

## PURCHASE OF SERVICE AGREEMENT

THIS PURCHASE OF SERVICE AGREEMENT (hereinafter "Agreement"), made and entered into on the \_\_\_\_ day of MONTH 2021, by and between the **LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT**, an urban county government of the Commonwealth of Kentucky created pursuant to KRS chapter 67A (hereinafter "Government"), 200 East Main Street, Lexington, Kentucky 40507, on behalf of its Department of Social Services (hereinafter "Sponsor"), and **MISSION LEXINGTON, INC. DBA MISSION HEALTH LEXINGTON**, with offices located **230 S.MARTIN LUTHER KING BLVD, LEXINGTON KY, 40508**, (hereinafter "Organization").

### WITNESSETH

That for and in consideration of the mutual promises and covenants herein expressed, the Government and the Organization agree as follows:

1. Government hereby retains Organization for the period beginning on **July 1, 2021**, and continuing for a period of one (1) year from that date unless within that period Government gives the Organization thirty (30) days written notice of termination of this Agreement in which case this Agreement shall terminate thirty (30) days from the date notice is given to the Organization.

2. Government shall pay Organization the sum of **\$41,500** for the services required by this Agreement, said services being more particularly described in the Addendum attached hereto and incorporated herein by reference as Exhibit A, one-half (1/2<sup>th</sup>) of which shall be payable in September 2021 or shortly thereafter upon receipt of an invoice, with one-half (1/2<sup>th</sup>) payable in January 2022 or shortly thereafter upon receipt of an invoice and the first six month detailed program report., **The first invoice required by this section shall be submitted by September 17<sup>th</sup>, 2021. The second invoice and the first six month detailed program report shall be due January 21<sup>st</sup> 2022. A detailed program report shall be**

**submitted by April 15<sup>th</sup>, 2022. A year-end program report shall be submitted by July 22<sup>nd</sup>, 2022. Failure to submit the April 2022 program report and the July 2022 year-end program report shall result in the Organization repaying one-half (1/2<sup>th</sup>) of total funds provided under this Agreement.** Reports shall reflect the services and programs directly related to the funding provided by Lexington Fayette Urban County Government with emphasis on measurable outcomes, and specifically outlined in the funding application. Forms for both the quarterly financial and program reports will be provided.

3. In the event of termination of this Agreement by Government as provided for in paragraph 1 above, Organization shall be entitled to that portion of total compensation due under this Agreement as the service rendered bears to the service required herein.

4. Organization shall perform all duties and services included in the Addendum attached hereto faithfully and satisfactorily at the time, place and for the duration prescribed herein. Compensation paid pursuant to this Agreement shall be used exclusively for the services set forth in the Addendum and for no other purpose. Any alteration in the nature of such services and duties constitutes an amendment to this Agreement and must be in writing signed by both parties. Organization shall keep itself fully informed of all federal and state laws and all municipal ordinances and regulations in any manner affecting the work or performance of this Agreement, and shall at all times observe and comply with such laws, ordinances and regulations, whether or not such laws, ordinances or regulations are mentioned herein, and

5. Organization shall indemnify, defend and hold harmless Government, its officers, agents and employees, from and against any and all liabilities, claims, demands, losses, damages, costs, and/or expenses arising out of, from, relating to, and/or based on the Organization's violation of any such laws, ordinances or regulations or Organization's breach of this Agreement.

6. Organization represents that it has filed all federal, state and local income tax returns required by law in the legally prescribed time and manner. This Agreement shall not become effective unless and until copies of all of the executed originals of the aforementioned tax returns filed for the Organization have been registered for the current tax year by the Organization in the office of the Sponsor, and the Organization shall not be compensated unless and until such registration has taken place.

7. The Organization shall, on such forms as the Sponsor shall provide, submit to Sponsor an annual report and financial statement which summarize the previous year's activities regarding the services enumerated in the addendum attached hereto.

8. Books of accounts shall be kept by the Organization and entries shall be made therein of all money, goods, effects, debts, sales, purchases, receipts, payments and any other transactions of the Organization. The books of accounts, together with all bonds, notes, bills, letters and other writings belonging to the Organization, shall be maintained at the principal place of business of the Organization as set forth in this Agreement. Government shall have free and complete access to the books, papers and affairs of the Organization, that relate to the performance of this Agreement, at all reasonable times, and if it desires, it may have the books and papers of the Organization, that relate to the performance of this Agreement, audited and examined by auditors, accountants or attorneys. Any examination shall be at the expense of the Government.

9. Government may designate such persons as may be necessary to monitor and evaluate the services rendered by the Organization. The Government, its agents and employees, shall, at all times, have unrestricted access to all places where or in which the services required hereunder are being carried on and conducted. Inspection and monitoring of the work by these authorities shall in no manner be presumed to relieve in any degree the

responsibility or obligations of Organization, nor to constitute the Organization as an agent of the Government.

10. Organization shall provide equal opportunity in employment for all qualified persons, shall prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and shall cause each of its subcontracting agencies to do so. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

11. Organization shall adopt a written sexual harassment policy, which shall, at a minimum, contain a statement of current law; a list of prohibited behaviors; a complaint process; and a procedure which provides for a confidential investigation of all complaints. The policy shall be given to all employees and clients and shall be posted at all locations where Organization conducts business. The policy shall be submitted to Sponsor for review within thirty (30) days of the execution of this Agreement.

12. This instrument, and the Addendum incorporated herein, contains the entire agreement between the parties, and no statement, promises or inducements made by either party or agent of either party that is not contained in this written Agreement shall be valid and binding; and this Agreement may not be enlarged, modified or altered except in writing signed by the parties and endorsed hereon.

13. Organization agrees that it shall apply all funds received by it from the Urban County Government in accordance with the following investment policy guidelines:

- A. Objectives: Capital preservation with surety of income. Reasonable competitive income consistent with high investment quality and purpose of funds. All investments shall conform with state and local law and regulations and these policies.
- B. Investment Funds Management: The governing board may elect to either:

(1) Manage its investment through its executive director where the size or complexity of funds to be managed is deemed by the board to be within the training, expertise and/or available time capacity of the executive director and the operating staff; or

(2) Utilize the professional investment management facilities of a local bank trust department acting in a fiduciary capacity within the same approved investment policies and federal, state, local and trust laws and regulation. The trust department may utilize its regular short-term one hundred percent (100%) US Treasury Fund for daily funds investment.

The election of option 1 or 2 should be made consistent with the relative cost incurred and in the case of option 2 the cost shall be competitive among local trust departments.

C. Investment Policies - - Safety and Prudence.

(1) Short-term liquidity funds shall be invested in “riskless” investment, i.e., deposits in Kentucky commercial banks or savings and loan associations that are fully federally insured or deposits collateralized by U.S. Treasury securities with a current market value of at least one hundred percent (100%), or in direct obligations of U.S. Treasury securities.

Investments shall be diversified according to maturity in order to meet projected cash flow needs.

Collateral pledged to secure uninsured deposits shall be held at a federal reserve bank with the receipt providing absolute control by the agency.

(2) Retirement funds, endowment funds, long-term capital reserve funds and any other special funds may be held and invested by a local bank trust department under investment objectives and diversification in accordance with the individual nature of the funds and pursuant to the “prudent man” investment rule as well as general trust law.

(3) All investments shall be reviewed monthly by a finance or investment committee of the agency.

(4) Local brokerage firms may hold and invest funds provided that investments are located within Kentucky and are full insured.

D. Audit - - All investments shall be audited at least annually by independent certified public accountant who shall express an opinion as to whether or not investments during the year audited have conformed with state and local law and regulation and with the approved investment policies.

14. Notice – Any written notice required by the Agreement shall be delivered by certified mail, return receipt requested, to the following:

For Organization:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Attn: \_\_\_\_\_

For Government:

Lexington-Fayette Urban County Gov.  
200 East Main Street  
Lexington, Kentucky 40507

Attn: Kacy Allen-Bryant, Commissioner  
Department of Social Services

IN WITNESS WHEREOF, the parties have executed this Agreement at Lexington, Kentucky, the day and year first above written.

LEXINGTON-FAYETTE URBAN  
COUNTY GOVERNMENT

MISSION LEXINGTON, INC.  
DBA MISSION HEALTH LEXINGTON

BY: \_\_\_\_\_  
Linda Gorton, Mayor

BY: \_\_\_\_\_

Title: \_\_\_\_\_

ATTEST:

\_\_\_\_\_  
Clerk of the Urban  
County Council



# Lexington-Fayette Urban County Government

## Request for Proposal

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The Lexington-Fayette Urban County Government hereby requests proposals for **RFP #10-2021 Community Wellness & Safety – Extended Social Resources (ESR) Grant Program** to be provided in accordance with terms, conditions and specifications established herein.

Proposals will be received **online only** at <https://lexingtonky.ionwave.net> until **2:00 PM**, prevailing local time, on **May 14, 2021**.

Proposals received after the date and time set for opening proposals will not be considered for award of a contract/grant. It is the sole responsibility of the Proposer to assure that his/her proposal is submitted online before the date and time set for opening proposals.

Additional copies of this Request For Proposals are available from the Division of Central Purchasing, Room 338 Government Center, 200 East Main Street, Lexington, KY 40507, (859)-258-3320, at no charge.

Proposals, once submitted, may not be withdrawn for a period of sixty (60) calendar days.

The Lexington-Fayette Urban County Government reserves the right to reject any or all proposals, and to waive technicalities and informalities when such waiver is determined by the Lexington-Fayette Urban County Government to be in its best interest.

Electronic signature online at <https://lexingtonky.ionwave.net> constitutes acceptance by the Proposer of terms, conditions and requirements set forth herein.

Pre-Proposal Meeting will be held on **April 30<sup>th</sup>, 2021 at 10:00AM EST** via Zoom (see section 3.1 in Scope of Work document for meeting link).

Minor exceptions may not eliminate the proposal. Any exceptions to the specifications established herein shall be listed in detail on a separate sheet and attached hereto. The Lexington-Fayette Urban County Government shall determine whether any exception is minor.

***Please do not contact any LFUCG staff member or any other person involved in the selection process other than the designated contact person(s) regarding the project contemplated under this RFP while this RFP is open and a selection has not been finalized. Any attempt to do so may result in disqualification of the firm's submittal for consideration.***

### **Laws and Regulations**

All applicable state laws, municipal ordinances and regulations of all authorities having jurisdiction over the project shall apply to the contract, and shall be deemed to be incorporated herein by reference.

### **Equal Employment Opportunity**

The Entity (regardless of whether construction contractor, non-construction contractor or supplier) agrees to provide equal opportunity in employment for all qualified persons, to prohibit discrimination in employment because of race, color, creed, national origin, sex or age, and to promote equal employment through a positive, continuing program from itself and each of its subcontracting agents. This program of equal employment opportunity shall apply to every aspect of its employment policies and practices.

### **Kentucky Equal Employment Opportunity Act**

The Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) requires that any "county, city, town, school district, water district, hospital district, or other political subdivision of the state shall include in directly or indirectly publicly funded contracts for supplies, materials, services, or equipment hereinafter entered into the following provisions:

"During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, or national origin;
- (2) The contractor will state in all solicitations or advertisements for employees placed by or on behalf of the contractors that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age, or national origin;



(3) The contractor will post notices in conspicuous places, available to employees and applicants for employment, setting forth the provision of the nondiscrimination clauses required by this section; and

(4) The contractor will send a notice to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding advising the labor union or workers' representative of the contractor's commitments under the nondiscrimination clauses."

The Act further provides:

"KRS 45.610. Hiring minorities -- Information required

(1) For the length of the contract, each contractor shall hire minorities from other sources within the drawing area, should the union with which he has collective bargaining agreements be unwilling to supply sufficient minorities to satisfy the agreed upon goals and timetables.

(2) Each contractor shall, for the length of the contract, furnish such information as required by KRS 45.560 to KRS 45.640 and by such rules, regulations and orders issued pursuant thereto and will permit access to all books and records pertaining to his employment practices and work sites by the contracting agency and the department for purposes of investigation to ascertain compliance with KRS 45.560 to 45.640 and such rules, regulations and orders issued pursuant thereto.

KRS 45.620. Action against contractor -- Hiring of minority contractor or subcontractor

(1) If any contractor is found by the department to have engaged in an unlawful practice under this chapter during the course of performing under a contract or subcontract covered under KRS 45.560 to 45.640, the department shall so certify to the contracting agency and such certification shall be binding upon the contracting agency unless it is reversed in the course of judicial review.

(2) If the contractor is found to have committed an unlawful practice under KRS 45.560 to 45.640, the contracting agency may cancel or terminate the contract, conditioned upon a program for future compliance approved by the contracting agency and the department. The contracting agency may declare such a contractor ineligible to bid on further contracts with that agency until such time as the

contractor complies in full with the requirements of KRS 45.560 to 45.640.

(3) The equal employment provisions of KRS 45.560 to 45.640 may be met in part by a contractor by subcontracting to a minority contractor or subcontractor. For the provisions of KRS 45.560 to 45.640, a minority contractor or subcontractor shall mean a business that is owned and controlled by one or more persons disadvantaged by racial or ethnic circumstances.

KRS 45.630 Termination of existing employee not required, when

Any provision of KRS 45.560 to 45.640 notwithstanding, no contractor shall be required to terminate an existing employee upon proof that employee was employed prior to the date of the contract.

KRS 45.640 Minimum skills

Nothing in KRS 45.560 to 45.640 shall require a contractor to hire anyone who fails to demonstrate the minimum skills required to perform a particular job."

It is recommended that all of the provisions above quoted be included as special conditions in each contract. In the case of a contract exceeding \$250,000, the contractor is required to furnish evidence that his workforce in Kentucky is representative of the available workforce in the area from which he draws employees, or to supply an Affirmative Action plan which will achieve such representation during the life of the contract.

### **LFUCG Non-Appropriation Clause**

Contractor acknowledges that the LFUCG is a governmental entity, and the contract validity is based upon the availability of public funding under the authority of its statutory mandate.

In the event that public funds are unavailable and not appropriated for the performance of the LFUCG's obligations under this contract, then this contract shall automatically expire without penalty to the LFUCG thirty (30) days after written notice to Contractor of the unavailability and non-appropriation of public funds. It is expressly agreed that the LFUCG shall not activate this non-appropriation provision for its convenience or to circumvent the requirements of this contract, but only as an emergency fiscal measure during a substantial fiscal crisis, which affects generally its governmental operations.

In the event of a change in the LFUCG's statutory authority, mandate and mandated functions, by state and federal legislative or regulatory action, which adversely affects the LFUCG's authority to continue its obligations under this contract, then this contract shall automatically terminate without penalty to the LFUCG upon written notice to Contractor of such limitation or change in the LFUCG's legal authority.

## AFFIDAVIT

Comes the Affiant, Mission Lexington, Inc., and after being first duly sworn, states under penalty of perjury as follows:

1. His/her name is Abby Pliszka and he/she is the individual submitting the proposal or is the authorized representative of Mission Lexington, Inc., the entity submitting the proposal (hereinafter referred to as "Proposer").

2. Proposer will pay all taxes and fees, which are owed to the Lexington-Fayette Urban County Government at the time the proposal is submitted, prior to award of the contract and will maintain a "current" status in regard to those taxes and fees during the life of the contract.

3. Proposer will obtain a Lexington-Fayette Urban County Government business license, if applicable, prior to award of the contract.

4. Proposer has authorized the Division of Central Purchasing to verify the above-mentioned information with the Division of Revenue and to disclose to the Urban County Council that taxes and/or fees are delinquent or that a business license has not been obtained.

5. Proposer has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky within the past five (5) years and the award of a contract to the Proposer will not violate any provision of the campaign finance laws of the Commonwealth.

6. Proposer has not knowingly violated any provision of Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances, known as "Ethics Act."

**Continued on next page**

7. Proposer acknowledges that "knowingly" for purposes of this Affidavit means, with respect to conduct or to circumstances described by a statute or ordinance defining an offense, that a person is aware or should have been aware that his conduct is of that nature or that the circumstance exists.

Further, Affiant sayeth naught.

APliszka

STATE OF Kentucky

COUNTY OF Fayette

The foregoing instrument was subscribed, sworn to and acknowledged before me by ABBY PLISZKA on this the 26<sup>th</sup> day of APRIL, 2021.

My Commission expires: 6-30-2021

Carol B. Wood #582265  
NOTARY PUBLIC, STATE AT LARGE

## EQUAL OPPORTUNITY AGREEMENT

### The Law

- Title VII of the Civil Rights Act of 1964 (amended 1972) states that it is unlawful for an employer to discriminate in employment because of race, color, religion, sex, age (40-70 years) or national origin.
- Executive Order No. 11246 on Nondiscrimination under Federal contract prohibits employment discrimination by contractor and sub-contractor doing business with the Federal Government or recipients of Federal funds. This order was later amended by Executive Order No. 11375 to prohibit discrimination on the basis of sex.
- Section 503 of the Rehabilitation Act of 1973 states:

*The Contractor will not discriminate against any employee or applicant for employment because of physical or mental disability.*

- Section 2012 of the Vietnam Era Veterans Readjustment Act of 1973 requires Affirmative Action on behalf of disabled veterans and veterans of the Vietnam Era by contractors having Federal contracts.
- Section 206(A) of Executive Order 12086, Consolidation of Contract Compliance Functions for Equal Employment Opportunity, states:

*The Secretary of Labor may investigate the employment practices of any Government contractor or sub-contractor to determine whether or not the contractual provisions specified in Section 202 of this order have been violated.*

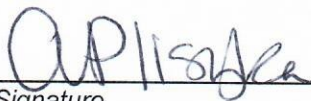
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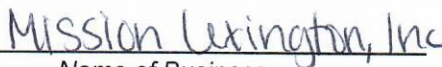
The Lexington-Fayette Urban County Government practices Equal Opportunity in recruiting, hiring and promoting. It is the Government's intent to affirmatively provide employment opportunities for those individuals who have previously not been allowed to enter into the mainstream of society. Because of its importance to the local Government, this policy carries the full endorsement of the Mayor, Commissioners, Directors and all supervisory personnel. In following this commitment to Equal Employment Opportunity and because the Government is the benefactor of the Federal funds, it is both against the Urban County Government policy and illegal for the Government to let contracts to companies which knowingly or unknowingly practice discrimination in their employment practices. Violation of the above mentioned ordinances may cause a contract to be canceled and the contractors may be declared ineligible for future consideration.

Please sign this statement in the appropriate space acknowledging that you have read and understand the provisions contained herein. Return this document as part of your application packet.

### Bidders

*I/We agree to comply with the Civil Rights Laws listed above that govern employment rights of minorities, women, Vietnam veterans, handicapped and aged persons.*

  
\_\_\_\_\_  
Signature

  
\_\_\_\_\_  
Name of Business

**WORKFORCE ANALYSIS FORM**

Name of Organization: Mission Lexington, Inc. dba  
Mission Health Lexington

Categories	Total	White (Not Hispanic or Latino)		Hispanic or Latino		Black or African-American (Not Hispanic or Latino)		Native Hawaiian and Other Pacific Islander (Not Hispanic or Latino)		Asian (Not Hispanic or Latino)		American Indian or Alaskan Native (not Hispanic or Latino)		Two or more races (Not Hispanic or Latino)		Total	
		M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
Administrators	1		1														1
Professionals	41	14	14	2	2		5			1	3					17	24
Superintendents																	
Supervisors	2		2														2
Foremen																	
Technicians																	
Protective Service																	
Para-Professionals	12		6		6												12
Office/Clerical	2		1				1										2
Skilled Craft																	
Service/Maintenance																	
<b>Total:</b>	<b>58</b>	<b>14</b>	<b>24</b>	<b>2</b>	<b>8</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>17</b>	<b>41</b>

Prepared by: Abby Pliszka, Executive Director Date: 4 / 26 / 21  
 (Name and Title) Revised 2015-Dec-15

## GENERAL PROVISIONS

1. Each Respondent shall comply with all Federal, State & Local regulations concerning this type of service or good.

The Respondent agrees to comply with all statutes, rules, and regulations governing safe and healthful working conditions, including the Occupational Health and Safety Act of 1970, 29 U.S.C. 650 *et. seq.*, as amended, and KRS Chapter 338. The Respondent also agrees to notify the LFUCG in writing immediately upon detection of any unsafe and/or unhealthful working conditions at the job site. The Respondent agrees to indemnify, defend and hold the LFUCG harmless from all penalties, fines or other expenses arising out of the alleged violation of said laws.

2. Failure to submit ALL forms and information required in this RFP may be grounds for disqualification.
3. Addenda: All addenda, if any, shall be considered in making the proposal, and such addenda shall be made a part of this RFP. Before submitting a proposal, it is incumbent upon each proposer to be informed as to whether any addenda have been issued, and the failure to cover in the bid any such addenda may result in disqualification of that proposal.
4. Proposal Reservations: LFUCG reserves the right to reject any or all proposals, to award in whole or part, and to waive minor immaterial defects in proposals. LFUCG may consider any alternative proposal that meets its basic needs.
5. Liability: LFUCG is not responsible for any cost incurred by a Respondent in the preparation of proposals.
6. Changes/Alterations: Respondent may change or withdraw a proposal at any time prior to the opening; however, no oral modifications will be allowed. Only letters, or other formal written requests for modifications or corrections of a previously submitted proposal which is addressed in the same manner as the proposal, and received by LFUCG prior to the scheduled closing time for receipt of proposals, will be accepted. The proposal, when opened, will then be corrected in accordance with such written request(s), provided that the written request is contained in a sealed envelope which is plainly marked "modifications of proposal".
7. Clarification of Submittal: LFUCG reserves the right to obtain clarification of any point in a bid or to obtain additional information from a Respondent.
8. Bribery Clause: By his/her signature on the bid, Respondent certifies that no employee of his/hers, any affiliate or Subcontractor, has bribed or attempted



to bribe an officer or employee of the LFUCG.

9. Additional Information: While not necessary, the Respondent may include any product brochures, software documentation, sample reports, or other documentation that may assist LFUCG in better understanding and evaluating the Respondent's response. Additional documentation shall not serve as a substitute for other documentation which is required by this RFP to be submitted with the proposal,
10. Ambiguity, Conflict or other Errors in RFP: If a Respondent discovers any ambiguity, conflict, discrepancy, omission or other error in the RFP, it shall immediately notify LFUCG of such error in writing and request modification or clarification of the document if allowable by the LFUCG.
11. Agreement to RFP Terms: In submitting this proposal, the Respondent agrees that it has carefully examined the specifications and all provisions relating to the work to be done attached hereto and made part of this proposal. By acceptance of a Contract/Grant under this RFP, proposer states that it understands the meaning, intent and requirements of the RFP and agrees to the same. The successful Respondent shall warrant that it is familiar with and understands all provisions herein and shall warrant that it can comply with them. No additional compensation to Respondent shall be authorized for services or expenses reasonably covered under these provisions that the proposer omits from its Proposal.
12. Cancellation: If the services to be performed hereunder by the Respondent are not performed in an acceptable manner to the LFUCG, the LFUCG may cancel this contract for cause by providing written notice to the proposer, giving at least thirty (30) days notice of the proposed cancellation and the reasons for same. During that time period, the proposer may seek to bring the performance of services hereunder to a level that is acceptable to the LFUCG, and the LFUCG may rescind the cancellation if such action is in its best interest.

#### A. Termination for Cause

- (1) LFUCG may terminate a contract because of the contractor's failure to perform its contractual duties
- (2) If a contractor is determined to be in default, LFUCG shall notify the contractor of the determination in writing, and may include a specified date by which the contractor shall cure the identified deficiencies. LFUCG may proceed with termination if the contractor fails to cure the deficiencies within the specified time.

- (3) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to:
  - (a) Failure to perform the contract according to its terms, conditions and specifications;
  - (b) Failure to make delivery within the time specified or according to a delivery schedule fixed by the contract;
  - (c) Late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the purchasing agency;
  - (d) Failure to diligently advance the work under a contract for construction services;
  - (e) The filing of a bankruptcy petition by or against the contractor; or
  - (f) Actions that endanger the health, safety or welfare of the LFUCG or its citizens.

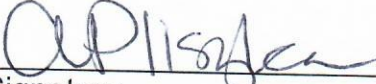
#### B. At Will Termination

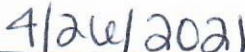
Notwithstanding the above provisions, the LFUCG may terminate this contract at will in accordance with the law upon providing thirty (30) days written notice of that intent, Payment for services or goods received prior to termination shall be made by the LFUCG provided these goods or services were provided in a manner acceptable to the LFUCG. Payment for those goods and services shall not be unreasonably withheld.

13. Assignment of Contract: The contractor shall not assign or subcontract any portion of the Contract without the express written consent of LFUCG. Any purported assignment or subcontract in violation hereof shall be void. It is expressly acknowledged that LFUCG shall never be required or obligated to consent to any request for assignment or subcontract; and further that such refusal to consent can be for any or no reason, fully within the sole discretion of LFUCG.
14. No Waiver: No failure or delay by LFUCG in exercising any right, remedy, power or privilege hereunder, nor any single or partial exercise thereof, nor the exercise of any other right, remedy, power or privilege shall operate as a waiver hereof or thereof. No failure or delay by LFUCG in exercising any right, remedy, power or privilege under or in respect of this Contract shall affect the rights, remedies, powers or privileges of LFUCG hereunder or shall operate as a waiver thereof.
15. Authority to do Business: The Respondent must be a duly organized and

authorized to do business under the laws of Kentucky. Respondent must be in good standing and have full legal capacity to provide the services specified under this Contract. The Respondent must have all necessary right and lawful authority to enter into this Contract for the full term hereof and that proper corporate or other action has been duly taken authorizing the Respondent to enter into this Contract. The Respondent will provide LFUCG with a copy of a corporate resolution authorizing this action and a letter from an attorney confirming that the proposer is authorized to do business in the State of Kentucky if requested. All proposals must be signed by a duly authorized officer, agent or employee of the Respondent.

16. **Governing Law:** This Contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. In the event of any proceedings regarding this Contract, the Parties agree that the venue shall be the Fayette County Circuit Court or the U.S. District Court for the Eastern District of Kentucky, Lexington Division. All parties expressly consent to personal jurisdiction and venue in such Court for the limited and sole purpose of proceedings relating to this Contract or any rights or obligations arising thereunder. Service of process may be accomplished by following the procedures prescribed by law.
17. **Ability to Meet Obligations:** Respondent affirmatively states that there are no actions, suits or proceedings of any kind pending against Respondent or, to the knowledge of the Respondent, threatened against the Respondent before or by any court, governmental body or agency or other tribunal or authority which would, if adversely determined, have a materially adverse effect on the authority or ability of Respondent to perform its obligations under this Contract, or which question the legality, validity or enforceability hereof or thereof.
18. Contractor understands and agrees that its employees, agents, or subcontractors are not employees of LFUCG for any purpose whatsoever. Contractor is an independent contractor at all times during the performance of the services specified.
19. If any term or provision of this Contract shall be found to be illegal or unenforceable, the remainder of the contract shall remain in full force and such term or provision shall be deemed stricken.

  
\_\_\_\_\_  
Signature

  
\_\_\_\_\_  
Date



**PROPOSAL SUBMITTAL FORM**

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**Agency Information**

Agency Name: Mission Lexington, Inc. dba Mission Health Lexington

Mailing Address: 230 S. Martin Luther King Blvd, Lexington, KY 40508

Street Address: 230 S. Martin Luther King Blvd, Lexington, KY 40508

Phone: ( 859 ) 272 - 0219

Is your Agency registered with the IRS as a 501(c)3 organization?  Yes  No  
*Note: Agencies **must** be registered with the IRS as a 501(c)3 organization to be eligible for ESR Program funding.*

Does your agency have a Silver Seal of Transparency or higher profile on GuideStar.org?  Yes  No  
*Note: Agencies **must** have a Silver Seal of Transparency or higher profile with GuideStar.org to be eligible for ESR funding.*

Website Address: www.missionhealthlex.org

Agency Representative (*typically the Executive Director - Name, Title, Phone, Email*):  
Abby Pliszka, Executive Director, 859-272-0219, abby@missionlexington.org

Person Completing Application (*Name, Title, Phone, Email*):  
Abby Pliszka, Executive Director, 859-272-0219, abby@missionlexington.org

**Program Information**

Name of program for which funds are being requested: Mission Health Lexington Diabetes Project

Total Funding Amount Requested: \$ 41,500.00

**RFP #10-2021 PROPOSAL SUBMITTAL FORM**

- **Save this PDF formatted Proposal Submittal Form to your hard drive before beginning to enter responses in it to ensure your responses are saved to the form.**
- **LIMIT RESPONSES IN TEXT BOXES TO 250 WORDS**
- **REMINDER: All proposals must be written in a clear and concise manner, as there will be no follow-up or clarifications to proposer’s submittal form once the evaluation process begins.**

## 5.1 Program Proposal & Design

### 5.1.1 Needs Statement—Purpose of the Program Proposal / Problem Statement

15 Points

Using local data, provide a description of the problem in Fayette County. Identify the specific population your program is targeting (i.e. age, geographical region, economic status, etc.) and explain why. Discuss whether this population is under-served or at-risk. Describe your understanding of the local system of services addressing this problem, obstacles and/or opportunities your clients face, and how your agency fits within this system of services.

The Cabinet for Health and Family Services reports that 8.5-9.8% of Fayette County has diabetes. The American Association of Diabetes states that “depression and other mental health related conditions are more commonly found in people with diabetes. In the U.S. 17.9% of the population is affected by a mental illness; however for people with diabetes this is often greater and can have a serious impact on diabetes management.”

The Foundation for a Healthy Kentucky reports that about 9% of Greater Lexington adults (18-64) are uninsured. The high costs associated with mental health care and diabetes management cause clients to delay the care they need because of the financial burden that healthcare can be to individuals and families living on low and no incomes.

The proposed program will weave together mental healthcare, primary medical care, prescription assistance, and diabetes education into one program specifically targeting adult residents of Fayette County who are living without insurance and below federal poverty guidelines. Our clients face financial, transportation, and language obstacles when accessing healthcare because they are uninsured and living in poverty. By having all components together in one facility, we further remove barriers for clients. We serve our most vulnerable neighbors in need who are experiencing gaps in access to services, many of whom are homeless or are at-risk of homelessness.

### 5.1.2 Service Delivery Model

15 Points

What steps will you take or what activities will you provide to assist clients in achieving these goals? Describe each “unit of service” you will provide for clients and how often and how long this service will be provided (e.g. a one-time three-hour training; a weekly one-hour support group for 12 weeks; one 30-minute health exam twice a year; 1-3 hour advocacy services as needed; etc.) How will these services address the problem as identified in the Needs Statement above? Be specific.

Mission Health Lexington has a volunteer psychiatrist who holds a monthly clinic providing therapy and medication management; medical providers who treat mental health issues like depression and anxiety in conjunction with other health conditions like diabetes; and an on-site pharmacy for clients to fill prescriptions. Free comprehensive healthcare services, including free medication and therapy, for impoverished and uninsured populations is rare. The proposed program will provide services specific to diabetic clients to assist clients in achieving goals without the financial burden or expenses associated with therapy, medication management, diabetes education, labs, and office visits with providers. Activities include counseling, support groups, individual diabetes education sessions, medication management, prescription filling, and routine labs. Mission Health Lexington allows healthcare to be accessible.

Units of service vary by client as each client’s needs are specific to their treatment plan. Examples include:

- > monthly one-hour support group for 12 months
- > 30-60 minute session with a diabetes educator occurring weekly, bi-weekly, or monthly
- > monthly medication management session with a provider
- > monthly 10-minute medication counseling session with a pharmacist
- > one 30-minute general health exam with blood work monthly, quarterly, semi-annually, or annually as determined by a medical professional

### 5.1.3 Client Eligibility and Requirements

5 Points

What eligibility requirements must clients meet to qualify for services? What are the expectations of clients while receiving services? (e.g. client must pay \$30 fee for each class; client must remain sober; client is responsible for chores; nothing other than participation in services; etc.)

Current eligibility requirements for services at Mission Health Lexington are as follows:

- > Client must be a resident of Fayette County, Kentucky
- > Client must be 18 years of age or older
- > Client must be living at or below 185% of federal poverty guidelines
- > Clients must be uninsured

Mission Health Lexington does not charge for services rendered.

Clients are expected to maintain appointments, or call if they are unable to make a scheduled appointment. If a client has three consecutive missed appointments where they did not call in advance to notify staff they are placed on a six-month probation period and are provided appropriate referrals to outside clinics if needed. After the six-month probation period is over clients are able to schedule appointments again. No other requirements remain for participation in services.

### 5.1.4 Evidence-Based/Best Practice

10 Points

Describe the evidence-based or promising practice model on which this service approach is based. Provide particular sources that indicate what you are doing is best-practice.

The proposed program is based on the Chronic Care Model featuring the following six components: Delivery System Design, Self-Management Support, Clinical Information Systems, Decision Support, Community, and Health Systems.

We are integrating the six components in the following ways:

- 1) Our delivery system design is team-based client care with psychiatrists, physicians, pharmacists, and diabetes educators all working together with the client to address their care plan comprehensively.
- 2) Our self-management support will utilize all of the team members to provide clients with the knowledge and strategies they need to confidently manage their care.
- 3) Our clinical information system is our electronic medical records program where all members of the care team document care and resources so everyone stays aware of the client's progress and identifies potential gaps in the care plan.
- 4) Our decision support component will be a goal plan (example: client report card) for the client which will encourage participation in the client's care plan through the different care components offered.
- 5) Our community component is our ability to refer patients to outside resources to fill any gaps in their care. An example would be a free gym membership at the church gym across the street from our facility.
- 6) Our health systems component is the overall program goal of promoting effective care by utilizing a team-based approach.

Source: "Management Perspective: Improving care by delivering the chronic care model for diabetes" by Heather L Stuckey, Alan M Adelman, & Robert A Gabbay. 10.2217/DMT.10.9 © 2011 Future Medicine Ltd

## 5.2 Program Measures & Evaluation

### 5.2.1 Service Efficacy & Desired Outcomes

10 Points

What do you hope to help your clients achieve? What are some examples of goals you will set with clients? What is your service philosophy in terms of helping your clients achieve these goals? Describe how you define “successful” completion of services. (e.g. service is complete if: client remains for entire three-hour training; client continues services until judge orders otherwise; etc.) What percentage of clients meet that criteria for success?

Below are examples of client achievements and goal examples for each achievement:

- 1) Achievement: Decreased depressive symptoms / Goal Example: Client will track depressive symptoms and report them to provider to monitor
- 2) Achievement: Adopt self-care methods when depression and anxiety symptoms increase / Goal Example: Client will utilize a personalized self-care method to decrease symptoms (writing in a journal, going on a walk, meditating, going to the library to read a book)
- 3) Achievement: Attend scheduled appointments and groups / Goal Example: Client will attend all scheduled medical, mental health, and diabetes education appointments. If unable to attend, client will reschedule in an appropriate time frame.
- 4) Achievement: Full understanding and adherence to mental health and diabetes medications / Goal Example: Client will take medication as prescribed; client will work with providers to understand medications and will complete medication training if needed
- 5) Achievement: Improved A1C levels / Goal Example: Client will attend meal planning class with diabetic education; Client will implement improved lifestyle choices including exercise, food choices, and medication adherence

Each client is unique, so our service philosophy is meeting the client where they are. Successful completion of services is subjective and unique to each client. Examples: completing an appointment, picking up filled prescriptions, taking medication as prescribed, applying for employment and housing opportunities, working on personal relationships, completing a medical exam. Our goal would be that 90% of clients meet at least one criteria for success.

### 5.2.2 Client Empowerment & Community Impact

10 Points

Describe what meaningful difference these services make in the lives of people served. What value is added to the community? Provide examples. (e.g. client demonstrates change in attitude; client has behavior change; etc.)

Access to mental health and medical services saves lives and opens doors for the under-served in our community. Improved mental health can enhance the productivity and economic viability of the clients served. Value will be added to the community through increased independence, stability, and growth. Clients will see improved relationships, changes in attitude and behavior, and the ability to cope and manage everyday stresses (like diabetic care). Clients will have increased motivation leading to improved employment, education, and housing opportunities.

George came to Mission Health Lexington after experiencing two episodes of diabetic shock where paramedics had to come to his house and revive him. He had been diagnosed with diabetes, but had lost his job and lost his insurance so he wasn't able to seek regular care or fill prescriptions. He was depressed and anxious, and reported to staff that before he found Mission Health Lexington he didn't know what to do or how he was going to buy his insulin. "After I told them about my episodes, they taught me and trained me, and to eat at the right times. I think they saved my life."

George is one of many examples of clients who were able to utilize multiple aspects of our clinics in order to improve their overall health and wellbeing. With the proposed program we would be able to enhance our clinic offerings providing an even more comprehensive care model.

Additionally, we will continue to have translation services available so all services are accessible to more of our neighbors in need providing a safe and welcoming environment to all who feel they have nowhere else to turn.

### 5.2.3 Data Assessment & Quality Improvement

10 Points

While it doesn't have to be complicated, evaluation is more than saying "we provided this many 'units of service.'" How will you know if your services have been effective? How does this relate to the desired outcomes for your clients? How will the information gathered be used to improve your services in the future? Be specific (for example, data collection may be through focus groups, pre-and post-tests, client satisfaction surveys, etc.), and also **be specific regarding sampling size and frequency of evaluation.**

Evaluating the effectiveness of our services will vary with each unique client and their specific treatment plans. We will utilize a variety of tools including symptom checklists (like the Hope Scale or Beck Depression Inventory), lab results, client satisfaction surveys, and overall goal achievement. Symptom checklists will be completed at every appointment to evaluate the effectiveness of the treatment plan. Lab work will be completed at the discretion of the medical provider. We typically test A1C once every three months. Client satisfaction surveys will be completed after the first one-on-one diabetes education session and then again monthly. Client satisfaction surveys will be completed after every group session since the topic will vary each session. Individualized report cards will be utilized to evaluate overall goal achievement. These will be updated at each session as needed. The tools we will use to evaluate the effectiveness are directly inline with the desired outcomes for our clients. For example, if a client indicates that their depressive symptoms have decreased and a goal is to decrease depressive symptoms than the desired outcome has been reached. Every client will be expected to participate in different evaluation processes as part of their individual care plans. This information is vital as we continue to grow and improve the program and continue to tailor their care specific to their individual needs. Additionally, feedback will help us adjust and expand service offerings and continue to fill gaps in care identified in the different assessments.

## 5.3 Capacity & Sustainability

### 5.3.1 Staff Qualifications & Experience

5 Points

Provide information on the key/primary individuals that will be involved in the provision of services and demonstrate that they have the capacity to address the stated need. List each position by title and name of employee, if available. Describe the anticipated roles and responsibilities for each person as it relates to this project. Describe the experience, expertise, and capacity of each individual to address the proposed activities.

> Abby Pliszka, MSW, serves as the Executive Director of Mission Health Lexington, and will continue her role working in collaboration with the team to develop and launch the expanded program including supervision of staff, implementing program assessments and evaluations, and all administrative, financial, and reporting requirements.

> Support Team: We have a medical interpreter who will support the program. We will hire a Diabetes Educator to meet one-on-one with patients, lead support groups, and manage the program care plans.

#### Volunteers:

> Mary Cowles, MD: Medical Clinic Psychiatrist, providing and overseeing all psychiatric services including therapy and medication management.

> David Ruth, PharmD: Pharmacist in Charge, overseeing all pharmacy operations.

> John Miller, MD: Medical Clinic Director, overseeing all Medical Clinic operations and serving as a physician in the Medical Clinic with a special focus on internal medicine and diabetes care.

> Lon Keith, MD: serves as a physician in the Medical Clinic with a special focus on cardiology and diabetes care.

> Philip Hoffman, MD: serves as a physician in the Medical Clinic with a special focus on internal medicine diabetes care.

> DeShayna Davis, APRN: serves as a provider in the Medical Clinic with a special focus on internal medicine, women's health, and diabetes care.



### 5.3.2 Partnership & Resource Leverage

5 Points

How do your programs and services support our community's comprehensive response to the identified priority area of Community Wellness & Safety? Does your organization have any formal agreements or informal working relationship with other local service programs?

What role does your governing board members, volunteers, and / or donors play in facilitating viable service delivery and program administration? Does your program have any major civic benefactors or corporate sponsors? Describe other secured funding sources and committed operational resources your organization has allocated for the proposed program.

Mission Health Lexington comprehensive programs and services support LFUCG's priority focus of Mental Health and Community Wellness & Safety by providing medical and pharmaceutical services to Fayette County residents living without insurance, below federal poverty guidelines, and who are experiencing mental health symptoms that are affecting their overall health and wellness, ability to work and make community contributions, and overall emotional, physical, and social stability. Services are provided free of charge by volunteer licensed healthcare professionals. Many members of our Board of Directors are healthcare professionals who are past or active volunteers in our clinics. Each clinic has a Volunteer Director who serves on the Board and works directly with staff to ensure programs are executed effectively and efficiently having the greatest impact. When Mission Health Lexington is unable to provide a service we provide referrals to local service providers like Surgery on Sunday, University of Kentucky, and Kentucky Cancer Link for specific medical needs. For social service needs we provide referrals to Maxwell Street Presbyterian Church Emergency Assistance Program.

Funding support comes from a variety of sources. Funds available to support the general operations needs of this project (rent, utilities, etc.) come from individual donors, our annual fundraising events, as well as major civic benefactors and sponsors: Endowment at Blue Grass Community Foundation, Toyota Motor North America, Delta Dental of Kentucky Foundation, Calvary Baptist Church, Quantrell Auto Group, Bonnie Quantrell, United Way of the Bluegrass, Mira Ball, Baptist Health Lexington, Good Shepherd Episcopal Church, Cathedral of Christ the King, Lexington Lion's Club Endowment, and Faith Lutheran Church.

### 5.3.3 Outreach & Inclusion Strategy

15 Points

Demonstrate how the program will ensure services are available and accessible by all potential participants, especially related to language barriers for persons with limited English proficiency; persons with physical or other disabilities; and persons impacted by poverty and economic distress.

Has your organization enacted any policies (or employs any standard operating procedures) to ensure equitable service opportunity and / or benefit program to a diverse cross-section of the greater community? How does your proposal support or enhance the recommendations made by the Mayor's Commission on Racial Justice & Equality?

Our work countermands the systematic inequities that individuals in our community face every day. We provide health and healing to our neighbors in need by providing access to free healthcare. We work to remove the barriers our clients face accessing the lifesaving care they need and deserve. The work of our clinic is directly in-line with the Mayor's Commission on Racial Justice & Equality Health Disparities Sub-Committee Recommendations. We work to build trust with each and every client with a diverse team of healthcare providers who provide culturally competent care. Our clients are our biggest source of outreach. Many of our clients live in close-knit communities and in multi-generational homes. They share details of our clinics within their communities. We work with partners (social services, healthcare entities, churches) who provide direct referrals, feature our services in resources at their facilities, etc.

Our services are available to Fayette County residents living without insurance and below federal poverty guidelines. We are located at 230 S. Martin Luther King Blvd, a single-level wheelchair accessible facility that is 0.1 mile from the City of Lexington Transit Center. We utilize multi-language forms and have staff on-site to provide assistance for patients who are unable to read/write. We have interpreters to assist with Spanish translation needs and utilize a telephone-based medical interpreter service providing translation services in 225 languages. Clinics are offered Monday-Saturday with hours varying (daytime, evenings, and weekends) to provide optimal accessibility. We also have bus passes available for patients with transportation barriers.

## 6.0 Program Budget Summary Form Instructions

Proposal Submittal shall be considered incomplete and shall be rejected without completed Budget Summary Form. (Including total amount of ESR grant request.)

For organizations requesting funding for more than one program in this RFP, combine into a single Program Budget narrative for the proposal.

Please note that the Program Budget will be part of the grantee agreement with LFUCG and regular tracking and expenditure reporting will be required.

To ensure readability and uniformity, please use the Program Budget form included. Provide brief line-item detail as specified in each section below and verify all calculations.

This section provides a summary of the total proposed Program Budget for FY 2022. It requests the allocation of all projected funding amounts (City and non-City sources) for anticipated FY 2022 program expenditures.

### **Total Program Budget**

Column A should reflect projected expenditures for the entire program (not just the proposed LFUCG ESR grant funding request portion). When the chart is completed this column should equal ESR Grant Funding Request plus other/non-ESR program funding. (A=B+C)

### **ESR Grant Funding Request**

Column B is the grant amount being requested from this RFP to support this program's services to eligible Fayette County Participants.

### **Non-LFUCG Program Funding**

Column C is the non-LFUCG ESR funding that is allocated to the Total Program Budget (A-B = C).

**This form is for the budget for the PROGRAM applying for ESR funds, not the total agency budget.**

For each category identify the amount requested and the amount to be leveraged through other programs or organizations (if applicable).

**Staff Salaries** – Identify the number of Full-time position salaries allocated to the program, and part-time positions allocated to the program, and the amounts of each allocated to Columns A, B, & C.

**Consultant Services** – In the “List Details” box, **briefly** describe any expenses associated with providing expanded supportive services or other services for which the organization intends to contract with another entity. Any of these expenses to be provided by the proposing organization should be included in other line items.

**Space/Facilities** – In the “List Details” box, **briefly** list the basis of the allocation of rental costs, utilities, janitorial costs, and any other facility costs for the Program. Identify any office or program space in an LFUCG owned building, and any other costs (rent, monthly utilities, etc.) reimbursed to LFUCG.

**Scholarships/Stipends** – In the “List Details” box, **briefly** list the type of scholarships or stipends, and include the number of people or organizations to receive funds.

**Operating Expenses** – In the “List Details” box, **briefly** list the costs associated with expenses, supplies, utilities, and any other expense associated directly with the operation of the project.

**Other** – In the “List Details” box, **briefly** list any other costs for the Program not covered above.

# PROGRAM BUDGET SUMMARY

Agency Name **Mission Lexington, Inc. dba Mission Health Lexington**

Program Name \_\_\_\_\_

## FY 2022 (July 1, 2021-June 30, 2022) Total Program Budget

		Column A Total Program Budget [= B+C]	Column B ESR Grant Funding Request	Column C Non-ESR Program Funding [A-B]
<b>1. Staff Salaries for Program</b>	<b># of Employees:</b>			
<i>Full-Time (FTE)</i>	1	0	0	0
<i>Part-Time</i>	2	30,000	25,000	5,000
<b>Total Salaries</b>		30,000	25,000	5,000
<b>3. Consultant Services</b>	<b>\$</b>	3,000	1,500	1,500
<i>list details</i>	\$3,000 for interpreter services not fulfilled by an on-site employee			
<b>4. Space/Facilities</b>	<b>\$</b>	0	0	0
<i>list details</i>	Rent is a fixed expense for the entire organization so it is not reflected in the Program Budget.			
<b>5. Operating Expenses</b>	<b>\$</b>	750	750	0
<i>list details</i>	Office Supplies - \$250 Printing for Resource Packets - \$500			
<b>6. Scholarships / Stipends</b>	<b>\$</b>	0	0	0
<i>list details - numbers &amp; amounts</i>	N/A			
<b>7. Other</b>	<b>\$</b>	28,500	14,250	14,250
<i>list details</i>	Lab Fees: \$10,000 Insulin, Syringes, Diabetic Testing Supplies: \$16,000 Mental Health Medications: \$2,500			
<b>8. TOTAL FY22 PROGRAM BUDGET</b>	<b>\$</b>	62,250	41,500	20,750

**Cost per Program Participant:**      \$ 1,245

I understand that this document in its entirety is incorporated into my grant Agreement with the Lexington-Fayette Urban County Government.

**Authorized Representative** (*typed name*): Abby Pliszka

**Title:** Executive Director

**Date:** 04/26/2021