



Statement of Qualifications

to provide

Architectural Design Services

for a

Replacement Fire Station #2

Lexington-Fayette
Urban County Government
RFP #30-2013

October 28, 2013



Brandstetter Carroll Inc.

We Enhance Community & Quality of Life



Brandstetter Carroll Inc.

We Enhance Community and Quality of Life

2360 Chauvin Drive, Lexington, Kentucky 40517
859.268.1933 VOICE 859.268.3341 FAX

October 28, 2013

Mr. Todd Slatin, Purchasing Director
Lexington-Fayette Urban County Government
Room 338, Government Center
200 East Main Street
Lexington, KY 40507

RE: Design Services for Replacement Fire Station #2, RFP #30-2013

Dear Mr. Slatin:

Brandstetter Carroll Inc. has developed fire and police stations, detention facilities, public administration and court facilities throughout the Commonwealth and has an intimate understanding of the requirements for this project. Our experience in this type of project extends over a period of almost thirty five years. We understand the need for an accommodating design that meets the needs of the City of Lexington. We would like to identify several key reasons to engage BCI in this process:

We have more public safety experience than any other firm in the region.

Having designed 53 Fire Stations, 12 Police Facilities, eight 911/EOC Facilities, 28 Court Facilities, 10 County Jails, and 35 Public Administration/City Halls, we understand this project type very well. Additionally, we currently have two public safety projects under construction in the State of Ohio.

We bring an excellent team of professionals to the project.

BCI is capable of providing creative and reasonable solutions required of this type of project. The proposed group of extraordinary people will work in collaboration with the Owner's representatives to exceed all desired project outcomes! The following group of robust professionals comprises our team:

- a. *Mike Carroll* is a seasoned Architect having completed many public safety projects with many similar components to the proposed building. He brings a sound body of knowledge along with professionalism and leadership needed to successfully complete the project. Mr. Carroll will serve as the Principal-In-Charge as he has for over 30 previous fire station projects.
- b. *Eric Chambers* possesses organizational skills necessary for the successful delivery of a project of this nature. He has served in a similar capacity for all of the firm's public safety projects since joining the firm. Mr. Chamber's success on the Kenton and Campbell County Jail projects has been well-documented, and he will serve as the Project Manager for this assignment. He recently served in this capacity for the Bryan, Ohio Police and Fire Facility featured in Section V of this document.
- c. The consulting services of Shroudt Tate Wilson for Mechanical, Electrical and Plumbing Engineering will be utilized for this project as well as Abbie Jones Consulting for Surveying services. GOP Limited will provide Structural Engineering, Paladin Inc. will serve as the Commissioning Agent and AMEC will provide Environmental and Geotechnical services.

We practice sustainable design.

BCI is capable of developing a strategy for energy efficiency from Energy Star to LEED certification. We will listen to your goals and respond by developing a road map to achieve these project goals. The recently completed Kentucky Association of Counties Building in Frankfort achieved LEED GOLD under our service and leadership.

BCI has built a reputation of excellent **quality control** and high expectations of contractors while developing excellent working relationships built on trust with their Owners. We desire nothing less for this project. We have a long and consistent history of cost control and project performance. BCI is proud of their consistent change order percentage which averages 1-2% when the national average is at 4% per project! We will ensure total compliance with the Contract Documents and protect the interest of the City of Lexington.

The layout of the proposal is as follows:

Section 1. Firm Qualifications

- Firm Location
- Demonstrated Ability to Perform

Section 2. Project Approach/Work Plan

- Approach to Project
- Scope of Services
- Budget/Schedule
- LEED Experience
- Coordination of Disciplines and Quality Control Program

Section 3. Team Organization

- Resumes of Key Personnel

Section 4. Firm's Recent Representative Experience

- Detailed Project Descriptions
- Project References

Section 5. Ability to Perform

- Workload Information
- Firm References

Appendix

- Forms
- Pricing Information
- Acknowledgment of Addenda

In summary, through the **quality of our staff** and of the assembled Team and the breadth of relevant work history, the City can be confident that BCI will deliver the desired project outcomes! We more than appreciate the opportunity to work with the City on the development of their new headquarters. We welcome the chance to discuss this project, exchange ideas and share more thoughts on how you can reach your goals in a collaborative effort with the Brandstetter Carroll, Inc. Team.

As the president of the firm, I am legally authorized to enter into a contractual relationship on behalf of Brandstetter Carroll Inc. Brandstetter Carroll Inc. has no conflicts of interest in providing professional services on the project. I appreciate the opportunity to submit this proposal and am confident in our ability to be a critical member of the team for this mission. **We will deliver this project on time and within budget to the City.**

Very truly yours,
Brandstetter Carroll Inc.



Lawrence W. Brandstetter, AIA
President

Firm Location

Brandstetter Carroll Inc. 859.268.1933 (telephone)
 2360 Chauvin Dr., Lexington, KY 40517 859.268.3341 (fax)

The work for the Lexington Design Services for Replacement Fire Station #2 will be completed in BCI's Lexington home office. Project Contact for this assignment will be Mr. Michael E. Carroll, Vice President of BCI and Principal-In-Charge for this assignment. Mr. Carroll can be reached via email at mcarroll@bciaep.com.

Brandstetter Carroll Inc. (BCI) has been providing professional services for nearly 35 years and has grown to include a knowledgeable, skilled staff that includes Architects, Civil Engineers, Transportation Engineers, Landscape Architects, Interior Designers, LEED Accredited Professionals, Professional Surveyors, Construction Administrators, and Resident Inspectors.

BCI has a diverse portfolio of fire station, police station and 911/EOC buildings making the firm one of the most recognized regional architecture and engineering firms in the public safety field. Because of the firm's strong reputation, BCI has had the opportunity to work on many esteemed public safety projects in the region including the \$16 million Cuyahoga Emergency Operations Center for the City of Cleveland, Ohio. The firm has designed the following public safety projects throughout Kentucky, Ohio and West Virginia:

- 53 Fire Stations
- 12 Police Stations
- 10 EMS Facilities
- 9 911/EOC Facilities
- 2 FEMA Community Safe Rooms
- 86 Public Safety Projects!**



Firm Breakdown by Discipline

Discipline	No. of Employees	
	LICENSED	NON-LICENSED
Administrative		6
Architect	10	
Architecture Graduate		4
CADD Technician	7	3
Civil Engineer	5	2
Construction Inspector		3
Interior Designer*	1	
Landscape Architect	2	2
Project Manager*	7	3
Technician/Analyst		4
Transportation Engineer	2	
Surveyor	1	
LEED AP / LEED GA*	8	
Total	28	27

*denotes dual roles

Firm Principals - BCI

Lawrence W. Brandstetter, AIA	President
Bruce G. Brandstetter, PE	Senior Vice President
Michael E. Carroll, AIA	Senior Vice President
D. Bruce Whitehead, AIA	Senior Vice President
Monica G. Sumner, RA, CID	Vice President
Benjamin E. Brandstetter, PE	Vice President
Patrick D. Hoagland, ASLA	Principal
Richard T. Parker, AIA	Principal



Sub-Consultant Utilization

Shrout Tate Wilson (STW)

MEP Engineers

628 Winchester Road

Lexington, KY 40505

Shrout Tate Wilson Engineers has been providing outstanding consulting engineering service for almost 33 years, since the firm's beginning in 1981. STW's technical expertise is with mechanical, electrical, plumbing and fire protection systems. The Lexington based firm has been successful with steady growth to a staff of 23 members and the opening of a Louisville office in 2013. STW is committed to providing engineering solutions that exceed client expectations! The firm currently has the following qualification and accreditations.

- Professional Engineers (PE) - 6
- LEED Accredited Professionals (LEED AP) - 6
- Certified Commissioning Authorities (CxA) - 4
- Certified Energy Managers (CEM) – 3
- Registered Communications Distribution Designer (RCDD) – 1

The firm has the following relevant experience:

- LFUCG Central Fire Station
- LFUCG Transit Center
- West Liberty 911 Call Center
- Scott County Fire Station #4 Addition/Renovation
- Scott County Emergency Management System
- Scott County Fire Department

Abbie Jones Consulting (AJC)

Surveyor and Civil Engineer

1022 Fontaine Rd.

Lexington, KY 40502

Abbie Jones Consulting (AJC) began in 2011 with a vision to provide Professional Land Surveying and Engineering focused on building sustainable communities for future generations. Founded as a woman-owned firm, AJC works on small and large projects, for individual homeowners as well as partner with other firms for large government contracts. AJC has a team of licensed engineers, surveyors, and multiple technicians to do the job right the first time.

GOP Limited (GOP)

Structural Engineer

644 Linn Street, Suite 936

Cincinnati, OH 45203-1738

GOP Limited (formerly Graham, Obermeyer and Partners, Ltd) is an 11 person structural engineering firm located in Downtown Cincinnati certified as a Small Business Enterprise (SBE) by the City of Cincinnati, certified EDGE by the State of Ohio and registered SBE with the Federal Central Contractor Registration (CCR). The firm has a reputation for a combination of experience and academic training which yields solid problem solving and innovative design. The firm's mission is to provide clients with innovative, yet practical and functional structural design that meets the specific needs of each client and project.

- Woodspoint Firehouse
- Hebron Fire Station #1
- Edgewood Municipal Building
- Covington Firehouse #8
- Campbell County Firehouse
- Rising Sun Firehouse
- Fire Station #18 Roof Joist Analysis
- Cincinnati Fire Department Training Facility
- Route 50 Fire Station

AMEC

Environmental and Geotechnical Engineer

2456 Fortune Drive, Suite 100
Lexington, KY 40509

AMEC Environment & Infrastructure, Inc. (AMEC) is a specialty geotechnical, materials, environmental, and water resource consultant. AMEC's Lexington and Louisville, Kentucky offices have more than 100 professional and technical staff ready to assist on this contract. AMEC has comprehensive and long-term experience providing geotechnical engineering services in Kentucky for more than 50 years.

Ellipse Consulting Services

Cost Estimating Consultant

2692 Madison Road
Cincinnati, OH 45208

Ellipse Consulting Services is comprised of industry professionals whose experience spans many of the major trades in the industry. The Ellipse team is committed to being a part of the industry through involvement in organizations that aid in maintaining the continuing education to keep Ellipse at the forefront of industry developments and "Best Practices". The company relies on its experience which spans many decades. This wisdom is the foundation for separating trends from true best practices. The following are the company's core competencies:

- Cost estimating
- Design/Construction Scheduling services
- Owner's Representation
- Constructability services
- Claims services
- Change Order services
- Cost Segregation services

Paladin Inc.

Commissioning Agent

143 Walton Avenue
Lexington, KY 40508-2315

Paladin is comprised of LEED APs, certified commissioning professionals, professional engineers, controls specialists, and in-house field technicians. Paladin's historical average rate of return on commissioning fees is less than one year. Over a twenty-seven year history, that statement says a lot about the level of service and value Paladin brings the Project Team.

Paladin brings deep-rooted experience in commissioning building projects for government agencies throughout the Commonwealth and notably for LFUCG. Paladin's project success, on both renovation and new construction, demonstrates an existing and in-depth familiarity with LFUCG's Maintenance Teams, Controls Systems, and Owner Project Requirements. This history is derived from the projects listed below.

- OCAE Burlington Readiness Center-LEED /Design & Construction Phase Commissioning
- Commonwealth Emergency Operations Center /Construction and Warranty Phase Commissioning
- Carver Community Center/Pre-Design through Warranty Phase Commissioning
- Lyric Theatre Addition and Renovation-LEED /Fundamental Commissioning
- Dunbar and Black & Williams Community Centers | Energy Efficiency Retrofits
- Energizing the Arts |Energy Assessments
- Phoenix building HVAC and Piping Replacement Project | Engineering Design
- Municipal Recycling Center Addition | Engineering Design
- Charles Young Center HVAC Replacement Project /Engineering Design
- Youth Services Building | HVAC Evaluation

A. Work Plan: Management Approach

Scope of Services

a. Planning, Programming and Schematic Design – The Architect will:

The Architect will provide schematic floor plans, elevations, and other drawings as required depicting the scope of the proposed project. Schematic engineering drawings will also be prepared to assist the Owner in the understanding of the proposed improvements. A cost estimate will also be prepared for Owner review.

b. Design Development – The Architect will:

The Architect will provide more detailed drawings and outline specifications which will show the integration of building systems such as mechanical, electrical, plumbing, fire protection, structural, and architectural elements. The construction cost estimate will be updated and reviewed with the Owner for approval.

c. Construction Documents -- The Architect will:

The Architect will prepare detailed drawings and specifications depicting the entire scope of the project. Owner meetings will be held at significant intervals for timely decision making, updates, and completion. A final cost estimate will be prepared at the conclusion of this phase.

d. Bidding and Plan Review

The Architect will prepare all necessary bidding documents and assist the Owner in the securing of permits. The Architect will address Contractor questions, issue addenda, attend the bid opening, and assist in the evaluation of bids. Upon the selection of a Contractor by the Owner, the Architect will prepare the necessary Contract Documents and related instruments.

e. Construction Administration – The Architect will:

- a. Conduct field visits.
- b. Attend progress meetings.
- c. Recommend the acceptance or rejection of work.
- d. Clarify Construction Documents.
- e. Generate field reports.
- f. Review submissions.
- g. Approve Pay Requests.
- h. Perform final inspection.

f. Warranty Review – The Architect will:

At the conclusion of the Warranty period (one year) the Architect will meet with Owner and Contractor on site to determine if any warranty items need contractor attention.

B. Commitment to Energy Efficient Buildings

The firm currently has 10 LEED Accredited Professionals or LEED Green Associates on staff all dedicated to providing sustainable solutions and energy efficient design. The sustainable LEED approach to any project begins with an Eco Charette that brings all stakeholders together to determine the scope of the project. The project is broken into sustainable opportunities for site, water, energy, indoor environmental quality, materials and resources, as well as innovation and design. All of these concepts are inter-related and affect each other. Thus, strategies will be developed with the stakeholders of this project to incorporate inventive and cohesive designs for sustainability including lighting, information technology and audio visual systems. Sustainable design elements can be implemented to reduce maintenance and operation costs once the transformation is complete. The Team is more than qualified to facilitate the requirements to pursue LEED certification. Members of the Team have earned the following LEED certifications for their clients:

BCI LEED Experience

- Kentucky Association of Counties Office is **LEED Gold Certified**
- 308 East 8th Street Office Building is **pending LEED Silver certification**
- Wolfe County Judicial Center is **LEED Silver Certified**

STW LEED Experience

- Bernheim Arboretum Visitor’s Center was KY’s first **LEED Platinum** Project
- Jim Beam American Stillhouse Visitor’s Center received **LEED Gold**
- Kentucky National Guard Readiness Center is **LEED Silver** (self-certified)
- Glasgow High School is **LEED Certified**
- Montgomery County Elementary School is **LEED Certified** (self-certified)

C. Schedule

Task	2013		2014						2015	
	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	July-June	July
Phase A Pre-Design										
Schematic Design										
Phase B Design Development										
Phase C Construction Documents										
Phase D Bidding Assistance										
Construction Administration										
Punch-list, Inspection and Close-out										
Occupancy										★

*Services beyond Phase A are contingent upon City’s approval of Phase A.

D. Coordination of Disciplines and Quality Control Program/Client Satisfaction

Quality Control/ Assurance Program

BCI’s QC/QA program was developed as a result of the Firm’s commitment to earning customer loyalty based upon the consistent ability to deliver quality service and projects to our clients. The BCI program placed emphasis on the fact that quality control begins with the first project meeting and continues through the life of the project. The program is simple, yet effective, and is broken down into four levels with each dependent from one another. The four levels are:

- Pre- Design – Contracting, Coordination, Schedule development, Project kick-off
- Schematic Design – Development of design concepts and strategies
- Design Development – Continuation of Schematic Design with emphasis on costing
- Construction Documents – Reviews at 30%, 60% and 90%, final review at completion

The other key component to the program is assembling a quality control team to oversee quality issues throughout the entire project. The team includes peer reviewers from each firm with representatives from each discipline involved in the project.

The cost of any project is managed by reducing the number of unnecessary Change Orders in the field. This is accomplished by presenting the contractor with a thorough set of Construction Documents and Specifications that are clear and can be interpreted without confusion, as well as by assigning a single Construction Administrator to oversee the firm’s Construction Phase services. This phase typically consists of 25% of the

total services provided by BCI. As a result, the firm's Principal-in-Charge and Project Manager are involved during the construction process and are on site on a monthly basis. The assigned Construction Administrator is on site on a weekly basis as well. **The firm's quality control procedures have enabled BCI to maintain a percentage of Change Orders of 1.5% compared to the national average of 4%.**

Schedule Control Methods

BCI is committed to accurate and proactive scheduling to eliminate potential surprises and delays in progress. Scheduling strategies will be the responsibility of the Principal-In-Charge and Project Manager to ensure milestones are met. As the project initially develops, the scheduling staff places the project goals into a Critical Path Method (CPM) schedule. The schedule will reflect goals at each project interval and aid in tracking progress.

Cost Control Methods

The BCI Team will approach cost control on this project as a continuous process. It is customary for BCI to evaluate cost implications of all major decisions throughout the design process, regardless if it falls at the end of a phase. By doing this, the Owner remains continually attune to all elements of the project affecting cost.

Proactive communication works well with all Team partners regarding the effect decisions can potentially have on cost. The role of the Project Manager is keep everyone involved in the decision making process. The ultimate goal of the BCI team relative to budget items is to have no surprises! In summary, effective leadership, planning and communication will best position the BCI Team to serve the needs of the City by maintaining control over the project by establishing a realistic schedule that will be met, the inclusion of all Team members for decision making and buy-in, and lastly adhering to the specified project budget.

E. Continuing Education Program

BCI has conducted internal education programs for staff for over 15 years. The internal program supplements employee's participation in continuing education programs outside of the office as part of license requirements and individualized employee professional development plans. Each employee reviews their professional development plan annually with their supervisors to monitor and refine their plan of action to grow professionally in specific areas of expertise.

The program is titled "Tier II" and aimed at the second tier of leadership and up and coming project managers. Topics in this program included, but were not limited to: contract documents; project management; ADA accessibility; professional liability; customer service; and much more.

The firm has recently assigned an Education Committee to form a long range plan for a broader effort to assist in all employees' professional development. The Committee conducted a survey of staff to identify the individual's needs and desires for continuing education and professional development. The goals of the committee are:

1. Provide education opportunities for staff to assist in obtaining required continuing education
2. Provide mentoring opportunities for younger staff
3. Provide orientation for new staff

The plan involves a variety of venues including:

1. In office lunch programs
2. new staff orientation and mentoring
3. sharing of in-house talents, webinars, on-line sessions
4. professional society local and national programs

A copy of the firm's complete Continuing Education Plan is available upon request.



Mr. Carroll is co-founder and Vice President of BCI and will serve as Principal-in-Charge for this project. He has over 30 years of experience in the design of public safety facilities, including 30 fire and EMS facilities and 15 police stations. Mr. Carroll will be involved in developing the written Building Program and Schematic Design, and oversee the delivery of Basic Services by the firm. He will review cost estimates and monitor the project schedule.

EDUCATION

University of Cincinnati, Bachelor of Architecture
College of Design, Art, Architecture
The Harvard Graduate School of Design, "The New American Courthouse"

REGISTRATION

Registered Architect:

State of Ohio #7906423, Commonwealth of Kentucky #2391, State of South Carolina, State of Tennessee, State of Illinois, State of Wisconsin, State of New York, State of Texas #17331, Commonwealth of Virginia #0401005846

AFFILIATIONS

- American Institute of Architects
- National Council of Architectural Registration Boards
- Leadership Lexington 1985-86

EXPERIENCE

Public Safety Facilities

- Mt. Juliet Public Safety Facility Study
Mt. Juliet, Tennessee
- Bryan Police and Fire Complex
Bryan, Ohio
- Museum and Fire Station
Bowling Green, Kentucky
- Existing Fire Station Renovation
Bowling Green, Kentucky
- Air rescue Fire Fighting Facility Renovations
Bowling Green, Kentucky
- North Olmsted Fire Station No. 2
North Olmsted, Ohio
- Solon Fire Station
Solon, Ohio

- Loveland Fire Station Renovation,
Loveland, Ohio
- Lebanon Fire Station No. 2 Renovation
Lebanon, Ohio
- Irvine Municipal Complex,
Irvine, Kentucky
- Fire Station
Elizabethtown, Kentucky
- Clearcreek Township Station #22 & 23
Springboro, Ohio
- Fire Station No.2
Calcutta, Ohio
- Seven Hills Fire Station
Seven Hills, Ohio
- Fire Station No.2
Aurora, Ohio
- Police Headquarters
Cincinnati, Ohio
- Anderson Township Sub-station No.3
Anderson Township, Ohio
- Newport Municipal Complex
Newport, Kentucky
- Rescue/Fire Fighting Building, Cincinnati/Northern Kentucky International Airport
Hebron, Kentucky
- Wyoming Safety Services Building
Wyoming, Ohio
- Fire Sub-station No.3
Troy, Ohio
- Bay Village Police Headquarters
Bay Village, Ohio
- Safety Services Building
Upper Sandusky, Ohio
- EMS/Fire Station Facility
Washington Township, Ohio
- Municipal Building
Ft. Wright, Kentucky
- Somerset City Hall (Includes Police)
Somerset, Kentucky



Since joining the firm, Mr. Chambers has served as the Project Manager for a variety of criminal justice and public safety facilities. He will be responsible for producing the Construction Documents and Specifications, coordinate sub-consultant information, assist with Bidding information, and answer Requests for Information.

EDUCATION

University of Kentucky
Bachelor of Architecture /Business Minor
PSMS Project Management (BARCH) Bootcamp — 2006
Recreation Facility Design School — 2006
High Performance School buildings — 2012

REGISTRATION

Registered Architect: Commonwealth of Kentucky #6882
LEED Green Associate
Construction Document Technologist (CDT)
Certified Pool Operator, Ohio Aquatic Council, LTD - (CPO)

AFFILIATIONS

- American Institute of Architects (AIA)
- Baptist Church at Andover Property and Facility Task Force

HIGHLIGHTED PUBLIC SAFETY EXPERIENCE

- Cuyahoga County EOC
Broadview Heights, Ohio
- Somerset City Hall (Includes Police)
Somerset, Kentucky
- Cincinnati Police Headquarters Renovation
Cincinnati, Ohio
- Bryan Public Safety Complex
Bryan, Ohio
- Wayne County 911 Center and EOC
Wayne, West Virginia
- Kenton County Detention Center
Covington, Kentucky



Mr. Hardin has been a member of BCI for 17 years and has over 20 years of experience in public sector infrastructure development. He will be responsible for implementing the firm's Quality Control procedures. This process includes a review of Construction Documents and Specifications at 30%, 60%, 90% and 100% completion. He will conduct weekly in-house progress meetings with members of the Design Team to ensure the project is completed on time.

EDUCATION

Graduate of Rowan State Technical School
Architectural Design and Technology - 1984-1986
University of Kentucky – 1990-1992
Art and General Studies

HIGHLIGHTED PUBLIC SAFETY EXPERIENCE

- Bryan Public Safety Complex
Bryan, Ohio
- Wayne County 911 Center and EOC
Wayne, West Virginia
- Ashland Police Headquarters
Ashland, Kentucky
- Headquarters; Fire Station Renovation
Bowling Green, Kentucky
- Police Headquarters Renovation
Cincinnati, Ohio
- Fire Station No. 2 Renovation
Loveland, Ohio
- Fire Station No. 3
Georgetown, Kentucky
- Clearcreek Township Fire Stations #22 & #23
Springboro, Ohio



Mr. Schneider is a Professional Engineer with over 15 years of experience. He will serve as Civil Engineer for this project. He will be responsible for the site design and will review issues such as storm water management, utility systems design, parking configuration, pedestrian and vehicular circulation, and building placement. Mr. Schneider has served in a similar capacity for all of BCI's public building projects since joining the firm.

EDUCATION

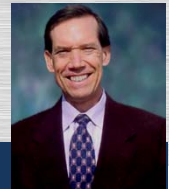
University of Texas
University of Kentucky, B.S.C.E.

REGISTRATION

Professional Engineer:
Commonwealth of Kentucky #22599

HIGHLIGHTED SITE DEVELOPMENT EXPERIENCE

- North Olmsted Fire Station No. 2 & Emergency Operation Center
North Olmsted, Ohio
- Stow Fire Station No. 2 and Stow Fire Station No. 3
Stow, Ohio
- Olmsted Township Fire Station
Olmsted Township, Ohio
- Ashland Police Headquarters
Ashland, Kentucky
- Irvine Police Headquarters
Irvine, Kentucky
- Danville City-Wide Municipal Facilities Study
Danville, Kentucky
- Bryan Police and Fire Station
Bryan, Ohio
- Irvine Municipal Complex
Irvine, Kentucky



Mr. Horman is a Senior Landscape Architect and has 35 years experience in landscape architecture and site design for public buildings. He will work closely with Mr. Schneider in developing the site master plan for the Fire Station. Mr. Horman has served in a similar capacity for the majority of the firm's public building projects over the past 10 years.

EDUCATION

University of Kentucky
B.S. Landscape Architecture

REGISTRATION

Registered Landscape Architect:
Commonwealth of Kentucky

HIGHLIGHTED SITE PLANNING/URBAN DESIGN EXPERIENCE

- Solon Fire Station
Solon, Ohio
- Stow Municipal Court
Stow, Ohio
- Elyria Municipal Court
Stow, Ohio
- Green County Judicial Center
Greensburg, Kentucky
- Kenton County Jail
Covington, Kentucky
- Kentucky Association of Counties Office Headquarters
Frankfort, Kentucky
- Washington County Judicial Center
Springfield, Kentucky
- Hart County Judicial Center
Munfordville, Kentucky



Mr. Beattie is the Director of Construction Administration services for BCI with nearly 20 years' experience in public infrastructure projects. He is a Certified Construction Contract Administrator and has provided Construction Administration for the majority of the firm's public safety projects. He will review construction progress and conformance to Construction Documents, conduct weekly progress meetings, with the Owner representatives and various field representatives, review shop drawings, and produce field memorandums for distribution.

EDUCATION

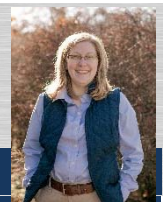
Lexington Community College, Associates in Architecture
University of Kentucky – College of Architecture

AFFILIATIONS

- Volunteer Fire Fighter/1st Responder, Camp Dick Fire & Rescue, Lancaster, Kentucky (since 1997)
- Chief Deputy, 3rd District Constables Office, Lexington, Kentucky (since 1996)

HIGHLIGHTED PUBLIC SAFETY EXPERIENCE

- Cincinnati Police Headquarters
Cincinnati, Ohio
- Ashland Police Headquarters
Ashland, Kentucky
- Danville City Hall and Police Station
Danville, Kentucky
- Blue Grass Airport Air Rescue Fighter Fighting and Police Facility Renovation
Lexington, Kentucky
- Clearcreek Township Fire Station No. 22 & No. 23
Warren County, Ohio
- Bowling Green Fire Headquarters
Bowling Green, Kentucky
- Bowling Green Central fire Renovation
Bowling Green, Kentucky
- Air Rescue Fire Fighting Renovation/Addition
Bowling Green, Kentucky



As a small business, AJC has grown to include a regular base of private and public customers. While the DBE program has helped connect AJC with other businesses, it is not dependent upon such contracts for survival. AJC's success has been passed along to employees-two of which have bought homes in 2013. Current staff includes two PLS, one PE, one EIT, and one field crew technician.

EDUCATION

BS, Civil Engineering, 2000
Tennessee Technological University
Additional Survey Coursework
Southern Polytechnic State University

PROFESSIONAL REGISTRATIONS:

Kentucky PE 26780, Kentucky PLS 3639
Certified Floodplain Manager
KPESC-RI Erosion Card

HIGHLIGHTED SITE DEVELOPMENT EXPERIENCE

- Lexington-Fayette Urban County Government Annex Parking Garage Property Subdivision Plat. (2012-2013)
- Cardinal Lane Stormwater Improvements Topographic Survey (2013)
- Rogers Road Stormwater Improvements Topographic Survey (2013).
- LFUCG Household Hazardous Waste Facility Topographic Survey (2012)
- FEMA Project for the University of Kentucky at Nicholasville Rd. (2012)
- Cooper Drive Topographic Survey and Boundary Research (2013)
- CentrePointe Combination Plat- (2013)
- Rupp Arena Topographic Survey (2013)

DAVID EPPERSON, P.E.

Electrical Engineer

EDUCATION

Bachelor of Science in Electrical Engineering
May 1986

University of Kentucky College of Engineering

REGISTRATIONS

PE (Professional Engineer)

Mr. Epperson designs electrical systems for commercial buildings including K-12 Schools, Office Buildings, Government and Municipal buildings, Banks and Churches.

HIGHLIGHTED EXPERIENCE

- Scott County Fire Station #4 Addition/Renovation
- Scott County Emergency Management System
- Scott County Fire Department -Sadieville

E. TYLER WILSON, P.E.

Electrical Engineer

EDUCATION

Juris Doctor, May 1994
University of Kentucky College of Law
Bachelor of Science in Electrical Engineering, May 1991
University of Kentucky College of Engineering

REGISTRATIONS

PE (Professional Engineer)
RCDD (Registered Communications Distribution Designer)
LEED AP
CEM (Certified Energy Manager)
CxA (Certified Commissioning Authority)

HIGHLIGHTED EXPERIENCE

- Bernheim Arboretum and Research Forest Visitor's Center - LEED Platinum
- West Liberty 911 Call Center
- Jim Beam American Stillhouse Visitor's Center - LEED Gold
- Kentucky National Guard Readiness Center – LEED Silver

RALPH L. WHITLEY, P.E.

Mechanical Engineer

EDUCATION

BS, Civil Engineering, 2000
Tennessee Technological University
Additional Survey Coursework
Southern Polytechnic State University

PROFESSIONAL REGISTRATIONS

Kentucky PE 26780, Kentucky, PLS 3639
Certified Floodplain Manager
KPESC-RI Erosion Card

HIGHLIGHTED EXPERIENCE

- LFUCG Transit Center
- Jim Beam American Stillhouse Visitor's Center – LEED Gold
- Kentucky National Guard Readiness Center – LEED Silver
- Glasgow High School – LEED Certified

WHITLEY C. CASEY, P.E..

Mechanical Engineer

EDUCATION

Bachelor of Science in Electrical Engineering, Minor in Mathematics; Graduated Magna Cum Laude, May 2003
University of Kentucky College of Engineering

PROFESSIONAL REGISTRATIONS

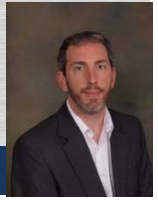
PE (Professional Engineer)
LEED (Leadership in Energy and Environmental Design)
Accredited Professional
CEM (Certified Energy Manager)
CxA (Certified Commissioning Authority)
L.S.I.T. (Land Surveyor in Training)

HIGHLIGHTED EXPERIENCE

- LFUCG Central Fire Station
- Glasgow High School – LEED Certified
- Montgomery County Elementary – LEED Certified



Del Farley II, E



Chief Estimator

As the principal of Ellipse Consulting Services Mr. Farley is a member of the American Society of Professional Estimators (ASPE), where he has served at the chapter and national levels formally as a national committee chairman and as his local chapter's president.. Del's skillset includes knowledge spanning from architectural/structural through the mechanical trades of construction. Del has 15 years of diverse construction industry experience focusing on estimating and budgeting. He has provided professional estimating services for many markets and disciplines for the last ten years.

EDUCATION

College of Mt. St. Joseph
BS Business Administration

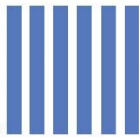
REGISTRATIONS

ASPE Chapter 38 – Past President Consulting Estimators
RoundTable (CERT) - Founder

HIGHLIGHTED EXPERIENCE

- St. Bernard Safety Center
City of St. Bernard
- City Hall Renovations
City of Covington Kentucky
- Harrison Avenue Fire Station - Renovation
Cincinnati Fire Department
- Washington Park Redevelopment
- City of Cincinnati Metro Sewer District

GOP Limited
Structural Engineers



Michael A. Frank, P.E.



Structural Engineer

Mr. Frank's thirty-three years of comprehensive structural engineering experience includes the structural analysis and design of buildings, retaining structures, feasibility studies, seismic design, forensic investigations and the renovation of existing structures. He joined the firm in 1980 and became a principal in 1988. He currently serves as president.

EDUCATION

BS, Civil Engineering
University of Cincinnati, 1980

REGISTRATIONS

PE: OH, KY, AL, FL, GA, IL, IA, KS, MD, MI, MS, MO, NY, NC, PA, SD, TX, VA, WI

PROFESSIONAL ASSOCIATIONS

Allied Construction Industries; American Concrete Institute; American Council of Engineering Companies; American Plywood Association; American Society of Civil Engineers; Construction Specification Institute; U.S. Green Building Council

HIGHLIGHTED EXPERIENCE

- Government and institutional facilities
- Sustainable Design/LEED Facilities
- Detention Facilities
- Schools and university buildings
- Retail and wholesale buildings
- Large industrial and warehouse facilities
- Utility plants
- High rise and office buildings
- Hospitals and medical office buildings
- Special purpose laboratories
- Parking garages
- Restaurants and entertainment facilities
- Recreational facilities
- Shopping Centers

Robert D. Money, PG

Branch Office Manager & Environmental Department Manager

Professional qualifications/Registration(s)

Licensed Professional Geologist (LPG), Indiana, #2176, 2005
 Professional Geologist (PG), Kentucky, #2229, 1997
 Professional Geologist (PG), Tennessee, #TN2095, 1991
 Certified Professional Geologist (CPG), Virginia, #882, 1992

Education

B.S., Geology/Geophysics, University of Kentucky, Lexington, Kentucky, USA, 1986
 M.S., Geology/Hydrogeology, University of Kentucky, Lexington, Kentucky, USA, 1991

Areas of Expertise

- Phase I and II Environmental Site Assessments and Environmental Auditing
- Groundwater and Soil Investigation and Remediation
- Other Environmental Management

Robert A. Perkins, PG, MBA

Senior Project Manager

Professional qualifications/Registration(s)

Professional Geologist, Kentucky, #970, 1991

Education

MBA, Business Management, University of Louisville, Louisville, Kentucky/USA, 2000
 MS, Hydrogeology, University of Kentucky, Lexington, Kentucky/USA, 1989
 BS, Geology, University of Kentucky, Lexington, Kentucky/USA, 1981

Areas of Expertise

- Environmental analyses, investigations, and remediation that involve understanding and evaluating surface and subsurface environmental conditions
- Using professional judgment to develop opinions regarding releases of hazardous materials.

Mark J. Schuhmann, P.E.

Principal Geotechnical Engineer

Professional qualifications/registration(s)

Professional Engineer, Kentucky No. 12500, 1981
 Professional Engineer, Indiana No. 8704705, 1987

Education

M.S., Civil Engineering, University of Louisville, Louisville, Kentucky, USA, 1978
 B.S., Applied Science, University of Louisville, Louisville, Kentucky, USA, 1978

Areas of Expertise

- Geotechnical design
- Soil and rock drilling
- Laboratory testing
- Geotechnical reports, field observations, and evaluations
- Karst terrain development
- Dam design
- Remediation of existing dams
- Dam safety-related activities
- Geophysical studies
- Geotechnical literature searches

Megan Shea Carr, P.E.

Project Engineer

Professional qualifications/Registration(s)

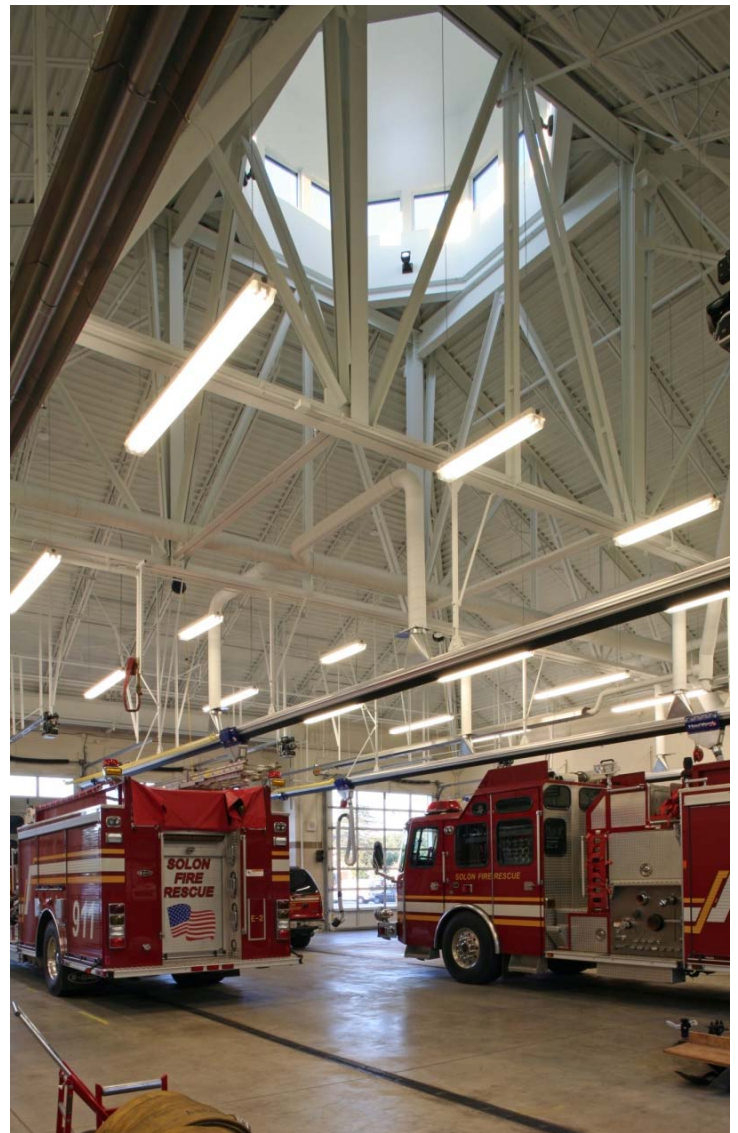
Professional Engineer, Kentucky No. 25095
 2006

Education

BSCE, Civil Engineering, University of Memphis, Memphis, Tennessee/USA 1999

Areas of Expertise

- proofrolling subgrades prior to placement of structural fill
- observing footings for bearing capacity verification
- observe., excavate and replace operations related to replacing unsuitable soils with acceptable fill material.
- dynamic cone penetrometer (DCP) tests in the field to establish bearing capacities of fill materials and in-situ soils.
- laboratory testing of soils and concrete, field inspections including fill placement monitoring, reinforcing steel, sampling fresh concrete, and masonry inspection.



Owner: Solon, Ohio

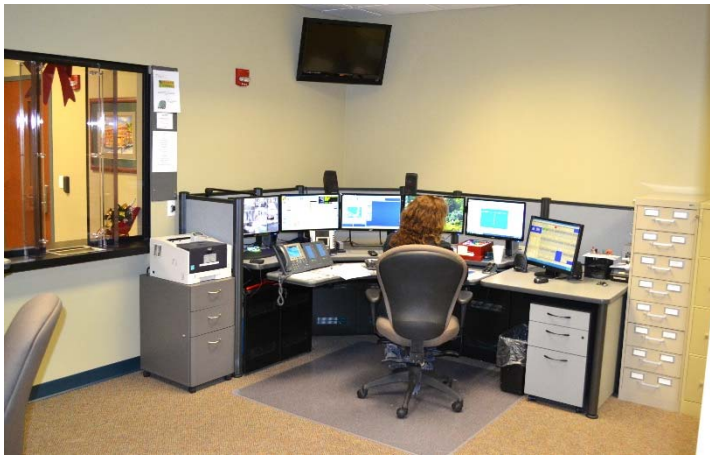
Contact: Chief William J. Shaw
5595 Harper Road
Solon, Ohio 44139-182
440.349.6333

Project Budget: \$4,500,000

Estimate: \$4,100,000

Final Cost: \$3,560,813

Brandstetter Carroll Inc. provided professional design services for a new 15,000 square foot fire station located in the heart of Solon, Ohio. The facility includes four drive-thru bays, living quarters, offices, and on-site training. Total construction cost was approximately \$3,560,000 and was completed in 2008.



Owner: City of Bryan

Contact: Mr. Doug Johnson
304 West High Street
Bryan, Ohio 43506
419.636.4232

Project Budget: \$6,800,000

Estimate: \$6,721,725

Final Cost: \$7,000,000

This new 48,000 s.f. facility is a joint police and fire complex. The Police Department includes dispatch, administration and patrol offices, training room, two holding cells, physical training room, investigation room, evidence storage, vehicle storage garage, and a secured sallyport. The Fire Department includes six drive-thru bays, living quarters and offices. The total construction cost was approximately \$7,000,000 and was completed in 2012.



Owner: City of North Olmsted

Contact: Ms. Kimberley Wenger, AICP, Director of Planning and Development
24291 Lorain Rd
North Olmsted, OH 4407
440.716.4118

Project Budget: \$3,200,000

Estimate: \$3,200,000

Final Cost: \$3,141,504

The North Olmsted Fire Station No. 2 is a three-bay, drive-through satellite station of 15,000 square feet that includes an emergency operations center, an eight-bed dormitory and other support spaces. These include a fitness room, library, shift office, work room, kitchen and day-room to accommodate theater-style seating. The apparatus area includes support spaces for turn-out gear, air, work and tool rooms. A second-level catwalk leads to a storage mezzanine and can also be used for training exercises, as can the oversized stair-tower with an 8 x 8 center opening. The site sits next to Cleveland Metroparks Bradley Woods Reservation and shares an access drive to the park's trailhead.



BCI was commissioned by the City of Bowling Green to complete two projects for the Bowling Green Fire Department.

The first project was a new Fire Department Headquarters building which began in late 2007. The facility is a two-story building with 8,400 square feet that includes offices for Fire Department personnel, and a museum space with a historic fire truck.

The firm then renovated the approximately 5,000 square feet of living spaces and offices in the adjacent Central Fire Station. Exterior improvements were made to the roof fascia, façade, driveways and parking areas.

These projects were completed for a combined total cost of \$2,578,315.

In late 2010, BCI was hired to renovate the Bowling Green Airport Air Rescue Fire Fighting Facility. The firm renovated 1,700 square feet of existing dormitory, laundry facility, bathroom and office space, and designed a 1,900 square foot addition that contains additional dormitory space, offices, new kitchen, and exercise room. This project was completed for \$515,000.



Owner: City of Bowling Green

Contact: Lt. Jim Mullen
Jim.mullen@bgky.org
270.393.3702

Project Budget: \$1,500,000 (Central Fire Station)

Estimate: \$1,450,000 (Central Fire Station)

Final Cost: \$3,093,315 (all three projects)



Current Workload

Brandstetter Carroll Inc. has the following projects under contract:

Project Name	Scope in Dollars	Manpower Assignment (%)	Phase
BCI Current Contracts in Design:			
Somerset Energy Center	\$8,000,000	15	Bidding
Lancaster Municipal Court	\$6,800,000	10	CD
Georgetown Police Department	\$4,500,000	15	CD
Wyandot County Engineer's Complex	\$4,500,000	5	Bidding
CVG Airport Office Relocation	\$2,500,000	10	SD
Ross County Pioneer Center Renovation	\$1,000,000	5	CD
BCI Current Contracts in Construction:			
Wayne County Courthouse Renovation	\$4,500,000	3	65%
Ohio University – Shoemaker Hall Renovation	\$450,000	1	95%
Clark County EOC	\$1,900,000	5	Bidding

Staff Availability to Perform Services

BCI is currently at 75% of its historical workload capacity. The firm has the resources and availability to begin work on this project immediately and complete the project per the schedule.

Mr. Bill Willis Wayne County EOC 6087 Hendricks Street, 2nd Fl. Wayne, WV 25570 304.272.6373 wwwilllis@wayne911.com	Mr. Robert Horine Campbell Co Fiscal Court 1098 Monmouth Street Newport, Ky 41071 859.547.1805 rhorine@campbellcountyky.org	Mayor Doug Johnson 304 West High Street Bryan, Ohio 43506 419.636.4232 mayor@cityofbryan.com
Mayor Eddie Girdler 400 E. Mt. Vernon Street Somerset, KY 42502-0989 606.679.6366 egirdler@cityofsomerset.com	Mr. Gary Epperson Clark County EMA Director 16 South Maple Street Winchester, KY 40391 859.745.7415 gepperson@clarkema.com	Mr. Rodney Ballard LFUCG 600 Old Frankfort Circle Lexington, KY 40510 859.425.2611 rballard2@lexingtonky.gov

“Developing and initiating our Emergency Operations Center Project in Clark County has been a monumental task. Our architects, Brandstetter Carroll, have made this experience much more bearable, in fact it has made us keenly aware of the needs of our county and have been responsive to our questions. We are glad to have them as a partner in this endeavor.”

Henry Branham, Clark County Judge Executive

“Brandstetter Carroll has been a proven leader in innovative ideas and approaches during our working relationship which has developed over the past five years. Their approach to teambuilding was quickly embraced, which ultimately produced a stunning and impressive addition to our community.”

Larry Foxworthy, Fleming County Judge Executive

“Brandstetter Carroll has successfully provided professional services and the personal attention required for the entire project. We are very pleased with the services which have been provided to Wayne County E-911. The Team met all our APCO and NENA requirements, State Fire Marshall, Office of Homeland Security and Emergency Management requirements. We would like to thank you for the great job you did with Wayne County E-911 and Emergency Operations Center.

James L. Cooper, Operations Supervisor, Bill Willis, Director, Wayne County E-911 and Office of Emergency Services

AFFIDAVIT

Comes the Affiant, Lawrence W. Brandstetter, and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Lawrence W. Brandstetter and he/she is the individual submitting the proposal or is the authorized representative of Brandstetter Carroll Inc., the entity submitting the proposal (hereinafter referred to as "Proposer").
2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.
3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.
4. Proposer has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.
5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.
6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

Continued on next page

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

Lynda F. Mates

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me

by Lawrence W Brantlett on this the 22nd day

of October, 2013.

My Commission expires: 8-9-2016

NOTARY PUBLIC, STATE AT LARGE

EQUAL OPPORTUNITY AGREEMENT

The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

The Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap.

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:


The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.

The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

Bidders

I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.


Signature

Brandstetter Carroll Inc.

Name of Business

WORKFORCE ANALYSIS FORM

Name of Organization: Brandstetter Carroll Inc.

Date: 10 / 15 / 13

Categories	Total	White		Latino		Black		Other		Total	
		M	F	M	F	M	F	M	F	M	F
Administrators	4		2	2						2	2
Professionals	31	26	3	2						28	3
Superintendents											
Supervisors											
Foremen											
Technicians	12	12								12	
Protective Service											
Para-Professionals											
Office/Clerical	7	1	6							1	6
Skilled Craft											
Service/Maintenance											
Total:	55										

Prepared by: Lawrence W. Brandstetter, President

Name & Title

**DIRECTOR, DIVISION OF CENTRAL PURCHASING
LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
200 EAST MAIN STREET
LEXINGTON, KENTUCKY 40507**

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL
EMPLOYMENT OPPORTUNITIES AND DBE CONTRACT PARTICIPATION**

Notice of requirement for Affirmative Action to ensure Equal Employment Opportunities and Disadvantaged Business Enterprises (DBE) Contract participation. Disadvantaged Business Enterprises (DBE) consists of Minority-Owned Business Enterprises (MBE) and Woman-Owned Business Enterprises (WBE).

The Lexington-Fayette Urban County Government has set a goal that not less than ten percent (10%) of the total value of this Contract be subcontracted to Disadvantaged Business Enterprises, which is made up of MBEs and WBEs. The goal for the utilization of Disadvantaged Business Enterprises as subcontractors is a recommended goal. Contractor(s) who fail to meet such goal will be expected to provide written explanations to the Director of the Division of Purchasing of efforts they have made to accomplish the recommended goal, and the extent to which they are successful in accomplishing the recommended goal will be a consideration in the procurement process. Depending on the funding source, other DBE goals may apply.

For assistance in locating Disadvantaged Business Enterprises Subcontractors contact:

Marilyn Clark, Division of Central Purchasing
Lexington-Fayette Urban County Government
200 East Main Street, 3rd Floor, Room 338
Lexington, Kentucky 40507
mclark@lexingtonky.gov

Firm Submitting Proposal: Brandstetter Carroll Inc.

Complete Address: 2360 Chauvin Dr., Lexington, KY 40517
Street City Zip

Contact Name: Michael E. Carroll Title: Vice President

Telephone Number: 859.268.1933 Fax Number: 859.268.3341

Email address: mcarroll@bciaep.com



LFUCG MWDBE PARTICIPATION FORM
Bid/RFP/Quote Reference # 30-2013

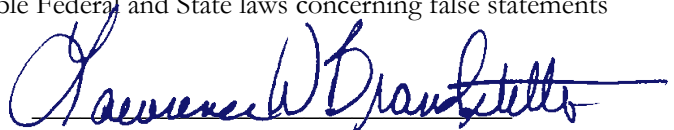
The MWDBE subcontractors listed have agreed to participate on this Bid/RFP/Quote. If any substitution is made or the total value of the work is changed prior to or after the job is in progress, it is understood that those substitutions must be submitted to Central Purchasing for approval immediately.

MWDBE Company, Name, Address, Phone, Email	Work to be Performed	Total Dollar Value of the Work	% Value of Total Contract
1. Abbie Jones Consulting	surveying; civil engineering	unknown	unknown
2. Paladin Inc.	Commissioning Agent	unknown	unknown
3.			
4.			

The undersigned company representative submits the above list of MWDBE firms to be used in accomplishing the work contained in this Bid/RFP/Quote. Any misrepresentation may result in the termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Brandstetter Carroll Inc.
Company

October 23, 2013
Date


Company Representative

President
Title



LFUCG MWDBE SUBSTITUTION FORM
Bid/RFP/Quote Reference # 30-2013

The substituted MWDBE subcontractors listed below have agreed to participate on this Bid/RFP/Quote. These substitutions were made prior to or after the job was in progress. These substitutions were made for reasons stated below and are now being submitted to Central Purchasing for approval. By the authorized signature of a representative of our company, we understand that this information will be entered into our file for this project.

SUBSTITUTED MWDBE Company Name, Address, Phone, Email	MWDBE Formally Contracted/ Name, Address, Phone, Email	Work to Be Performed	Reason for the Substitution	Total Dollar Value of the Work	% Value of Total Contract
1. N/A					
2.					
3.					
4.					

The undersigned acknowledges that any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and false claims.

Brandstetter Carroll Inc.

Company

10/23/13

Date

Company Representative

President

Title



MWDBE QUOTE SUMMARY FORM

Bid/RFP/Quote Reference # 30-2013

The undersigned acknowledges that the minority subcontractors listed on this form did submit a quote to participate on this project.

Company Name Brandstetter Carroll Inc.	Contact Person Michael E. Carroll
Address/Phone/Email 2360 Chauvin Dr, Lexington, KY 859.268.1933, mcarroll@bciaep.com	Bid Package / Bid Date

MWDBE Company Address	Contact Person	Contact Information (work phone, Email, cell)	Date Contacted	Services to be performed	Method of Communication (email, phone meeting, ad, event etc)	Total dollars \$\$ Do Not Leave Blank (Attach Documentation)	MBE * AA HA AS NA Female
Abbie Jones Consulting	Abbie Jones	859.559.3443 abbie@abbie-jones.com	10/11/13	surveying and civil engineering	email phone meeting	N/A	WBE
Paladin Inc.	Emily Ashburn	859.252.3047 ashburne@paladinky.com	10/23/13	commissioning	email	N/A	WBE


(MBE designation / AA=African American / HA= Hispanic American/AS = Asian American/Pacific Islander/ NA= Native American)

The undersigned acknowledges that all information is accurate. Any misrepresentation may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Brandstetter Carroll Inc.

 Company
 10/23/13

 Date



 Company Representative
 President

 Title



LFUCG SUBCONTRACTOR MONTHLY PAYMENT REPORT

The LFUCG has a 10% goal plan adopted by city council to increase the participation of minority and women owned businesses in the procurement process. In order to measure that goal LFUCG will track spending with MWDBE vendors on a monthly basis. By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentation may result in termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims. Please submit this form monthly to the Division of Central Purchasing/ 200 East Main Street / Room 338 / Lexington, KY 40507.

Bid/RFP/Quote # 30-2013


Total Contract Amount Awarded to Prime Contractor for this Project N/A

Project Name/ Contract #	Work Period/ From: _____ To: _____
Company Name:	Address:
Federal Tax ID:	Contact Person:

Subcontractor Vendor ID (name, address, phone, email)	Description of Work	Total Subcontract Amount	% of Total Contract Awarded to Prime for this Project	Total Amount Paid for this Period	Purchase Order number for subcontractor work (please attach PO)	Scheduled Project Start Date	Scheduled Project End Date

By the signature below of an authorized company representative, you certify that the information is correct, and that each of the representations set forth below is true. Any misrepresentations may result in the termination of the contract and/or prosecution under applicable Federal and State laws concerning false statements and false claims.

Brandstetter Carroll Inc.
Company
10/23/13
Date



Company Representative

President

Title

LFUCG STATEMENT OF GOOD FAITH EFFORTS

Bid/RFP/Quote # RFP#30-2013

By the signature below of an authorized company representative, we certify that we have utilized the following Good Faith Efforts to obtain the maximum participation by MWDBE business enterprises on the project and can supply the appropriate documentation.

_____ Advertised opportunities to participate in the contract in at least two (2) publications of general circulation media; trade and professional association publications; small and minority business or trade publications; and publications or trades targeting minority, women and disadvantaged businesses not less than fifteen (15) days prior to the deadline for submission of bids to allow MWDBE firms to participate.

_____ Included documentation of advertising in the above publications with the bidders good faith efforts package

_____ Attended LFUCG Central Purchasing Economic Inclusion Outreach event

 x Attended pre-bid meetings that were scheduled by LFUCG to inform MWDBEs of subcontracting opportunities

_____ Sponsored Economic Inclusion event to provide networking opportunities for prime contractors and MWDBE firms

 x Requested a list of MWDBE subcontractors or suppliers from LFUCG Economic Engine and showed evidence of contacting the companies on the list(s).

_____ Contacted organizations that work with MWDBE companies for assistance in finding certified MWDBE firms to work on this project. Those contacted and their responses should be a part of the bidder's good faith efforts documentation.

_____ Sent written notices, by certified mail, email or facsimile, to qualified, certified MWDBEs soliciting their participation in the contract not less than seven (7) days prior to the deadline for submission of bids to allow them to participate effectively.

_____ Followed up initial solicitations by contacting MWDBEs to determine their level of interest.

_____ Provided the interested MWDBE firm with adequate and timely information about the plans, specifications, and requirements of the contract.

_____ Selected portions of the work to be performed by MWDBE firms in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible

units to facilitate MWDBE participation, even when the prime contractor may otherwise perform these work items with its own workforce

 x Negotiated in good faith with interested MWDBE firms not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached.

 Included documentation of quotations received from interested MWDBE firms which were not used due to uncompetitive pricing or were rejected as unacceptable and/or copies of responses from firms indicating that they would not be submitting a bid.

 Bidder has to submit sound reasons why the quotations were considered unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a MWDBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy MWDBE goals.

 Made an effort to offer assistance to or refer interested MWDBE firms to obtain the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal

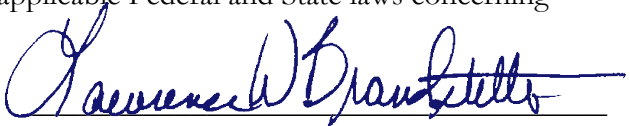
 Made efforts to expand the search for MWBE firms beyond the usual geographic boundaries.

 x Other - any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include MWDBE participation.

Failure to submit any of the documentation requested in this section may be cause for rejection of bid. Bidders may include any other documentation deemed relevant to this requirement. Documentation of Good Faith Efforts are to be submitted with the Bid, if the participation Goal is not met.

The undersigned acknowledges that all information is accurate. Any misrepresentations may result in termination of the contract and/or be subject to applicable Federal and State laws concerning false statements and claims.

Brandstetter Carroll Inc.
Company


Company Representative

10/16/13
Date

President
Title

GENERAL PROVISIONS

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, *29 U.S.C. 650 et. seq.*, as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
3. Addenda: All addenda, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted to bribe an officer or employee of the LFUCG.

9. Additional Information: While not necessary, the Respondent may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal,
10. Ambiguity, Conflict or other Errors in RFP: If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. Agreement to Bid Terms: In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
12. Cancellation: If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.
- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
 - (a) Failure to perform the contract according to its terms,

- conditions and specifications;
- (b) Failure to make delivery within the time specified or according to a delivery schedule fixed by the contract;
 - (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
 - (d) Failure to diligently advance the work under a contract for construction services;
 - (e) The filing of a bankruptcy petition by or against the contractor; or
 - (f) Actions that endanger the health, safety or welfare of the LFUCG or its citizens.

B. At Will Termination

Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent, Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

- 13. **Assignment of Contract:** The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
- 14. **No Waiver:** No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
- 15. **Authority to do Business:** The Respondent must be a duly organized and authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this

Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must be signed by a duly authorized officer, agent or employee of the Respondent.

16. **Governing Law:** This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
17. **Ability to Meet Obligations:** Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.



Signature

10/23/13

Date

Phase A Design Services for Replacement Fire Station #2

Request for Proposal No. 30-2013

Form of Proposal (revised 10.16.13)

Consultant:

Brandstetter Carroll Inc.

Address:

2360 Chauvin Dr.

Lexington, KY 40517

1. General

- a. The undersigned Consultant, having read and examined the specifications and associated documents for the above designated work, affirms agreement to complete all work in accordance with the contract documents.
- b. The selected Successful Consultant (SC) shall verify all mentioned requirements in these contract documents. The SC shall confirm in writing any discrepancies found within one week of being informed of successful proposal.
- c. The undersigned agrees that this proposal constitutes a firm offer to LFUCG which cannot be withdrawn for one hundred twenty (120) calendar days from and after the stated closing time, or until a contract is fully executed by LFUCG and a third party, whichever occurs earlier.
- d. The Consultant shall include Technical Information as required herein.

2. Submittal Requirements: Interested firms are encouraged to submit their qualifications which will include the information below. Failure to comply with this requirement may lead in disqualification of the Consultant's proposal:

- a. Signed cover letter stating interest in the project. The cover letter should indicate the proposer's willingness to enter into an agreement with the LFUCG. An officer of the company who has authority to commit their firm to the proposed project must sign the letter.
- b. Additional company information to be provided shall include company history, key management members, major accomplishments, inter-company or third party alliances or partnerships, and any major pending litigation and facts of the case(s).
- c. Narrative on how customer satisfaction is tracked.
- d. Copies of written training program and quality control program.
- e. Provide the current number of employees and employee types.

- f. Statement of general firm qualifications and capacity which should include firm location, where the work will be performed, and the firm's background and demonstrated ability to perform the required services for this project.
 - g. Project Team list including sub consultants indicating key professionals that will be specifically assigned to work on this project. Identify project manager. Detailed resumes for the key professionals and project manager should be included with the proposal. Describe team members' educational background, related experience, experience in providing like services to governmental entities, and individual references within such entities. Describe how the team has worked together on similar projects in the past.
 - h. Summary of firm's recent (5 year) experience in similar/representative projects including construction costs and references.
 - i. Conflict of Interest Statement clearly stating the proposer has no conflicts of interest in providing professional services on the project.
 - j. Approach to project inclusive of proposed work scope, preliminary design concepts, approach, and related considerations.
 - k. Ability to meet required deadlines including demonstrating the ability to integrate this project into the firm's present workload (provide current and projected staff workload data).
 - l. References: names and contact information of previous clients on similar projects within the past five (5) years with a description of the type of project completed on schedule and on budget. A minimum of three references is required.
3. Proposals will be limited to 20 pages not including the required LFUCG documents. Proposals in excess of 20 pages single-sided pages in length may not be considered.
 4. Respondents are responsible for all costs associated with the preparation of materials in response to this RFP. LFUCG assumes no responsibility for such costs. LFUCG reserves the right to waive any formality in the submitted statements of qualifications, to reject any and all statements of qualifications or to re-advertise for additional statements of qualifications.
 5. **Work Plan:** Consultant shall provide a plan to complete the work described herein in submitted proposal. Included in work plan shall be:
 - a. A check list of what specific deliverables will be provided at each design phase and/or milestone and what discipline in their team will provide that deliverable.
 - b. A specific budget and schedule to complete services described herein.
 - c. An explanation of the communication/documentation and collaboration plan.
 - d. A team plan on sustainable design.
 - e. An explanation of the approach that will be used to assure quality and well coordinated documents between all disciplines.
 - f. An explanation of the team Quality Control Program from design through construction administration.

6. Lump Sum Pricing

- a. All Lump Sum Pricing shall include all direct labor and supervision necessary to complete the item in a manner that meets or exceeds the customer's satisfaction. It shall also include the labor payroll costs, overhead (such as unemployment taxes, general liability insurance, rent, utilities, phones, supplies, administrative salaries, F.I.C.A. sick and vacations, etc. disposal fees tool allowance, equipment, materials, profit and all other costs used on the job.
- b. Provide Firm Lump Sum Cost for providing LFUCG with services as noted in these specifications.

<u>Phase A Cost (Total Cost of Services Below)</u>	<u>\$ 64,880</u>
Programming/Space Utilization:	<u>\$ 15,000</u>
Geotechnical Survey and Report:	<u>\$ 5,080</u>
Environmental Survey and Report:	<u>\$ 0*</u>
Schematic Design:	<u>\$ 38,000</u>
Building Commissioning:	<u>\$ 2,800</u>
Building Energy Model:	<u>\$ 4,000</u>

The LFUCG reserves the right to negotiate the following fees with the selected Consultant for Phase A or solicit new proposals for Phases B – D. Phase B - D proposals shall be valid for 12 months from contract award.

<u>Phase B Cost (Total Cost of Services Below)</u>	<u>\$ To Be Determined</u>
Design Development: (percentage of construction costs)	<u>1.2</u> %
Building Commissioning:	<u>\$ 5,080</u>
<u>Phase C Cost (Total Cost of Services Below)</u>	<u>\$ To Be Determined</u>
Construction Documents: (percentage of construction costs)	<u>2.4</u> %
Building Energy Model:	<u>\$ 3,500</u>
LEED Certification:	<u>\$ 45,000</u>
Building Commissioning:	<u>\$ 9,590</u>
Data/Communications/Technology Designer:	<u>\$ included in CD fee</u>

*According to AMEC, "No recognized environmental conditions were reported, therefore no Phase II work is necessary".

<u>Phase D Cost (Total Cost of Services Below)</u>	<u>\$ To Be Determined</u>
Bidding Assistance:	\$ <u>10,000</u>
Construction Administration:	\$ <u>86,000</u>
Building Commissioning: (not to exceed percentage of construction budget)	<u>0.50</u> %
Punch List, Inspections, & Close Out:	\$ <u>10,000</u>

7. Unit Pricing

- a. LFUCG reserves the right to increase or decrease frequencies of unit cost i.e., each task and / or services under this agreement. If Additional Services are requested, the base contract may be increased and/or decreased on the basis of these proposed unit rates. No price adjustments will be made, unless mutually agreed to in advance or as a result of temporary conditions (defined as 30 days or less from the date of the last invoice).
- b. All Unit Pricing Hourly Rates shall include all direct labor, any supervision required, labor payroll costs, overhead (such as unemployment taxes, general liability insurance, rent, utilities, phones, supplies, administrative salaries, F.I.C.A. sick and vacations, etc.) disposal fees tool allowance, equipment, materials, profit and all other costs used on the job.

<u>Title/Skill Level</u>	<u>Hourly Rate</u>
<u>Principal</u>	<u>150</u> \$/HR
<u>Senior Professional (Architect, Engineer, L. Arch)</u>	<u>110</u> \$/HR
<u>Registered Architect</u>	<u>90</u> \$/HR
<u>Landscape Architect</u>	<u>90</u> \$/HR
<u>Engineer-in-training</u>	<u>60</u> \$/HR
<u>Intern Architect</u>	<u>55</u> \$/HR
<u>AutoCAD/GIS Operator</u>	<u>55</u> \$/HR
<u>Clerical</u>	<u>40</u> \$/HR

- c. Additional Services may require procurement beyond the base contract. Procurement shall comply with the specifications set forth herein. The Consultant markup over the invoiced price shall be 0 %



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #1

RFP Number: **#30-2013**

Date: October 4, 2013

Subject: **Design Services for Replacement Fire Station #2**

Please address inquiries to:
Theresa Maynard (859) 258-3320

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced RFP:

1. List of Selection Criteria and respective weights
2. See attached list of Questions and Answers.

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

COMPANY: Brandstetter Carroll Inc.

ADDRESS: 2360 Chauvin Dr., Lexington, KY 40517

SIGNATURE OF PROPOSER: 



Lexington-Fayette Urban County Government
DEPARTMENT OF FINANCE & ADMINISTRATION

Jim Gray
Mayor

William O'Mara
Commissioner

ADDENDUM #2

RFP Number: **#30-2013**

Date: October 16, 2013

Subject: **Design Services for Replacement Fire Station #2**

Please address inquiries to:
Theresa Maynard (859) 258-3320

TO ALL PROSPECTIVE BIDDERS:

Please be advised of the following clarifications to the above referenced RFP:

1. Sign in sheets from 10/9/13 Pre-Proposal Meeting
2. List of clarifications
3. See attached list of Questions and Answers.
4. Revised Final Form of Proposal

Todd Slatin, Director
Division of Central Purchasing

All other terms and conditions of the RFP and specifications are unchanged. This letter should be signed, attached to and become a part of your RFP.

COMPANY: Brandstetter Carroll Inc.

ADDRESS: 2360 Chauvin Dr., Lexington, KY 40517

SIGNATURE OF PROPOSER: