

Qualifications

to provide

Professional Engineering Services

for

Lexington Fayette
Urban County Government
RFP #13-2014

Contracts: 1, 3, 5, & 6

Lexington, Kentucky

March 26, 2014



Brandstetter Carroll Inc.

We Enhance Community and Quality of Life



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I. Letter of Transmittal



Brandstetter Carroll Inc.

We Enhance Community and Quality of Life

2360 Chauvin Drive, Lexington, Kentucky 40517
859.268.1933 VOICE 859.268.3341 FAX

March 26, 2014

Ms. Theresa Maynard – Buyer Senior
Lexington Fayette Urban County Government
Room 338, Government Center
200 East Main Street
Lexington, Kentucky 40507

Re: LFUCG Professional Engineering Services for Contracts One, Three, Five and Six

Dear Ms. Maynard:

On behalf of Brandstetter Carroll Inc., I am pleased to present to you this Proposal to provide Professional Engineering services associated with the completion of the above referenced projects.

Brandstetter Carroll Inc. is a Professional Services firm located in Lexington. BCI specializes in public sector Architecture, Engineering, and Recreation Planning. The firm was founded in 1979 and has grown to include offices in Cincinnati and Cleveland, Ohio. During this time, Brandstetter Carroll Inc. has provided Engineering services to numerous communities in the Commonwealth of Kentucky, including site related engineering for LFUCG related projects. With this proposal, the firm would like to expand the services to LFUCG through the ability to directly provide engineering services for the Contract types shown in this document. It is our opinion that the firm staff is diversified such that the needs of LFUCG will be well served. The content within the remainder of this document will show the abilities of our staff and will show how we can serve LFUCG.

The firm has provided information for each contract on our Firm Qualifications, Project Team Organization, List of Clients, List of Similar Projects and Local Office Information. You will find key team member resumes for all contracts in Section VI., DBE Involvement Information in Section VII and Forms in Section VIII. We have provided tabbed inserts separating each contract to facilitate easy navigation.

The firm's mission is to "enhance community and quality of life". We trust that through this proposal, the City will see the firm's focus on community and how our staff can continue to serve the community in which they live.

I appreciate the opportunity to submit this information, and welcome a chance to discuss this project in an interview setting, if required.

Very Truly Yours,
Brandstetter Carroll Inc.

Bruce G. Brandstetter, P.E.
Vice President



II. Contract One—Roadway Corridor and Intersection
Design/ Planning

1. Firm Qualifications

Brandstetter Carroll Inc. (BCI) is a regional firm of Engineers, Architects and Planners founded in 1979 with the express purpose of providing professional services to public sector clients on a regional basis. Since the firm's inception, BCI has grown to support a staff of 50 members, including Civil Engineers, Transportation Engineers, Surveyors, Architects, Landscape Architects, LEED Accredited Professionals, Construction Administrators, and Resident Inspectors. The firm currently maintains offices in Lexington, Kentucky as well as Cincinnati and Cleveland, Ohio.



2. Brandstetter Carroll Inc. Roadway Corridor and Intersection Design/Planning

The Transportation Engineering Division includes staff members who have a strong background in local, state and federal roadway projects throughout their careers. The Team members that make up the Transportation Engineering Division have worked on highway projects ranging from the design of interstate rehabilitation projects to local intersection improvements for federal, state and local roadways. This Division has extensive experience in roadway design, intersection improvements, pavement rehabilitation projects, roadway lighting, utility relocation, traffic signal design, corridor location studies, and signal optimization and coordinating signals.

Recently, BCI has had the pleasure of being involved in very challenging, yet successful, transportation engineering projects. Most of these projects have taken years to gestate due to funding and other regulatory issues. However, the end results have been assets to communities in various forms. Some have assisted with addressing capacity issues, some have assisted with addressing longevity issues, while others have assisted with providing pedestrian options for the general public. All of these have contributed to the quality of life of the communities where they are built. This is why BCI practices in this field. Our staff is committed to helping to increase the quality of life for our clients.

Transportation related projects have the ability to affect a very large number of citizens on a daily basis. This fact needs to be kept in mind because, while the end product may be a net benefit, the process to get there is typically one which negatively affects the public's time. On most large projects, BCI recommends that our clients engage in some type of public outreach to receive feedback on the project. The comments received usually make good points which can easily be incorporated in the design package at little to no cost to the project budget. This also helps build grassroots support which can be an asset during the construction phase of the project.

Assignments such as this can be challenging in various ways. BCI has found that having a single point of contact with the City is the best way to deliver the services that LFUCG will require. For this assignment, BCI has designated Charlie Schneider, P.E. as our Project Manager. Mr. Schneider is well versed in the requirements of this task order and will provide a direct local contact to LFUCG staff. He will be assisted on specific tasks by the other Engineers within the firm.



Bruce Brandstetter, P.E., is designated as Principal-in-Charge. This is a role he has filled for nearly all Transportation related projects for the firm since 1982. In this role he will oversee staff requirements and ensure that the overall needs of LFUCG are met throughout the term of this contract.

On most projects, BCI becomes involved with the client at the stage of project inception. This allows our staff and the client to work together on creative solutions while still keeping an eye on the budget requirements for the project. If selected for this task order, Mr. Schneider will be available to work with the LFUCG staff at any stage in the project development. However, the firm has found that early integration with the design team produces the best results for all parties.

BCI can provide professional services for the following project types for the city of Lexington:

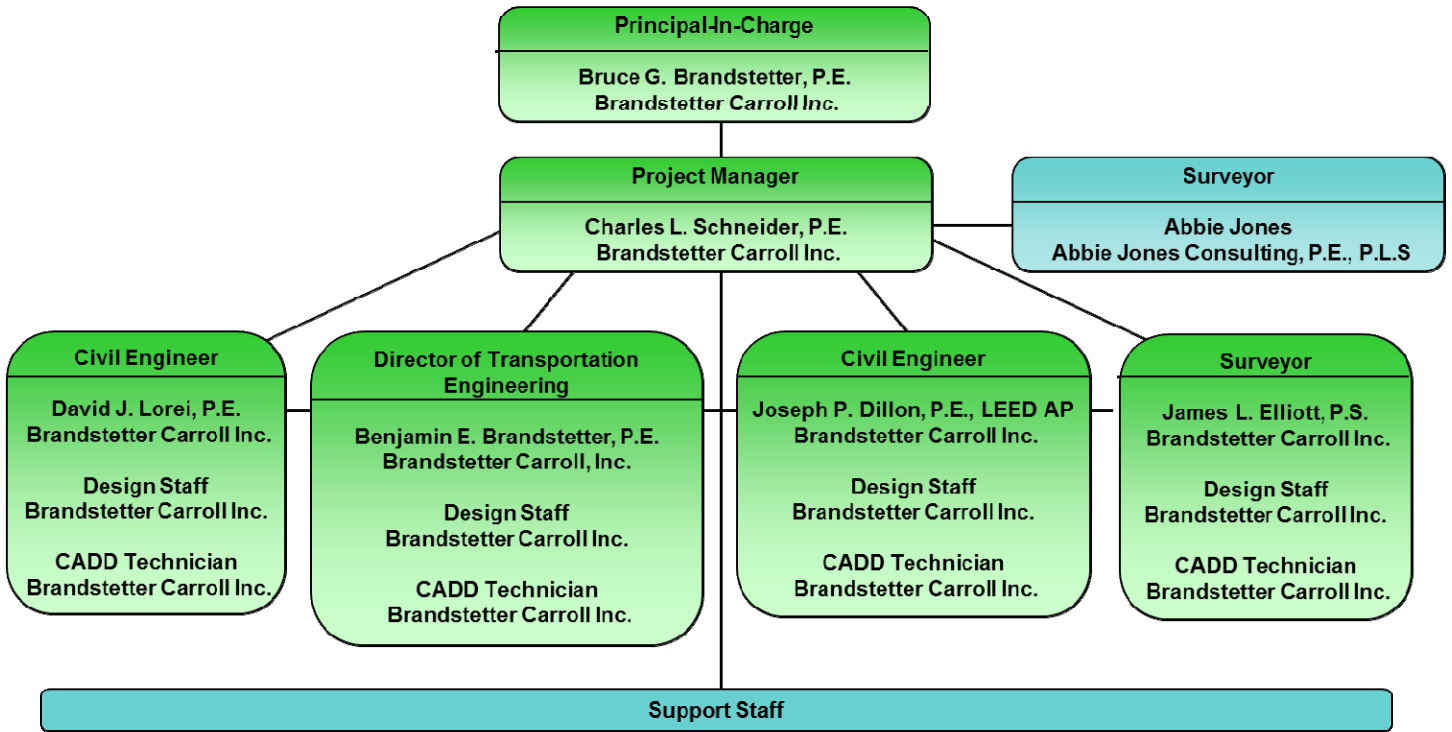
- Municipal Traffic Master Planning
- Corridor Planning
- Roadway Lighting
- Signage and Striping Plans
- Traffic Maintenance Plans
- Roadway and Street Design
- Sidewalk Design and Improvements

Some of the firm's most recent projects include:

- Historic Monmouth Streetscape, Newport, Kentucky
- South Monmouth Street and Carothers Road Master Plan, Newport, Kentucky
- Route 8/Third Street Reconstruction, Newport, Kentucky
- Broadway Corridor Master Plan - Frankfort, Kentucky
- Burlington Sidewalks, Boone County, Kentucky
- Rogers Lane Sidewalks, Boone County, Kentucky
- Hebron Sidewalks, Boone County, Kentucky
- Ludlow Elm Street Streetscape, Ludlow, Kentucky
- Beall Avenue Streetscape, Wooster, Ohio
- Downtown Master Plan and Streetscape Design, Lebanon Ohio
- Village Square Master Plan- Mariemont, Ohio
- Kenwood Road Reconstruction, Madeira, Ohio
- Beal Avenue Reconstruction, Bucyrus, Ohio



Organizational Chart



BCI encourages the City to contact the following references as testimony to the firm' past performance.

Depot Street and Utility Replacement Reconstruction

Winchester, Kentucky and Winchester Municipal Utilities
Mr. Joe Tincher, Engineering Technician
PO Box 40
Winchester, KY 40392
859.744.7019
jtincher@winchesterky.com



Newport Pavilion Parkway

Mr. Greg Tulley, Planning & Development Coordinator
City of Newport, Kentucky
859.292.3666
gtulley@newportky.gov



Ronald Reagan Way

Mr. Greg Bickford
8540 Kenwood Road
Sycamore Township, OH 45236
513.792.7250
gbickford@sycamoretownship.org

Beall Avenue Streetscape

Mr. Joel Montgomery
538 N. Market Street
Wooster, Ohio 44691
330.263.5234
jmontgomery@woosteroh.com



State Route 98 Reconstruction

Mr. Jeff Wagner
500 S. Sandusky Ave.
Bucyrus, Ohio 44820
419.562.6767
ssd@cityofbucyrusoh.us

Kenwood Road Reconstruction

Mr. Tom Moeller
7141 Miami Ave.
Madeira, OH 45243
513.561.7228
tmoeller@madeiracity.com





Depot Street Reconstruction

Winchester, Kentucky

Brandstetter Carroll Inc. was selected to provide engineering services for the Depot Street Reconstruction project. The scope of the project includes the reconstruction of 4,200 square yards of brick pavement, storm sewer repair, sanitary sewer separation, water main rehabilitation, and site amenities. Each element was implemented to accommodate the Farmer's Market that is held on Depot Street. BCI provided full engineering services including field surveying, design and construction documents, bidding and construction administration.

Completion Date: 2013

Construction Cost: \$1,000,000.



Pavilion Parkway

Newport, Kentucky

The Pavilion Parkway is a connector serving the Newport Pavilion which is a development between Memorial Parkway and Carothers Road. It includes two new traffic signals within the new alignment along with landscape areas at select locations in the median. The total construction cost for mass excavation, roadway, and utility work exceeded \$19 million. BCI also completed site planning and design for the adjacent retail locations for Anchor Properties.

Completion Date: 2013

Construction Cost: 19,000,000



Newport-on-the-Levee

Newport, Kentucky

Brandstetter Carroll Inc. is playing a major role in the design of the redevelopment of Newport's commercial and entertainment district by providing site design improvements for three projects. These include the Newport Aquarium, Newport-on-the-Levee Development and six blocks of State Route 8 Reconstruction. Funding is through private developers, KTC and local funds.

Completion Date: 2002

Construction Cost: \$2,000,000





Beall Avenue Streetscape

Wooster, Ohio

This project first began with a Master Plan for improvements along 6,000' of roadway on a major thoroughfare that connects downtown, residential and commercial areas and the College of Wooster campus. Improvements include water lines (5,550 ft.), sewer separations consisting of storm sewers (3,200 ft.), sanitary sewers (5,200 ft.), new sidewalks, signage coordination, bike lanes, decorative lampposts, landscaped medians, brick crosswalks and removal of overhead utilities. A traffic study was conducted which led to reducing the number of signalized intersections.

Completion Date: 2008

Construction Cost: \$10,800,000.



Kenwood Road Reconstruction

Madeira, Ohio

Brandstetter Carroll Inc. designed the \$4.5 million Kenwood Road Reconstruction Project for the City of Madeira. This project included the complete reconstruction of the roadway. In doing so, the project was able to add two bike lanes and a five-foot wide sidewalk. The project was funded with a 50% match from the Ohio Public Works Commission. Engineering services included surveying, design, construction administration and resident inspection services.

Completion Date: 2010

Construction Cost: \$4,500,000



Ronald Reagan Way

Sycamore Township, Ohio

This \$2.3 million roadway project was designed to serve a 400,000 square foot of office building, a new FBI regional headquarters, and a 400 room hotel. BCI began this project in 2007 with Traffic Impact Study. The firm continued through the design phase, construction administration and inspection phases. The scope of the construction included an underground detention system, underground utilities, a new water main, and sidewalks on for pedestrian access. The new traffic signal serves the intersection at Hosbrook Road and provides an efficient means of egress for the hotel and office users in the development.

Completion Date: 2012

Construction Cost: \$2,300,000



Attachment 1

Project Team Location(s)

Prime Consultant	Location (City, State)	Date Office Established	Total Number of Employees	No. of Employees expected to work on DOE projects
Headquarters	Lexington, KY	April 1979	20	4
Local Office	Lexington, KY			
PM Location	Lexington, KY			
Supporting Office	Cincinnati, OH	1982	15	5
SubConsultants				
Name:	Abbie Jones Consulting	2011		
Service Provided	Surveying			
Headquarters	Lexington, Kentucky		4	4
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				

Notes:

1. "Headquarters" refers to the corporate office that provides project support to the local office, if applicable. If support comes from multiple locations, use the blank spaces in the form to provide relevant information.
2. Listing of sub-consultants is optional and should only be provided if the prime consultant considers the sub-consultant(s) services to be essential to meeting the required qualifications. In this event, documentation from the subconsultant(s) shall be submitted in the SOQ that provides a commitment to be a part of the prime consultant's team in providing the stated services. In such cases, for the purpose of evaluating the proposals, committed sub-consultants will be considered to be part of the prime consultant's workforce. Prime consultants face potential disqualification from future work if DOE finds that the identified sub-consultants are not being utilized to deliver assigned work products.



III. Contract Three—Construction Drawings Review for DOE Manual Compliance

1. Firm Qualifications

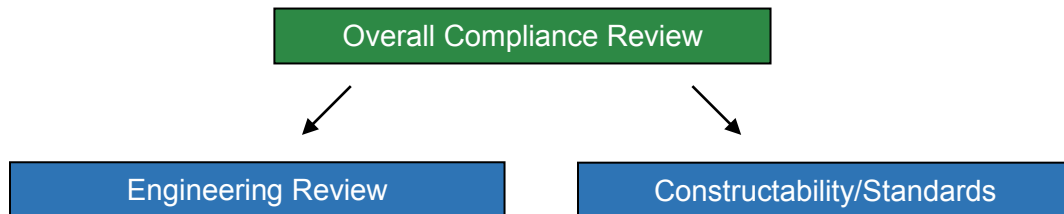
Brandstetter Carroll Inc. (BCI) is a regional firm of Engineers, Architects and Planners founded in 1979 with the express purpose of providing professional services to public sector clients on a regional basis. Since the firm’s inception, BCI has grown to support a staff of 50 members, including Civil Engineers, Transportation Engineers, Surveyors, Architects, Landscape Architects, LEED Accredited Professionals, Construction Administrators and Resident Inspectors. The firm currently maintains offices in Lexington, Kentucky as well as Cincinnati and Cleveland, Ohio.



2. Brandstetter Carroll Inc. Construction Drawings Review for DOE Manual Compliance

BCI believes that for a project to be considered a success, the project needs to be constructed on-time and on-budget, while exceeding the Owner’s expectations. A major component of a successful project is a good set of construction drawings that meet the rules and regulations set forth for that particular project. Meeting with the Owner prior to beginning design services is essential to define scope and expectations. It is at this time, where BCI defines rules and regulations to be followed during the design process and completion of the construction drawings. In this particular case, the DOE Manual will be complied with.

BCI has been successful in developing an in-house Quality Control Program to reach these compliance goals. Below is the Quality Assurance and Quality Control (QA/QC) model that is in place.

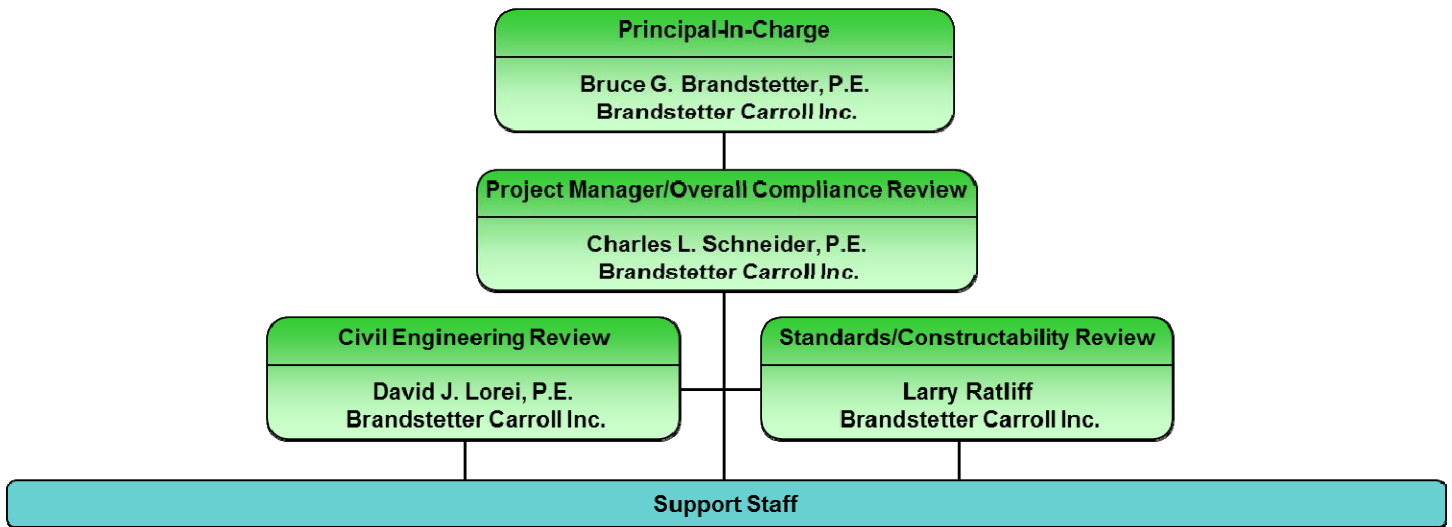


As organized, Charles L. Schneider, P.E. would perform the overall DOE Manual Compliance review for the construction drawings. As needed, David J. Lorei, P.E. and engineering staff members, will provide the Engineering Review element while Larry Ratliff will provide the Constructability/Standards evaluation. BCI has found that multiple reviews of projects are often required, as people’s experience and focus are often unique to their background and experience. BCI’s in-house Quality Control will provide these compliance reviews of the construction documents prior to the staged submittals.

BCI staff will work closely with the LFUCG staff to ensure the DOE manuals are followed and to produce the project on-time and on-budget. In situations such as this BCI becomes an extension of the staff it is working with. This teaming effect builds a relationship that grows and becomes very effective. In some instances, this relationship has grown to the point that BCI has been a part of staff supplementation programs for years at a time.

Additionally, BCI has been providing Civil Engineering services since 1982, and has provided consulting services to over 200 villages, cities, counties, townships, and private sector clients. Included in these services, BCI has provided Construction Drawings Reviews. This Division has extensive experience providing these services throughout the Commonwealth of Kentucky and the State of Ohio.

- City of Newport, KY
- City of Madeira, OH
- Village of South Lebanon, OH
- Village of Newtown, OH
- City of Bucyrus, OH
- Monroe Township, OH
- West Union, OH
- Sycamore Township, OH
- Village of Cleves, OH
- Milford, OH
- Upper Sandusky, OH
- Bethel, OH



BCI encourages the City to contact the following references as testimony to the firm' past performance.

Village of Newtown

Mayor Curt Cosby
3537 Church Street
Newtown, Ohio 45244
513.235.0523
curtcosby@newtownohio.gov



City of Newport

Mr. Doug Roell
998 Monmouth Street
Newport, Kentucky 41071
859.292.3637
droell@newportky.gov



City of Bucyrus

Mr. Jeff Wagner
500 S. Sandusky Ave.
Bucyrus, Ohio 44820
419.562.4013
ssd@cityofbucyrusoh.us

Kenwood Road Reconstruction

Mr. Tom Moeller
7141 Miami Ave.
Madeira, OH 45243
513.561.7228
tmoeller@madeiracity.com



Monroe Township

Ms. Dani Speigel
2828 St. Rt. 222
Bethel, Ohio 45106
513.753-5122
dspeigel@swohio.twcbc.com



Village of Newtown Newtown, Ohio

BCI has been assisting the Village of Newtown with general engineering services since the 1980s. The components of this service have ranged from infrastructure design to private development plan review. The latter service includes the need for BCI to review the plans for both constructability and for conformance to village standards.



City of Madeira Madeira, Ohio

The City of Madeira has been a client of BCI since the 1990s. During this time, BCI has been an extension of their management staff. Through years of providing engineering services to Madeira, BCI has both learned and helped to develop the design standards and criteria for public projects in the city. BCI also provides private development plan review for the city to ensure conformance with city standards.



City of Bucyrus Bucyrus, Ohio

The city of Bucyrus selected BCI to provide general engineering services in 2009. Their particular need was to have a consultant as an extension of their staff. Bucyrus is not large enough to justify the expense of a full-time professional engineer, so BCI is able to provide this service in a more economical manner. In addition, the needs of the city vary, so having a diverse in-house professional staff provides the city with access to a breadth of experience that a single professional would not possess. BCI also provides plan review services for private development in the city.



Attachment 1

Project Team Location(s)

Prime Consultant	Location (City, State)	Date Office Established	Total Number of Employees	No. of Employees expected to work on DOE projects
Headquarters	Lexington, KY	1979	20	4
Local Office	Lexington, KY			
PM Location	Lexington, KY			
Supporting Office	Cincinnati, OH	1982	15	5
SubConsultants				
Name:				
Service Provided				
Headquarters				
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				

Notes:

1. "Headquarters" refers to the corporate office that provides project support to the local office, if applicable. If support comes from multiple locations, use the blank spaces in the form to provide relevant information.
2. Listing of sub-consultants is optional and should only be provided if the prime consultant considers the sub-consultant(s) services to be essential to meeting the required qualifications. In this event, documentation from the subconsultant(s) shall be submitted in the SOQ that provides a commitment to be a part of the prime consultant's team in providing the stated services. In such cases, for the purpose of evaluating the proposals, committed sub-consultants will be considered to be part of the prime consultant's workforce. Prime consultants face potential disqualification from future work if DOE finds that the identified sub-consultants are not being utilized to deliver assigned work products.



IV. Contract Five—Pedestrian, Bike or Multimodal Trail
Design/ Planning

1. Firm Qualifications

Brandstetter Carroll Inc. (BCI) is a regional firm of Engineers, Architects and Planners founded in 1979 with the express purpose of providing professional services to public sector clients on a regional basis. Since the firm's inception, BCI has grown to support a staff of 50 members, including Civil Engineers, Transportation Engineers, Surveyors, Architects, Landscape Architects, LEED Accredited Professionals, Construction Administrators and Resident Inspectors. The firm currently maintains offices in Lexington, Kentucky as well as Cincinnati and Cleveland, Ohio.



2. Brandstetter Carroll Inc. Pedestrian, Bike or Multimodal Trail Design/Planning

Since 1989, the Firm has had a **Recreation, Planning and Landscape Architecture Division (RPLA)** that is dedicated solely to the planning and development of high quality trails, parks, recreation areas, greenways, and park systems throughout Kentucky, Ohio, and the eastern half of the United States. The RPLA Division is Lexington-based and has experience with projects nationwide including assignments in Kentucky, Ohio, West Virginia, Virginia, Texas, Georgia, Tennessee, New York, and New Jersey.

BCI has completed over 100 park and trail projects in the region, most of which included multi-purpose trails. The firm utilizes the in-house resources of its Transportation Engineers, Civil Engineers, and Landscape Architects in each area of critical design associated with these types of assignments. A sample of the firm's recent experience includes:

Trail and Bikeway Design Projects

- Millennium Park, Danville Kentucky (2 miles)
- Paducah Greenway Trail (1.6 miles – LPA Project)
- Mills Road Park, Kenton County, Kentucky (2 miles)
- Winton Woods Harbor Renovation, Hamilton County, Ohio (1.6 miles)
- Mill Creek Trail, Reading, Ohio (1 mile creekside trail)
- Great Miami Tail Phases III and IV, Middletown, Ohio (2.7 miles)
- Stepping Stones Park Trail, Upper Sandusky, Ohio (1.4 miles)
- Mike Miller Park, Marshall County Kentucky (2 miles)
- Oak Grove Park Trail – Centerville, Ohio – 3.5 miles
- Kellogg Ave. Bike Path – Hamilton County, Ohio – 1.55 miles
- Cynthiana-Harrison County Park Trail – 1.85 miles
- Florence Government Center Bikeway - Florence, Kentucky – 0.4 mile

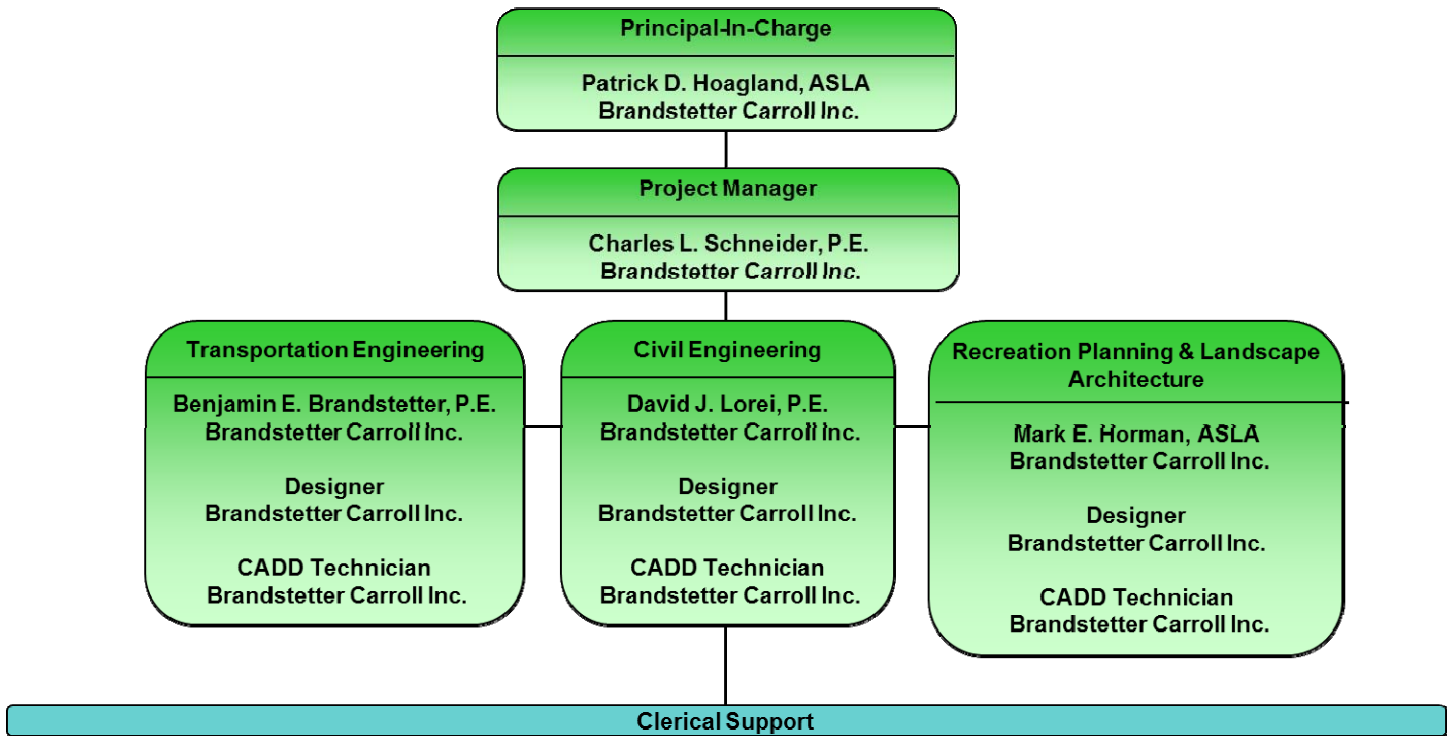


- L&N Pedestrian and Bikeway Bridge, Newport, Kentucky – 2,000 l.f.
- Riverside Park, Anderson Township, Ohio (1 mile)
- Anderson Sidewalk Enhancement Program, Anderson Township, Ohio
- Reading Mill Creek Trail – Reading, Ohio
- Belle Mead GSA Depot Park Master Plan, Somerset County, New Jersey (5 miles)

Bikeway and Greenway Planning Projects

- Oldham County Bike, Pedestrian, and Trails Master Plan, Oldham County, Kentucky
- Clear Creek Greenway Trail Master Plan, Shelby County, Kentucky
- Cincinnati Avenue Trail and Pedestrian Bridge, Lebanon, Ohio
- Towpath Trail Stage 3, Cleveland, Ohio
- Cincinnati Parks and Greenways Master Plan – Cincinnati, Ohio
- Brunswick Trails Master Plan – Brunswick, Ohio
- Wyoming Bikeway Plan – Wyoming, Ohio
- Paducah Greenways Master Plan – Paducah, Kentucky
- Boone County Parks, Recreation and Greenways Master Plan
- Park and Recreation System Master Plans for over 40 cities and counties, many of which involved trail and greenway recommendations.

Organizational Chart



BCI encourages the City to contact the following references as testimony to the firm' past performance.

Paducah Greenway Trail, Phase I

Mr. Mark Thompson, Director
City of Paducah, Kentucky Parks Services
270.444.8696
mthompson@ci.paducah.ky.us



Millennium Park Trails

Mr. John Drake, Director
Danville-Boyle County Parks
859.238.1233
jed_ref@yahoo.com



Oldham County Bike, Pedestrian and Greenway Trails Master Plan

Ms. Emily Liu, Assistant Director
Oldham County Planning and Zoning Commission
502.222.1476
eliu@oldhamcounty.net



Colerain Park and Clippard Park

Kevin Schwartzhoff
4725 Springdale Road
Colerain Township OH 45251
513.385.7503
kschwartzhoff@coleraintwp.org



Boone County Extension Education & Nature Center

Mr. David Whitehouse, Director
Boone County Parks and Recreation
859.334.2133
dwhitehouse@boonecountyky.org



Paducah Greenway Trail, Phase I Paducah, Kentucky

BCI prepared a Greenway Plan for Paducah and then followed with the design of the Paducah Greenway Phase I, which was recently completed. This assignment included a 1.6 mile long trail on a floodwall levee that is owned by the City. The project was coordinated with the Corps of Engineers and the State Department of Highways. The current estimate is \$481,000 for the 10' wide paved trail, trailside shelters, and a restroom building, and funding was provided through the Transportation Enhancement Program. This phase of the trail extends from downtown Paducah at the Expo Center to Route 45, near Noble Park.

Completion Date: 2013
Construction Cost: \$481,000



Oldham County Bike, Pedestrian and Greenway Trails Master Plan Oldham County, Kentucky

BCI completed a Comprehensive Bike, Pedestrian and Greenway Trails Master Plan for Oldham County. The Plan started with a Greenways Summit in which several interest groups participated to share their vision and passion for greenways and trails. Extensive research was conducted regarding future road improvement plans and suggested trail routes. A detailed Action Plan identified steps for implementation of the Master Plan. The Plan process was fast tracked to meet funding source deadlines, and the entire project was completed in five months, which included extensive public input.

Completion Date: 2008



Millennium Park Trails Danville, Kentucky

Millennium Park is a 135 acre regional park, with a multi-use trail that spans over two miles. The park was developed in five phases over the last ten years. The combined project estimate was \$4.7 million, and the overall expenditures to date are \$ 4.4 million. In addition to the trails, the park includes seven baseball/softball fields, seven soccer fields, football stadium, several playgrounds and picnic shelters, maintenance complex, natural area, pond, and more.

Completion Date: Ongoing
Construction Cost: \$4,400,000 to date





Great Miami River Trail

Middletown, Ohio

BCI designed Phases III and IV to provide the final link between Middletown and Franklin, Ohio. The alignment follows the Great Miami River and parallels State Route 73. The total cost of both phases was nearly \$2 million and was partially funded through 2009 Federal Stimulus Funds provided by the Department of Energy. The length of Phases III and IV is 2.7 miles, with a total trail length of approximately 4 miles.

Completion Date: Phase IV design was completed in 2010; pending bidding

Construction Cost: Total cost of Phase III - \$510,000
Phase IV estimate - \$1,600,000.



Colerain and Clippard Trails

Colerain Township, Ohio

Colerain Township Park consisted of the complete redesign of an existing park which included 1.5 miles of new paved multi-use trails. Clippard Park was also a redevelopment of 21 acres, and was opened to the public on September 30, 2010. The site includes 0.75 miles of paved multi-use trails, as well as additional features including a sprayground, skate park, two baseball fields, three picnic shelters, Boundless Playground, and more.

Completion Date: 2003 – Colerain, 2010 - Clippard

Construction Cost: \$1,630,000 – Colerain, \$889,929 - Clippard



Winton Woods Harbor Renovation

Hamilton County Park District

The Winton Woods Harbor Renovation encompassed over 1.6 miles of 15' wide paved multi-use trail which is the highlight of the park. To accomplish the loop trail, two pedestrian bridges were developed. One is a freestanding bridge over the lake to accommodate pedestrians as well as emergency vehicles. The other is cantilevered off of an existing highway bridge. The overall project estimate was \$5.2 million with the low bid within \$50,000.

Completion Date: 1997

Construction Cost: \$5,200,000



Attachment 1

Project Team Location(s)

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SubConsultants				
Name:	Abbie Jones Consulting	2011		
Service Provided	Surveying			
Headquarters	Lexington, Kentucky		4	4
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				

Notes:

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V. Contract Six—Traffic Signal Design

1. Firm Qualifications

Brandstetter Carroll Inc. (BCI) is a regional firm of Engineers, Architects and Planners founded in 1979 with the express purpose of providing professional services to public sector clients on a regional basis. Since the firm’s inception, BCI has grown to support a staff of 50 members, including Civil Engineers, Transportation Engineers, Surveyors, Architects, Landscape Architects, LEED Accredited Professionals, Construction Administrators and Resident Inspectors. The firm currently maintains offices in Lexington, Kentucky as well as Cincinnati and Cleveland, Ohio.



2. Brandstetter Carroll Inc. Traffic Signal Design

BCI has the personnel and experience to perform a multitude of professional services within the area of traffic engineering. The staff has worked on project types ranging from traffic impact studies to signal designs and intersection improvements. More specifically, the BCI staff has been involved in the development of signage and striping plans, maintenance of traffic plans, intersection signalization improvements, capacity analysis studies for intersections and urban roadways, corridor location studies, and signal timing optimizations for coordinated signals.



BCI has found that having a single point of contact with the City is the best way to deliver the services that LFUCG will require. For this assignment, BCI has designated Charlie Schneider, P.E. as our Project Manager. Mr. Schneider is well versed in the requirements of this task order and will provide a direct local contact to LFUCG staff. For the majority of the tasks on this project, Mr. Schneider will be assisted by Benjamin E. Brandstetter, P.E. He has completed the majority of the firm’s traffic related projects over the past nine years. This includes traffic studies, signal design, and other analyses.

One of the more “cutting edge” projects that the firm has been involved with is the installation of a HAWK Signal in Madeira, Ohio. The City is blessed with a vibrant downtown commercial area which attracts a large number of pedestrians. The main crosswalk in the City has experienced some safety issues and in-pavement lights were installed a number of years ago in order to increase the level of pedestrian safety. In this situation, the in-pavement lights were not as effective at controlling vehicular traffic and actually gave pedestrians a false sense of security when they pressed the “Walk” button. As a result, the City is in the process of installing a High intensity Activated crossWalk, or HAWK, in this location. This will allow the pedestrians to activate a “red” signal which will force the vehicles to stop at this location. When a pedestrian has not actuated the crosswalk, the

signal heads will be dark and vehicles will pass the crossing without impedence. Only a handful of these crosswalks have been installed in the eastern half of the US as they are a recent edition to the MUTCD.

BCI is also currently working to implement the roadway and utility improvements associated with the Montgomery Road/Hosbrook Road Traffic Impact Study for the new FBI Regional Headquarters in the vicinity of I-71 and Kenwood Road in Sycamore Township, Ohio. The study components included the analysis of a 400,000 SF office complex as well as a 300 room hotel. The study area included 10 intersections in both Sycamore Township and Madeira. The proposed improvements include a new access road serving the development and numerous locations of turn lanes and other off-site improvements. The purpose of the study was to give Sycamore Township officials an understanding of the impacts that the proposed development will have on the overall community, not just in the immediate vicinity of the project area. The study included an analysis of alternatives for roadway alignments and alternative means of interconnecting existing and proposed developments.

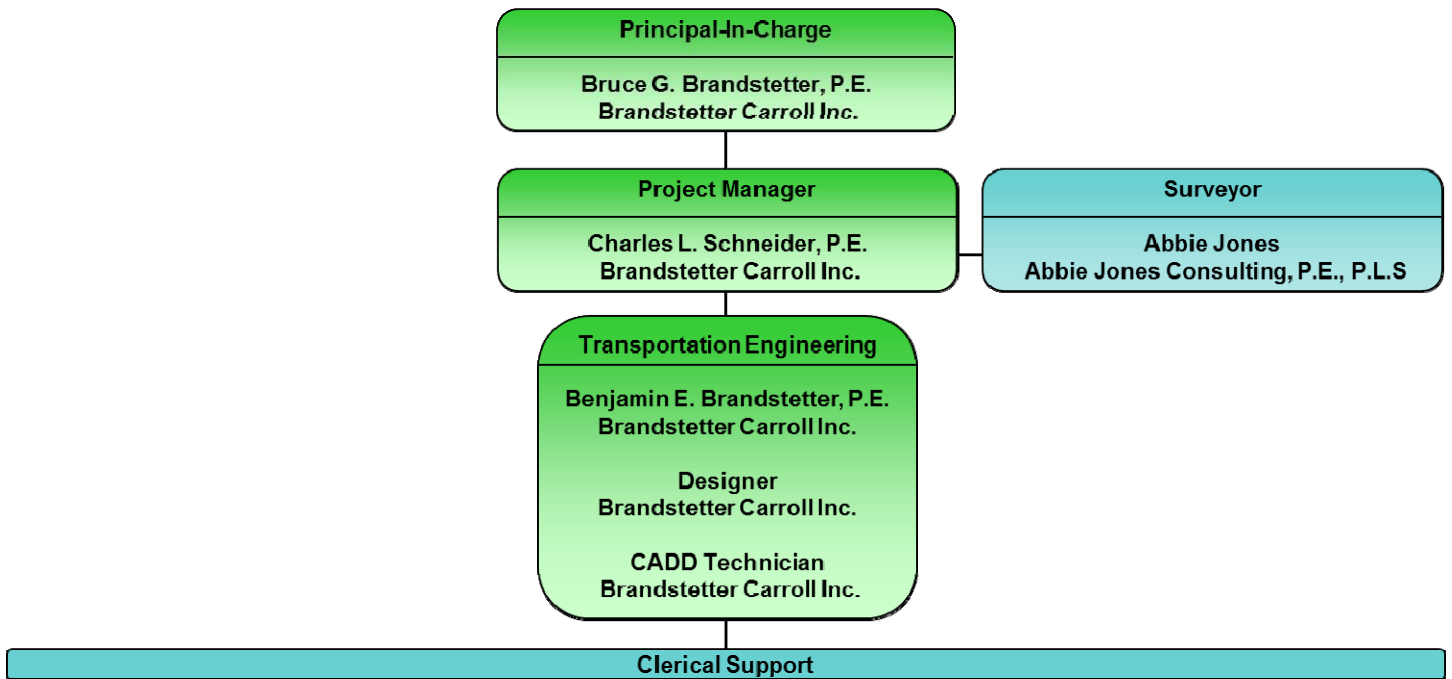


On another project, BCI recently completed a traffic impact study for a proposed new roadway just north of Cincinnati. This road will serve a new 125,000 square foot office building and associated outlots. The study was reviewed by various state and local officials. As part of the study, BCI has developed corridor options which include a new roundabout and access management provisions to address off-site driveway coordination.

In addition to these projects, BCI has completed the following traffic related assignments over the past seven years.

- Traffic Signal Interconnect, S.R. 32, Newtown, Ohio
- Lebanon Road – Pike Street Signal, South Lebanon, Ohio
- Denlinger Road Corridor Study, Trotwood, Ohio
- Lakota Drive West Roundabout, Butler County Engineers Office
- Pavilion Parkway Signal Design, Newport, Kentucky
- Traffic Impact Study, Washington, Ohio
- Intersection Improvements, SR 62, Washington, Ohio
- S.R. 48 Traffic Impact Study, South Lebanon, Ohio
- Beall Avenue Signals and Crosswalk Lighting, Wooster, Ohio
- Route 8/Third Street Signal Design, Newport, Kentucky
- Traffic Signal Interconnect, S.R. 125, Bethel, Ohio
- Market and Light Streets Traffic Signal, Felicity, Ohio
- In-Pavement Crosswalk Lighting, Madeira, Ohio

Organizational Chart



BCI encourages the City to contact the following references as testimony to the firm' past performance.

Newport Pavilion Parkway

Mr. Greg Tulley, Planning & Development Coordinator
City of Newport, Kentucky
859.292.3666
gtulley@newportky.gov



City of Edgewood

Mr. Bob Begnoche
385 Dudley Road
Edgewood, KY 41017
859-331-8126
rb@edgewoodky.gov

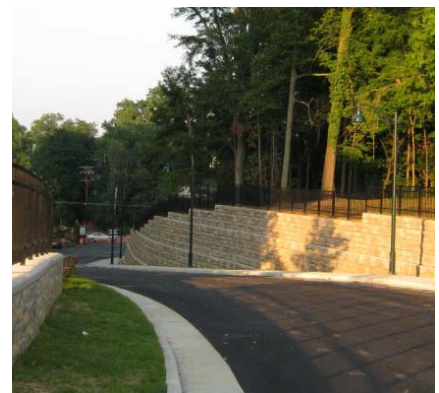


Montgomery Road (US 22) Hosbrook Road Traffic Study

Mr. Greg Bickford
8540 Kenwood Road
Sycamore Township, OH 45236
513.792.7250
gbickford@sycamoretownship.org

Kenwood Road Reconstruction

Mr. Tom Moeller
7141 Miami Ave.
Madeira, OH 45243
513.561.7228
tmoeller@madeiracity.com





Historic Monmouth Streetscape

Newport, Kentucky

Brandstetter Carroll Inc. completed a \$4 million improvement program on Monmouth Street in the City of Newport. Monmouth Street is historically the primary commercial corridor of the City. As part of these improvements, new traffic signals were installed on Monmouth Street from 4th to 11th Streets. The improvements included new mast arm traffic signals, pavement markings and signage. The mast arm poles selected are a historic style that compliment new street lighting. The services included an analysis of converting the street from one way travel to two way travel. You may notice in this picture that the mast arms for a two-way street are already in place to ease in a future conversion.

Completion Date: 2007

Construction Cost: \$4,000,000.



Ronald Reagan Way

Sycamore Township, Ohio

BCI began this project in 2007 with a Traffic Impact Study. The firm continued through the design phase, construction administration and inspection phases. The scope of the construction included an underground detention system, underground utilities, a new water main, and sidewalks on for pedestrian access. The new traffic signal serves the intersection at Hosbrook Road and provides an efficient means of egress for the hotel and office users in the development.

Completion Date: 2012

Construction Cost: \$2,300,000



Pavilion Parkway

Newport, Kentucky

The Pavilion Parkway is a connector serving the Newport Pavilion which is a development between Memorial Parkway and Carothers Road. It includes two new traffic signals within the new alignment along with landscape areas at select locations in the median. The total construction cost for mass excavation, roadway, and utility work exceeded \$19 million. The two new traffic signals were located on state routes in Campbell County and were approved by the KYTC district office.

Completion Date: Ongoing

Construction Cost: 19,000,000





Upper Sandusky Traffic Signalization

Upper Sandusky, Ohio

Brandstetter Carroll Inc. has been involved in providing new traffic signals in the downtown area of the City of Upper Sandusky over the past eight years. In the first phase, three intersections were improved on State Route 30, the main east west corridor in the City. Three years later, new traffic signals were installed on the north-south corridor in the City, State Route 199/53. Mast arm signals were installed at all intersections. This project was locally funded and was coordinated with the Downtown Streetscape project. In 2002, the City completed two additional intersections on State Route 199.

Construction Cost: \$500,000



Traffic Signalization and Intersection Improvements

Shelby, Ohio

In conjunction with a Downtown revitalization project, Brandstetter Carroll Inc. provided the Engineering services for improvements to five intersections in the City of Shelby, Ohio. Construction was completed in 2001. Mast arm signals were installed on all the intersections, along with cable and conduit for an interconnect system. This project is located along State Route 39. Historic signal poles, arms and light fixtures were installed. The project also included mast arm cross walk signalization, signage and pavement markings.

Completion Date: 2001

Construction Cost: \$465,000



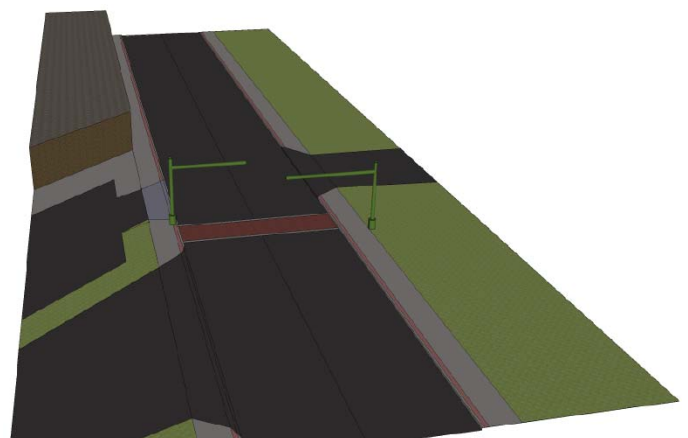
Madeira H.A.W.K. Signalization

Madeira, Ohio

The City has a vibrant downtown commercial area which attracts a large number of pedestrians. The main crosswalk in the City has experienced some safety issues. The in-pavement lights were not as effective at controlling vehicular traffic and gave pedestrians a false sense of security when they pressed the "Walk" button. As a result, the City is in the process of installing a High intensity Activated crossWalk, or HAWK. Pedestrians activate a "red" signal which will force the vehicles to stop at this location. When a pedestrian has not actuated the crosswalk, the signal heads will be dark and vehicles will pass without impedence. Only a handful of these crosswalks have been installed in the eastern half of the US as they are a recent edition to the MUTCD.

Completion Date: Spring 2014

Construction Cost: \$125,000



Attachment 1

Project Team Location(s)

Prime Consultant	Location (City, State)	Date Office Established	Total Number of Employees	No. of Employees expected to work on DOE projects
Headquarters	Lexington, KY	April 1979	20	4
Local Office	Lexington, KY			
PM Location	Lexington, KY			
Supporting Office	Cincinnati, OH	1982	15	5
SubConsultants				
Name:	Abbie Jones Consulting	2011		
Service Provided	Surveying			
Headquarters	Lexington, Kentucky		4	4
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				
Name:				
Service Provided				
Headquarters				
Local Office				

Notes:

1. "Headquarters" refers to the corporate office that provides project support to the local office, if applicable. If support comes from multiple locations, use the blank spaces in the form to provide relevant information.
2. Listing of sub-consultants is optional and should only be provided if the prime consultant considers the sub-consultant(s) services to be essential to meeting the required qualifications. In this event, documentation from the subconsultant(s) shall be submitted in the SOQ that provides a commitment to be a part of the prime consultant's team in providing the stated services. In such cases, for the purpose of evaluating the proposals, committed sub-consultants will be considered to be part of the prime consultant's workforce. Prime consultants face potential disqualification from future work if DOE finds that the identified sub-consultants are not being utilized to deliver assigned work products.



VI. Resumes



EDUCATION

The Ohio State University, B.S.C.E.

REGISTRATION

Professional Engineer:

Commonwealth of Kentucky #24663

State of Ohio #47486

State of West Virginia #015401

State of New Jersey #24GEO4887000

AFFILIATIONS

- American Water Works Association
- Ohio Rural Water Association
- Smale Commission on Infrastructure Reinvestment
- American Society of Highway Engineers, National Board Member

EXPERIENCE

Contract One- Roadway Corridor and Intersection Design/Planning

- Street Improvements
Newport, Kentucky
- Pavilion Parkway
Newport, Kentucky
- Route 8 Reconstruction
Newport, Kentucky
- Carpenter Street Reconstruction
Athens, Ohio
- Denlinger Road Corridor Study
Trotwood, Ohio
- East River Road Improvements
Moraine, Ohio
- Riverhills Drive/SR 32 Intersection
Newtown, Ohio
- Kellogg Road
Hamilton County Engineer's Office
- Loveland-Madeira Road Construction
Loveland, Ohio
- Deerfield Road Reconstruction
Lebanon, Ohio
- Miami Avenue Reconstruction
Madeira, Ohio

- SR 62 Intersection Improvements, The Crossings
Washington, Ohio
- Salem Avenue Improvements
Trotwood, Ohio
- Shawnee Run Road Improvements
Madeira, Ohio

Contract Three – Construction Drawings Review for DOE Manual Compliance

- Newport on the Levee Development
Newport, Kentucky
- DHL Airways, Inc. Sort Facility
Greater Cincinnati/Northern Kentucky International Airport, Hebron, Kentucky
- Newport Promenade
Newport, Kentucky

Contract Five – Pedestrian, Bike or Multimodal Trail Design/Planning

- Clear Creek Greenways Action Plan
Shelbyville, Kentucky
- Millennium Park Trail
Danville, Kentucky
- S. R. 741 Pedestrian Bridge
Moraine, Ohio
- Madeira Sidewalk/Bikeway Program
Madeira, Ohio
- Cincinnati Avenue Sidewalk and Pedestrian Bridge
Lebanon, Ohio
- Dawson Road Bikeway
Madeira, Ohio
- Kenwood Road Bikeway
Medina, Ohio

Contract Six – Traffic Signal Design

- Monmouth Street Signalization
Newport, Kentucky
- Sandusky Avenue Signalization
Upper Sandusky, Ohio
- Downtown Signalization Phase I and II
Upper Sandusky, Ohio
- Beall Avenue Signals and Reconstruction
Wooster, Ohio



EDUCATION

University of Texas
University of Kentucky, B.S.C.E.
Major Area of Study – Hydrology and Hydraulics

REGISTRATION

Professional Engineer:
Commonwealth of Kentucky #22599

CERTIFICATIONS

Certified Pool Operator, Ohio Aquatic Council, LTD—2008

SPECIALTIES

- Site Development and Utilities
- Roadway Line and Grade
- Aquatic Mechanical Design
- Water and Waste Water Treatment

EXPERIENCE

Contract One- Roadway Corridor and Intersection Design/Planning

- Oak Grove Park Infrastructure and Roadways
Centerville-Washington Park District, Ohio
- Newport Pavilion and Pavilion Parkway
Newport, Kentucky
- Plum Creek Christian Church Access Road
Butler, Kentucky
- Patricia Allyn Park Infrastructure and Roadways
Clearcreek Township, Ohio
- Redfox Resort Access Road
Knott County, Kentucky
- Harold's Branch Road Improvements
Pikeville, Kentucky
- Beall Avenue Streetscape
Wooster, Ohio

Contract Three – Construction Drawings Review for DOE Manual Compliance

- Upper Sandusky
- Soth Lebanon
- City of Newport

Contract Five – Pedestrian, Bike or Multimodal Trail Design/Planning

- Paducah Greenway Master Plan and Phase I Design
Paducah, Kentucky
- Preston Miller Park Trail
Bowling Green, Kentucky
Louisville/Jefferson County, Kentucky
- Cynthiana-Harrison County Park Trail
Cynthiana, Kentucky
- Beall Avenue Streetscape
Wooster, Ohio



EDUCATION

Georgia Institute of Technology
B.S.C.E.

REGISTRATION

LEED Green Associate, 2011
Professional Engineer:
State of Ohio, #70412,
Commonwealth of Kentucky #24663
State of New Jersey #24GEO4892900
State of Indiana # 11100559

AFFILIATIONS

- American Society of Civil Engineers
- American Society of Highway Engineers
- Boone County Planning Commission
- Leadership Northern Kentucky 2008
- Vision 2015 Northern Kentucky Regional Parks Task Force

EXPERIENCE

Contract One- Roadway Corridor and Intersection Design/Planning-

- Ronald Reagan Way
Sycamore Township, Ohio
- Carpenter Street Reconstruction
Athens, Ohio
- Carpenter Street Renovation
Athens, Ohio
- Lakota Drive West Roundabout and Bike Trail
Butler County Engineer's Office
- Pavilion Parkway/SR 1125
Newport, Kentucky
- Beall Avenue Improvements
Wooster, Ohio
- Frontage Road
Sycamore Township, Ohio
- Sturbridge Neighborhood Reconstruction
Sycamore Township, Ohio
- Burlington Sidewalk Enhancements
Boone County, Kentucky
- Denlinger Road Corridor Study
Trotwood, Ohio

Contract Three – Construction Drawings Review for DOE Manual Compliance

- City of Newport
- Village of Newtown
- City of Bucyrus
- Monroe Township

Contract Five – Pedestrian, Bike or Multimodal Trail Design/Planning

- Milltown Trail Phases III and IV
Wooster, Ohio

Contract Six – Traffic Signal Design

- Angliana Avenue High Density Student Housing Site and Traffic Study
Lexington, Kentucky
- Denlinger Road Corridor Study
Trotwood, Ohio
- Hosbrook Road – Montgomery Road TIS
Sycamore Township, Ohio
- Lebanon Road – Pike Street Traffic Signal
South Lebanon, Ohio
- SR 62 Intersection Improvements, The Crossings
Washington, Ohio
- SR 48 Traffic Impact Study
South Lebanon, Ohio
- Hosbrook Road Traffic Calming Study
Sycamore Township, Ohio



Mr. Ratliff is a Senior Designer and Production Manager with years of proven experience and ability in demonstrating a comprehensive design approach in mechanical process, structural, architectural, and civil disciplines providing full spectrum continuity throughout the development of water, wastewater, and varied other engineering projects.

As a senior level designer, his utilization of a multi-discipline perspective allows him to design projects that prove truly effective, operate with maximum efficiency, and provide ease of operation by plant personnel. Strong, practical design and management experience allow proper execution of required project tasks to be performed in a timely and precise manner, helping to ensure a successful project.

As a Production Manager, he is responsible for the coordination, direction, oversight, and management of the project team, including the day-to-day activities involving engineers, designers, technicians, sub-consultants and contractors (during the pre-construction and construction phases). All aspects regarding the preparation of drawing and specification production are within this realm of responsibilities as well.

EDUCATION

Computer Science
University of Kentucky, Lexington, KY
Computer Programming
Somerset State Technical School, Somerset, KY
Technical Design/Drafting
Somerset State Technical School, Somerset, KY
Computer Aided Design
AutoCAD, MicroStation, Intergraph, and CADAM (30 years' experience)
NASSCO –PACP
The National Association of Sewer Service Companies–
Pipeline Assessment and Certification Program Confined
Space Entry Training
O'Brien & Gere Engineers (Entrant, Attendant & Supervisor
9/12/12)

REGISTRATION

- Class III Wastewater Treatment Plant Operator, Kentucky #6391

AFFILIATIONS

- North Central Water and Wastewater Operators Association (NCWWOA)

EXPERIENCE

Contract 3 – Construction Drawings Review for DOE Manual Compliance

*All projects completed prior to joining BCI.

- Georgetown WWTP No. 2 (1st Toyota WWTP in U.S.A.)
Georgetown, Kentucky
- Cedar Creek WWTP
Louisville MSD, Kentucky
- Otter Creek WWTP
Richmond, Kentucky
- Strodes Creek WWTP
Winchester, Kentucky
- Irvine WWTP
Irvine, Kentucky
- Berea WWTP
Berea Kentucky
- Redstone Arsenal WWTP
Huntsville, Alabama
- West Hickman Creek WWTP
Lexington, Kentucky
- Jeffersonville WWTP
Jeffersonville, Indiana
- South Dearborn WWTP
Jeffersonville, Indiana
- Kajima WWTP
Frankfort, Kentucky
- Maysville WWTP
Maysville, Kentucky
- Beecreek WWTP
Murray, Kentucky



EDUCATION

University of Cincinnati
BS, Civil and Environmental Engineering

REGISTRATION

Professional Engineer:
Commonwealth of Kentucky, #20027
State of Ohio, #58230
State of West Virginia, #014786
LEED® Accredited Professional 2009
OSHA 40-hour HAZWOPER Trained

EXPERIENCE

Contract One- Roadway Corridor and Intersection Design/Planning

- Sheckler St, Oak Ridge & Lakewood Drives Reconstruction Project
Bucyrus, Ohio
- Beal Ave Reconstruction Project
Bucyrus, Ohio
- Charles Street Sewer Separation Project
Bucyrus, Ohio
- Spring Street Reconstruction Project
Bucyrus, Ohio
- Lawrenceburg Rd Water Main Extension Project (Phase 1 & 2)
Cleves, Ohio
- Downtown Curb Ramp Program
Upper Sandusky, Ohio

Contract Three – Construction Drawings Review for DOE Manual Compliance

- Ragland Road Storm Study
Village of Newtown, Ohio
- Water Tower Evaluation
Village of West Union, Ohio
- Main Street Water Line Replacement
Village of West Union, Ohio
- Highland Avenue Water Line Replacement
Northern Kentucky Water District
- Wyandot County Engineer Complex
Wyandot County, Ohio

- Markle Levee-Sluice Gate Improvements
Markle, Indiana
- Sunny Lake Park Improvements
Aurora, Ohio
- Burdsall Avenue Improvement Project
Fort Mitchell, Kentucky
- Various Sanitary Sewer Projects
City of Independence, Kentucky
- Carbon Way, new road, Richwood Industrial Park
Boone County, Kentucky
- Dixie Highway Storm Sewer Improvement Project
Fort Mitchell, Kentucky
- Amsterdam Road, Street and Storm Sewer Project
Kenton County, Kentucky
- Fayette Mall Expansion & the Plaza at Fayette
Lexington, Kentucky
- Nordstrom at Kenwood Mall
Sycamore Township, Ohio
- Rivers Crossing Commercial Center including infrastructure and individual projects
South Lebanon, Ohio
- Home Depot Stores
Washington Court House, Ohio, Marysville Ohio, Crescent Springs, Kentucky
- United Dairy Farmers Freezer Warehouse
Erlanger, Kentucky
- Gateway Business Park- Infrastructure and Distribution Building
Hebron, Kentucky
- Wild Flavors - American Headquarters
Hebron, Kentucky
- Mazak Tools –Northern American Sales Center
Independence, Kentucky
- Continental Web Press
Boone County, Kentucky
- United States Post Office
Mason, Ohio, Cincinnati (Price Hill), Ohio



EDUCATION

Northern Kentucky University
Associates Degree in Construction Technology (1988)

REGISTRATION

PLS – KY # 3613

AFFILIATIONS

- Kentucky Association of Professional Surveyors (KAPS)
- Kentucky Society of Professional Engineers – Affiliate (KSPE)
- American Society of Civil Engineering Technicians (ASCET)
- Kentucky Association of Mapping Professionals (KAMP)
- American Public Works Assoc. (APWA)
- American Water Works Assoc. (AWWA)

EXPERIENCE

Contract One- Roadway Corridor and Intersection Design/Planning

- State Route 98 Improvements
Bucyrus, OH
- Montgomery Road / Hosbrook Road Utility Relocation
Sycamore Township
- Pavilion Parkway Widening
Newport Kentucky
- Newport Pavilion – Phase II
Newport, Kentucky

Contract Three – Construction Drawings Review for DOE Manual Compliance

- West Union, Ohio, Main Street and North Area Water Line Replacements
- Highland Avenue Water Line Replacement
Northern Kentucky Water District
- Pavilion Parkway Utilities
Newport, Kentucky

Water Projects Prior to Joining BCI Include:

- Droege Avenue Waterline
Taylor Mill
- Poole's Creek No. 2 Water Line Extension
Cold Spring, Kentucky

Past Work Experience

- Integrated / Riegler Engineering, LLC
Boone County Kentucky – Office Lead
- Civil and Environmental Consultants,
Cincinnati, OH - Civil Project Manager
- Foppe Technical Group
Crescent Springs, Kentucky – Civil Project Manager
- David E. Estes, Engineering
Florence, KY – Senior V.P. and Civil Project Manager
- Cardinal Engineering Corp.
Wilder, Kentucky – Senior Designer



EDUCATION

The University of Dayton, B.S.C.E.

REGISTRATION

Professional Engineer:
State of Ohio #68301
State of Kentucky # 28239

AFFILIATIONS

- American Water Works Association
- American Public Works Association

EXPERIENCE

Contract One- Roadway Corridor and Intersection Design/Planning

- Pavilion Parkway
Newport, Kentucky
- Beall Avenue Streetscape
Wooster, Ohio
- Forestlake Drive Reconstruction
Anderson Township, Ohio
- Collinsdale Avenue Reconstruction
Anderson Township, Ohio
- Street Revitalization
Ludlow, Kentucky
- Hazen Avenue
Madeira, Ohio
- Kugler Mill Road Reconstruction
Hamilton County, Ohio
- Compton Estates Subdivision Improvements
Hamilton County, Ohio
- East River Road Improvements
Moraine, Ohio
- High Point Subdivision, Reconstruction
Hamilton County, Ohio
- SR 32 Widening and Intersection Improvements
Newtown, Ohio
- Iuka Avenue Storm Study
Madeira, Ohio
- Plan Reviews, Shawnee Reserve Subdivision
Madeira, Ohio

- Buckingham Place
Columbia Township
- Church Street Improvements
Newtown, Ohio
- Plainville Area Streets
Columbia Township, Ohio
- Ludlow Streetscape Project, Phase I
Ludlow, Kentucky

Contract Three – Construction Drawings Review for DOE Manual Compliance

- Collinsdale Avenue
Anderson Township, Ohio
- Hazen Avenue
Madeira, Ohio
- Kugler Mill Road Reconstruction
Hamilton County, Ohio
- East River Road Improvements
Moraine, Ohio
- SR 32 Widening and Intersection Improvements
Newtown, Ohio
- Dolphin Drive Improvements
Columbia Township, Ohio
- Tealtown Road – Sugar Camp Transmission Main, Clermont County Water and Sewer District
Batavia, Ohio
- Beall Avenue Streetscape and Infrastructure Development,
Wooster, Ohio
- South Seventh Street Sewer Separation
Upper Sandusky, Ohio
- Shayler Road Phase B
Clermont County Water and Sewer District
- Lebanon Road Sewer Extension
Lebanon, Ohio
- Main Street Pump System Upgrade
South Lebanon, Ohio
- Wellfield Expansion
Morrow, Ohio
- Water Treatment Plant Facility and Water main Extension
South Lebanon, Ohio
- Winton Road Waterline Replacement
Cincinnati Water Works



EDUCATION

The Ohio State University,
B.S. Landscape Architecture

REGISTRATION

Registered Landscape Architect:
Commonwealth of Kentucky – 316, Ohio – 663,
Commonwealth of Virginia – 0406001787, Tennessee –
0487, West Virginia – 352, CLARB – 1339, Georgia –
LA001486, New Jersey - 21AS00096200, Texas –
2781,

Council of Landscape Architectural Registration Boards
Certificate

AFFILIATIONS

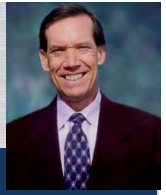
- American Society of Landscape Architects
- (KY Chapter – President, 1984 and Trustee, 1991-1996)
- Kentucky Recreation and Park Society
- Ohio Parks and Recreation Association

EXPERIENCE

**Contract Five – Pedestrian, Bike or Multimodal Trail
Design/Planning**

- Oldham County Bike, Pedestrian and Greenway Trails
Master Plan
Oldham County, Kentucky
- Paducah Greenway Master Plan and Phase I Design
Paducah, Kentucky
- Winton Woods Harbor Renovation
Hamilton County, Ohio
- Clear Creek Greenways
Shelbyville, Kentucky
- Symmes Township Park
Cincinnati, Ohio
- Millennium Park and Trails
Danville, Kentucky
- Mill Creek Trail
Reading, Ohio
- Ohio Township Park Trail
Clermont County, Ohio
- Anderson-Dean Park
Harrodsburg, Kentucky

- Preston Miller Park Trail
Bowling Green, Kentucky
- Dawson Road Bikeway
Madeira, Ohio
- Kellogg Avenue Bikeway Path
Hamilton County, Ohio
- Kenwood Road Bikeway
Madeira, Ohio
- Campbellsville, Kentucky Bikeway
- Bellevue Cycling Trail/Center
Bellevue, Kentucky
- Stepping Stones Park Trail
Upper Sandusky, Ohio
- Clyde Community Park Trail
Clyde, Ohio
- Florence Government Center Bikeway
Florence, Kentucky
- Perkins Creek Trail
Paducah, Kentucky
- Oak Grove Park Trail
Centerville-Washington Park District, Ohio
- Cynthiana-Harrison County Park Trail
Cynthiana, Kentucky
- Sycamore Township Nature Park
Sycamore Township, Ohio
- Sidewalk and Curb Program
Burlington, Kentucky
- Beall Avenue Pedestrian/Streetscape Enhancement
Wooster, Ohio
- Sidewalk and Curb Program
Newport, Kentucky
- Eight Mile, Hunley, and Forest Roads
Anderson Township, Ohio
- Sidewalk Inspection/Replacement Program
Upper Sandusky, Ohio
- Sidewalk Inspections and Replacement Program
Reading, Ohio



EDUCATION

University of Kentucky
B.S. Landscape Architecture

REGISTRATION

Registered Landscape Architect:
Commonwealth of Kentucky

AFFILIATIONS

- Council of Landscape Architectural Registration Boards - Kentucky State Board of Examiners and Registration of Landscape Architects Governor Appointed: 1984-1987, Re-appointed: 1987-1990, 1990 – 1993
- Elected Kentucky delegate to the National Meeting of the Council of Landscape Architectural Registration Boards 1985, 1986, 1987, 1988, 1990
- President of the Kentucky State Board of Examiners and Registration of Landscape Architects, 1991-1993
- Additional: Participated in the joint authorship of Kentucky's newly ratified registration laws and CLARB National Committee on Continuing Education for Landscape Architects

EXPERIENCE

Contract Five – Pedestrian, Bike or Multimodal Trail Design/Planning

- Oldham County Bike, Pedestrian, and Greenway Trails Master Plan
Oldham County, Kentucky
- Paducah Greenway Master Plan and Phase I Design
Paducah, Kentucky
- Millennium Park and Bike Trails Planning and Design
Danville, Kentucky
- Preston Miller Park Trail
Bowling Green, Kentucky
- Florence Government Center Bikeway
Florence, Kentucky
- Miles Property Master Plan
Louisville/Jefferson County, Kentucky
- Cynthiana-Harrison County Park Trail
Cynthiana, Kentucky
- Muhlenberg County Courthouse Plaza
Greenville, Kentucky

- Washington County Courthouse Plaza
Springfield, Kentucky
- Broadway Corridor Master Plan
Frankfort, Kentucky
- Washington Streetscape
Washington, Kentucky
- Beall Avenue Streetscape
Wooster, Ohio
- Mariemont Village Square Master Plan
Mariemont, Ohio
- Arts and Entertainment Center Master Plan
Cincinnati, Ohio
- Historic Monmouth Streetscape
Newport, Kentucky
- Aronoff Center Plaza and Streetscape
Cincinnati, Ohio
- Main Street Revitalization Plan
City of Shelbyville, Kentucky
- South Monmouth Corridor Master Plan
Newport, Kentucky
- Angliana Avenue High Density Student Housing
Lexington, Kentucky
- Green County Judicial Center
Greensburg, Kentucky
- Kenton County Jail
Covington, Kentucky
- Kentucky Association of Counties Office Headquarters
Frankfort, Kentucky
- Washington County Judicial Center
Springfield, Kentucky
- Hart County Judicial Center
Munfordville, Kentucky
- Newport Pavilion
Newport, Kentucky

Abbie Jones, PE, PLS

PROJECT MANAGER, PRINCIPAL-IN-CHARGE (AJC)



Education

BS, Civil Engineering, 2000
Tennessee Technological University

Professional Registrations:

Kentucky PE 26780

Kentucky PLS 3639

Certified Floodplain Manager

KPESC-RI Erosion Card

Abbie has worked in the engineering and survey industry for 14 years, working in both the public and private sectors. She has quickly become acclimated to her new home as she was honored as the **2011 Bluegrass Chapter Nominee for Young Engineer of the year for KSPE**.

Abbie has experience in the planning, survey, design and maintenance perspectives of transportation. She knows that including field operations staff early in the planning process helps create a more comprehensive solution. Abbie's experience is especially strong in highly urbanized areas with multimodal design components. She has managed the GIS System for an agency and understands uniquely how to gain more stakeholder involvement.

Her management will include:

- Brief Scoping meetings per task order
- Brief Friday update emails
- Provide cutting-edge ideas for cost-saving considerations
- Former civil service perspective

SERVICE, COMMITTEES, & AWARDS

- Named Georgia Young Engineer of the Year 2005 and Bluegrass Chapter Nominee for Kentucky Young Engineer of the Year, 2011
- Kentucky Society of Professional Engineers
- Kentucky Section of Institute of Transportation Engineers
- Surface Transportation Technical Committee for North Central Texas Council of Governments, 2008-2009
- Transportation Management Committee (with TXDOT), 2008-2009
- Hurst, TX Technical Staff representative to Traffic Safety Committee, 2008-2009
- Milton, GA Technical Staff representative to Greater Atlanta Regional Transportation Authority (GRTA), 2007

Relevant Project Experience

1. **KYTC US231, Bowling Green, KY (2013)**- 9-24hr, 3 bin video turning movement and 2 – 7 day tube classification counts. Highest ADT=48,600.
2. **Private Developer, Outer Loop, Louisville, KY (2013)** – 2-13hr 3 bin video turning movement emergency counts. Highest ADT=22,603.
3. **KYTC Louisville Bridges (2013)**– Video, Tube, and - multi-lane 8 bin interstate radar volume and class counts. Highest ADT=39665.
4. **KYTC US25 - Corbin , KY (2013)**– 8-24hr 2 bin video turning movement and 4-2 hr manual turning movement counts. Highest ADT=28,926.
5. **KYTC KY 54 - Owensboro, KY (2013)** – 8-24hr video turning movement and 2-2-hr manual turning movement counts. Highest ADT=38,388.
6. **Brannon Crossing, Lexington, KY (2012)** – 4-24hr video turning movement counts. Highest ADT=51,277.
7. **Mountain Parkway Extension , Morgan Co, KY (2011-2012)**– Video and Tube Counts. Highest ADT=5,422.
8. **Euclid Rd Kroger, Lexington, KY (2012)** – Manual Counts. Peak hour count.
9. **Chinoe Rd Kroger, Lexington, KY (2012)** – Manual Counts. Peak hour count.
10. **3rd and Central Avenue, Louisville, KY (2012)** – 24 hr video turning movement counts. ADT: 58,776
11. **Floating Bike Lane-Vine Street, Lexington, KY (2012)** – 2 hr manual peak hour/lane transition bike counts.
12. Project Manager for a **KYTC Highway Design Guide Manual Update** through KTC. This project covers all categories of design. (2011-2012)

Continuing Education

- Forthcoming Case Study with Miovision
- 2012 Basic Traffic Engineering Course with KTC
- 2012 KYTC/ACEC-KY/FHWA Partnering Conference, ACEC-KY, Traffic Data Collection Section.
- 2012 Presenter to Madison County Planning Commission Courses for Continuing Education
- 2011 Traffic Impact Studies Course with KTC

Adam Bender-Sanders, EIT
Engineer (AJC)



Education
BS, Civil Engineering, 2011
University of Kentucky

Professional Registration
Engineer Intern (EIT)

Adam is a recent graduate of the University of Kentucky, graduating Magna Cum Laude. As a student, he was involved with engineering organizations, including ASCE and ITE. As president of Chi Epsilon, he directed charity events, and helped triple the membership.

Adam has a strong foundation of traffic data analysis. He processes traffic volume data and turning movement. Adam has quickly learned several traffic analysis programs and is very adept at using raw and binary files. He is also proficient in ArcGIS and CADD packages. Adam is detail-oriented and helps keep large data collection projects on track. He has also developed automated programs to combine and review data along corridors.

Relevant Project Experience

Brannon Road Corridor– Process traffic volume and turn movements at five intersections. This data was input into a traffic analysis program report and provided in several other formats. This project is part of the Statewide Traffic Forecasting contract.

I-75 Project Corridor – Install equipment and process turning movement counts at seven intersections. These range from interstate exits to major (3+lanes each side) divided direction highway intersections, to rural four way stop intersections.

Mountain Parkway Expansion Project. This project is under contract review and includes 37 tube counts and 27 video turning movement counts. Adam is scheduling equipment, processing field data and producing reports.

SERVICE, COMMITTEES, & AWARDS

- Kentucky Section Institute of Transportation Engineers (KYSITE)
- Chi Epsilon, Engineering Honors Society

Brandon Shelley, EIT
Engineer (AJC)



Education
BS, Civil Engineering, 2010
University of Louisville
MS, Civil Engineering, 2011
University of Louisville

Professional Registration
Engineer Intern (EIT)

Brandon is the newest addition to Abbie Jones Consulting. Mr. Shelley has over 4 years of design and analysis experience including roadway design projects ranging from alignment corrections on small two lane rural roads to the maintenance of traffic design for high volume three lane interstates, conducting traffic counts and producing traffic impact studies for small residential neighborhood esthetics projects up to large commercial thoroughfares, and traffic signal designs.

Relevant Project Experience (all prior to joining AJC)

1. Signal Design-SR17 & Tuxedo Ave, Brooklyn Heights, OH
2. Signal Design & Pavement Design-Clyo Rd & Spring Valley Pike, Centerville, OH
3. Streetscape, US50 (Main St), Chillicothe, OH
4. Traffic Counts & Analysis, Clintonville Corridor, Columbus, OH
5. Traffic Counts & Analysis, Polaris Pkwy Columbus, OH
6. Signal Modification, COTA Bus Facility, Columbus, OH
7. Signing & Marking, Pavement Quantities, I-64 Widening, Jefferson & Shelby Counties, KY
8. Preliminary Design Analysis, Orange Rd Corridor, Lewis Center, OH-Develop Intersection Improvements

Continuing Education/Skills

- 2103-Present, Adjunct Instructor at UofL for Applied Logistics in Transportation, Intelligent Transportation Systems, Pavement Design , Traffic Engineering, Advanced Traffic Operations
- ODOT GCAT Certification, ODOT HSM Training
- Microstation/GeoPak/Inroads, Autocad, ESRI
- Synchro, HCS+, TNM, QRSII/GNE, GCAT/CAM

SERVICE, COMMITTEES, & AWARDS

- Kentucky Section Institute of Transportation Engineers (KYSITE)
- American Society of Highway Engineers
- Women in Transportation Seminar
- 2010 Outstanding Alumni Award, UofL Civil Engineering Dept.



VII. DBE Involvement

For this project, BCI will be utilizing the services of Abbie Jones Consulting, a WBE certified firm for Surveying and Traffic Counts. As the scope of work is more clearly defined, BCI will make every effort to include DBE firms as subconsultants for this work.

Having been in the industry for over 30 years, Brandstetter Carroll Inc. is attuned to the market. This industry has proven to be particularly difficult for minority and women-owned company participation. Start-up costs and cash reserves are a substantial burden, and the depth of experience necessary for complex projects is difficult to generate quickly. There are no formal programs to assist these firms to enter into the construction community. Consequently, BCI strives to maximize minority participation when possible.

To assist with these efforts, the firm has developed a written Affirmative Action Program. An increased effort is being made to inform employees and community groups of the firm's EEO Policy so that the utilization of minorities can be increased throughout the firm. At appropriate intervals, BCI will revise and update this Affirmative Action Program to set new goals and objectives so that the firm's EEO Policy can be enhanced in the future. The items below summarize the firm's Equal Employment Policy statement and assignment of responsibilities. A full copy of this plan is available upon request.

A. Equal Employment Policy Statement

It is the policy of BCI to provide equal opportunity to employment to all qualified employees and applicants for employment without regard to race, creed, color, sex, age, religion, national origin, physical or mental handicap, or veteran status. Consequently, BCI will recruit and hire all employees without discrimination because of race, creed, color, sex, age, religion, national origin, physical or mental handicap, or veteran status and will treat all employees equally in regard to compensation, advancement, upgrading, promotion, and transfers. Decisions regarding employment will be based solely upon the qualifications and seniority as related to the requirements of the position for which the individual is being considered.

This policy will insure that all personnel actions such as compensation, dismissals, transfers, firm sponsored training, benefits, education, and tuition assistance programs will be administered without regard to race, creed, color, sex, age, religion, national origin, physical or mental handicap, or veteran status. BCI agrees to assert leadership within the community to achieve full employment and utilization of the capabilities and productivity of citizens without regard to race, creed, color, sex, age, religion, national origin, physical or mental handicap, or veteran status. The Company will also take affirmative action to make known that equal employment opportunities exist within BCI and to encourage persons to seek employment with this firm and strive for advancement.

B. Assignment of Responsibilities

Lawrence W. Brandstetter has been designated as the Equal Employment Opportunity Policy Officer of the firm, and it will be his responsibility to implement the firm's EEO Policy. He will coordinate the program and advise and assist management and other key officials. He will develop periodic reports and present these to the Principals of the firm relative to the progress of the program, and he shall make appropriate recommendations relative to the program. The name, telephone number, and address where Mr. Brandstetter can be reached at all times, concerning any acts or alleged acts of discrimination is posted on general use bulletin boards within the firm's offices.



VIII. Forms

AFFIDAVIT

Comes the Affiant, Brandstetter Carroll Inc., and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Lawrence W. Brandstetter and he/she is the individual submitting the proposal or is the authorized representative of Brandstetter Carroll Inc., the entity submitting the proposal (hereinafter referred to as "Proposer").
2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Proposer has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.
6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

Continued on next page

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me
by *Lawrence W. Brantlett* on this the 21st day
of March, 2013.

My Commission expires: *Aug 9, 2016*

Lynnda F. Mates
NOTARY PUBLIC, STATE AT LARGE



EQUAL OPPORTUNITY AGREEMENT

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.


Signature

Brandstetter Carroll Inc.
Name of Business

WORKFORCE ANALYSIS FORM

Name of Organization: Brandstetter Carroll Inc.

Date: 3 / 21 / 14

Categories	Total	White		Latino		Black		Other		Total	
		M	F	M	F	M	F	M	F	M	F
Administrators	4		2	2						2	2
Professionals	31	26	3	2						28	3
Superintendents											
Supervisors											
Foremen											
Technicians	12	12								12	
Protective Service											
Para-Professionals											
Office/Clerical	7	1	6							1	6
Skilled Craft											
Service/Maintenance											
Total:	55										

Prepared by: Lindsey Hancock, Marketing Coordinator

Name & Title

Firm Submitting Proposal: Brandstetter Carroll Inc.

Complete Address: 2360 Chauvin Dr., Lexington, KY 40517
Street City Zip

Contact Name: Bruce G. Brandstetter, P.E. Title: Vice President

Telephone Number: 859.268.1933 Fax Number: 859.268.3341

Email address: bbrandstetter@bciaep.com



LFUCG MWDBE PARTICIPATION FORM

Bid/RFP/Quote Reference # RFP #13-2014

The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. Abbie Jones Consulting	Surveying Traffic Counts	TBD	TBD
2.			
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Brandstetter Carroll Inc.

Company

3/21/14

Date

Company Representative

President

Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 13-2014

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

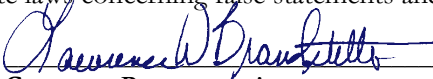
Company Name Brandstetter Carroll Inc.	Contact Person
Address/Phone/Email	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
Abbie Jones Consulting	Abbie Jones	859.559.3443	3/17/14	Surveying Traffic Counts	email	TBD	MWBE

(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Brandstetter Carroll Inc.
Company


Company Representative

3/21/14
Date

President
Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # 13-2014

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

- Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.
- Included documentation of advertising in the above publications with the bidders good faith efforts package
- Attended LFUCG Central Purchasing Economic Inclusion Outreach event
- Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities
- Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms
- Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).
- Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.
- Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.
- Followed up initial solicitations by contacting MWDBEs to determine their level of interest.
- Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.
- Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible

units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

_____ Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

_____ Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

_____ Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

_____ Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

_____ Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.

X _____ Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Brandstetter Carroll Inc.
Company


Company Representative

3/21/14
Date

President
Title



Updated March 25, 2014		2013/2014 SBE OUTREACH		
Firm Name	Specialty	Date Contacted	Project	Description
Main Stream Pipe Services	Construction Inspection	12/1/2012	GCWW Inpection & Sycamore Township Inspection	Mr. Tim Louis has been contracted through BCI for GCWW to provide nightly inpection services. Mr. Brandstetter contacted Mr. Tim Louis to provide inspection on a Sycamore Township project.
Megan Construction	Contractor	12/24/2012	Annual Dinner	BCI has met with Megan twice as well as attending their annual luncheon/dinner. BCI is currently providing input of staffing their Marketing Director's position.
RA Consultants	Civil Engineering	7/1/2013	MSDGC Proposal	Prior to understanding the MSDGC was not a teaming effort, Bruce Brandstetter contacted John Allen to discuss potentially teaming up.
Global Quality Corporation	IT	5/6/2012 & 2/2/2013	MSDGC Staff Supplementation	Mr. Sudhir Kshirsagar's company was contracted through BCI for MSDGC Staff supplemetation. Global Quality provided these services at the beginning of BCI's first 6 years of having a staff supplemental contract with MSDGC. Sudhir came and presented to BCI as well.
GOP Ltd	Structural Engineering	5/6/2012 & 1/1/2013	GCWW - Mt. Airy Tower	Reconstruction of the Mouny Airy Water Tower. Mr. Brandstetter had lunch with Mike Frank.
Diversity & Young Professionals (OEPA)		9/18/2013	General Introductions	Bruce Brandstetter met with the Diversity and Young Professionals at the annual AWWA meeting in Toledo, Ohio.
Ohio/Kentucky Construction Seminar		9/11/2013	General Introductions	Bob Stegmen attended the Ohio/Kentucky Construction Seminar for Small and Women owned businesses.
Auxano Environmental LLC	Environmental	9/23/2013	General Introductions	LC, made contact with Nichole Lashley, President/Owner of Auxano. Auxana provides full-service environmental services for the public and private sector.
MSD SBE Speed Dating Event		3/19/2013	General Introductions	Ben Brandstetter represented BCI at the MSD SBE Speed Dating event. This event provided BCI an opportunity to meet new people that we did not currently know.
DNK	Architecture and Landscape Arichitects	1/15/2014	ULI Meeting	Joe Dillon sat with the owners wife at the Urban Land InSTITUTE (ULI) meeting. General Introductions.
Shrewsberry	Environmental	1/21/2014	General Introductions	Bruce Brandstetter, Ben Brandstetter, and David Lorei met with Keith Bair, P.E. for lunch to discuss possible teaming activities and specialties that each could provide. In the short term there is potential to provide surveying services on GCWW projects.
Geospatial Ideas LLC	GIS	3/25/2014	General Introductions	DJL/BGB/BEB met with Ileana Abot, GISP, CFM in regards to her expertise in GIS mapping. Ileana is currently employed with Hamilton County, but has started her own LLC within the last two-weeks. BCI will help Ileana through the City of Cincinnati SBE process.
Abbie Jones Consulting	Surveyor	3/26/2014	LFUCG RFP	BCI teamed with Abbie Jones, PE, PLS on the LFUCG Proposal.

GENERAL PROVISIONS

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, *29 U.S.C. 650 et. seq.*, as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
3. Addenda: All addenda, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.

9. Additional Information: While not necessary, the Respondent may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal,
10. Ambiguity, Conflict or other Errors in RFP: If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. Agreement to Bid Terms: In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
12. Cancellation: If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
 - (a) Failure to perform the contract according to its terms,

- conditions and specifications;
- (b) Failure to make delivery within the time specified or according to a delivery schedule fixed by the contract;
 - (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
 - (d) Failure to diligently advance the work under a contract for construction services;
 - (e) The filing of a bankruptcy petition by or against the contractor; or
 - (f) Actions that endanger the health, safety or welfare of the LFUCG or its citizens.

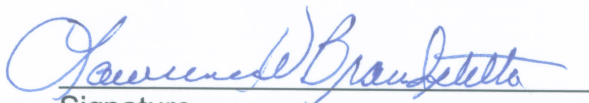
B. At Will Termination

Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent, Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. Assignment of Contract: The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. Authority to do Business: The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this

Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must be signed by a duly authorized officer, agent or employee of the Respondent.

16. **Governing Law:** This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
17. **Ability to Meet Obligations:** Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.


Signature

3/19/14
Date



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
3/20/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Energy Insurance Agency Inc PO Box 55268 Lexington KY 40555		CONTACT NAME: Melissa Clark PHONE (A/C No. Ext): (859)273-1549 FAX (A/C, No): (859)272-0075 E-MAIL ADDRESS: mdclark@energyinsagency.com	
INSURED Brandstetter Carroll, INC. 2360 Chauvin Drive Lexington KY 40517		INSURER(S) AFFORDING COVERAGE INSURER A: State Auto Property & Casualty INSURER B: INSURER C: INSURER D: INSURER E: INSURER F:	
		NAIC # 25127	

COVERAGES **CERTIFICATE NUMBER:13-14** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC			BOP2744362	4/23/2013	4/23/2014	EACH OCCURRENCE	\$ 1,000,000
							DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 300,000
							MED EXP (Any one person)	\$ 10,000
							PERSONAL & ADV INJURY	\$ 1,000,000
							GENERAL AGGREGATE	\$ 2,000,000
							PRODUCTS - COMP/OP AGG	\$ 2,000,000
								\$
A	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS			BAP2379693	4/23/2013	4/23/2014	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
							BODILY INJURY (Per person)	\$
							BODILY INJURY (Per accident)	\$
							PROPERTY DAMAGE (Per accident)	\$
							PIP-Basic	\$ 10,000
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 0			CXS2117619	4/23/2013	4/23/2014	EACH OCCURRENCE	\$ 4,000,000
							AGGREGATE	\$ 4,000,000
								\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below			WCP2213992	4/23/2013	4/23/2014	<input checked="" type="checkbox"/> WC STATUTORY LIMITS	
							OTH-ER	
							E.L. EACH ACCIDENT	\$ 500,000
							E.L. DISEASE - EA EMPLOYEE	\$ 500,000
							E.L. DISEASE - POLICY LIMIT	\$ 500,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER Lexington-Fayette Urban County Government 200 E Main St Lexington, KY 40507	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE Erna Everman, AU/ERNA



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #1

RFP Number: **#13-2014**

Date: March 3, 2014

Subject: **Request for Qualifications for
Professional Engineering Services**

Please address inquiries to:
Theresa Maynard (859) 258-3320

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced RFP:

Paragraph two on page one should read as follows and agree with the date on the website:

"Sealed proposals will be received in the Division of Central Purchasing, Room 338, Government Center, 200 East Main Street, Lexington, KY, 40507, until **2:00 PM**, prevailing local time, on **March 26, 2014.**"

Paragraph one on page two should read as follows:

Deadline for questions after the Pre-proposal meeting shall be Tuesday, MARCH 12th, 2014 at 2:00 PM local time.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

COMPANY: Brandstetter Carroll Inc.

ADDRESS: 2360 Chauvin Dr., Lexington, KY 40517

SIGNATURE OF PROPOSER:



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #2

RFP Number: **#13-2014**

Date: March 7, 2014

Subject: **Request for Qualifications for
Professional Engineering Services**

Please address inquiries to:
Theresa Maynard (859) 258-3320

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced RFP:

Disregard Addendum #1 issued on this page earlier today, March 7, 2014. That addendum was for RFP #14-2014 Request for Qualifications – Supplemental Legal Services and posted to the page for RFP #13-2014 in error.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

COMPANY: Brandstetter Carroll Inc.

ADDRESS: 2360 Chauvin Dr., Lexington, KY 40517

SIGNATURE OF PROPOSER:



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #3

RFP Number: **#13-2014**

Date: March 17, 2014

Subject: **Request for Qualifications for
Professional Engineering Services**

Please address inquiries to:
Theresa Maynard (859) 258-3320

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced RFP:

- Sign in sheets from March 10th, 2014 Pre-Proposal Meeting attached
- Questions and Answers attached

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

COMPANY: Brandstetter Carroll Inc.

ADDRESS: 2360 Chauvin Dr., Lexington, KY 40517

SIGNATURE OF PROPOSER: 