Contractor shall be supplied by a single Equipment Supplier/Manufacturer."
  - E. Part 2 PRODUCTS, 2.2 SPECIALIZED SPRAYGROUND MATERIAL VENDORS AND MANUFACTURERS, note that Section C requires "Products shall be manufactured by a company that has at least ten (10) years of experience in the design and engineering of children's aquatic play areas." This experience shall be specifically noted as Spraygrounds.
2. Under Part 2 PRODUCTS, 2.2 SPECIALIZED SPRAYGROUND MATERIAL VENDORS AND MANUFACTURERS, Section A, Approved Vendors. Delete note that "substitutions will not be accepted" and revise to note "substitutions for Sprayground Vendors will be accepted provided they meet all the requirements of the Contract Documents. Sprayground Vendors that do not meet all the requirements of the Contract Documents will be rejected after post bid review."
  3. Refer to the Specifications, Section 131100 Spraygrounds. Part 2 PRODUCTS, 2.2 SPECIALIZED SPRAYGROUND MATERIAL VENDORS AND MANUFACTURERS, Section D states: "The Equipment Supplier shall have previously supplied sprayground system design, drawing and equipment, similar in size and complexity to the specified project." Add the note: "Sprayground equipment supplier / vendor shall be able to demonstrate a minimum of twenty (20) successfully installed Sprayground projects of similar size and scope over the last ten (10) years."
  4. Refer to the Specifications, Section 131100 Spraygrounds. Refer to Part 3 EXECUTION, section 3.1A states "Should the bidder wish to substitute products other than the products specified herein, the bidder shall list products and submit a written Substitution ~~For~~ Proposal at least 10 calendar days prior to the date of receipt of bids." Note that this refers to a substitution for individual products specified in the Drawings and Specifications, not a Sprayground vendor substitution. Delete the note that proposal to substitute products shall be submitted 10 calendar days prior to the date of receipt of bids; substitution of individual products may be submitted after receipt of bids, upon review and acceptance of proposed Sprayground vendor / manufacturer.

## 2.0 Drawings:

1. Refer to the Drawings, Sheets JS 1.0, JS 2.0 and MSS 1.0 and MSS 2.0. Note reference to a sump drain in the bottom of the storage tank. Sprayground vendor shall supply gravity sump drain in the bottom of the tank. However, delete reference to connecting gravity drain to sanitary sewer. Instead, Contractor shall install a 12" diameter French drain to connect to tank sump drain. French drain shall be at the



same depth as the tank sump drain line and shall be 12" in diameter, wrapped completely in filter fabric, and filled with washed #57 drainage stone. French drain shall extend 50' from point of sump drain, extending downslope at minimum 1/8" / foot.

**3.0 Other:**

1. There will be no tap fee for water service per Kentucky American Water. There will be a tap fee for sanitary sewer connections at both sites. Bidders are instructed to include a total of three thousand, six hundred dollars and no cents (\$3,600.00) for all sanitary sewer tap fees in their bid. Bidders shall submit actual cost to the Owner after work is complete and any necessary adjustment to the Contract for costs (add or deduct) will be made at that time.



Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Quote and specifications are unchanged.

This letter should be signed, attached to and become a part of your Quote.

COMPANY NAME: Pace Contracting, LLC

200 Willinger Lane

ADDRESS: Jeffersonville, IN 47130

SIGNATURE OF BIDDER: 



## 2.0 Site Utility Items - Plumbing:

1. The plumbing contractor shall coordinate all sanitary sewer taps with the LFUCG Sewer Department, Mike Lambert at 859-258-3433.
2. The plumbing contractor is responsible for the sewer tap fees for this project. See Addendum No. 4 for the sewer tap fee amount. This includes both locations. The LFUCG sewer department will be making the taps and the plumbing contractor will be responsible for the connections to the tees provided by the LFUCG taps. Please note that at Jacobson Park the tap will be made by using an Inserta Tee method by LFUCG.
3. Provide and install Backwater valves, Josam 67360 series or equal, for the 6" sanitary sewer piping connections. There shall be 3 valves total – 2 at Jacobson Park at each of the 6" sanitary sewer piping locations (restroom building and sprayground piping) and 1 at Masterson Station at the sprayground 6" sanitary sewer piping.
4. Per the sprayground drawings, there shall be an air gap before the connection from the overflow of the recirculating tap to the sanitary sewer line.
5. The plumbing contractor is responsible for the installation of all p-traps on the Jacobson Park modular restroom building once it is placed on site.
6. The Masterson Station modular building will be installed on site and then the plumbing contractor will be responsible for installing the floor drain inside this building after it is placed on site.

## 3.0 Site Utility Items - Electrical:

- A. Coordinate site Electrical Utility work with Kentucky Utilities, Harold Elliott, office number 859-367-4263; [Harold.elliott@lge-ku.com](mailto:Harold.elliott@lge-ku.com)
- B. Contractor is responsible for coordinating any required temporary service with Kentucky Utilities.
- C. Refer to drawing ME1.0: Electrical Service Entrance Risers. Provide service entrance grounds (per NEC) from Panel 'A' at each site rather than from the meter base.

## 4.0 Questions:

Q1: Can a precast modular building by CST, Inc., be bid as an approved equal for the restroom and storage buildings?

A1: The precast modular building submitted for preapproval does not meet the intent of the Specification and cannot provide the wall construction and finish and roof type to meet LFUCG Parks and Recreation standards, and cannot be accepted.

Q2: After reviewing, is there conflicting information on the drawings? They reference Water Odyssey and ARC, and we don't know which park has which product.

A2: The Jacobson Park site and the Masterson Station Park site each have two schematic designs shown. For Jacobson Park, see JS1.0 for the schematic ARC (Aquatix) layout and JS2.0 for the schematic Water Odyssey layout. For Masterson Station Park, see MSS1.0 for the schematic ARC (Aquatix) layout and MSS2.0 for the schematic Water



Odyssey layout. These schematic designs provide a guide for the types of feature and minimum number of features to be included, as well as minimum requirements for equipment, pad and systems.

Q3: Please confirm water treatment. We are to provide activator, controller, manifold and drains?

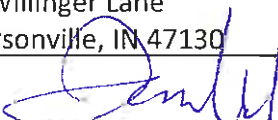
A3: The Sprayground design is to be a complete package system by the Sprayground vendor, as noted on the Drawings and in the Specifications. This includes all equipment (mechanical, electrical, features, appurtenances), sprayground pad, recirculation system, etc. as needed to create a complete, functioning Sprayground system.



Todd Slatin, Director  
Division of Central Purchasing

All other terms and conditions of the Quote and specifications are unchanged.

This letter should be signed, attached to and become a part of your Quote.

COMPANY NAME: Pace Contracting, LLC  
200 Willinger Lane  
ADDRESS: Jeffersonville, IN 47130  
SIGNATURE OF BIDDER: 



# Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

## Bid Bond

### CONTRACTOR:

(Name, legal status and address)

Pace Contracting, LLC  
200 Willinger Lane  
Jeffersonville, IN 47130

### SURETY:

(Name, legal status and principal place of business)

Western Surety Company  
333 S. Wabash Ave.  
Chicago, IL 60604  
Mailing Address for Notices

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

### OWNER:

(Name, legal status and address)

Lexington-Fayette Urban County Government  
200 E. Main Street  
Lexington, KY 40507

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**BOND AMOUNT:** \$ 5% Five Percent of Amount Bid

### PROJECT:

(Name, location or address, and Project number, if any)

Jacobson Park Sprayground & Masterson Station Park Sprayground - Project No. 185-2016

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

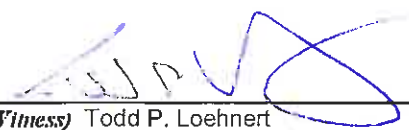
When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 3rd day of February, 2017.

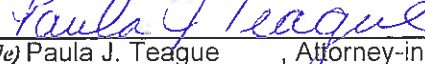
  
\_\_\_\_\_  
(Witness)

Pace Contracting, LLC  
\_\_\_\_\_  
(Principal) (Seal)

By:   
\_\_\_\_\_  
(Title) Tom Wood, Administrative Member

  
\_\_\_\_\_  
(Witness) Todd P. Loehnert

Western Surety Company  
\_\_\_\_\_  
(Surety) (Seal)

By:   
\_\_\_\_\_  
(Title) Paula J. Teague, Attorney-in-Fact



# Western Surety Company

## POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

**Paula J. Teague, Individually**

of Louisville, KY its true and lawful Attorney-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

**- In Unlimited Amounts -**

Surety Bond No: Bid Bond

Principal: Pace Contracting, LLC

Obligee: Lexington-Fayette Urban County Government

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 1st day of December, 2015.



WESTERN SURETY COMPANY

*Paul T. Brufat*

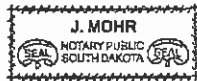
Paul T. Brufat, Vice President

State of South Dakota }  
County of Minnehaha } ss

On this 1st day of December, 2015, before me personally came Paul T. Brufat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021



*J. Mohr*

J. Mohr, Notary Public

### CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 3rd day of February, 2017.



WESTERN SURETY COMPANY

*L. Nelson*

L. Nelson, Assistant Secretary

**Authorizing By-Law**

**ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY**

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.